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Abbreviations

5-FU 5-Fluorouracil

APS Ammonium peroxydisulfate

 β -ME Beta-Mercaptoethanol

BCA Bicinchoninic acid

BMP Bone morphogenetic proteins

Bp Base pair

BSA Bovine serum albumin

CD Cluster of differentiation

cDNA Complementary deoxyribonucleic acid

COX 2 Cyclooxygenase 2

DAB 3, 3'-diaminobenzidine

DAPI 4',6-diamidino-2-phenylindole

dd water Double distilled water

DMEM Dulbecco's modified eagle medium

DMPC Di-methyl pyrocarbonate

DMSO Dimethyl sulfoxide

DNA Deoxyribonucleic acid

dNTP Deoxynucleotide triphosphates

DSMZ Deutsche Sammlung von Mikroorganismen und Zellkulturen

ECACC European Collection of Cell Cultures

ECM Extracellular matrix

EDTA Ethylene diamine tetraacetic acid

EGFR Epidermal growth factor receptor

EMT Epithelial-mesenchymal transition

ERE Estrogen-responsive element

ER α Estrogen receptor α

FCS Fetal calf serum

FFPE Formalin-fixed paraffin-embedded

HCL Hydrochloric acid

HDAC Histone deacetylase

HGF Hepatocyte growth factor

Abbrevations

HNSCC Head and neck squamous cell carcinoma

HPF High power field

IHC Immunohistochemistry

IRS Immunohistochemical reaction score

kDa Kilo Dalton

L Liter

LN Lymph node

MDB Membrane desalting buffer
MEM Modified eagle medium

mg Milligram

MgCL₂ Magnesium chloride

min Minutes
ml Milliliter

M-MLV Moloney murine leukemia virus

nm Nanometer

MMP Matrix metalloproteinase
MTA Metastasis associated gene

MVD Microvessel density

NaCL₂ Sodium chloride

NSCLC Non-small cell lung cancer NTP Nucleoside triphosphate

NuRD Nucleosome remodeling and deacetylation complex

°C Grad Celsius

PAGE Polyacrylamide gel electrophoresis

PBS Phosphate buffered saline

PBST PBS-Tween

PCNA Proliferating cell nuclear antigen
PDAC Pancreatic ductal adenocarcionma

pmol Picomolar

PP Percentage of positive stained cell

PVDF Polyvinylidene difluoride

RCT Randomized controlled trial

rDNase Recombinant DNase

RNA Ribonucleic acid

Abbrevations

RPMI Roswell park memorial institute medium

RT-PCR Reverse transcription and polymerase chain reaction

PCR Polymerase chain reaction

SDS Sodium dodecyl sulfate

SERM Selective estrogen-receptor modulator

SI Staining intensity

TAE Tris-acetic-EDTA buffer

TBS Tris-buffer-saline

TBST TBS-Tween

TEMED Tetramethylethylenediamine

TGF-β Transforming growth factor-Beta

Tris Trizma base

TKI Tryosine kinase inhibitor

TNM Tumour, lymph nodes, metastasis

U Unit

UICC Union for iternational cancer control

VEGF Vascular endothelial growth factor

μg Microgram

μl Microliter

Summary

Human PDAC is one of the most devastating diseases without effective therapy strategy so far. Although PDCA may not directly depend on estrogen, it was found to be an estrogen-related malignancy. In breast cancer, ligand-activated ERa moves from the cytoplasm to the nucleus of cancer cells, increases the expression of MTA3 and modulates EMT via the transcription factor Snail and the adhesion molecule E-cadherin. To date, this EMT-related signaling pathway has not been investigated in human PDAC. In this study, the prior knowledge about the expression patterns of ERa and the downstream regulation elements MTA3, Snail, and E-cadherin in human PDAC tissues, human pancreatic cancer cell lines and the corresponding xenograft animal model were studied. The results indicated that ERa, MTA3, Snail, and E-cadherin were present in human PDAC tissues, with most of ERα expression in the cytoplasm of the tumor cells instead of the nucleus. The expression patterns of these factors in 5 human pancreatic cancer cell lines, ASPC-1, Capan-1, HPAF-2, MiaPaCa-2 and PANC-1, and the human breast cancer cell line MCF-7 as a control was investigated in the next step. At mRNA level, all cell lines displayed ERa expression, at the protein level only weak expression was detected in the cytoplasm as well as in the nucleus of pancreatic cancer cells due to estrogenic stimulation in the cell culture media. The pancreatic cancer cell line Capan-1 displayed the expression patterns of all factors related to this pathway and will be used for further functional studies. The xenograft animal model of the five human PDAC cell lines showed similar expression patterns compared to the results of the cell culture experiments. In conclusion, the elements of the ERa mediated pathway are present in human pancreatic cancer cells, but the function remains unclear. Most of the ERα is detectable in the cytoplasm of human PDAC at an inactivated form, probably due to a lack of estrogen in the surrounding tissue. Based on these results, further experiments will be done to investigate the role of this signal pathway in mediating EMT in human pancreatic cancer cells.

Zusammenfassung

Das duktale Adenokarzinom des Pankreas ist eine der verheerendsten Erkrankungen, für die es bisher keine effektive Behandlungsstrategie gibt. Obwohl es nicht direkt Östrogen-abh ängig ist, wurde gezeigt, dass es ein Östrogen-assoziierter Tumor ist. Bei Brustkrebs bewegt sich liganden-aktivierter ERα aus dem Zytoplasma zum Zellkern von erh öht die MTA3 moduliert die Tumorzellen. Expression von und Epitheliale-Mesenchymale-Transformation (EMT) über den Transkriptionsfaktor Snail und das Adhesionsmolek ül E-Cadherin. Bisher wurde dieser EMT-assoziierte Signalweg noch nicht im duktalen Adenokarzinom des Pankreas untersucht. In dieser Arbeit wurde zun ächst das Expressionsmuster von ERα und der folgenden Regulationselemente MTA3, und E-Cadherin in menschlichem Pankreaskarzinomgewebe, Pankreaskarzinomzelllinien und dementsprechenden Xenograft Tiermodellen untersucht. Die Ergebnisse zeigten, dass ERa, MTA3, Snail und E-Cadherin in humanem Zytoplasma zu finden war und nicht im Zellkern. Die Expressionsmuster dieser Faktoren in den 5 humanen Pankreaskarzinomzelllinien ASPC-1, Capan-1, HPAF-2, MiaPaCa-2 und PANC-1 und in der humanen Brustkrebszelllinie MCF-7, als Kontrolle, wurden im n ächsten Schritt untersucht. Auf mRNA-Ebene zeigten alle Zelllinien ERα Expression, auf der Proteinebene konnte jedoch nur eine schwache Expression im Zytoplasma und Nukleus festgestellt werden. Die Expression des ERα im Nukleus lässt sich wahrscheinlich durch das östrogenhaltige Zellkulturmedium erklären. Die Pankreaskarzinomzelllinie Capan-1 zeigte die Expression aller zu diesem Signalweg gehörigen Faktoren und wird für weitere funktionelle Untersuchungen verwendet. Das Xenograft Tiermodell der 5 benannten humanen Pankreaskarzinomzelllinien zeigte ähnliche Expressionsmuster wie in den Ergebnissen der Zellkulturexperimente. Zusammenfassend sind die Elemente des ERα-abh ängigen Signalwegs in humanen Pankreaskarzinomzellen vorhanden, jedoch bleibt Ihre Funktion unklar. Das meiste ERa findet sich im Zytoplasma in einer inaktivierten Form, wahrscheinlich auf Grund eines Östrogenmangels im umgebendem Gewebe. Basierend auf diesen Ergebnissen werden weitere Experimente durchgeführt werden, um die Rolle dieses Signalwegs bei der Vermittlung von EMT in humanen Pankreaskarzinomzellen zu untersuchen.

1 Introduction

1.1 Pancreatic ductal adenocarcinoma - pathologenesis, therapy and outcome

Pancreatic ductal adenocarcinoma (PDAC) ranks 9th among newly reported cases of cancer and is the 4th most common cause of cancer-related deaths in developed countries. In 2008, the estimated incidence of PDAC was here 165,100 cases with estimated 161,800 deaths from PDAC [1, 2]. PDAC is difficult to diagnose due to a lack of early symptoms because unambiguous symptoms usually appear only at advanced stages. Due to the aggressive pathophysiology including early metastasis, local infiltration especially to nearby neural sheets, and due to high resistance to chemo- and radiotherapy, the prognosis of PDAC remains poor with an overall 5-year survival rate of just 1-4% [1, 3]. The majority of patients (80–90%) diagnosed at advanced stage with local infiltration or systemic metastasis only reach a median survival period of up to 6 months even after aggressive therapeutic regimens [4].

Surgery with tumor-free margins (R0) offers the only chance to cure this devastating disease for patients with resectable PDAC, but successful R0 resection is achieved in only 30–40% of pancreatectomies with a median survival of 14–20 months [5, 6]. Depending on the location of the tumor, the surgical procedure involves pancreateduodenectomy, distal or total pancreatectomy. However, surgical treatment alone is no longer sufficient even in patients with radical organ resection. Adjuvant approaches including chemotherapy, radiotherapy and/or molecular target therapy are required and implemented in the treatment of PDAC patients after operation [4].

Chemotherapy plays an indisputable role in the treatment of advanced PDAC. For patients with advanced PDAC, improving patients' quality of life is the main therapeutic goal. There are a variety of agents such as 5-fluorouacil (5-FU), cyclophosphamide, methotrexate, vincristine, and gemcitabine that have been used as chemotherapy for PDAC [7]. Gemcitabine has been proven to be more effective than other drugs as a single agent and is widely accepted as the first choice of chemotherapy for patients at advanced stage [8]. The combination of 5-FU, leucovorin, irinotecan, and oxaliplatin (Folfirinox) shows survival benefits in advanced PDAC [9]. Combination of radio- and chemotherapy does not improve the outcome for patients with advanced PDAC compared to chemotherapy alone [10]. This rather traditional adjuvant approach only slightly increased the survival rates of PDAC patients within the last 20 years. Thus, molecular target therapy has

emerged as a new strategy for PDAC.

Molecular target therapy has recently been introduced as a new approach in the treatment of PDAC and has become increasingly important due to the deeper insights into mechanisms and related molecular events involved in PDAC. Molecular therapies target different phases of PDAC development, including tumor initiation e.g. by inhibiting cyclooxygenase 2 using celecoxib [11] and tumor progression e.g. by cetuximab, a monoclonal antibody specific for the epidermal growth factor receptor (EGFR) [4]. Metastatic tumor growth depends upon nutrients provided by newly formed blood vessel. So anti-angiogenic therapy mainly targets the vascular endothelial growth factor (VEGF) should starve the tumor. In a randomized study, marimastat, a monoclonal antibody specific for VEGF improved the 1-year survival rates compared with traditional treatment metastatic PDAC but had no influence on the overall survival rate [12]. Erlotinib has been developed to inhibit the EGFR tyrosine-kinase, which is believed to be responsible for proliferation, angiogenesis, invasion, metastasis, and inhibition of apoptosis of cancer cells [13]. When combined with gemcitabine, which inhibits a family of tyrosine kinases with similar structure, the combination of erlotinib and gemcitabine has been reported to be superior to gemcitabine alone with regard to 1-year survival and tumor control rates in advanced PDAC [4].

These first results of molecular target therapy have so far not been fully satisfying in terms of therapeutic efficacy but point to the direction for obtaining a better prognosis for this devastating disease. They taught us that deeper insights to the mechanisms involved in tumor initiation and progression of PDAC are the key to improve molecular target therapy.

1.2 Epithelial mesenchymal transition and metastatic potential

Epithelial-mesenchymal transition (EMT) was described as a process that enables epithelial cells to undergo a morphological change from cobblestone epithelial phenotype to flat and spindle-shaped mesenchymal phenotype [14]. This process is characterized by degradation of the basement membrane, loss of baso-apical polarization and of cell-cell adhesion [15, 16]. The cells finally gain some mesenchymal capacities, which enable them to go through the membrane and migrate away from the epithelial layer [15]. Multiple changes happens during this transition including enhanced migratory capacity and greatly increased production of extracellular matrix components [17]. EMT has originally been

introduced to describe cellular remodeling during heart morphogenesis and has until now been observed in a variety of physiological and pathological processes even after completion of embryogenesis. Three subtypes of EMT have been divided according to their different biological functions: type 1, EMT during implantation, embryogenesis and organ development; type 2, EMT associated with wound healing and organ fibrosis and type 3, EMT associated with cancer progression and metastasis, the so called cancerous EMT [14].

Cancerous EMT is believed to mark the onset of cancer and to be critical for tumor initiation and tumor progression. The epithelial carcinoma cells change to the mesenchymal phenotype during EMT and gain capacities for invasion [18]. Many important EMT regulators such as the expression of the transcription factors Snail, Slug, and Twist have been shown to correlate with the prognosis in several cancer entities demonstrating that activation of EMT leads to a poor clinical outcome [19]. Cancer cells such as from colorectal cancer, urothelial carcinoma, head and neck squamous cell carcinoma and non-small cell lung carcinoma undergoing EMT become more resistant to drugs [20-22]. Moreover, human cancer cells gain some stem cell-like features during EMT leading to a higher potential for metastasis and drug resistance [23].

Although EMT is acknowledged to be crucial in epithelial cell-derived cancer, the full spectrum of EMT-related or -dependent mechanisms involved is still unclear. Most of the EMT signaling pathways associated with cancer progression seem to converge on E-cadherin, a cell adhesion molecule. Loss of E-cadherin expression and up-regulation of several E-cadherin repressors, such as Snail, Slug, Zeb 1/2, and Twist have been found to promote EMT [24, 25]. Here the zinc-finger transcription factor Snail is believed to be the most important transcription factor; it is overexpressed in the invasive front of breast cancer and inversely correlates with E-cadherin [26]. Similar associations between Snail and E-cadherin have been found in colorectal cancer [27], non-small-cell lung carcinoma [28], gastric cancer [29], endometrial cancer [30] and in PDAC [31].

Signaling pathways recruited by cytokines and growth factors have been demonstrated to regulate Snail and E-cadherin in cells from PDAC. Suppression of the EGFR expression significantly inhibit EMT in pancreatic cancer cells by downregulation of the transcription factors Snail and Slug [32]. As observed in invasive and metastatic PDAC, Kras promotes the metastatic potential in pancreatic cancer cells by downregulation of E-cadherin expression [33]. Transforming growth factor (TGF)β activates EMT also by downregulation of E-cadherin [34]. The co-regulators of EMT, Snail and E-cadherin, are

also involved in signaling by $ER\alpha$ in cells from breast cancer [35]. These results provide us an interesting idea that steroid hormone plays an important role in governing cancerous EMT.

1.3 Estrogen and estrogen receptor α in cancerous EMT

17β-estradiol is the most potent, biologically active form of the three estrogen variants: estrone, 17β-estradiol and estriol. Estrogen mediates a broad range of physiological functions as well as pathological processes via cellular receptors, the estrogen receptor alpha (ER α) and estrogen receptor beta (ER β). Both estrogen receptors have five functional domains and share a significant overall sequence homology at DNA level [36].

Estrogen receptors are distributed to a different extent in a variety of tissues. ER α is mostly expressed in liver, breast and uterus, whereas ER β could be detected in tissues of the gastrointestinal tract [37]. In cancer tissues with both ERs expression, they reveal different, sometimes opposite functions [38, 39]. ER α mediates a proliferative effect in the developing breast cancer, while ER β protects from the modulating effects of ER α on cell growth [39].

ERα is a known transcription regulator governing tumor initiation and tumor progression directly by estrogen-regulated target genes [40]. Besides this, there is evidence of cross-talk between ERα and other signal pathways including those involved in EMT. In breast cancer, which is the most extensively investigated cancer type, the estrogen-activated ERα signaling pathway supports an epithelial phenotype of the cancer cells and suppresses EMT [41]. Decreased estrogen dependency correlates with the increase of Snail expression and activity [42]. In vulvar carcinogenesis, downregulation of ERα correlates with a reduction in the expression of E-cadherin compared to normal epithelium [43]. In ovarian cancer, 17β -estradiol triggers metastatic potential induced by EMT via ERα [44].

Although PDCA may not directly depend on estrogen, it was found to be estrogen-related [38, 45]. Increased exposure to estrogen during the reproductive years is suggested to play a role in the development of PDAC in women [45]. Pancreatic cancer cells are estrogen-sensitive and express both forms of the estrogen receptor. As also reported for breast cancer [39], the ERβ/ERα ratio is involved in pancreatic cancer cell growth [38]. Also, several phase II clinical trials report using combination regimens including

chemotherapy agents plus tamoxifen, an estrogen receptor antagonist, to treat PDAC [46, 47]. These trials demonstrate an improvement in the therapeutic effect with a good toxicity profile [46, 47].

The full stream of how ER α mediates biological processes via cellular receptors remains unclear. Upon associating with a heat shock protein ER α is physiologically inactive in the cytoplasm. After binding with its ligand estrogen which enters the cell by simple diffusion, the heat shock protein dissociates from the receptor, two cytoplasmic ER α molecules dimerize and translocate into the nucleus. This complex binds to estrogen-responsive elements (ERE) and activates target gene expression [48]. Several co-activating and co-repressing proteins interact with ER α to modulate the transcriptional process by activating the nucleosome remodeling/histone deacetylase (NuRD) complex [49]. MTA proteins, which are thought to be tightly associated with NuRD, are involved in the signaling process governing cancerous EMT regulated by ER α [35, 50]. It would be interesting to elucidate the expression and role of MTA protein in the cancerous EMT in pancreatic cancer.

1.4 Metastasis-associated gene/protein 3 in cancerous EMT

MTA is a family of genes and their encoded products are associated with cancer progression and metastasis. The three so far known genes *MTA1*, *MTA2*, and *MTA3* encode for 6 reported isoforms of encoded proteins MTA1, MTA1s, MTA1-ZG29p, MTA2, MTA3, and MTA3L [51]. MTA1 are predominantly located in the nucleus, while MTA3 is located in both the nucleus and cytoplasm of tumor cells [35, 52]. MTA proteins are thought to mainly be transcriptional corepressors functioning via histone deacetylation by the NuRD complexes [53].

MTA3, the latest addition to the MTA family, was found to be expressed as well as ERα in several malignant diseases like breast cancer and endometrioid adenocarcinoma. Low expression of MTA3 is a risk factor for breast cancer [54]. In endometrioid adenocarcinoma, downregulation of MTA3 expression predisposes cancer cells to having a high metastatic potential associated with poor differentiation [55]. MTA3 is also a predictor in B-cell lymphoma 6 protein-mediated lymphomagenesis in germinal center B-cell-like neoplasms [56].

The role and function of MTA3 in cancerous EMT is mainly investigated in

estrogen-related breast cancer via ER α [35]. MTA3 highly expressed in epithelial cells of normal tissues is associated with the expression of Snail, E-cadherin and the suppression of EMT. Downregulation of MTA3 has been observed in conjunction with increased malignant potentials of the respective cells. Thus, MTA3 together with ER α functions to maintain a differentiated epithelial status and suppresses EMT [57]. Despite the known expression of estrogen receptors in PDAC, so far there are no reports on MTA3 in this cancer entity.

1.5 Hypothesis and question

ER α seems to be essential for MTA3 expression. What is the experimental evidence? In breast cancer, ligand-activated ER α binds to *MTA3* promoter that contains three potential ERE half-sites in the presence of estrogen and leads to increased expression of MTA3 [35, 50]. ER activates the transcription of MTA3 and forms a transcriptional corepressor complex containing histone deacetylase and ATP-dependent chromatin remodeling functions. This complex is dedicated to *Snail* repression, results in a decreased expression of Snail and an increased expression of E-cadherin (Figure 1) [35]. Thus MTA3 is a downstream receptor of ER α that upon interaction with ER α modulates EMT via Snail and E-cadherin [35, 57].

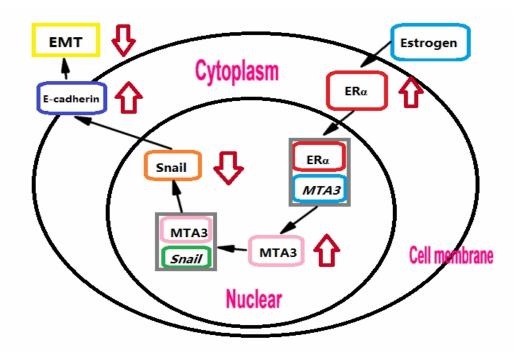


Figure 1. Schematic overview of the relation of ERα and MTA3 in the estrogen-dependent signaling pathway modulating EMT in cancer cells. After 17β-estradiol binding to cytoplasmic ERα the whole complex enters the nucleus and binds to the ERE of the *MTA3* promotor. Resulting MTA3 blocks the expression of the transcriptional repressor Snail, resulting in an increase of the cell adhesion molecule E-cadherin and subsequent suppression of EMT (according to [35, 50]).

The hypothesis was that estrogen regulates the metastasis-related onset of EMT in primary human PDAC via $ER\alpha$ -dependent MTA3 induction. This assumed that i.e. interference with the estrogen/ $ER\alpha$ interaction might reduce the metastatic potential of primary PDAC offering a novel strategy to supplement PDAC therapy. Testing potential effects of estrogen/ 17β -estradiol in PDAC, requires prior knowledge of the expression patterns of $ER\alpha$, MTA3, Snail and E-cadherin as major players of the EMT-related signaling pathway. Aiming to elucidate these patterns, the following questions were experimentally addressed:

- 1. Do tumor cells of primary and/or metastatic human PDAC express $ER\alpha$ and the downstream regulation elements MTA3, Snail, and E-cadherin?
- 2. Which human pancreatic cancer cell line represents primary and/or metastatic PDAC with respect to the whole EMT-related signal cascade comprising the estrogen receptor form ERα, the metastasis-associated protein MTA3, the master regulator of EMT Snail and the cell adhesion molecule E-cadherin?
- 3. Does a xenograft animal model of human PDAC reflect the EMT-related patterns of ERα-dependent proteins in primary and/or metastatic human PDAC?

2 Material and Methods

2.1 Material

2.1.1 Chemicals and Reagents

1 kb DNA ladder RTU Gene Direx, Aachen, Germany

β-mercaptoethanol Roth, Karlsruhe, Germany

Acrylamide (40) Roth, Karlsruhe, Germany

Agarose Serva, Heidelberg, Germany

Amphotericin B (250 µg/ml) Biochrom, Berlin, Germany

Antibody dilution buffer DCS Innovative Diagnostic Systems,

Hamburg, Germany

APS Sigma-Aldrich, Taufkirchen, Germany

Aquatex Merck, Darmstadt, Germany

Blocking reagent lumilight block Roche, Mannheim, Germany

Bromphenol blue Sigma-Aldrich, Taufkirchen, Germany

BSA fraction V Roth, Karlsruhe, Germany

Coomassie Sigma-Aldrich, Taufkirchen, Germany

DAB Sigma-Aldrich, Taufkirchen, Germany

DAPI Roche, Mannheim, Germany

Disodium hydrogen phosphate dehydrate Merck, Darmstadt, Germany

DMEM (high glucose 4.5g/L) PAA, Cölbe, Germany

DMPC Sigma-Aldrich, Taufkirchen, Germany

dNTP Sigma-Aldrich, Taufkirchen, Germany

Dream tag master mix Fermentas, St. Leon-Rot, Germany

EDTA Sigma-Aldrich, Taufkirchen, Germany

Ethanol (99%) Merck, Darmstadt, Germany

Ethidium bromide Roth, Karlsruhe, Germany

FCS (FCS-Gold) PAA, Cöbe, Germany

Ficoll 400 Sigma-Aldrich, Taufkirchen, Germany

Fluoromount G Southern Biotech, Eching, Germny

Gelatin Merck, Darmstadt, Germany

Glacial acetic acid Roth, Karlsruhe, Germany

Glycine Roth, Karlsruhe, Germany

Hematoxylin Roth, Karlsruhe, Germany

Histokitt-II Roth, Karlsruhe, Germany

Horse serum Biochrom, Berlin, Germany

Hydrochloric acid (37%) Merck, Darmstadt, Germany

Hydrogen peroxide (30%) Roth, Karlsruhe, Germany

M-MLV reverse transcriptase Promega, Mannheim, Germany

MEM PAA, C öbe, Germany

Methanol (99%) Roth, Karlsruhe, Germany

Nonfat dry milk Roth, Karlsruhe, Germany

NucleoSpin II RNA reaction kit Macherey-Nagel, Düren, Germany

Oligo (dT) Promega, Mannheim, Germany

Paraformaldehyde Sigma-Aldrich, Taufkirchen, Germany

PBS PAA, Cölbe, Germany

Penicillin/streptomycin (100x) Biochrom, Berlin, Germany

Peq-gold protein marker V Peqlab, Erlangen, Germany

Peroxidase conjugated avidin biotin KPL, Wedel, Germany

complex

Power block (10X) BioGenex, Munich, Germany

Protease inhibitor cocktail Sigma-Aldrich, Taufkirchen, Germany

QuantiPro BCA assay kit Sigma-Aldrich, Taufkirchen, Germany

Reverse transcription buffer Fermentas, St. Leon-Rot, Germany

RNAsin Promega, Mannheim, Germany

RPMI-1640 PAA, Cölbe, Germany

SDS Roth, Karlsruhe, Germany

Sodium Azid Sigma-Aldrich, Taufkirchen, Germany

Sodium chloride Roth, Karlsruhe, Germany

Sodium hydrogenphosphate monohydrate Sigma-Aldrich, Taufkirchen, Germany

Supersignal West pico chemiluminescent Thermo Scientific, Schwerte, Germany

substrate

TEMED Sigma-Aldrich, Taufkirchen, Germany
Triton X-100 Sigma-Aldrich, Taufkirchen, Germany

Trizma base Sigma-Aldrich, Taufkirchen, Germany

Trypsin-EDTA (1x) PAA, C ölbe, Germany

Tween 20 Roth, Karlsruhe, Germany

Xylazine hydrochloride Bayer, Leverkusen, Germany

Xylene cyanol F.F Sigma-Aldrich, Taufkirchen, Germany

Xylol JT Baker, Griesheim, Germany

2.1.2 Consumable supply

6 well cell culture plate Greiner, Frickenhausen, Germany

96 well plate Nunc, Langenselbold, Germany

Cell scraper Greiner, Frickenhausen, Germany

Collection tube (RNA) Macherey-Nagel, Düren, Germany

Cover slips (18x18mm, 24x32mm, Roth, Karlsruhe, Germany

40x32mm)

Glass slides Paul-Marienfeld, Lauda-Königshofen,

Germany

PCR Strips Biozym, Hess. Oldendorf, Germany

Pipette (2ml, 5ml, 10ml, 25ml) BD-Falcon, Heidelberg, Germany

Pipette filter tips (0.5–10µl, 10–100µl, Sarstedt, Nümbrecht, Germany

 $100-1000\mu l$)

Pipette tips (0.5–10μl, 10–100μl, Sarstedt, Nümbrecht, Germany

 $100-1000\mu l$)

PVDF membrane Thermo Scientific, Schwerte, Germany

Reaction tube (0.5ml, 1ml, 2ml)

Sarstedt, Nümbrecht, Germany

Tissue culture flask (75cm²) Sarstedt, Nümbrecht, Germany

Tube (15ml, 50ml) BD-Falcon, Heidelberg, Germany

UV transparant cuvettes Sarstedt, Nümbrecht, Germany

2.1.3 Equipment

Camera, canon powershot S515 Canon, Japan

Centrifuge biofuge primo R Heraeus, Hanau, Germany
Centrifuge megafuge 1.0 Heraeus, Hanau, Germany

Centrifuge minispin Eppendorf, Hamburg, Germany

Clean bench captair filtair Erlab, Cologne, Germany

Clean bench clean air CleanAir, USA

Clean bench HERA safe Heraeus, Hanau, Germany

CO₂ incubator HERA cell Heraeus, Hanau, Germany

Electrophoresis chamber mini-protean BioRad, Munich, Germany

Electrophoresis chamber sub-cell BioRad, Munich, Germany

Electrophoresis power supply consort Sigma-Aldrich, Taufkirchen, Germany

E835

Electrophoresis power supply power-pac BioRad, Munich, Germany

1000

Freezer (-20°C) Bosch, Stuttgart, Germany

Freezer (-80°C) forma 700 series Thermo Scientific, Schwerte, Germany

Gel cast chambers BioRad, Munich, Germany

Heating block thermomixer 5436 Eppendorf, Hamburg, Germany

Incubator herahybrid Kendro, Hanau, Germany

Luminescence imaging Peqlab, Erlangen, Germany

Magnetic stirrer SB161 VWR, Darmstadt, Germany

Microscope axioskop 2 Karl-Zeiss, Oberkochen, Germany

Microscope eclipse TS100 Nikon, Düsseldorf, Germany

Microwave Bosch, Stuttgart, Germany

Multipipettor Eppendorf, Hamburg, Germany

pH-Meter CG840 Schott- Ger äte, Hofeim, Germany

Pipette (0.5–10μl, 10–100μl, Eppendorf, Hamburg, Germany

 $100-1000\mu l$)

Pipettor (0.1–200 ml) Hirschmann, Eberstadt, Germany

Refrigerator Bosch, Stuttgart, Germany

Rotation microtom RM2125RT Leica, Solms, Germany

Shaker grant-bio PMR-30 VWR, Darmstadt, Germany

Shaker gyro-rocker SSL3 Sigma-Aldrich, Taufkirchen, Germany

Shaker polymax 1040 Heidolph, Schwabach, Germany

Spectrophotometer microplate reader Bio-Tek, Bovenden, Germany

EL808

TFT LCD color monitor Kisker-biotech, Steinfurt, Germany

Thermocycler mastercycler grandient Eppendorf, Hamburg, Germany

UV-plate Herolab, Wiesloch, Germany

UV/Vis photometer biophotometer Eppendorf, Hamburg, Germany

Vortex 2 genie VWR, Darmstadt, Germany
Water bath JR5 VWR, Darmstadt, Germany

Weight BP-3105 Sartorius, Göttingen, Germany

2.1.4 Primers for RT-PCR

ER α (NM_000125.3) 153 bp, annealing temperature, 60°C

Sense 5'-AATgATTCTATAATgCCATCATgCAgC-3'

Anti-sense 5'- gCTTggTTAAACATATCTgCAAggTTAC-3'

MTA3 (NM_020744) 327 bp, annealing temperature, 58°C

Sense 5'-TgAggCTgAggAggAggC-3'

Anti-sense 5'-CTTCTATCCTTCTTATTAggTATgggTTgC-3'

Snail (NM_005985.2) 228 bp, annealing temperature, 56°C

Sense 5'-gAggCggTggCAgACTAg-3'

Anti-sense 5'-gACACATCggTCAgACCAg-3'

E-cadherin (NM_004360.3) 421bp, annealing temperature, 56°C

Sense 5'-ATTCTgATTCTgCTgCTCTTg-3'

Anti-sense 5'-AgTAgTCATAgTCCggTCTT-3'

The primers were designed with the computer program PRIMER3 and established in the surgical research laboratory, Charité—CBF, Berlin, Germany. The primers were purchased from TIB-Molbiol, Berlin, Germany.

2.1.5 Antibodies

Primary antibodies

| $ER\alpha$ | Rabbit polyclonal | Ab-17, RB-1521-P, Thermo Scientific, |
|------------|-------------------|--|
| | | Schwerte, Germany |
| MTA 3 | Rabbit polyclonal | A300-160A, Bethyl, Hamburg, Germany |
| Snail | Rabbit polyclonal | H-130, sc-28199, Santa Cruz, Heidelberg, |
| | | Germany |
| E-cadherin | Rabbit polyclonal | H-108, sc-7870, Santa Cruz, Heidelberg |
| | | Germany |
| PCNA | Rabbit polyclonal | FL-261, sc-7907, Santa Cruz, Heidelberg, |
| | | Germany |
| β-actin | Mouse monoclonal | A-5441, Thermo Scientific, Schwerte, |
| | | Germany |

Secondary antibodies for IHC $\,$

| Biotinylated goat anti-rabbit IgG | sc-2040, Santa Cruz, Heidelberg, Germany |
|-------------------------------------|--|
| Goat anti-rabbit IgG-TR (conjugated | sc-2780, Santa Cruz, Heidelberg, Germany |
| to Texas Red) | |

Secondary antibodies for western blotting

| Peroxidase-conjugated anti-rabbit IgG | A-2545, Sigma, Taufkirchen, Germany |
|---------------------------------------|-------------------------------------|
| Peroxidase-conjugated anti-mouse IgG | A-3526, Sigma, Taufkirchen, Germany |

2.1.6 Cell culture media

| ASPC-1/ Capan-1/MCF-7 | |
|---------------------------------|--------|
| RPMI 1640 | 500 ml |
| FCS (10%) | 50 ml |
| Penicillin/ streptomycin (100X) | 5 ml |
| Amphotericin B (250 μg/ml) | 0.5 ml |

| HPAF-2 | |
|---------------------------------|--------|
| MEM | 500 ml |
| FCS (10%) | 50 ml |
| Penicillin/ streptomycin (100X) | 5 ml |
| Amphotericin B (250 μg/ml) | 0.5 ml |

| MiaPaCa-2 | |
|---------------------------------|---------|
| DMEM | 500 ml |
| FCS (10%) | 50 ml |
| Horse serum | 12.5 ml |
| Penicillin/ streptomycin (100X) | 5 ml |
| Amphotericin B (250 μg/ml) | 0.5 ml |

| PANC-1 | |
|---------------------------------|--------|
| DMEM | 500 ml |
| FCS (10%) | 50 ml |
| Penicillin/ streptomycin (100X) | 5 ml |
| Amphotericin B (250 μg/ml) | 0.5 ml |

2.1.7 Solutions

2.1.7.1 Commonly used solutions

| TBS | in dd water (5000 ml) |
|---------------------------|-----------------------|
| Trizma base | 30.5 g |
| NaCL | 44 g |
| Adjust to pH 7.6 with HCL | |

| 0.1% TBST | in TBS (5000 ml) |
|-----------|------------------|
| Tween 20 | 5 ml |

| 0.5M EDTA pH 8.0 | in dd water (500 ml) |
|----------------------------|----------------------|
| EDTA | 73.05 g |
| Adjust to pH 8.0 with NaOH | |

2.1.7.2 Solutions for immunohistochemistry

| 1% gelatin | in dd water (100 ml) |
|---------------------------|----------------------|
| gelatin | 1 g |
| Sterilized by autoclaving | |

| PBS (0.2M) | in dd water (5000 ml) |
|---|-----------------------|
| NaH ₂ PO ₄ H ₂ O (0.2M) (27.6g/1000ml dd | 28.75 ml |
| water) | |
| Na ₂ HPO ₄ 2H ₂ O (0.2M) (35.6g/1000ml | 96.2 ml |
| dd water) | |
| NaCL | 22.4 g |
| Adjust pH to 7.4 with HCL | |

| 0.1% PBST | in PBS (5000 ml) |
|-----------|------------------|
| Tween 20 | 5 ml |

| 0.01% EDTA pH 8.0 | in dd water (500ml) |
|-------------------|---------------------|
| 0.5 M EDTA pH 8.0 | 340 μ1 |

| 1% H ₂ O ₂ | in dd water (200 ml) |
|----------------------------------|----------------------|
| $H_2O_2(30\%)$ | 7 ml |

| 4% paraformaldehyde (pH 7.4) | in PBS (100 ml) |
|-------------------------------------|-----------------|
| paraformaldehyde | 4 g |
| Store at -20°C | |

| 0.5% Triton x-100 | in PBS (100 ml) |
|-------------------|-----------------|
| Triton x-100 | 0.5 g |

2.1.7.3 Solutions for western blotting

| Lysis buffer III for protein extraction | in dd water (100 ml) |
|---|----------------------|
| 1M TRIS-HCL pH 6.8 | 1 ml |
| 0.5 M EDTA pH 8.0 | 0.4 ml |
| 0.5 M NaCL | 3 ml |
| Brij 96 (10%) | 8.75 ml |
| Phenylmethylsulfonyl fluoride (10%) | 1.25 ml |

| Running buffer (5x) | in dd water (1000 ml) |
|---------------------|-----------------------|
| Trizma base | 15 g |
| Glycine | 72 g |
| SDS | 5 g |

| Transfer buffer | in dd water (1000 ml) |
|-----------------|-----------------------|
| Trizma base | 3.03 g |
| Glycine | 14.4 g |
| Methanol (99%) | 200 ml |

| Sample buffer (5x) | (8ml) |
|------------------------|---------|
| 0.5 M TRIS-HCL pH 6.8 | 1.25 ml |
| 10% SDS | 2 ml |
| Glycerol | 2 ml |
| Mercaptoethanol | 0.5 ml |
| Bromphenol blue (0.5%) | 0.5 ml |
| dd water | 1.75 ml |

| 0.5 M Tris-HCL pH 6.8 | in dd water (100 ml) |
|---------------------------|----------------------|
| Trizma base | 6 g |
| Adjust pH to 6.8 with HCL | |

| 1.5 M Tris-HCL pH 8.8 | in dd water (100 ml) |
|---------------------------|----------------------|
| Trizma base | 18.15 g |
| Adjust pH to 8.8 with HCL | |

| 10% Separating Gel | 2 mini gels (10ml) |
|-----------------------|--------------------|
| dd water | 4.9 ml |
| Acrylamide (40) | 2.5 ml |
| 1.5 M Tris-HCL pH 8.8 | 2.4 ml |
| 10% SDS | 0.1 ml |
| 10% APS | 0.1 ml |
| TEMED | 5 µl |

| 5% BSA-NaAzid | In PBS 500ml |
|---------------|--------------|
| BSA | 25 g |
| NaAzid | 0.5 g |

| 5% Stacking Gel | 2 mini gels (5ml) |
|------------------------|-------------------|
| dd water | 3.145 ml |
| Acrylamide (40) | 0.5 ml |
| 0.5 M Tris-HCL pH: 6.8 | 1.25 ml |
| 10% SDS | 0.05 ml |
| 10% APS | 0.05 ml |
| TEMED | 5 μl |

2.1.7.4 Solutions for RT-PCR

| 50x TAE buffer | in dd water (1000 ml) |
|---------------------|-----------------------|
| Trizma base | 242 g |
| Glacial acetic acid | 57.1 ml |
| 0.5 M EDTA pH 8 | 100 ml |

| DNA-loading buffer (6x) | in dd water (10 ml) |
|-------------------------|---------------------|
| Bromphenol blue | 0.025 g |
| Xylene cyanol F.F | 0.025 g |
| Ficoll 400 | 1.5 g |

| Agarose gel (1%) | |
|-----------------------|-------|
| TAE (1x) | 50 ml |
| Agarose | 5 g |
| Ethidium bromide (1%) | 5 μΙ |

The agarose was dissolved in TAE with heat treatment (microwave), then ethidium bromide was added and filled in a plastic frame. After cooling down to RT, the gel was ready for loading DNA samples and electrophoresis.

2.1.8 Clinical data of pancreatic cancer patients

26 tissue samples of pancreatic ductal adenocarcinoma were collected at the Institute of Pathology, Charité School of Medicine, Campus Benjamin Franklin (Berlin, Germany). Patients with primary pancreatic adenocarcinoma, who underwent surgery without prior chemotherapy, were enrolled in this study. 15 patients were male and 11 were female. Patients' age ranged from 48–80 years and the median age was 66 years. The TNM stage of the patients according to UICC 1997 (TNM Classification of Malignant Tumors, 5th edition, UICC) and the pathological grading were listed in table 1. All pancreatic carcinoma tissues obtained were fixed in 4% formalin and embedded in paraffin.

Table 1: Clinical and pathological data of pancreatic ductal adenocarcinoma patients

| | Cases (n = 26) |
|----------------------|------------------|
| Gender | |
| Male | 15 |
| Female | 11 |
| Age median (range) | 66 (48–80) Years |
| | |
| TNM Stage | |
| I | 2 |
| II | 8 |
| III | 16 |
| | |
| Pathological grading | |
| Moderate | 14 |
| Moderate to poor | 6 |
| Poor | 6 |

2.1.9 Cell lines

The human pancreatic cancer cell lines ASPC-1 (poorly to moderately differentiated), Capan-1 (well differentiated), HPAF-2 (moderately differentiated), MiaPaCa-2 (undifferentiated) and PANC-1 (poorly differentiated), as well as the human breast adenocarcinoma cell line MCF-7 were obtained from the ECACC (Salisbury, United Kingdom) and the DSMZ (Braunschweig, Germany).

2.2 Methods

2.2.1 Cell culture and preparation of cells for IHC

The cells were incubated in the medium mentioned above (2.1.6) in an incubator with humidified air containing 5% CO₂ at 37°C. The medium was changed thrice weekly and the cells were maintained by serial passaging after trypsinization with 0.1% trypsin-EDTA

in PBS.

In order to grow human pancreatic cancer cells on cover-slips for IHC, the glass slips were sterilized by autoclaving and separately set into a 6 well cell culture plate. The cover slips were pre-incubated in 2 ml of a 1% gelatin solution in dd water in an incubator at 37°C for 30 min. After removing the remaining gelatin solution, the human pancreatic cancer cells were added into the 6 well cell culture plate and seeded overnight in an incubator with humidified air containing 5% CO₂ at 37°C. The next day, the cells were used for IHC.

2.2.2 Orthotopic nude mouse model for pancreatic cancer

A previously established nude mouse model for human pancreatic cancer was applied for in vivo studies [58]. 10⁵–10⁶ cells of each human pancreatic cancer cell line (ASPC-1, Capan-1, HPAF-2, MiaPaCa-2 or PANC-1) were injected subcutaneously into the flanks of nude mice. The subcutaneous tumors were harvested 3-4 weeks later when the largest diameter of the tumor had reached 1cm, and minced into small fragments of 1 mm³ by a scalpel. Only vital tumor tissue from the outer part of the donor tumor was used for orthotopic implantation. Tumor recipient nude mice were anesthetized, laparotomized with a middle incision and two donor tumor fragments were placed in the parenchyma of the pancreatic tail. The mice were monitored daily for clinical conditions and sacrificed 14 weeks after orthotopic tumor implantation. After obduction of the mice, the primary tumors were harvested, fixed in 4% formalin, and embedded in paraffin. Three-micrometer-thick tissue sections were prepared and stained with hematoxylin and eosin to analyze the tumor structures.

2.2.3 Immunohistochemistry

2.2.3.1 IHC on paraffin-embedded tissues

Three-micrometer-thick sections from human PDAC as well as from orthotopic grown tumors were prepared by using a rotation microtom. The paraffin-embedded sections were deparaffinized with xylol (3 x 5 min), rehydrated in 99% ethanol (2 x 5 min), 96% ethanol (5 min), 70% ethanol (5 min), and rinsed in dd water. Antigen retrieval was performed with

heat treatment (900W, 5 min; 180W, 5 min) in 0.01% EDTA (pH 8.0). The slides were cooled down at room temperature for 25 min followed by rinsing with dd water. To block endogenous peroxidase the tissue sections were treated with 1% hydrogen peroxide for 25 min and rinsed in dd water and PBST for 5 min each. Nonspecific antigens were masked by incubating the tissue sections in 1 x Power Block for 5 min at room temperature. The Primary antibodies (2.1.5) were diluted in antibody dilution buffer (ERa 1:75, MTA3 1:400, Snail 1:50, E-cadherin 1:100, PCNA 1:75) and applied to the tissue sections for 30 min at 37 °C. As negative control, sections were incubated with the antibody dilution buffer instead of the primary antibody. After washing with PBST (3 x 5 min) the tissue sections were incubated with biotinylated secondary antibodies (2.1.5) diluted in antibody dilution buffer (1:200) for 30 min at 37 °C. Repeated washing with PBST (3 x 5 min), the tissue sections were finally incubated with peroxidase conjugated avidin complex for 30 min at 37 °C. After rinsing in TBS (3 x 5 min), a brown color reaction was induced with DAB. The sections were then counterstained with Mayer's hematoxylin and dehydrated with upgraded ethanol (70%, 96% and 99%) for 2 min each. After immersion in xylol for 2 x 5 min, the tissue sections were mounted with Histokitt-II, and analyzed using a standard light microscope.

2.2.3.2 IHC on pancreatic cancer cell lines

After growing overnight on cover-slips, the human pancreatic cancer cells as well as the human breast cancer cells were washed with PBS and fixed in 4% paraformaldehyde in PBS for 15 min at room temperature. After washing with PBST (3 x 5min), the cells were incubated in 0.5% Triton X-100 in PBS for 5 min to enhance the permeabilization of the plasma membrane. Then the cells were treated in the same way as the paraffin-embedded tissue with the primary antibodies (ERα, MTA3, Snail and E-cadherin), secondary antibodies and peroxidase-conjugated avidin complex. After the immunoreaction with DAB, the sections were then counterstained with Mayer's hematoxylin and finally mounted with Aquatex.

For immunofluorescence staining, the same procedure as mentioned above was used, but the secondary antibody was a Texas red-conjugated goat anti-rabbit IgG-TR (1:200) and was applied to the slides for 1 hour at room temperature in the dark. After washing with PBS, the cells were counterstained with DAPI (1:10000) for 10 min covered from light.

Followed by washing with PBS again, finally, the slides were mounted with Fluoromount G and stored at 4°C in the dark.

2.2.3.3 Evaluation of immunohistochemical staining results

Immunohistochemical staining intensity was evaluated by using a semiquantitative method described by Stierer et al [59]. The slides were viewed under a light microscope at HPF (high power field) and 400× magnification. 3 HPF of 3 individual slides were evaluated. Stain intensity (SI): graded as, no staining, 0; weak staining, 1; moderate staining, 2; and strong staining, 3 (Figure 2). Percentage of positive cells (PP) was estimated from 0 to 4: 0 corresponded to <1% positive pancreatic cancer cells, 1, to 1%−10% positive pancreatic cancer cells, 2, to 11%−50% positive pancreatic cancer cells, 3, to 51%−80%, and 4 corresponded to >81% positive pancreatic cancer cells. The immunohistochemical reaction score (IRS) was calculated as follows: IRS=SI x PP. IRS≤ 1 was defined as negative and IRS>1 was defined as positive in the human tissues [59].

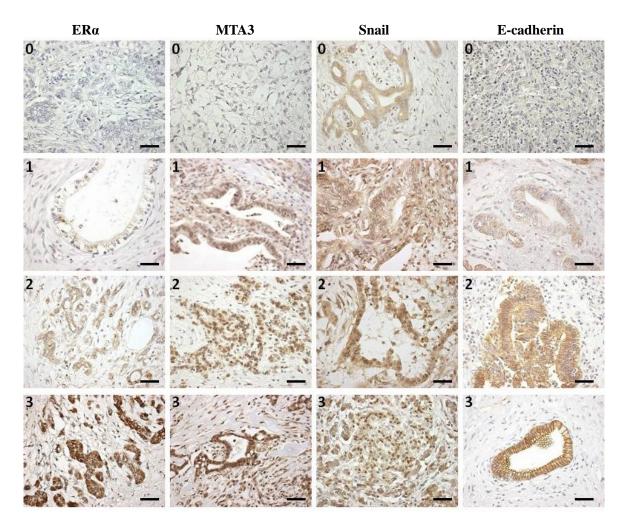


Figure 2: Immunohistochemical staining for ERα, MTA3, Snail and E-cadherin in human primary PDAC tissues. Representative images for the following stainings of FFPE samples (3 μm) with peroxidase-coupled rabbit specific secondary antibodies: (ERα), cytoplasmatic staining of ERα by rabbit-derived polyclonal anti-human ERα.; (MTA3), nuclear and cytoplasmatic staining of MTA3 by rabbit-derived polyclonal anti-human MTA3. (Snail), nuclear and cytoplasmatic staining of Snail by rabbit-derived polyclonal anti-human Snail. (E-cadherin) E-cadherin in the cell membranes by rabbit-derived polyclonal anti-human E-cadherin. (0 negative, 1 weak staining, 2 moderate staining, 3 strong staining). (scale bars: 250 μm, original magnification: 400x)

2.2.4 Western blot analysis

2.2.4.1 Protein isolation

Human pancreatic cancer cells cultured in cell culture flasks were washed twice with ice-cold PBS, harvested using a cell scraper, and centrifuged at 1000 rpm for 5 min at room temperature. After repeated washing with ice-cold PBS, the cell pellets were finally resuspended in protein lysis buffer containing 1x protease inhibitor cocktail. The lysates

were incubated on ice on a rotating plate, for 15 min, vortexed, and then centrifuged at 10000 x g for 15 min at 4°C . The supernatant (protein solution) was collected and stored at -20°C .

2.2.4.2 Determination of protein concentration

Protein concentrations were determined using a BCA assay kit. A negative control as well as serial of BSA solutions diluted in dd water (0.31 µg/ml, 0.62 µg/ml, 12.5 µg/ml, 25 µg/ml, 50 µg/ml) were used as standard samples to prepare a calibration curve. Protein samples were diluted 1:200 in dd water and 100µl of each solution (protein samples and BSA solutions) was added to a 96-well plate. The reagent mixture for the protein assay was prepared by diluting 50 parts of reagent A and 1 part reagent B. 100µl reagent mixture was added to each well. All probes were run in duplicates. The samples were incubated at 60°C for 1 hour. In the presence of protein, the light green reagent mixture was changing to purple. The absorptions were estimated at 550 nm using a spectrophotometer. The concentrations of the proteins were calculated after interpolated the absorptions to the pre-established linear regression line through the standard points.

2.2.4.3 Electrophoresis and Immunoreactions

Electrophoresis was performed using 10% SDS-PAGE gels. A 10% separating gel (1 mm thickness) and a 5% stacking gel were prepared as mentioned above (2.1.7.3). Using a gel cast chamber, the separating gel was casted first, covered with 70% ethanol and kept at room temperature for 30 min to allow polymerization. The ethanol was then discarded and the stacking gel was casted above the separating gel. A 10-well-comb was inserted into the stacking gel solution to cast wells for loading the protein samples. 50 µg protein including 6x loading buffer were denatured at 95°C for 5 min and placed on ice immediately to avoid renaturation of the proteins. After a slight spin down (3000 rpm x 30 seconds) at room temperature, the samples were loaded on the gel, 3µl of a protein marker (10–250 kDa) was used for estimating the size of the proteins. The electrophoresis was run at 100V in 1x running buffer for about 2 hours, until the blue loading buffer had almost reached the bottom of the gel. A PVDF membrane was used for blotting the protein. After activating the PVDF membrane in 99% methanol for 3-5 seconds and 5 min equilibration in transfer

buffer, a "sandwich blot" was built as described in Figure 3.

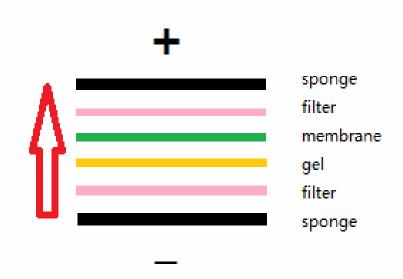


Figure 3: The "sandwich blot" for protein samples blotted electrophoretically to a PVDF membrane. "+" referred to the positive electrode, "-" represented the negative electrode. After building the electrophoretical field the proteins are moving from the "-" to the "+" field as the red arrow indicates, and were finally stopped by the PVDF membrane.

The proteins were transferred to the PVDF membrane by 1 hour electrophoretic transfer at 100V in ice cold transfer buffer. The transfer was accomplished in an ice-cooled chamber on a magnetic stirrer to decrease lateral diffusion of protein due to warming of the buffer. To estimate the protein transferred to the membrane after blotting, the pre-stained marker was used to make sure that every band had transferred clearly and completely. For further testing, the gel was stained with coomassie for 5 minutes to detect proteins which were still remaining in the gel. The membrane was now ready for the immunoreactions. To block non-specific antigens, the membranes were incubated with 3% nonfat dry milk in TBS for 2 hours at room temperature on a rotating plate. The primary antibodies (2.1.5) were diluted in 5% BSA-NaAzid in TBS (ERα 1:200, MTA3 1:500, Snail 1:200, E-cadherin 1:500) and the membranes were exposed to the primary antibody at 4°C overnight on a rotating plate. After repeated washing with TBST (3 x 15 min), the membranes were incubated for 2 hours with peroxidase-linked secondary antibody (2.1.5) in 1% lumi-light blocking reagent in TBS (1:1000) at room temperature on a rotating plate. Finally after repeated washing with TBST (3 x 15 min), the membranes were exposed to SuperSignal West Pico Stable Solution and SuperSignal West Pico Luminol/Enhancer Solution(1:1) for 5 min to activate the chemiluminescence signal. The membrane was applied to a luminescence imaging system and the signals were visualized on a computer.

2.2.5 Reverse transcription and polymerase chain reaction

2.2.5.1 RNA isolation and determination of RNA concentration

Total cellular RNA was extracted from cells using the NucleoSpin RNA II kit according to the manufacturer's instructions. The human pancreatic and breast cancer cells were washed twice with ice-cold PBS and harvested after trypsinization with 0.1% trypsin-EDTA in PBS. After centrifugation at 1000 rpm for 5 min at room temperature, the cell pellet was resuspended in lysis buffer solution (350 μ l lysis buffer RP1 + 3.5 μ l β -ME). After clearing the lysates by filtrating using NucleoSpin® filter columns and precipitating the ions by mixing the lysates with 350 μ l 70% ethanol, the RNA was bound on a silica membrane provided with the RNA extraction kit. The membrane was then desalted by MDB and incubated with 95 μ l rDNase reaction mixtures (10 μ l rDNase + 90 μ l reaction buffer) for 15 min at room temperature to digest remaining DNA.. After washing with 200 μ l washing buffer RA2, 600 μ l and 250 μ l RA3, the RNA was finally eluted in a suitable volume of RNase-free water in a reaction tube and stored at -80°C. RNA concentration was determined using a BioPhotometer. 1 μ l RNA was diluted in 99 μ l DMPC water, and at an absorption of 260/280 nm, the RNA concentration could be calculated.

2.2.5.2 Reverse transcription from RNA to cDNA and PCR conditions

Samples containing 2 μg RNA and DMPC water in a total volume of 16μl were incubated at 70°C for 5 min. 1 μl oligo (dT), 1 μl M-MLV-RT, 1 μl dNTP (dATP, dCTP, dGTP and dTTP) and 5 μl 5 x reaction buffer were added to each sample and the samples were incubated in a Thermocycler at 37°C for 1 hour for cDNA synthesis and at 70°C for 15 min to denature reverse transcriptase. The cDNA were then stored at -20 °C.

First-strand cDNA was amplified with transcript-specific oligonucleotides (ER α , Snail, MTA3 and E-cadherin listed in 2.1.4) using 2x DreamTaq master mixture containing 0.05U/ μ l Taq DNA polymerase, dNTP 0.4 mM each and 4 mM MgCl2. The primers (10 pmol/ μ l) for the respective genes were already established (2.1.4) and the reaction mixtures were prepared as described in Table 2:

Table 2: Reaction mixture of RT-PCR

| | Volume |
|---------------------------------------|-------------|
| cDNA | 2 μl |
| Primer sense | 1 μl |
| Primer antisense | 1 μl |
| PCR reaction Mixture (Dream Taq) (2X) | $12.5\mu l$ |
| DMPC H ₂ O | 9.5 µl |
| Total volume | 25 µl |

The PCR program was accomplished as described in Table 3, First, the samples were incubated at 93 °C for 1 min for initial denaturation to separate the DNA strands, then 36 reaction cycles including denaturation, annealing, and extention phase were proceeded. The oligonucleotides anneal to the DNA strands when the temperature decreased and the Taq polymerase finally synthesized the complementary strands using dNTP in the extension phase at 72° C. The annealing temperature was calculated according to specify the different primers (2.1.4).

Table 3: PCR conditions

| Stage | Temperature | time |
|---|---|------------|
| 1. Initial denaturation | 93°C | 3 min |
| 2. Denaturation | 93°C | 1 min |
| 3. Annealing | $56^{\circ}\text{C}-60^{\circ}\text{C}$ | 1 min |
| 4. Extension | 72°C | 40 seconds |
| 5. Return to 2. and repeat, for another 35 cycles | | |
| 6. Final extension | 72°C | 10 min |
| 7. Storage | 4°C | |

A 1% agarose gel in TAE containing 0.1% ethidium bromide was used for separating the DNA fragments electrophoretically. $5\,\mu$ l 6x loading buffer was added to (how much) each sample and a 1 kb DNA molecular weight marker was used to determine the length of the DNA fragments. After electrophoresing at 80V for about half an hour, the DNA fragments were visualized and photographed under UV light and photographed with a digital camera.

2.2.6 Statistic analysis

The Mann-Whitney U test (group=2) or (sprcify) Kruskal-wallis test (group=3) was used to compare the means of the different IRS. The non-parametric Spearman correlation coefficient test was used to evaluate correlations between MTA3, Snail and E-cadherin (SPSS 13.0). Statistic significance was assumed at p<0.05.

3 Results

3.1 Localization of molecules of the ERα signaling pathway and proliferation in primary human PDAC tissues *in-situ*

3.1.1 ERα

Addressing the potential relevance of ER α -mediated effects in pancreatic cancer, expression patterns for ER α , related signaling molecules as well as local proliferation of cancer cells were examined by immunohistochemistry in 26 human PDAC tissue samples obtained from patients with primary human pancreatic cancer.

All PDAC tissues were characterized by abnormal glandular structures embedded in desmoplastic stroma. Well differentiated PDAC comprised large duct-like structures with low mitotic activity as defined by large round to ovoid nuclei with sharp nuclear membranes. Moderately differentiated PDAC showed a mixture of medium-sized duct-like and tubular structures of variable shapes as well as marked mitosis also with regard to greater variation in nuclear size and chromatin structure than that observed in well differentiated PDAC. Poorly differentiated PDAC were composed of small irregular glands as well as solid tumor cell sheets and nests, while no acinar tissues or duct-like structures were found. The neoplastic cells of poorly differentiated PDAC showed marked pleomorphism and considerable mitotic activity. [60]

Specific immunohistochemistry identified the ER α in both the nucleus and in the cytoplasm of cancer cells (Figure 4). As for the typical picture in human PDAC tissues, ER α staining was detected in the cytoplasm in 96% (25/26) of the cases (Figure 4A). Only in the samples of one male patient, ER α was found in the nucleus (Figure 4B). Intensity and localization of immunostained ER α did not depend on the patient's gender, differentiation status of the PDAC, disease stages or nodal status (Table 4). However, ER α was mostly observed in the cytoplasm of well differentiated duct-like structures in human PDAC tissues, while only a weak immunoreaction was detected in surrounding cancerous stroma cells. In noncancerous pancreatic tissues, acinar, duct-like cells and islet cells showed ER α expression that was stronger than that observed in the cancerous areas of the same tissue sample (Figure 4C). These results indicated that ER α existed in human PDAC tissues as well as in noncancerous pancreatic tissues.

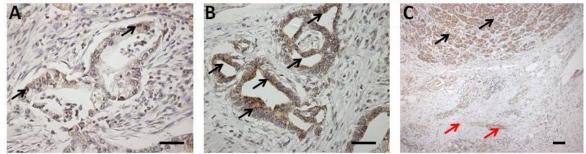


Figure 4: Immunohistochemical staining for ERα in human primary PDAC tissues. Formalin-fixed paraffin-embedded (FFPE) samples (3 μm) of human PDAC tissue were stained with rabbit-derived polyclonal anti-human ERα. Primary antibody binding was detected using a peroxidase-coupled rabbit specific secondary antibody. ERα⁺ cells appear in brown staining (arrows). Representative images show (A) cytoplasmatic (25/26) and (B) nuclear localization of ERα (1/26) (scale bars: 250 μm, original magnification: 400x). (C) Comparison of cytoplasmatic expression in noncancerous (black arrows) and cancerous areas of pancreatic tissues (red arrows). (scale bars: 250 μm, original magnification: 200x)

3.1.2 MTA3, Snail and E-cadherin

Having demonstrated that $ER\alpha$ was present in human PDAC tissue, these tissues were also tested for the downstream molecules MTA3, Snail and E-cadherin (Figure 5).

MTA3 was mostly found in the nucleus as well as in the cytoplasm of those duct-like pancreatic cancer cells in human PDAC tissue, where immunohistochemical staining also detected cytoplasmatic ERα (Figure 5). In 50% (13/26) of all human PDAC tissues, MTA3 was discovered in the nucleus of human PDAC cells, mostly located in the well differentiated duct-like structures with expression of ERα. While 64% (9/14) of the patients with moderately differentiated PDAC showed positive MTA3 staining, only 33% (4/12) of moderately to poorly differentiated or poorly differentiated PDAC comprised MTA3⁺ cells. MTA3 expression in lymph node-negative patients (60%; 6/10) was higher than that found in lymph node-positive patients (44%; 7/16). However, when compared with IRS (immunohistochemical reaction score) or PP (percentage of positive cells), no significant correlation was found between the expression of MTA3 and the PDAC differentiation status, UICC stages or nodal status (Table 4).

Snail was found in the nucleus and cytoplasm of duct-like pancreatic cancer cells in 77% (20/26) of all human PDAC tissues (Figure 5). Poorly differentiated PDAC tissues showed more Snail (100%; 6/6) than moderately (64%; 9/14) or moderately to poorly differentiated PDAC tissues (83%; 5/6). Again, these differences did not reach significance when compared with IRS or PP, and no correlation was found between Snail and UICC or nodal status (Table 4).

E-cadherin expression was detected in well differentiated duct-like structures of 77% (20/26) of all of the human PDAC tissues with variable degrees of membrane staining (Figure 5). Expression of E-cadherin was stronger with advanced tumor differentiation, i.e., it was found in 93% (13/14) of moderately differentiated, 100% (6/6) of moderately to poorly differentiated and only 17% (1/6) of poorly differentiated human PDAC tissues. The IRS (p=0.018) and PP (p=0.010) reached significance among these three groups (Table 4). No difference was found in the correlation between E-cadherin expression and UICC stages or lymph node metastasis status (Table 4).

As shown here, MTA3, Snail and E-cadherin were determined in human PDAC tissues.

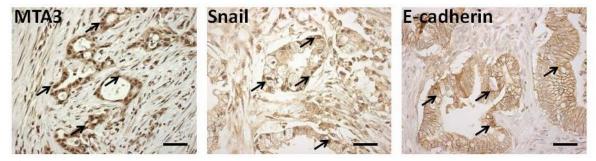


Figure 5: Immunohistochemical staining for MTA3, Snail and E-cadherin in human primary PDAC tissues. Representative images for the following staining of FFPE samples (3 μm) with peroxidase-coupled rabbit specific secondary antibodies: (A) Nuclear MTA3 by rabbit-derived polyclonal anti-human MTA3 (13/26). (B) Nuclear Snail by rabbit-derived polyclonal anti-human Snail (20/26). (C) E-cadherin in the cell membranes by rabbit-derived polyclonal anti-human E-cadherin (20/26). Arrows mark positive cells. (scale bars: 250 μm, original magnification: 400x)

Table 4: Correlation between expression of ERα (cytoplasm), MTA3, Snail or E-cadherin determined as IRS or PP to UICC (I-III), PDAC differentiation (moderately [M], moderately to poorly [M to P] and poorly differentiated [P]) or lymph node metastasis stages (N(0-1)). Statistical comparisons were done using the Kruskal-Wallis test for independent samples. (*:p<0.05) (IRS: immunohistochemical reaction score, PP: percentage of positive cells)

| | | $ER\alpha$ | | MTA3 | | Snail | | E-cadherin | |
|-----------------|---------------------------|------------|-------|-------|-------|-------|-------|------------|--------|
| | Stage (n) | PP | IRS | PP | IRS | PP | IRS | PP | IRS |
| UICC | I: 2, II:8, III: 16 | 0.798 | 0.608 | 0.442 | 0.935 | 0.769 | 0.301 | 0.702 | 0.800 |
| Lymph node | N(0): 10, N(1): 16 | 0.901 | 0.365 | 0.331 | 0.722 | 0.470 | 0.253 | 0.529 | 0.573 |
| Differentiation | M: 14, M to P: 6, P: 6 | 0.237 | 0.402 | 0.583 | 0.376 | 0.429 | 0.232 | 0.010* | 0.018* |

3.1.3 Correlation of ERa, MTA3, Snail and E-cadherin in human PDAC

With the presence of ER α , MTA3, Snail and E-cadherin in human PDAC tissues, the connection among these factors was further analyzed *in situ*.

Immunostaining of ER α in cytoplasm correlated with MTA3, Snail and E-cadherin in the area of duct-like structures of human PDAC tissues. In addition, as shown in a series of consecutive sections, a limited number of PDAC cells with nuclear ER α in duct-like structures with nuclear staining of MTA3 had no Snail in the nucleus in conjunction with strong membrane immunoreactions for E-cadherin (Figure 6).

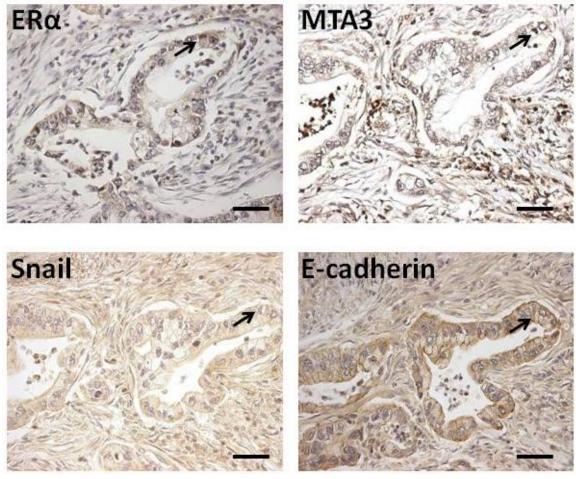


Figure 6:Immunohistochemical staining for ERα, MTA3, Snail and E-cadherin in consecutive sections (3 μm) from human primary PDAC tissues. Representative images (1/26) for the following staining of FFPE samples with peroxidase-coupled rabbit specific secondary antibodies: ERα by rabbit-derived polyclonal anti-human ERα, MTA3by rabbit-derived polyclonal anti-human MTA3, Snail by rabbit-derived polyclonal anti-human Snail. E-cadherin by rabbit-derived polyclonal

anti-human E-cadherin. Arrows mark positive cells. (scale bars: 250 µm, original magnification: 400x)

3.1.4 *In-situ* proliferation

To determine the *in-situ* proliferation profile of human PDAC tissues, proliferating cells were detected via PCNA by immunohistochemistry. Nuclear PCNA was found in all examined patients (26/26) (Figure 7). 96% (25/26) of all cases of human PDAC tissues had ERα in cytoplasm and 50% (13/26) of all cases of human PDAC tissues had MTA3 in the nuclei. All human PDAC tissues with positive staining of ERα (25/25) and MTA3 (13/13) were in a proliferating status with nuclear expression of PCNA.

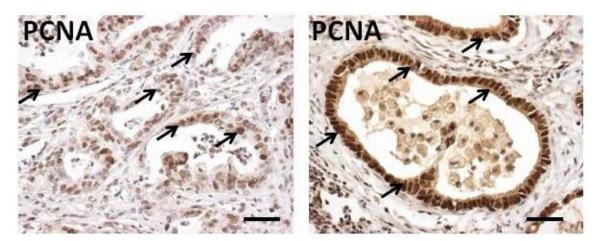


Figure 7: Immunohistochemical staining for PCNA in human primary PDAC tissues. FFPE samples (3 μm) of human PDAC tissue were stained with rabbit-derived polyclonal anti-human PCNA. Primary antibody binding was detected using a peroxidase-coupled rabbit specific secondary antibody. PCNA⁺ cells appear in brown (arrows). Representative images (26/26) showed the moderate (left) and strong (right) immunostaining of PCNA in the nuclei of human PDAC tissues (scale bars: 250 μm, original magnification: 400x)

3.2 Molecules of the ERα signaling pathway in human pancreatic cancer cell lines *in vitro*

3.2.1 Localization by immunocytochemistry/immunofluorescence

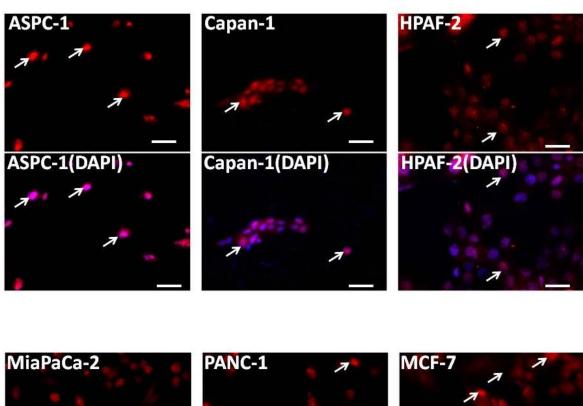
3.2.1.1 ERa

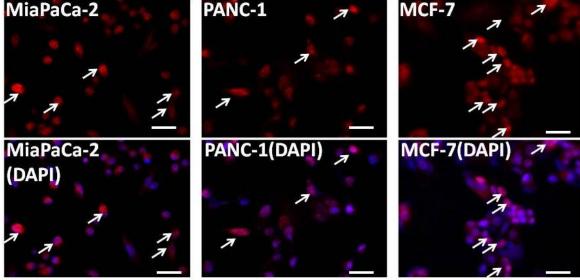
Permanent human pancreatic cancer cell lines derived from a single pancreatic cancer cell are more equal compared to human PDAC tissues composed of a panel of cancer cells. No single pancreatic cell line can represent the variety of human pancreatic cancer, however, some important differences can be detected in these cell lines. The next issue was to determine whether permanent human pancreatic cancer cell lines comprise the molecules of this $ER\alpha$ -governed signaling pathway shown in primary human PDAC tissues.

Immunohistochemistry of ERα was performed in five pancreatic cancer cell lines (ASPC-1, Capan-1, HPAF-2, MiaPaCa-2, and PANC-1) and the breast cancer cell line MCF-7. Although immunostaining was found in the nucleus and cytoplasm of pancreatic cancer cell lines, nuclear signals were too weak to be easily distinguished from the hematoxylin counterstaining (not shown).

Thus immunofluorescence staining was carried out and nuclear ER α was confirmed in all 5 pancreatic cancer cell lines as well as in the MCF-7 cell line which was used as a positive control (Figure 6). About 70% of MCF-7 cells had a strong ER α expression in the nucleus, whereas the signal in human pancreatic cancer cell lines was of lower intensity. Moderate immunostaining was observed in the nuclei of 60% of MiaPaCa-2 cells which were more frequent than those observed in PANC-1 and ASPC-1 (40%), while the more differentiated Capan-1 and HPAF-2 cell lines had only about 20% positive nuclear staining for ER α (Figure 8B).

A





B

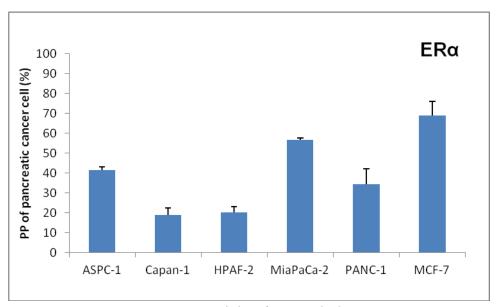
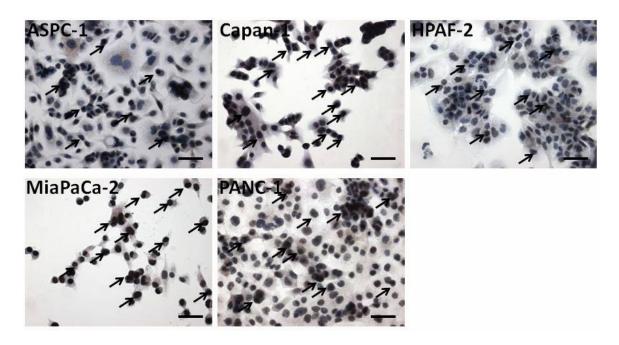


Figure 8: (A) Immunofluorescence staining for ERα in human pancreatic cancer cell lines. ASPC-1, Capan-1, HPAF-2, MiaPaCa-2, and PANC-1 cells as well as the human breast cancer cell line MCF-7 as control grown on glass slides were stained with rabbit-derived polyclonal anti-human ERα. Primary antibody binding was detected using a Texas-Red-conjugated rabbit specific secondary antibody and counterstained with DAPI. ERα⁺ cells appear in red (arrows), nuclei appear in blue. Representative images for nuclear localization of ERα in the cell lines as indicated. (scale bars: 250 μm, original magnification: 400x) (B) Mean values \pm SD of the proportion of ERα⁺ cells from 3 HPF of 3 individual slides. (PP: percentage of positive cells)

3.2.1.2 MTA3

MTA3, a downstream molecule of ERα signaling, was analyzed in pancreatic cancer cell lines (Figure 9). Specific immunoreactions for MTA3 were found in all five human pancreatic cancer cell lines, more strongly in the nucleus and more weakly in the cytoplasm (Figure 9A). About 80% of MiaPaCa-2 and Capan-1 cells had nuclear MTA3 followed by PANC-1 cells (60%), HPAF-2 and ASPC-1 cells (40%) (Figure 9B).

 \mathbf{A}



В

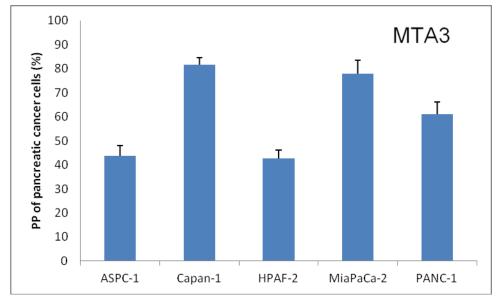
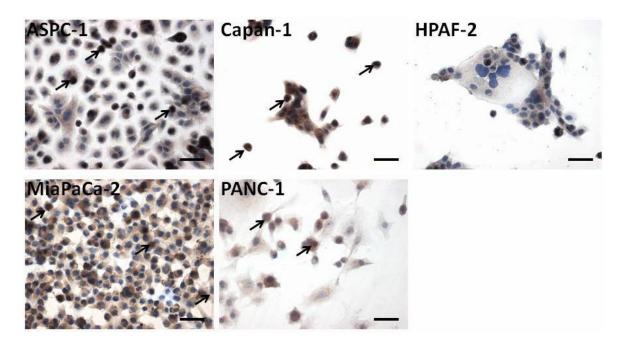


Figure 9: (A)Immunocytochemical staining for MTA3 in human pancreatic cancer cells. ASPC-1, Capan-1, HPAF-2, MiaPaCa-2 and PANC-1 grown on glass slides were stained with rabbit-derived polyclonal anti-human MTA3. Primary antibody binding was detected using a peroxidase-coupled rabbit-specific secondary antibody, developed with DAB and counterstained with hematoxylin. MTA3+ cells appeared in brown staining in the nucleus (arrows). Representative images for nuclear localization of MTA3 in the cell lines as indicated. (scale bars: 250 μm, original magnification: 400x) (B) Mean values ±SD of the proportion of MTA3⁺ cells from 3 HPF of 3 individual slides. (PP: percentage of positive cells)

3.2.1.3 Snail

ERα and MTA3 act on downstream targets like Snail and E-cadherin. The transcription factor Snail and the adhesion molecule E-cadherin are widely accepted as core regulators of EMT. The next experiment was done to investigate Snail and E-cadherin in human pancreatic cancer cell lines. Snail was detected in 4 of 5 pancreatic cancer cell lines with a moderate nuclear staining (Figure 10A). Whereas Capan-1 cells had nuclear immunoreactions in 20% of cells compared to 15% in PANC-1 cells, 10% in MiaPaCa-2 and 5% in ASPC-1 cells, no signal was found in the HPAF-2 cell line (Figure 10B).

A



B

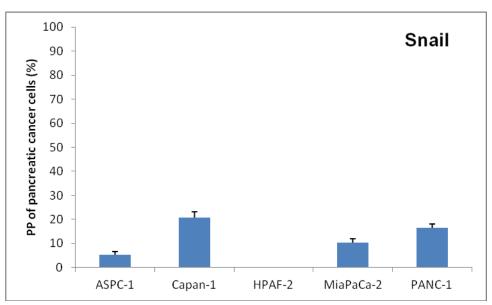


Figure 10: (A) Immunocytochemical staining for Snail in human pancreatic cancer cells. ASPC-1, Capan-1, HPAF-2, MiaPaCa-2, and PANC-1 cell lines grown on glass slides were stained with rabbit-derived polyclonal anti-human Snail. Primary antibody binding was detected using a peroxidase-coupled rabbit-specific secondary antibody, developed with DAB and counterstained with hematoxylin. Nuclei of Snail⁺ cells appeared in brown (arrows). Some HPAF-2 cells appeared to be positive because they are not at the same level with other cells. With a different focal length, the blue staining in the nuclei can be clearly identified. However, this cannot be demonstrated here, since the other cells would appear to have a positive staining. Representative images are shown for nuclear localization of Snail in cell lines as indicated. (scale bars: 250 μm, original magnification: 400x) (B) Mean values ± SD of the proportion of MTA3⁺ cells from 3 HPF of 3 individual slides. (PP: percentage of positive cells)

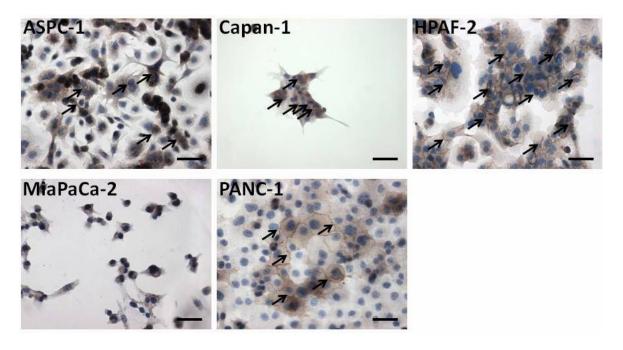
3.2.1.4 E-cadherin

E-cadherin in human pancreatic cancer cell lines was assessed by immunocytochemistry and detected in 4 of the 5 cell lines with a brown staining of intercellular borders. In HPAF-2 cells, 80% of the cells had strong membrane staining followed by Capan-1 cells (50%). ASPC-1 and PANC-1 cells showed a moderate expression in about 30% and 20% of the cancer cells, while the undifferentiated MiaPaCa-2 cell line exhibited no E-cadherin expression.

In conclusion, ERα and its downstream molecules MTA3, Snail and E-cadherin detected in the human pancreatic cancer cell lines HPAF-2, Capan-1, ASPC-1,

PANC-1 and MiaPaCa-2 represent different levels of metastatic potential.

A



В

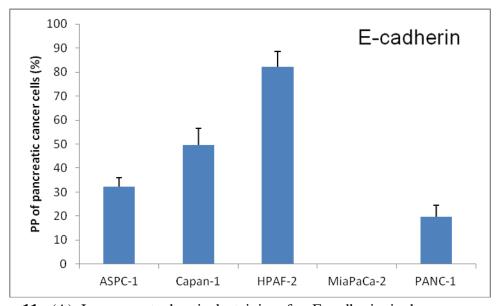


Figure 11: (A) Immunocytochemical staining for E-cadherin in human pancreatic cancer cells. ASPC-1, Capan-1, HPAF-2, MiaPaCa-2 or PANC-1 grown on glass slides were stained with rabbit-derived polyclonal anti-human E-cadherin. Primary antibody binding was detected using a peroxidase-coupled rabbit specific secondary antibody, developed with DAB and counterstained with hematoxylin. E-cadherin+ cells appeared in brown staining in the membrane (arrows). Representative images for nuclear localization of E-cadherin in the cell lines as indicated. (scale bars: 250 μm, original magnification: 400x) (B) Mean values ± SD of the proportion of

E-cadherin⁺ cells from 3 HPF of 3 individual slides. (PP: percentage of positive cells)

3.2.2 Expression of ERα, MTA3, Snail, and E-cadherin at mRNA level of pancreatic cancer cell lines

Semi-quantitative RT-PCR was used to identify the ERα-related signaling molecules shown above at the mRNA level. Again, the human breast cancer cell MCF-7 was used as control to estimate the expression levels in the human pancreatic cancer cell lines ASPC-1, Capan-1, HPAF-2, MiaPaCa-2 and PANC-1 (Figure 12).

ERα-mRNA was identified with different intensities in all pancreatic cancer cell lines. The poorly differentiated PANC-1 cell line had a strong expression followed by HPAF-2 with expression levels comparable to MCF-7 cells. MiaPaCa-2 ASPC-1 and Capan-1 cells displayed weaker expression signals.

MTA3-mRNA was found in all pancreatic cancer cell lines. The cell lines ASPC-1, Capan-1, and PANC-1 showed a strong expression comparable to the MCF-7 cell line used as positive control. Expression levels in HPAF-2 and MiaPaCa-2 cells were only slightly lower than those found in the other cell lines.

Snail-mRNA was detected in all five pancreatic cancer cell lines as well as in the MCF-7 cell line with the strongest signal in the poorly differentiated PANC-1 cells followed by the undifferentiated MiaPaCa-2 cell line and poorly differentiated ASPC-1 cell line in the range of MCF-7 cells. Well differentiated Capan-1 and moderately differentiated HPAF-2 cells showed the lowest Snail expression.

E-cadherin-mRNA was found in four of five pancreatic cancer cell lines as well as in the MCF-7 cell line. The undifferentiated human pancreatic cancer cell line MiaPaCa-2 revealed no expression of E-cadherin.

These results indicated at the mRNA level that except for MiaPaCa-2 cells, all pancreatic cancer cell lines tested expressed $ER\alpha$ and the main down-stream molecules of this EMT-related signaling pathway. Therefore these cell lines were assumed to be good models for studying $ER\alpha$ -dependent mechanisms in human PDAC.

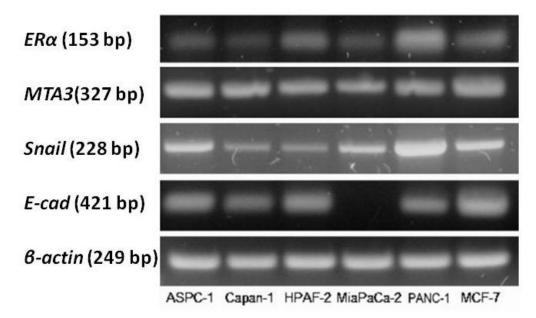


Figure 12: Semi-quantitative assessment of ERα, MTA3, Snail and E-cadherin in human pancreatic and breast cancer cell lines at the mRNA level. ASPC-1, Capan-1, HPAF-2, MiaPaCa-2, PANC-1 and MCF-7 cells were lysed and 2 μg of the total cellular RNA were reversely transcribed. First-strand cDNA was amplified with transcript-specific oligonucleotides for ERα, Snail, MTA3, and E-cadherin (E-cad). β-actin was used to verify integrity and amount of RNA. All PCR products separated by agarose gel electrophoresis were visualized under UV light. Representative images of at least 3 independent experiments with triplicate determinations.

3.2.3 Overall expression at the protein level

To strengthen the results from immunohistochemistry and from the transcriptional level, expression of these molecules in human pancreatic cancer cell lines was further checked by Western blot analysis (Figure 13).

In contrast to immunofluorescence data, no ER α protein was detected in all pancreatic cancer cell lines, while the MCF-7 cell line used for positive control displayed a strong specific signal for ER α .

MTA3 signals were generally weak, MiaPaCa-2, and PANC-1 cell lines showed even weaker signal intensity.

Snail was found in 4 of 5 pancreatic cancer cell lines as well as in MCF-7 cells. The most undifferentiated human pancreatic cancer cell line MiaPaCa-2 and the well differentiated Capan-1 cells had the strongest expression. Low and very low

expression was observed for PANC-1 and HPAF-2 cells. ASPC-1 did not express Snail.

A strong E-cadherin band intensity comparable to that of the MCF-7 cell line was detected in the well to moderately differentiated human pancreatic cancer cell lines Capan-1 and HPAF-2, while no expression was found in undifferentiated MiaPaCa-2 or poorly differentiated PANC-1 cell lines.

Expression of MTA3, Snail and E-cadherin as shown in Western blot analysis was consistent with the findings by immunocytochemistry while no ER α was detected. Comparable to immunocytochemistry, RT-PCR and western blot data from human pancreatic cancer cells, the expression of ER α and its related elements in governing EMT (MTA3, Snail and E-cadherin) were detected in the Capan-1 cell line.

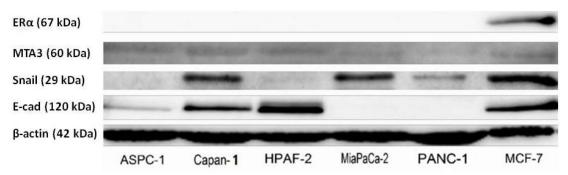


Figure 13: Western blot analysis for ERα, MTA3, Snail and E-cadherin in human pancreatic and breast cancer cells. ASPC-1, Capan-1, HPAF-2, MiaPaCa-2, PANC-1 and MCF-7 cells were lysed. Total protein extracts (50 μg) separated by SDS-PAGE were exposed to rabbit-derived polyclonal anti-human ERα, MTA3, Snail, E-cadherin (E-cad) and β-actin. Primary antibody binding was detected using a peroxidase-coupled rabbit specific secondary antibody and luminescence imaging. β-actin was used to verify integrity and amount of protein. Representative images of at least 3 independent experiments with triplicate determinations. Sizes of the individual transcripts are indicated.

3.3 Localization of molecules of the ER α signaling pathway and proliferation within orthotopic xenografts of human pancreatic cancer cell lines in nude mice *in situ*

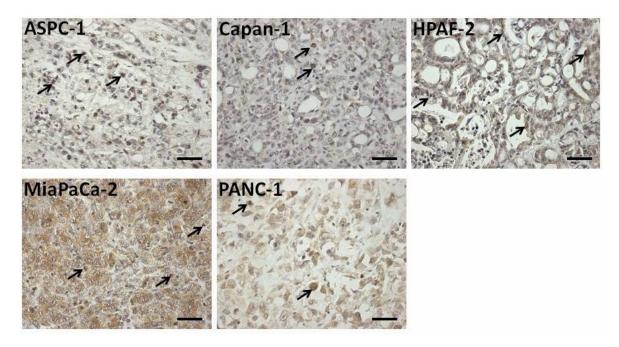
3.3.1 ER α

Expression of ERα and the downstream molecules MTA3, Snail and E-cadherin was

confirmed in human primary PDAC tissues and human pancreatic cancer cell lines. The final experiments focused on the expression of these molecules in orthotopic nude mice xenografts. The orthotopic xenografts provide the *in vivo* information about the tumor formation and progression from different pancreatic cell lines.

Nuclear and cytoplasmatic immunostaining of ER α was found *in situ* in the orthotropic tumors of all 5 human pancreatic cancer cell lines. ER α was found more often in the cytoplasm of duct-like pancreatic cancer cells. The intensities and frequency were calculated by light microscopy. HPAF-2 cells had about 40% of cancer cells with moderate ER α staining followed by MiaPaCa-2 (30%) and ASPC-1 (30%). Capan-1 and PANC-1 had only 10% of cancer cells that disclosed weak ER α expression.

A



B

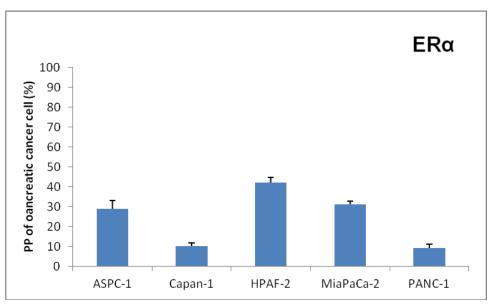


Figure 14: (**A**) Immunohistochemical staining for ERα in human pancreatic cancer xenografts in nude mice. Formalin-fixed paraffin-embedded (FFPE) samples (3 μm) of human pancreatic cancer xenografts in nude mice were stained with rabbit-derived polyclonal anti-human ERα. Primary antibody binding was detected using a peroxidase-coupled rabbit specific secondary antibody, developed with DAB, and counterstained with hematoxylin. ERα⁺ cells appeared in brown staining in the nucleus (arrows). Representative images showed nuclear and cytoplasmic localization of ERα in ASPC-1, Capan-1, HPAF-2, MiaPaCa-2, and PANC-1. (scale bars: 250 μm, original magnification: 400x) (B) Mean values \pm SD of the proportion of ERα⁺ cells from 3 HPF of 3 individual slides. (PP: percentage of positive stained cells)

3.3.2 MTA3

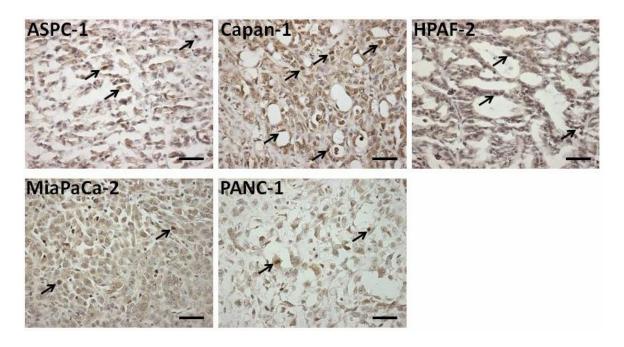
Immunohistochemistry was performed to detect MTA3 expression in orthotopic nude mice xenografts (Figure 15).

MTA3 was found in the human pancreatic cancer cell lines with nuclear and cytoplasmatic immunoreactions (Figure 15A). MTA3 was located in the pancreatic cancer cells in well differentiated duct-like structures where cytoplasmatic staining of ERα was also found. In better differentiated cell lines like ASPC-1, MTA3 expression was frequently seen in Capan-1 and HPAF-2, which had duct-like structures in cancer tissues.

The proportion of MTA3⁺ cells within the tumors derived from human pancreatic

cancer cell lines was estimated (Figure 15B). Within the tumors derived from Capan-1 cells, 50% of the pancreatic cancer cells had a strong signal followed by those derived from ASPC-1 and HPAF-2 cell lines. Transferring MiaPaCa-2 or PANC-1 cells led to only about 10% of pancreatic cancer cells with strong nuclear staining of MTA3.

\mathbf{A}



B

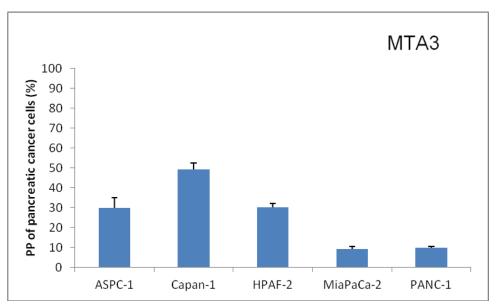


Figure 15: (**A**) Immunohistochemical staining for MTA3 in human pancreatic cancer xenografts in nude mice. Formalin-fixed paraffin-embedded (FFPE) samples (3 μm) of human pancreatic cancer xenografts in nude mice were stained with rabbit-derived polyclonal anti-human MTA3. Primary antibody binding was detected using a peroxidase-coupled rabbit specific secondary antibody, developed with DAB, and counterstained with hematoxylin. MTA3⁺ cells appeared in brown staining in the nucleus (arrows). Representative images showed nuclear and cytoplasmic localization of MTA3 in ASPC-1, Capan-1, HPAF-2, MiaPaCa-2, and PANC-1. (scale bars: 250 μm, original magnification: 400x) (B) Mean values ± SD of the proportion of MTA3⁺ cells from 3 HPF of 3 individual slides. (PP: percentage of positive stained cells)

3.3.3 Snail

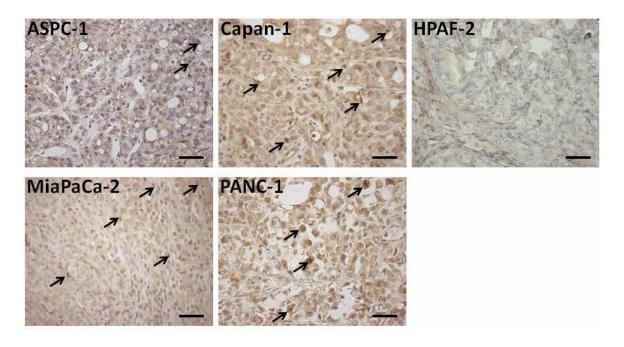
Further investigations were focused on the localization of Snail expression in orthotopic nude mice xenografts (Figure 16).

Nuclear and cytoplasm staining of Snail was found in tumors after transferring each of the 5 human pancreatic cancer cell lines into nude mice (Figure 16A). In tissues from the group that received Capan-1 or ASPC-1, strong Snail staining was found in the pancreatic cancer cells from duct-like structures. Consequently, the undifferentiated pancreatic cancer cell line MiaPaCa-2 did not develop duct-like structures within the murine model.

The proportions of pancreatic cancer cells with positive Snail staining were calculated

in the tumors deriving from each individual human pancreatic cancer cell line. The undifferentiated MiaPaCa-2 cell line gave rise to about 40% Snail⁺ cells with strong staining followed by Capan-1 and PANC-1 (30%; Figure 16B). The moderately differentiated HPAF-2 cell line did not result in nuclear Snail staining.

\mathbf{A}



B

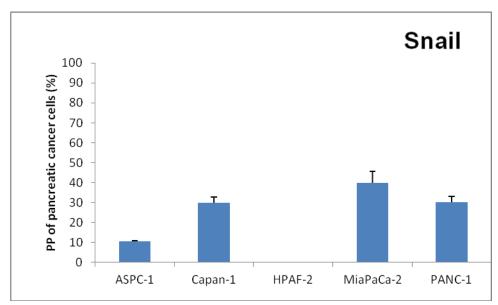


Figure 16: (**A**) Immunohistochemical staining for Snail in human pancreatic cancer xenografts in nude mice. Formalin-fixed paraffin-embedded (FFPE) samples (3 μm) of human pancreatic cancer xenografts in nude mice were stained with rabbit-derived polyclonal anti-human Snail. Primary antibody binding was detected using a peroxidase-coupled rabbit specific secondary antibody, developed with DAB, and counterstained with hematoxylin. Snail⁺ cells appeared in brown staining in the nucleus (arrows). Representative images shows nuclear localization of Snail in ASPC-1, Capan-1, HPAF-2, MiaPaCa-2, and PANC-1 cells. (scale bars: 250 μm, original magnification: 400x) (B) Mean values ± SD of the proportion of Snail⁺ cells as from 3 HPF of 3 individual slides. (PP: percentage of positive stained cells)

3.3.4 E-cadherin

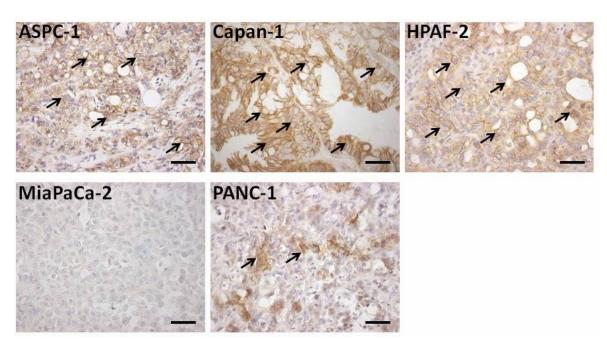
E-cadherin was detected in the membranes of human pancreatic cancer cells from orthotopic nude mice xenografts with a brown staining (Figure 17A).

This was mainly observed in the duct-like pancreatic cancer cells obtained from the moderately differentiated Capan-1 and HPAF-2 cell lines with about 70-80% strong E-cadherin⁺ pancreatic cancer cells (Figure 17B). ASPC-1 gave rise to about 50% of pancreatic cancer cells with moderate E-cadherin staining followed by PANC-1 with about 10% of pancreatic cancer cells with moderate E-cadherin staining. Again, the absence of duct-like structures inside the tumors from the undifferentiated human pancreatic cancer cell line MiaPaCa-2 was accompanied by the absence of

E-cadherin.

These findings in orthotopic nude mice xenografts of the human pancreatic cancer cell lines that were also shown to express mainly molecules of the ER α -related signaling pathway *in vitro* confirmed the findings obtained in human PDAC tissues. It further suggested that these molecules might be necessary for tumor growth/development from human pancreatic cancer cells.

\mathbf{A}



B

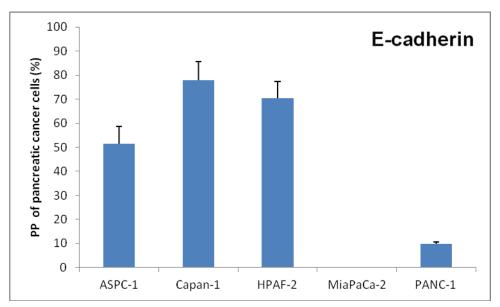


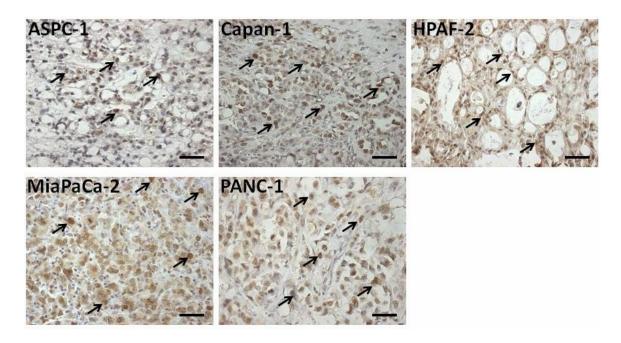
Figure 17: (A) Immunohistochemical staining for E-cadherin in human pancreatic cancer xenografts in nude mice. Formalin-fixed paraffin-embedded (FFPE) samples (3 μm) of human pancreatic cancer xenografts in nude mice were stained with rabbit-derived polyclonal anti-human E-cadherin. Primary antibody binding was detected using a peroxidase-coupled rabbit specific secondary antibody, developed with DAB, and counterstained with hematoxylin. E-cadherin⁺ cells appeared in brown staining in the membrane (arrows). Representative images shows membrane localization of E-cadherin in ASPC-1, Capan-1, HPAF-2, MiaPaCa-2, and PANC-1. (scale bars: 250 μm, original magnification: 400x) (B) Mean values ± SD of the proportion of E-cadherin⁺ cells from 3 HPF of 3 individual slides. (PP: percentage of positive stained cells)

3.3.5 *In-situ* proliferation

Proliferation of cells from the human pancreatic cancer cell lines in nude mouse xenografts was determined by immunohistochemistry for PCNA (Figure 18A).

PCNA was found in tissues derived from all five human cell lines with strong nuclear immunoreactions. All five human cell lines give rise to about 90% of PCNA⁺ pancreatic cancer cells (Figure 18B).

 \mathbf{A}



В

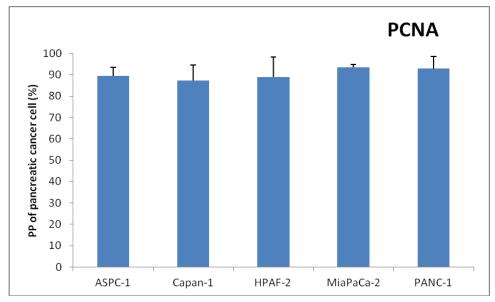


Figure 18: (A) Proliferating human pancreatic cancer cells in human pancreatic cancer xenografts in nude mice were stained with PCNA. Primary antibody binding was detected using a peroxidase-coupled rabbit-specific secondary antibody, developed with DAB, and counterstained with hematoxylin. Snail⁺ cells appeared in brown staining in the nucleus (arrows). PCNA⁺ cells appeared in brown staining in nucleus (arrows). Representative images were for nuclear localization of PCNA in ASPC-1, Capan-1, HPAF-2, MiaPaCa-2 and PANC-1. (scale bars: 250 μm, original magnification: 400x). (B) Mean values ± SD of the proportion of Snail⁺ cells from 3 HPF of 3 individual slides. (PP: percentage of positive stained cells)

4 Discussion

4.1 Background

The notion that estrogen plays a role in pancreatic cancer progression was supported by a number of clinical studies, which showed that exposure to estrogen during the reproductive years reduced the risk of pancreatic cancer in women [45, 61]. Several experiments were performed to demonstrate the expression pattern of ER α in human PDAC tissues. However, conflicting findings published in the literature in the last decades suggested that pancreatic cancer cells expressed ER α [38, 62, 63], while other authors detected no ER α in pancreatic cancer [64, 65]. No definite explanation has been found for this discrepancy.

ER α is believed to be a key regulator in breast cancer progression. Fujita et al. demonstrated an important role of ER α in governing the progression of breast cancer via EMT repression, which was widely accepted as the onset of and critical in carcinogenesis and cancer metastasis via the ER α /MTA3/Snail/E-cadherin pathway [35]. ER α activated MTA3 in the presence of estrogen. MTA3 is dedicated to Snail gene repression via histone deacetylase and ATP-dependent chromatin remodeling functions. Thus MTA3 constitutes a component in this signal pathway and connects ER α action by repressing Snail expression. Finally, this ER α -related signaling pathway is manifested in the expression of E-cadherin and the maintenance of a normal epithelial architecture [35]. To address this EMT-related signaling pathway in regulating the progression in pancreatic cancer cells, further knowledge of the expression patterns of ER α and its related receptors MTA3, Snail, E-cadherin is required. The aim of this study was to investigate the expression pattern of ER α and its related elements involved in this EMT-related signaling pathway in human pancreatic cancer.

4.2 Human tissues

Firstly, the expression of ER α , MTA3, Snail, E-cadherin and PCNA was investigated in clinical samples via immunohistochemistry. ER α was detected in human PDAC

tissues as well as in noncancerous pancreas tissues. In cancerous tissues, $ER\alpha$ was mainly found in the cytoplasm and to a lesser extent in the nucleus (Figure 4). However, the intensity and localization of $ER\alpha$ in human PDAC tissues did not depend on the patient's clinical and pathological data: $ER\alpha$ was mainly observed in the cytoplasm of well differentiated duct-like cancerous cells. This observation was consistent with the findings from other groups that $ER\alpha$ is present in human PDAC tissues [64].

MTA3 constitutes a key component in this signaling pathway. In breast cancer cells, MTA3 up-regulated by ERα links the expression of ERα to E-cadherin expression and thus to EMT and cancer progression [57]. However, the expression pattern of MTA3 in pancreatic cancer remains unknown. In this study, MTA3 was found in the nucleus as well as in the cytoplasm of human PDAC tissues (Figure 5). More patients with moderately differentiated PDAC were found to be positive for MTA3 (64%) than those with moderately to poorly and poorly differentiated PDAC (33%). Expression of MTA3 in lymph node-negative patients (60%) was higher than that found in lymph node-positive patients (44%). This observation indicates that MTA3 exists in human PDAC tissues and tends to have a stronger expression in better differentiated and non-metastatic human PDAC tissues. It agrees with the MTA3 expression pattern in endometrioid adenocarcinomas [55] and breast cancer [35]. MTA3-positive carcinomas have a generally good prognosis.

Snail is a core transcriptional factor in mediating EMT, which is also a downstream target of MTA3 in this signaling pathway [35]. E-cadherin, a cell adhesion molecule, is important in anchoring epithelial cells. Changes in Snail and E-cadherin have been proven to correlate with the acquisition of invasiveness in pancreatic cancer [23, 31, 66]. Expression patterns of Snail and E-cadherin in human PDAC tissues were analyzed. Snail and E-cadherin expression was detected in 77% of human PDAC tissues. A higher expression of Snail was observed in poorly differentiated human PDAC tissues than in moderately and moderately to poorly differentiated human PDAC tissues. In contrast, more E-cadherin was associated with a better differentiation of human PDAC tissues (Table 4). These results support the notion that

poor differentiation of pancreatic cancer cell is correlated with EMT [31].

The association between ERa, MTA3, Snail and E-cadherin in human pancreatic cancer tissues was analyzed. Cytoplasmatic ERa staining was found in well differentiated duct-like pancreatic cancer structures, where most of the MTA3, Snail and E-cadherin were also found. In addition, as shown in a series of consecutive sections, a limited number of PDAC cells with nuclear ERα were seen in the duct-like structures with nuclear staining of MTA3, while no Snail was detected in the nucleus in conjunction with strong membrane immunoreactions for E-cadherin (Figure 6). In this study, all human PDAC tissues with positive expression of ERα and MTA3 were in a proliferating status with immunostaining of PCNA in the nucleus (Figure 7). In clinical samples, ERα, Snail and E-cadherin were detected in human PDAC tissues, and, for the first time, MTA3 was found in human PDAC tissues. These results were comparable to the published findings in breast cancer tissues [35], with ER α and its downstream receptors involved in this EMT-related signaling pathway were observed in the cancer cells. Loss of parts of this signaling pathway (loss of MTA3, expression of Snail, loss of E-cadherin) occurs in a subset of pancreatic cancers, and these pancreatic cancers tend to have a poor clinical differentiation (Table 4). The ERa expression in clinical samples was mostly detected in the cytoplasm, but no correlation was found between cytoplasmatic ERa and nuclear MTA3. A limited number of cancer cells with nuclear ER α were observed, but these cells exhibited a high expression of MTA3, E-cadherin and loss of Snail (Figure 6). This observation was in conflict with the published results that the ERa was mostly located in the nucleus [35, 62]. To further explain this conflict, the localization of ERα was investigated in human pancreatic cancer cell lines.

4.3 Pancreatic cell lines

The pancreatic cancer cell lines provide us with an important tool and experimental model for studying the gene and protein expression patterns, efficacy of therapeutic drugs and biological behavior of pancreatic cancer in vitro. Compared to human samples, where the cancer cells vary drastically even from one patient, cancer cell

lines are alike because they share the same genetic and molecular features from the cancer cell they were derived from. They are also well suited for further experiments and can tell us the differences between different subgroups of cancer cells. To find an appropriate cancer cell line for further interference experiments on this signaling pathway, the expression patterns of $ER\alpha$ and its related factors included in this signaling pathway in pancreatic cancer cells lines were further investigated.

ERα was observed in all pancreatic cancer cell lines with different intensities at the

mRNA level, but no signal was detected at the protein level in western-blot (Figure 12, 13). However, compared with MCF-7 cells, immunofluorescence tests disclosed immunoreactions of ERa in the nucleus in all 5 pancreatic cancer cell lines with a weak staining. In the undifferentiated human pancreatic cancer line MiaPaCa-2, about 60% of cancer cells had nuclear ERα staining, followed by PANC-1 and ASPC-1, while the moderately differentiated Capan-1 and HPAF-2 had the weakest expression (Figure 8). This observation supported the fact that ERa is present in pancreatic cancer cells but at a lower level than that seen in breast cancer cells [67]. The differences between expression levels for mRNA and protein of ER α was probably due to the low transcriptional level of $ER\alpha$ in pancreatic cancer cells like in some $ER\alpha$ negative breast cancer cells [68]. Because of the low level of ERa protein, it could only be detected in a more sensitive method such as immunofluorescence staining. MTA3 was found in all 5 human pancreatic cancer cell lines, with a higher expression in the moderately differentiated human pancreatic cancer cell lines Capan-1 and HPAF-2 at both the mRNA and protein level (Figure 12, 13). Cancer differentiation appeared to be correlated with MTA3 in pancreatic cancer cell lines. Better differentiated cancer cell lines had a tendency to have a higher expression of MTA3. The results obtained from immunocytochemistry tests confirmed the results found in RT-PCR and western blotting (Figure 9). Transcriptional receptor Snail had strong expression in the poorly or undifferentiated cell lines PANC-1 and MiaPaCa-2, but no signal was detected in the moderately differentiated HPAF-2 cell line (Figure 10, 12, 13). In contrast, while strong E-cadherin expression was detected in the Capan-1 and HPAF-2 cell lines, no expression was observed in the undifferentiated MiaPaCa-2 cell

line (Figure 11, 12, 13) [31]. This finding supports the results obtained in human PDAC tissues that Snail is an upstream repressor of E-cadherin. High expression of Snail suppresses E-cadherin and induces EMT, which correlates with poor differentiation in pancreatic cancer cells [31, 66].

Interaction between these factors was found in some pancreatic cancer cell lines. In the moderately differentiated cell line HPAF-2, a strong expression of MTA3 correlated with a weaker Snail expression, followed by a strong expression of downstream E-cadherin (Figure 13). In contrast, in the undifferentiated MiaPaCa-2 cell line, the low MTA3 expression led to a strong expression of Snail with no downstream E-cadherin expression. With decreasing differentiation status, MTA3, Snail and E-cadherin were co-expressed in the pancreatic cancer cell lines. This is in agreement with the hypothesis that in human PDAC cancer lines, MTA3 is an upstream regulator of Snail and E-cadherin that regulates EMT by suppressing Snail and activating E-cadherin [35, 56, 69]. The pancreatic cancer cell lines with loss of MTA3 have a generally poor differentiation and the tendency to grow invasively [35]. Compared with the expression pattern of ERα in human PDAC tissues, the frequency of nuclear localization of ERα in human pancreatic cancer cell lines was higher than that observed in human PDAC tissues. ERa is a ligand-activated transcription factor that regulates gene expression in the nucleus. Upon estrogen binding, ERa transformed and translocated from the cytoplasm to the nucleus and regulated the expression of different genes via binding to specific DNA sequences known as estrogen-responsive elements (ERE) [48]. The results obtained from clinical samples suggested that ERa was present in human pancreatic cancer tissues, but most of it in an inactive form and located in the cytoplasm, since the amount of local estrogen in human PDAC cancer tissues was too low to activate the ERa. With adequate estrogen levels, the activated ERα is able to repress EMT via the ERα/MTA3/Snail/E-cadherin signaling pathway and protect patients from tumor genesis and metastasis [18]. However, in pancreatic cell lines, the media used for cell cultures contain phenol red, which has an estrogen-like activity [70]. The inactivated cytoplasmatic ERα was activated by phenol red and translocated into the nucleus. Based on these results, it is

possible that a subset of pancreatic cancer patients with inactivated $ER\alpha$ expression in the cytoplasm as well as MTA3, Snail and E-cadherin, may respond to estrogen therapy. Further experiments are needed to identify the effect of estrogen in human pancreatic cancer cells via this EMT-related signaling pathway. The Capan-1 cell line, with an expression of all of the elements involved in this signaling pathway, would be a suitable candidate for further interference studies.

4.4 Orthotopic xenograft models of pancreatic cells

Orthotopic xenograft models of pancreatic cells, whose human pancreatic tumor originates in the mouse pancreas, resemble the human pancreatic cancer situation in a mouse model. This model is an important tool for gathering information about the changes occurring during tumor progression, like tumor genesis, angiogenesis, lymphangiogenesis and metastasis in a specific subset of pancreatic cancer cells, resembles a specific subset of the human cancer situation and is more equal than human cancer tissues. Since there is no single, perfect model that resembles the human cancer situation, different models would have to be used to study different aspects of a cancer. To strengthen the results obtained from human PDAC tissues and human pancreatic cancer cell lines, $ER\alpha$ and its related elements in human pancreatic cancer cells were investigated in orthotopic nude mice xenografts.

The results obtained from nude mice xenografts were consistent with those observed in *in vitro* experiments. ERα, MTA3, Snail and E-cadherin were found in the pancreatic cancer cells. Low levels of ERα were likewise seen in pancreatic cancer cells. A higher expression of MTA3 tended to be found in better differentiated cells. Moreover, a higher expression of MTA3 was correlated with a lower expression of Snail and a higher expression of E-cadherin. Orthotopic nude mice xenografts of Capan-1 cells expressed all of these elements in this signaling pathway. This indicated that this orthotopic nude mouse xenograft acted as a suitable model for further interventional experiments.

4.5 Conclusion

ERα exists in human pancreatic cancer cells together with MTA3, Snail and E-cadherin, but most of the ER α located in the cytoplasm of human pancreatic cancer cells is found to be inactivated. In a subset of PDAC patients with inactivated cytoplasmatic ERa and MTA3, Snail, E-cadherin, estrogen therapy may be a potential strategy to prevent tumor progression by repressing EMT via the ERa /MTA3/ Snail/ E-cadherin pathway. This agrees with the epidemiological data which indicates that whereas estrogen has a protective effect in reducing the risk of pancreatic cancer in young female patients, the incidence of pancreatic cancer increased with decreasing estrogen levels after the age of 50. The male: female ratio was 2:1 and 1:1 in patients before and after 50 years of age [71]. Thus ERa can be considered a target for the therapeutic modulation of cancer progression in the treatment of pancreatic cancer in patients with this EMT-related signal pathway. In pancreatic cancer cell lines, extra estrogen may activate $ER\alpha$ and lead to changes in downstream elements followed by a change of cell invasiveness via alteration of EMT. It would be interesting to perform further interference experiments to investigate the role played by this ERα-related signal pathway in mediating EMT in human pancreatic cancer cells.

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Curriculum vitae

Mein Lebenslauf wird aus datenschutzrechtlichen Gründen in der elektronischen Version meiner Arbeit nicht veröffentlicht.

Affidavit

Affidavit

I, Yuhua, Zhang, certify under penalty of perjury by my own signature that I have

submitted the thesis on the topic: Expression of estrogen receptor alpha and

downstream molecule Metastasis-Associated-Gene 3 (MTA3) in human pancreatic

cancer. I wrote this thesis independently and without assistance from third parties, I

used no other aids than the listed sources and resources.

All points based literally or in spirit on publications or presentations of other authors

are, as such, in proper citations (see "uniform requirements for manuscripts (URM)"

the ICMJE www.icmje.org) indicated. The sections on methodology (in particular

practical work, laboratory requirements, statistical processing) and results (in

particular images, graphics and tables) correspond to the URM (s.o) and are answered

by me. My interest in any publications to this dissertation corresponds to those that

are specified in the following joint declaration with the responsible person and

supervisor. All publications resulting from this thesis and which I am author

correspond to the URM (see above) and I am solely responsible.

The importance of this affidavit and the criminal consequences of a false affidavit

(section 156,161 of the Criminal Code) are known to me and I understand the rights

and responsibilities stated therein.

Date: 10.01.2013

Signature: Yuhua Zhang

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