



# Temperature dependence of tropospheric ozone under NO<sub>x</sub> reductions over Germany

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## HIGHLIGHTS

- The observed climate penalty of ozone has decreased over the past decades in a large number of German stations.
- There is a decreasing temperature dependence of ozone at moderate-high temperatures.
- Emissions reductions have been generally effective in the southwestern regions of Germany.
- In Berlin, additional emission reductions should be required to mitigate the climate penalty of ozone.

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## ABSTRACT

Due to the strong temperature dependence of surface ozone concentrations (O<sub>3</sub>), future warmer conditions may worsen ozone pollution levels despite continued efforts on emission controls of ozone precursors. Using long-term measurements of hourly O<sub>3</sub> concentrations co-located with NO<sub>x</sub> concentrations in stations distributed throughout Germany, we assess changes in the climate penalty in summertime, defined as the slope of ozone-temperature relationship during the period 1999–2018. We find a stronger temperature sensitivity in the urban stations over the southwestern regions, especially in the first period of the study (1999–2008). We show a decrease in the climate penalty in most of stations during the second period of the study (2009–2018), with some exceptions (e.g. Berlin) where the climate penalty did not show significant changes. A key motivation of this study is to provide further insights into the impacts of NO<sub>x</sub> reductions in the O<sub>3</sub>-temperature relationship. For that, we propose a statistical approach based on Generalized Additive Models (GAMs) to describe ozone production rates, inferred from hourly observations, as a function of NO<sub>x</sub> and temperature, among other variables relevant during the O<sub>3</sub> production. The GAMs confirm lower O<sub>3</sub> production rates during the second period (2009–2018) at most of the stations and a decreasing sensitivity to temperature. We observe that a large number of stations are transitioning to NO<sub>x</sub>-limited chemistry, consistent with a decreasing temperature dependence of O<sub>3</sub> at moderate-high temperatures as a result of sustained NO<sub>x</sub> reductions. Moreover, the GAMs results showed changes in the shape of the function representing the O<sub>3</sub>-temperature relationship when comparing the first and second period, which suggest changes in VOC influencing the temperature dependence of O<sub>3</sub>. From these results, we infer effective VOC reductions over time that have also contributed to the observed decrease of O<sub>3</sub> production rates. Thus, our analysis indicates that emissions reductions have been effective in a number of stations, particularly in the southwestern regions. However, we notice that in a few stations (e.g. Berlin) additional emission reductions should be required to effectively mitigate the temperature dependence of O<sub>3</sub>.

## 1. Introduction

Tropospheric ozone (O<sub>3</sub>) is a secondary pollutant formed from complex photochemical reactions of nitrogen oxides (NO<sub>x</sub>), carbon

monoxide (CO) and volatile organic compounds (VOCs) in the presence of sunlight (Seinfeld & Pan-dis, 2006). Changes in emissions of two of its major precursors, NO<sub>x</sub> and VOCs, might alter ozone formation regimes that are controlled by the initial NO<sub>x</sub>/VOC ratio (Sillman, 1999). Large

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$\text{NO}_x$  emissions and concentrations favour a VOC-sensitive regime, while large VOC emissions and concentrations, and  $\text{HO}_x$  production rates favour a  $\text{NO}_x$ -sensitive regime (Pusede et al., 2015; Sillman, 1999).

The chemistry of  $\text{O}_3$  production varies nonlinearly with temperature, which speeds up the rate of many chemical reactions. Furthermore, emissions of  $\text{O}_3$  precursors from many anthropogenic and biogenic sources are strongly related to temperature. Pusede et al. (2014) examined changes in organic emissions by using a temperature-response framework and they found that the total organic reactivity experienced larger decreases from 2000 to 2010 at lower temperatures (emissions of temperature independent organic reactivity) than at higher temperatures (emissions of temperature dependent organic reactivity). Butler et al. (2020) showed that the local photochemical production of maximum ozone in the Northern Hemisphere in summertime is mainly due to the combination of local emissions of anthropogenic  $\text{NO}_x$  and biogenic VOC. Moreover, they pointed the significant role played by biogenic VOC in summer. Due to the strong dependence of biogenic VOC emissions on temperature and solar radiation, biogenic VOC, such as isoprene, are expected to be relevant for  $\text{O}_3$  production, particularly in summer days (Guenther et al., 2006). Therefore,  $\text{O}_3$  production is highly sensitive to meteorological parameters, specifically temperature, and thus, changes in ambient conditions and precursor emissions are nonlinear and complex.

A wide number of studies have shown that the  $\text{O}_3$ -temperature relationship varies in space and time due to differing chemical and meteorological mechanisms that influence  $\text{O}_3$  formation (Bloomer et al., 2010; Rasmussen et al., 2013; Steiner et al., 2010). It has been recognized the temperature dependence of biogenic VOC emissions as well as the sensitivity of  $\text{O}_3$  production to temperature to the peroxy acyl nitrate (PAN) dissociation rates (Jacob et al., 1993; Jacob and Winner, 2009; Sillman and Samsom, 1995). Moreover, dry deposition (Wesely, 1989) and  $\text{NO}_x$  emissions (Coates et al., 2016) can contribute to the  $\text{O}_3$ -temperature relationship. Pusede et al. (2015) provides a comprehensive re-view of the temperature dependence of  $\text{O}_3$  production. They pointed out that changes in  $\text{O}_3$  precursors under a warmer climate will affect  $\text{O}_3$  production in a predictable but complex way. For example, the continued  $\text{NO}_x$  reductions in urban areas would lead to a transition in the chemistry of  $\text{O}_3$  production into chemical regimes typically observed in rural areas.

Romer et al. (2018) investigated the effect of temperature in  $\text{O}_3$  production using measurements in a rural site over the southeastern United States. They found that local chemistry were key drivers of increased  $\text{O}_3$  concentrations on hotter days, and a large proportion of this increase was attributable to temperature-driven increases in soil emissions of  $\text{NO}_x$ . Recent modelling studies have examined the processes driving the  $\text{O}_3$ -temperature relationship. Porter and Heald (2019) used model simulations to quantify the contribution of mechanisms driving the  $\text{O}_3$ -temperature relationship. They found that a large proportion of the  $\text{O}_3$ -temperature relationship might be explained by other meteorological phenomena such as stagnation and humidity over Europe. Stagnant conditions characterised by low wind speed, allow  $\text{O}_3$  to build up to high levels. Similarly, Leibensperger et al. (2008) showed that the frequency of summertime cyclones is a good predictor of stagnant conditions and high  $\text{O}_3$  pollution in the eastern United States. High levels of humidity have certain scavenging effect on  $\text{O}_3$ , as higher humidity is usually associated to greater cloud cover and atmospheric instability that can inhibit photochemical reactions and hence, decrease  $\text{O}_3$ . Kerr et al. (2019) performed sensitivity simulations to examine the role of the processes related to the  $\text{O}_3$ -temperature relationship over the United States, focusing on transport, chemistry and anthropogenic emissions. They found that atmospheric transport played a significant role in explaining the  $\text{O}_3$ -temperature relationship through out much of the United States. Since transport is indirectly related to temperature, the authors highlighted the importance of providing a better understanding of the changes in the mechanisms linking transport and  $\text{O}_3$  in a warmer climate.

Under future climate conditions, the benefits from control strategies of ozone precursors might be countered by temperature increases (Rasmussen et al., 2013). This effect has been termed in the literature as a “climate penalty”, which has been used to quantify the additional increase of  $\text{O}_3$  or the reduced benefits of emissions controls as a result of climate change (Rasmussen et al., 2013; Wu et al., 2008). While most of the observational and modelling studies Bloomer et al. (2009); Rasmussen et al. (2013); Steiner et al. (2010) have reported a decreasing sensitivity of  $\text{O}_3$  to temperature due to the emission reductions, there are some exceptions that pointed out that the climate penalty could be aggravated by weather conditions (Jing et al., 2017; Boleti et al., 2020). Previous studies have shown that feedbacks from vegetation worsen peak  $\text{O}_3$  episodes especially during extreme hot and dry periods over Europe (Gerosa et al., 2009; Lin et al., 2020). Moreover, soil moisture deficit is a relevant factor of stress for isoprene emissions (Guenther et al., 2006). Severe droughts might influence plant growth and limit biomass production, which can lead to a reduction of isoprene emission (Emmerson et al., 2019). Lin et al. (2020) examined the contribution of drought to the  $\text{O}_3$  climate penalty over the past six decades over Europe using numerical simulations. They highlight the importance of considering land-atmospheric interactions and they showed that reduced ozone removal by water-stressed vegetation due to dry conditions, exacerbate ozone air pollution over Europe.

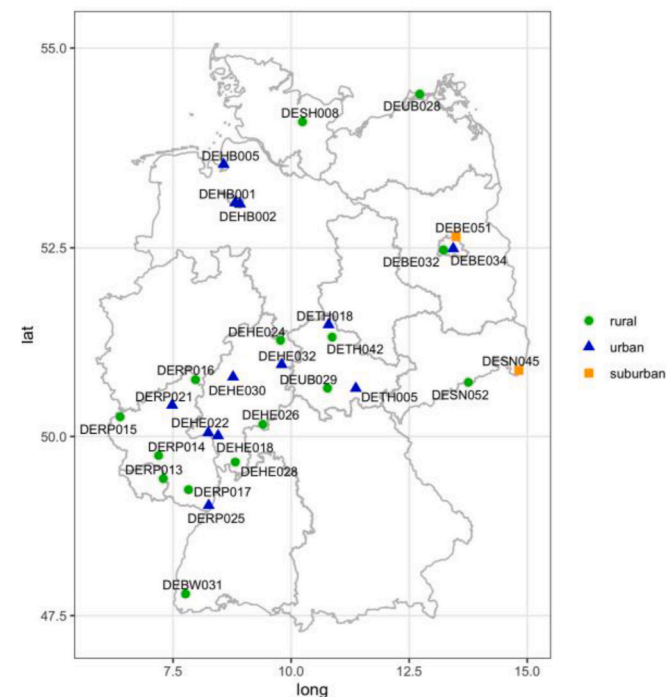
According to the EuroDelta-Trends modelling experiment (ETC/ACM), Colette et al., (2017) the reduction of European anthropogenic emissions of  $\text{O}_3$  precursors was the main factor in decreasing summertime  $\text{O}_3$  peaks episodes during the period 1990–2010. Previous studies have shown general downward trends of  $\text{O}_3$  concentrations for the past decades Chang et al. (2017); Fleming et al. (2018). However,  $\text{O}_3$  levels have not decreased as expected (EEA, 2020), in particular in urban areas as a results to lower tritration processes (Querol et al., 2016; Yan et al., 2019). Recent trends analysis indicated a clearer decreasing trend of  $\text{O}_3$  peaks during 2000–2008 over most of the European sites, but not significant trends during 2009–2018 and mostly flattening since 2009 (EEA, 2020). With the current regulation of emission of  $\text{O}_3$  precursors, such contrasting trends found across European sites along with the observed changes in the  $\text{O}_3$  sensitivity to temperature, provide an ideal scenario for investigating the  $\text{O}_3$ -temperature relationship.

The primary objective of this study is to assess the observed changes in the  $\text{O}_3$  climate penalty and the impacts of  $\text{NO}_x$  reductions on the temperature dependence of  $\text{O}_3$  for the last two decades. We use long-term  $\text{O}_3$  concentrations over Germany where the temporal homogeneity and diversity of the data offer an unique opportunity for long-term analysis of  $\text{O}_3$  and  $\text{NO}_x$ . We examine changes in the  $\text{O}_3$ -temperature relationship over a 20-year time period covered 1999 to 2018, for which a greater number of sites were available. For that, we split the complete period into two periods (1999–2008, 2009–2018), as a 10-year period is long enough to assess changes in  $\text{O}_3$  behaviour (Sicard et al., 2009). Furthermore, we restrict our study to summertime when  $\text{O}_3$  normally reaches the highest levels and the photochemical activity is higher (Pusede et al., 2015). In addition, it has been shown a stronger temperature dependence of  $\text{O}_3$  over Germany in summertime (Otero et al., 2018). We begin our study by calculating the trends in  $\text{NO}_x$  concentrations that might lead to changes in the  $\text{O}_3$ -temperature relationship. Since the variability of  $\text{O}_3$  production can explain a considerable proportion of  $\text{O}_3$ -temperature relationship (Pusede et al., 2015), we propose an observational-based statistical modelling approach to examine the nonlinear dependence of  $\text{O}_3$  production on  $\text{NO}_x$ -temperature relationship. Within a statistical modelling framework built upon Generalized Additive Models (GAMs), we infer  $\text{O}_3$  production (as a rate of change of  $\text{O}_3$ ,  $\Delta\text{O}_3$ ) from hourly  $\text{O}_3$  concentrations. Thus, we model  $\Delta\text{O}_3$  as a function of temperature and  $\text{NO}_x$  along with other critical variables during the  $\text{O}_3$  formation. Ultimately, we aim to provide new insights into the  $\text{O}_3$  response to changes of its precursors in different environments and the effectiveness of emission reductions.

## 2. Data

Hourly measurements of O<sub>3</sub> and NO<sub>x</sub> concentrations were extracted from the European Environment Agency’s (EEA) public air quality database “Air- Base” (<https://www.eea.europa.eu/data-and-maps/data/aqereporting-8>). The number of sites and length of the period covered by each station for which measurements are available vary spatially and greatly by pollutant. The selection of the monitoring stations with co-located data (O<sub>3</sub> and NO<sub>x</sub>) was based on the station type (background), station type area (rural, urban, suburban) and altitude (< 1000 m). Only the stations reporting more than 75% of valid data out of all the possible data in each summertime were included in the study. We use the stations with at least 19 years with hourly co-located data within the whole period of study defined from 1999 to 2018. Here, summertime is referred to July-August-September (JAS), with a strong O<sub>3</sub>-temperature relationship, particularly in Central Europe (Otero et al., 2018). A total of 29 stations meet the pre-processing criteria: 15 rural, 12 urban and 2 suburban stations. Despite that the spatial distribution of the measurement sites is not uniform with the largest density of stations over west and central Germany, a representative number of stations covering eastern regions are included (Fig. 1). Daily means and daily maximum of the running 8-h mean of O<sub>3</sub> (MDA8) were calculated following the European Union Directive of 2008 procedure (European Parliament and Council of the European Union, 2008).

The meteorology was extracted from the ERA5 Herbach and Dee (2016), the latest climate reanalysis produced by the European Centre for Medium Range Weather Forecast (ECMWF) that provides hourly data on regular latitude-longitude 0.25° × 0.25° spatial resolution. The variables included in the analysis are air surface 2 m-temperature (°C), 10 m u and v-component of wind (ms<sup>-1</sup>), boundary layer height (m) and relative humidity at 1000 hPa (%).



## 3. Methods

### 3.1. Climate penalty

A number of definitions have been used in the literature to characterise the ozone climate penalty, usually represented as the linear relationship between O<sub>3</sub> and temperature. Climate penalty values are normally computed using daily maximum summertime O<sub>3</sub> observations (1 or 8 h average) and daily maximum temperature, although there is no standard definition (Pusede et al., 2015).

Here, we adopted one of the most common metric to represent the climate penalty (hereinafter, m<sub>O<sub>3</sub> T</sub>) as the slope of the best fit line between long-term MDA8 concentrations and daily maximum temperature (Bloomer et al., 2009; Otero et al., 2018; Steiner et al., 2010). We first calculated the m<sub>O<sub>3</sub> T</sub> using Ordinary Least Squares (OLS) regression applied separately for each station and each period (1999–2008, 2009–2018). The general equation for the linear model can be written as follows:

$$MDA8(t) = a + m_{O_3 T} T(t) + E(t) \tag{1}$$

With  $E(t) \sim N(0, \sigma^2)$  being a sequence of independent Gaussian random variables with zero expectation. MDA8(t), T(t) are the time series of MDA8 and daily maximum temperature (respectively) and  $a$  represents the intercept. We estimate the climate penalty separately for the periods 1999–2008 and 2009–2018 and the significant differences between the slopes in both periods are assessed through a  $t$ -test at the 95% confidence level of statistical significance.

### 3.2. Approximation of O<sub>3</sub> production rates from observations

Most of the previous works have used numerical models (Steiner

Code	Station name	Station type area	Longitude	Latitude
DEBE032	Berlin-Grünwald	rural	13.23	52.47
DEBE034	Berlin-Neukölln-Nansenstraße	urban	13.43	52.49
DEBE051	Berlin-Buch	suburban	13.49	52.64
DEBW031	Schwarzwald-Süd	rural	7.76	47.81
DEHB001	Bremen-Mitte	urban	8.82	53.08
DEHB002	Bremen-Ost	urban	8.92	53.06
DEHB005	Bremerhaven	urban	8.57	53.56
DEHE018	Raunheim	urban	8.45	50.01
DEHE022	Wiesbaden-Süd	urban	8.24	50.05
DEHE024	Witzenhausen/Wald	rural	9.77	51.29
DEHE026	Spessart	rural	9.40	50.16
DEHE028	Fürth/Odenwald	rural	8.82	49.65
DEHE030	Marburg	urban	8.77	50.80
DEHE032	Bebra	urban	9.80	50.97
DERP013	Westpfalz-Waldmohr	rural	7.29	49.42
DERP014	Hunsrück-Leisel	rural	7.19	49.74
DERP015	Westeifel Wascheid	rural	6.38	50.27
DERP016	Westerwald-Herdorf	rural	7.97	50.77
DERP017	Pfälzerwald-Hortenkopf	rural	7.83	49.27
DERP021	Neuwied-Hafenstraße	urban	7.48	50.42
DERP025	Wörth-Marktplatz	urban	8.25	49.05
DESH008	Bornhöved	rural	10.24	54.09
DESN045	Zittau-Ost	suburban	14.82	50.89
DESN052	Zinnwald	rural	13.75	50.73
DETH005	Saalfeld	urban	11.37	50.65
DETH018	Nordhausen	urban	10.79	51.50
DETH042	Possen	rural	10.87	51.33
DEUB028	Zingst	rural	12.72	54.44
DEUB029	Schmücke	rural	10.77	50.65

Fig. 1. Spatial distribution of the monitoring stations used in the study along with the metadata information: code, station name, type area and coordinates.

et al., 2006), box model (Coates et al., 2016), plume model (LaFranchi et al., 2011) or analytical models (Pusede et al., 2014; Romer et al., 2018) to analyse the temperature-dependent mechanisms affecting the O<sub>3</sub> production. Here, we propose a new approach based on GAMs to examine changes in the O<sub>3</sub> production. We approximate the latter by the rate of change of hourly O<sub>3</sub> concentrations as:

$$\Delta O_3(t) = O_3(t) - O_3(t - 1) \tag{2}$$

The general O<sub>3</sub> budget equation can be expressed as:

$$dO_3/dt = PO_{3chem} + LO_{3chem} + MD \tag{3}$$

PO<sub>3chem</sub> represents the chemical O<sub>3</sub> production rate, LO<sub>3chem</sub> is the chemical loss rate and the last term MD represents the dynamical processes that influence O<sub>3</sub> concentrations, including mixing and dry deposition processes. These individual processes can vary in strength and by location throughout the day.

As we aim to assess how NO<sub>x</sub> reductions influence the sensitivity of O<sub>3</sub> to temperature, we restrict our analysis to a time interval with an intense photochemical activity, which usually coincides with higher O<sub>3</sub> concentrations and warmer temperatures. Thus, the data was filtered to avoid including non-related photochemical processes that might mask the photochemistry in the daily O<sub>3</sub> production. First, we selected data after sunrise and until O<sub>3</sub> reaches the daily maximum value (usually in the afternoon). In order to exclude some maximum values that might occur late in the afternoon or evening and are mostly related to prevailing meteorological conditions and transport processes (Kulkarni et al., 2013), the time was restricted to 17:00 H (local time). Then, a wind speed condition was used to exclude the hourly data when wind speed was higher than 3.2 ms<sup>-1</sup>, which is the threshold value usually applied to define stagnant conditions (Horton et al., 2014). After a first inspection of the data, we found considerable differences in the minimum of NO<sub>x</sub> concentrations across some stations and periods, likely due to the detection methods. To better establish a comparison between stations and periods, we applied an additional filter to remove NO<sub>x</sub> values below 5 μgm<sup>-3</sup>. It must be noted that the NO<sub>x</sub> cut-off filter had an impact in a few rural stations, for which the percentage of surviving data to the filter was smaller. However, it does not affect the results and conclusions presented here. The number of observations that met these conditions varies with each station type and on average a 20% (urban), 14% (rural), 18% (suburban) of the total data was used.

### 3.3. Modelling O<sub>3</sub> production rates with GAMs

GAMs (Hastie and Tibshinari, 1990; Wood, 2006) were used to examine variations in ΔO<sub>3</sub>(t) over the last two decades and the changes in the relationship NO<sub>x</sub>-temperature given the observed downward trends of the O<sub>3</sub> sensitivity to temperature in the two periods of study 1999–2008 and 2009–2018. These periods were selected as a compromise between robustness and homogeneity (Solberg et al., 2018). A 10-year period is long enough for modelling purposes and for assessing changes in ozone behaviour (Sicard et al., 2009), and a decade states that the ozone response with respect to the covariates is consistent through the period of study (Solberg et al., 2018). GAMs are useful tools for estimating non-parametric relationships whilst retaining clarity of interpretation (Wood, 2006). The relationship between the explanatory variables (henceforth covariates) and the response is described by smooth curves (splines, or potentially other smoothers). Such models have proven useful for studying the complex non-linear relationships between atmospheric chemical species and meteorological parameters (Barnpadimos et al., 2011; Boleti et al., 2019; Carslaw et al., 2007; Jackson et al., 2009). In the frame of GAMs a model for the expectation

μ of a random variable  $Y \sim N(\mu, \sigma^2)$  can be written as

$$g(\mu) = \beta_0 + f_1(X_1) + f_2(X_1) + \dots \tag{4}$$

Where g is static link function, X<sub>n</sub> are the covariates and f<sub>n</sub> are the non-

parametric smoothing functions; β<sub>0</sub> is the intercept. If the response can be assumed to be normally distributed, the canonical link function is the identity. After a closer inspection of the residuals at the individual sites, we found non-normally distributed residuals with problems in the tails. Thus we used a scaled t distribution instead, which is recommended for heavy tailed response variables (Wood et al., 2016). To include non-linear interactions between covariates, tensor products were used as smoothers, e.g.,

$$g(\mu) = \beta_0 + f_1(X_1, X_2) + \dots \tag{5}$$

This describes a nonlinear relationship between the response and 2 covariates (interaction) (Wood, 2006). The smoothness of each function is controlled by the number of knots or effective number of degrees of freedom. Here, the smoothing parameters were estimated by restricted maximum likelihood (REML) (Wood, 2006).

The challenge in building a model that captures a large proportion of the variability of ΔO<sub>3</sub> is to select the key covariates out of a large number of potential variables. As stated in the previous section, changes in O<sub>3</sub> concentrations depend on local production, involving many chemical reactions that vary with temperature, loss mechanisms that are sensitive to meteorological conditions and transport processes. Therefore, we chose the variables that are expected to have a major influence on O<sub>3</sub> production (e.g. NO<sub>x</sub>). The photochemical nature of O<sub>3</sub> production is strongly influenced by temperature (tas). In particular, emissions of some biogenic VOC, such as isoprene, are well known to increase with temperature (Coates et al., 2016; Pusede et al., 2014). Thus, we use temperature as a surrogate to represent changes in VOC, which can be emitted as an exponential function of temperature (LaFranchi et al., 2011; Pusede et al., 2014).

Daytime variation in the boundary layer height (BLH) significantly contributes to changes in O<sub>3</sub> production rates that tend to increase with a deepening BLH during sunny and warm days (Haman et al., 2014). In addition to chemical and mixing processes, changes in O<sub>3</sub> concentrations are influenced by deposition. Therefore, additional covariates are the percentage of change of the boundary layer height growth rate (ΔBLH) (in %) accounting for mixing processes, and vapor pressure deficit (VPD) as it has been recognized as a key variable for dry deposition (Kavassalis and Murphy, 2017; Otero et al., 2018). The VPD was calculated from the corresponding hourly data of air temperature and relative humidity. Moreover, we included the O<sub>3</sub> concentrations from the previous hour (C<sub>O3</sub> (t-1)) and the MDA8 concentrations from the previous day (C<sub>MDA8</sub> (t-24)) to represent the persistence of previous chemical conditions, (Pusede et al., 2015). Table 1 provides a summary with the covariates included in the model.

We used a forward selection procedure of the best set of covariates and/or its interactions that maximised the deviance explained. The model improvement was assessed with the Akaike Information criterion (AIC) (Akaike, 1974). The selection process, applied individually at each station and period, led for most of the stations to a similar model defined with three interaction terms: 1) temperature and NO<sub>x</sub>, 2) VPD and C<sub>O3</sub> (t-1), and 3) ΔBLH and C<sub>MDA8</sub> (t-24).

Thus, a GAM with the mentioned form was built for each station and period.

Here, we will refer as GAM-P1 to the GAMs built for first period 1999–2008 and similarly, as GAM-P2 to the GAMs built for the second

**Table 1**  
Summary of the covariates included in the model.

Covariate	Long name	Units	Source
tas	Air surface 2 m temperature	°C	ERA5
NO <sub>x</sub>	Nitrogen oxides	μgm <sup>-3</sup> yr <sup>-1</sup>	Airbase
C <sub>O3</sub> (t-1)	Lag of ozone (1 h)	μgm <sup>-3</sup> yr <sup>-1</sup>	Airbase
VPD	Vapor pressure deficit	kPa	ERA5
C <sub>MDA8</sub> (t-24)	Lag of MDA8 (24 h)	μgm <sup>-3</sup> yr <sup>-1</sup>	Airbase
ΔBLH	Growth of BLH	%	ERA5



period 2009–2018. The model selection indicated that as variables were added and the model complexity increased (i.e. more interactions), the AIC decreased and the deviance explained increased (Fig. S1). The model performance was assessed through standard diagnostic plots (Wood, 2006): QQ plots of the deviance residuals, scatter plots of the residuals against the fitted values, histogram of residuals and scatter plots the response against the fitted values (Fig. S2). More details about the GAM description and the selection procedure can be found in the supplementary material.

#### 4. Results

##### 4.1. NO<sub>x</sub> changes and climate penalty

Before calculating the  $m_{O_3 T}$ , we assess changes in the NO<sub>x</sub> concentrations over the whole period of study (1999–2018). For that, we examine time series of the annual 5th, 50th, and 95th percentiles calculated from daily NO<sub>x</sub> concentrations, assessing the trends (Kendall, 1975) and estimating its slope (Sen, 1968; Theil, 1950). Fig. 2 shows annual 5th, 50th, and 95th percentiles calculated from daily NO<sub>x</sub> concentrations at some example stations located in Berlin, Rhineland-Palatinate and Saxony that are representative for each station type area and will be used below to present the modelling results. The NO<sub>x</sub> concentrations at the 95th percentile have generally declined over the overall period of study (1999–2018), but the most dramatic reduction is observed during the first part of the period (1999–2008) in the

example stations. Larger decreases are observed at the stations in Rhineland-Palatinate, specially at the urban station (Wörth-Marktplatz, Fig. 1) where the NO<sub>x</sub> concentrations at the 95th percentile declined at the rate of  $-4.45 \mu\text{g m}^{-3} \text{yr}^{-1}$  in the first period 1999–2008 and  $-3.38 \mu\text{g m}^{-3} \text{yr}^{-1}$  in the second period 2009–2018 (see Fig. S3 in the supplementary material). Similar trends are observed at the urban stations located in the southwestern and central regions (Fig. S3 and S4). The NO<sub>x</sub> concentrations at the 95th percentile have been reduced at the urban and rural stations in Berlin during the first period 1999–2008 with decreasing rates of  $-2.78$  and  $-1.77 \mu\text{g m}^{-3} \text{yr}^{-1}$ , respectively, while small and non significant changes are observed during the second period (Fig. S3). Overall, annual 50th percentile NO<sub>x</sub> concentrations show a steady decrease in most of the stations of the study, more pronounced during the first period, and small changes are found at the 5th percentile of NO<sub>x</sub> especially during the second period 2009–2018 (Fig. S3 and S4).

As emissions of NO<sub>x</sub> generally decrease on weekends, O<sub>3</sub> concentrations tend to be higher on weekends compared to O<sub>3</sub> concentrations on weekdays in a VOC-limited regime because decreased NO<sub>x</sub> increases O<sub>3</sub> production (LaFranchi et al., 2011; Murphy et al., 2007; Pusede and Cohen, 2012). This so-called weekend-weekday effect has been widely used to assess the effectiveness of emission controls and it provides insights into the O<sub>3</sub> regimes (Abeleira and Farmer, 2017). In addition to long-term changes in NO<sub>x</sub>, we have further examined the weekend-weekday effect separately for each period as the differences between the week-end (Saturday and Sunday) and the weekday (Monday to Friday) of MDA8 concentrations (i.e.

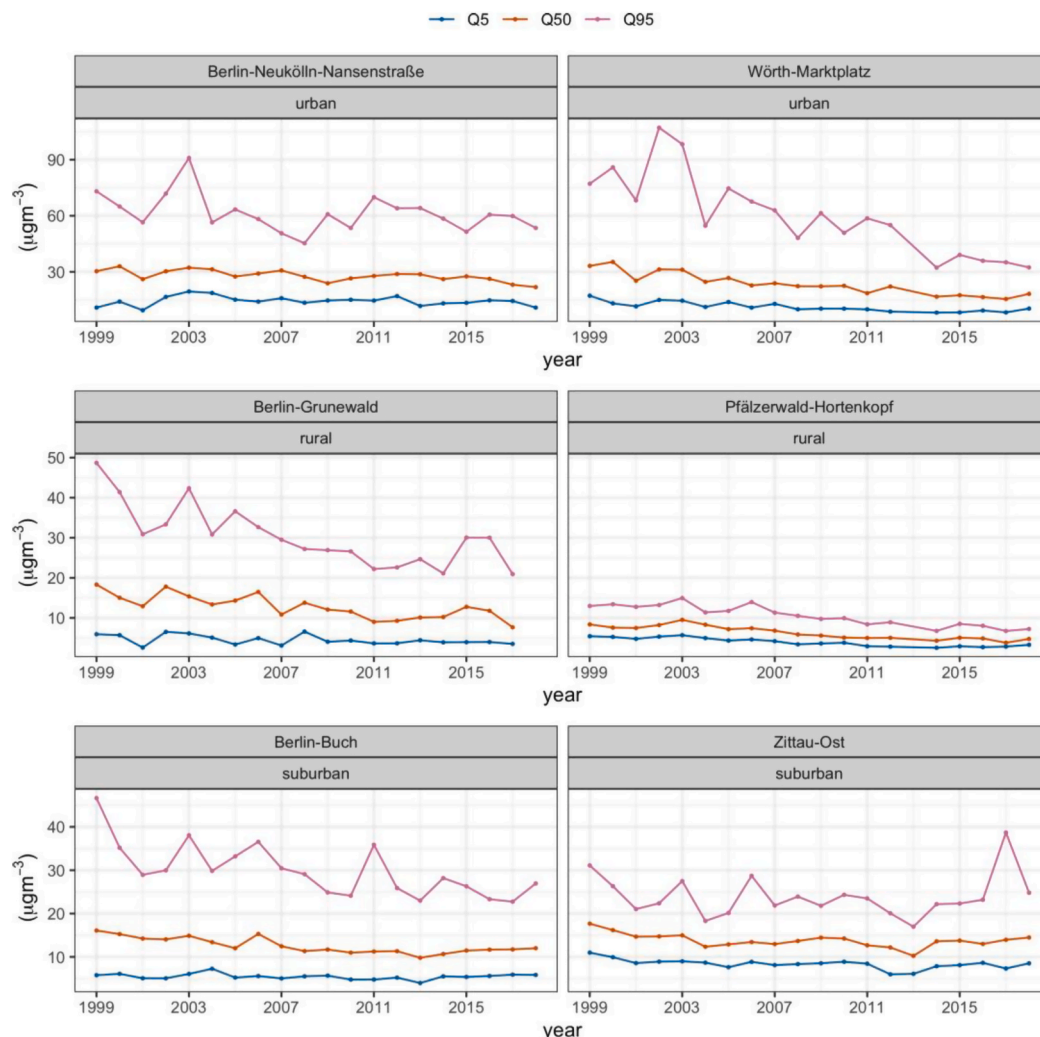


Fig. 2. Time series of annual 5th, 50th, and 95th percentile of NO<sub>x</sub> concentrations for the whole period of study (1999–2018) at the example stations.

$\Delta(\text{MDA8}_{\text{weekend}} - \text{MDA8}_{\text{weekday}})$ ) to elucidate the dominant chemistry regime. A positive weekend-weekday effect is consistent with a VOC-limited system, while a negative weekend-weekday effect is indicative of  $\text{NO}_x$ -limited system. We have examined the weekend-weekday effect at different temperature regimes, defined based on the percentiles of the temperature distribution: low (< 10th), low-moderate (10-50th), high-moderate (50-90th) and high (>90th) temperatures. We applied the nonparametric Mann-Whitney test to assess the statistical significance ( $p < 0.05$ ) of the weekend-weekday differences at each station and period (Sicard et al., 2020). Fig. 3 shows the weekend-weekday effect for each period and temperature bins. The weekend-weekday effect is strong at low temperatures in both periods (VOC-limited) but it is observed a general significant decreasing weekend-weekday effect at most of the stations during the second period. At low-moderate and high-moderate temperatures the weekend-weekday effect is in general lower in the second period, especially in the southwestern stations that are transitioning to  $\text{NO}_x$ -limited chemistry. At high temperatures the  $\text{NO}_x$ -limited regime dominates in both periods. Exceptions are found in some urban stations over the southwestern regions that show a transition from a VOC-limited regime in the first period to a  $\text{NO}_x$ -limited regime in the second period. On the contrary, during the second period the weekend-weekday effect increases in the northern stations (e.g. Berlin) that depict a VOC-limited chemistry. This analysis indicates that during the first period a VOC-limited chemistry dominated at most of the stations (including some rural stations) at low and moderate temperatures. However, we observe that a large number of stations are transitioning to  $\text{NO}_x$ -limited chemistry at all temperatures, in which  $\text{O}_3$  concentrations tend to decrease due to  $\text{NO}_x$  reductions. We can anticipate the

effectiveness of emissions reductions in those urban stations transitioning to a more  $\text{NO}_x$ -limited chemistry.

Fig. 4 shows the spatial distribution of the  $m_{\text{O}_3 \text{ T}}$  for each period and the changes in the slopes (relative to the first period). The highest values are found in the southwest stations during the first period 1999–2008 with  $m_{\text{O}_3 \text{ T}}$  5–6.5  $\mu\text{g m}^{-3} \text{ } ^\circ\text{C}^{-1}$ . Among these sites, urban stations show a higher sensitivity to temperature compared to suburban and rural stations. The lowest values of  $m_{\text{O}_3 \text{ T}}$  during the first period are observed in the north and eastern stations (4–5,  $\mu\text{g m}^{-3} \text{ } ^\circ\text{C}^{-1}$ ). Significant differences between the  $m_{\text{O}_3 \text{ T}}$  calculated for each period are observed in most of the stations, including some rural areas in the southern regions where the  $m_{\text{O}_3 \text{ T}}$  dropped  $-1.2 \mu\text{g m}^{-3} \text{ } ^\circ\text{C}^{-1}$  (Fig. 4, b). Only a few stations show similar values of  $m_{\text{O}_3 \text{ T}}$  in both periods (e.g. Berlin). Boleti et al. (2020) reported a general decreasing sensitivity of daily maximum of  $\text{O}_3$  with temperature for a shorter period (2000–2015) in regional clusters defined over Europe. They found larger trends in  $m_{\text{O}_3 \text{ T}}$  at high and moderate polluted clusters and they argued that it might be due to  $\text{NO}_x$  reductions. Here, we found a general decrease in  $m_{\text{O}_3 \text{ T}}$  obtained from long-term data across different environments (i.e. rural, urban and suburban). Our results also pointed out significant differences in the  $m_{\text{O}_3 \text{ T}}$  across stations, with some polluted areas where the  $m_{\text{O}_3 \text{ T}}$  did not show significant changes with time (e.g. Berlin). A priori it is not evident what the impact of  $\text{NO}_x$  reductions is in the  $\text{O}_3$  sensitivity to temperature, in particular in rural environments. Therefore, we next examine the variability of  $\Delta\text{O}_3$  as a function of temperature and  $\text{NO}_x$  in order to provide further insights into the nonlinear temperature-dependence of  $\text{NO}_x$  and the potential impacts on the observed  $m_{\text{O}_3 \text{ T}}$ .

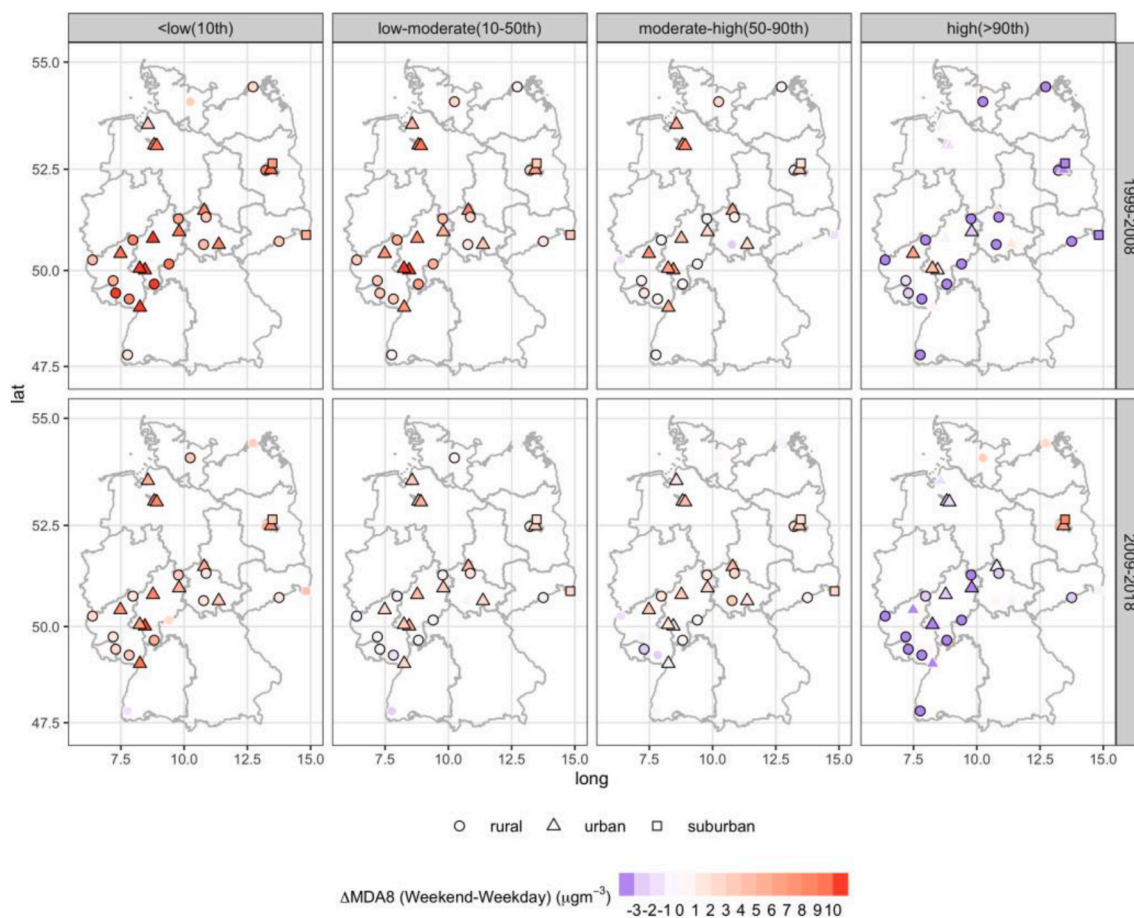
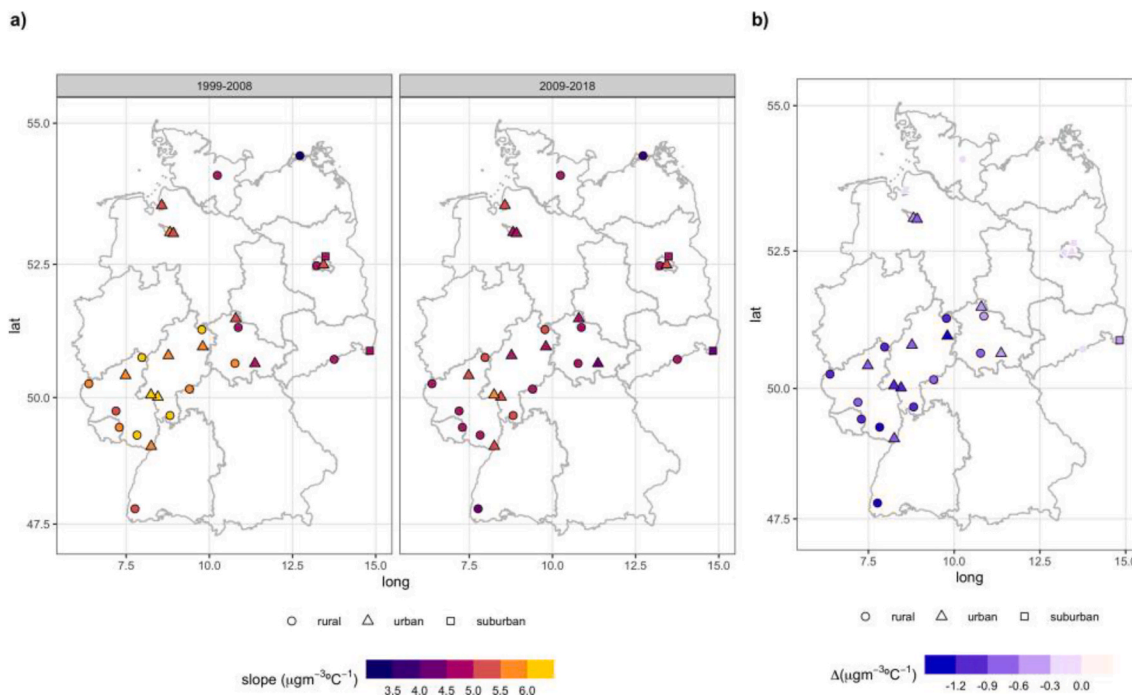


Fig. 3. Spatial distribution of the weekend-weekday effect calculated for each period and temperature regime: low (< 10th), low-moderate (10-50th), moderate-high (50-90th) and high (>90th). Black contours indicate stations with statistical significant weekend-weekday differences at the 0.05 significant level.



**Fig. 4.** Spatial distribution of climate penalty factor calculated at each stations and period. (a) and the changes in the slopes (relative to the first period) (b). In b), black contours indicate stations where differences in the climate penalty are statistically significant at the 0.05 significant level. of the interactions between the selected covariates is better suited for urban and suburban areas than for rural regions.

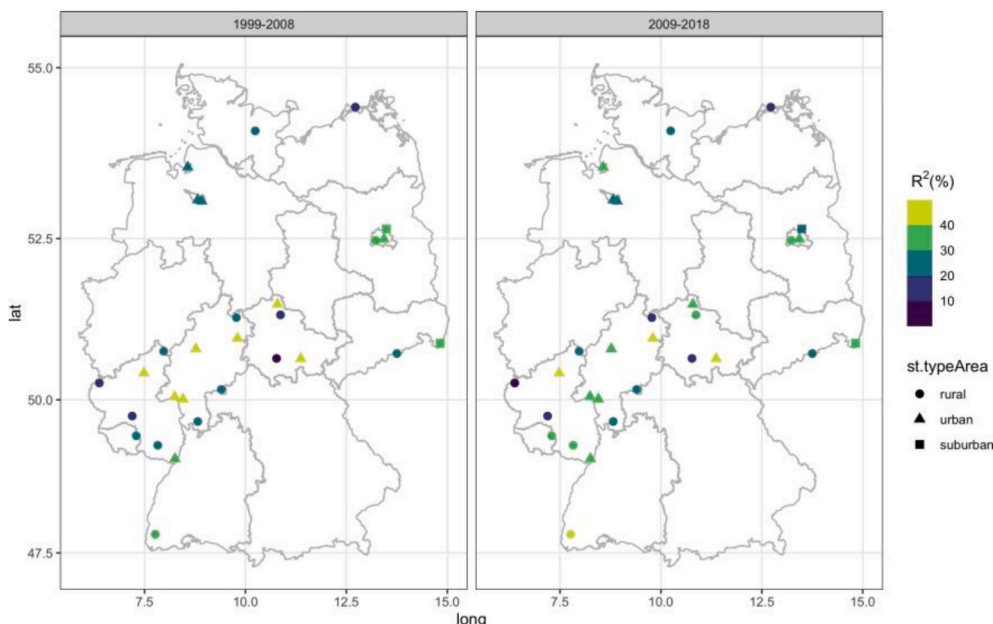
4.2. Model performance

As mentioned above, the final model obtained from the selection procedure includes three interaction terms to represent: 1) photochemical processes (temperature-NO<sub>x</sub>), 2) dry deposition (VPD-CO<sub>3</sub> (t-1)), and 3) mixing processes (ΔBLH-C<sub>MDA8</sub> (t-24)). The performance of the GAMs was assessed by the ad-justed r-squared for the model (R<sup>2</sup>), defined as the proportion of the variance explained (Fig. 5). Table S1 in the supplementary material provides the R<sup>2</sup> and the AIC values obtained at each station and period. The results showed similar R<sup>2</sup> values in both periods over most of the stations, with some exceptions where GAM-P1

seem to perform better than GAM-P2 (e.g. over the region of Hessen). In general, GAMs showed a better performance over urban and suburban stations and ~40% of the ΔO<sub>3</sub> variability was captured. The models performed poorly when applied to rural stations, they showed lower values of R<sup>2</sup>. This likely reflects that GAMs designed with the underlying assumptions.

4.3. Model interactions

Our approach is built upon a conceptual model (3) to evaluate the effect of chemical, deposition and dynamical-mixing processes affecting



**Fig. 5.** Spatial distribution of the adjusted r-squared, R<sup>2</sup>, for GAM-P1 (left) and GAM-P2 (right).



the  $O_3$  production. Given that  $\Delta O_3$  is modelled with GAMs separately at each station and period, a large number of interaction surfaces were obtained. Thus, we focus on a representative number of stations for each station type area (i.e. rural, urban and suburban). The example stations presented here were selected based on a relatively good performance of the model as well as the corresponding geographical location in order to examine the results from the previous section showing marked differences in the sensitivity of MDA8 to temperature. Figures showing the results obtained for the rest of stations are available in the supplementary material. Note that the contour plots presented below reflect the partial effects, which allow us to compare the effect of those covariates included in the interaction term without considering the intercept and the other covariates (e.g. Fig. 6). The summed effects that include the intercept and constant values for the others covariates not shown in the interaction surface, presented similar shapes but with the additive effect of those constant values (not shown). To estimate the predicted surfaces within a range of data sufficiently supported by the observations, we used the first and the third quantile of the distribution of the corresponding covariates for each station type area (urban, rural and suburban).

#### 4.3.1. $NO_x$ and temperature

Fig. 6 shows  $\Delta O_3$  as a function of  $NO_x$  concentrations and temperature for the example urban stations located in Berlin (Berlin-Neukölln-Nansenstraße) and in Rhineland-Palatinate (Wörth-Marktplatz) (see Fig. 1). Also shown in Fig. 6 are the estimated regression lines for temperature while holding constant  $NO_x$  concentrations (i.e. mean conditions of  $NO_x$  each period). As we aim to assess the impact of  $NO_x$  reductions in the  $O_3$ -temperature relationship, we also use the GAM-P1 to project the  $\Delta O_3$  response to temperature, as it has been estimated under the first period conditions, but using the mean  $NO_x$  concentrations of the second period 2009–2018. We predict  $\Delta O_3$  estimates for the second period 2009–2018 (i.e.  $NO_x$  conditions) using the GAM-P1 parameters. Examining the GAM-P1 projection for the second period 2009–2018 and the GAM-P2 estimations can provide useful insight into the changes in the  $\Delta O_3$  sensitivity to temperature when lowering  $NO_x$  concentrations.

The interaction surfaces obtained from both stations illustrate the

temperature dependence of  $\Delta O_3$  with increasing temperatures (Fig. 6, left). The temperature dependence of  $\Delta O_3$  is observed to vary with  $NO_x$ , but also with temperature in both stations. In Rhineland-Palatinate it can be noted that the regime change from VOC to  $NO_x$ -limited chemistry, in which  $\Delta O_3$  effectively decreases lowering  $NO_x$  concentrations, occurs at lower  $NO_x$  in the second period 2009–2018 compared to the first period 1999–2008 (Fig. 6). For example, the transition to  $NO_x$ -limited chemistry at higher temperatures ( $>23^\circ C$ ) occurs at lower values of  $NO_x$  ( $14 \mu g m^{-3}$ ) for the second period compared to the transition observed during the first period ( $20 \mu g m^{-3}$ ) for similar temperatures. On the contrary, the VOC-limited regime observed in Berlin is generally dominant,  $\Delta O_3$  decreases with increasing  $NO_x$  especially during the first period. In the second period the contours reveal a small region of  $NO_x$ -limited chemistry, but only when  $NO_x$  ranges between 20 and  $30 \mu g m^{-3}$  for the highest temperatures ( $25^\circ C$ ). These results are consistent with the decreasing weekend-weekday effect observed at Rhineland-Palatinate for the second period, but not found in Berlin (see Fig. 3), which indicates that emission reductions over time were more effective in Rhineland-Palatinate (e.g. in Rhineland-Palatinate  $NO_x$  declined by 35%, while in Berlin  $NO_x$  declined only by 7.5% in the second period). We examine the  $\Delta O_3$  response to temperature under the mean  $NO_x$  conditions for each period using GAM-P1 and GAM-P2 along with the prediction obtained from GAM-P1 that projects the  $\Delta O_3$  response in the second period 2009–2018 (prediction line in Fig. 6, right). This analysis is aimed to assess.

Whether the shapes of the regression lines that represent the relationship  $\Delta O_3$ -temperature change when comparing the periods. If the shapes from the projected GAM-P1 and GAM-P2 differ, we interpret that changes in  $NO_x$  are not enough to explain the observed changes in the  $\Delta O_3$ -temperature relationship.

In Berlin, the  $\Delta O_3$  response to temperature shows a similar increase with temperature in both periods. In this case, the GAM-P1 prediction for the second period 2009–2018 is in a good agreement with the shape obtained from GAM-P2, which suggest that a decreasing temperature sensitivity of  $\Delta O_3$  could be explained by  $NO_x$  reductions. The increase of  $\Delta O_3$  with temperature is also depicted in Rhineland-Palatinate. But, in Rhineland-Palatinate the prediction from GAM-P1 for the second period 2009–2018 reveals discrepancies at higher temperatures when

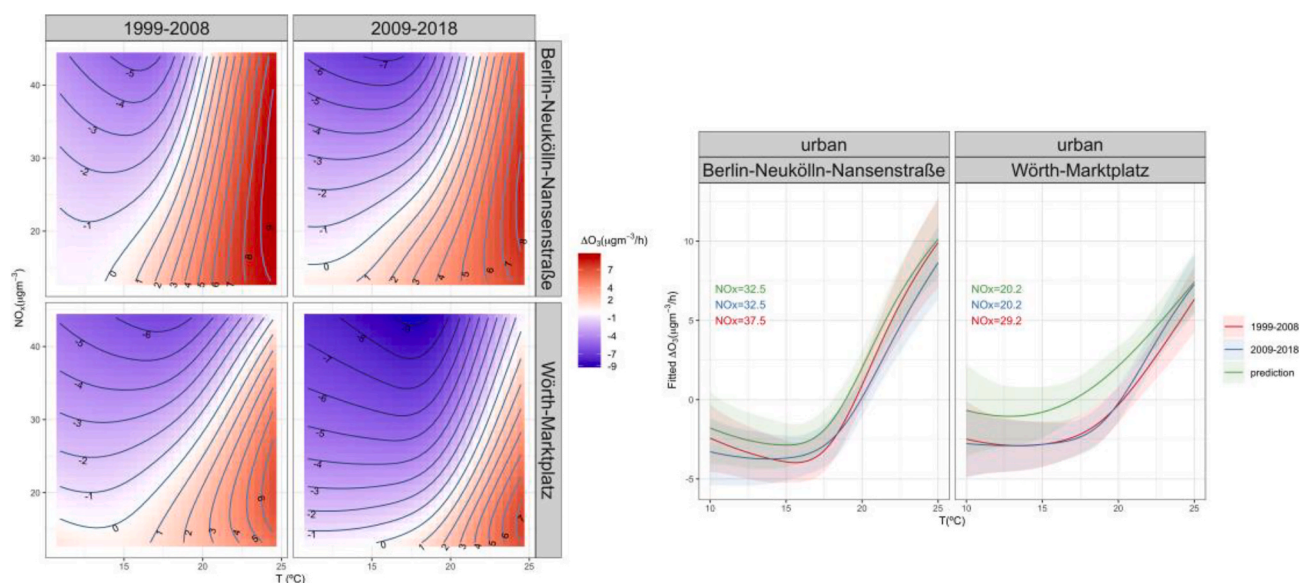


Fig. 6. Contour plot for the interaction temperature- $NO_x$  at the urban stations in Berlin (Berlin-Neukölln-Nansenstraße) and Rhineland-Palatinate (Wörth-Marktplatz) for the first period 1999–2008 and second period 2009–2018 (left). In the right panel, smooth functions representing the temperature response of  $O_3$  production rates under mean conditions of  $NO_x$  (indicated by the text numbers) obtained from GAM-P1 (red line) and GAM-P2 (blue line), along with the prediction of the  $O_3$  response using GAM-P1 (green line). Shaded bands represent the pointwise 95% confidence interval. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)



comparing to the  $\Delta O_3$  response from GAM-P2. It can be noted that the prediction from GAM-P1 for the second period (prediction line, Fig. 6) does not capture the steepness at temperatures above 20 °C showed by GAM-P2. Contrasting to the results in Berlin, the changes in the shape that represents the  $\Delta O_3$  as a function of temperature suggest that the  $NO_x$  reductions would only partially explain the observed changes in the  $O_3$ -temperature relationship, but rather an underlying effect is likely to influence the  $\Delta O_3$  at higher temperatures. We interpret that the changes in the shapes would indicate effective reductions of VOCs over time that played a significant role on controlling  $\Delta O_3$ .

We found similar features in the rest of the urban stations than in the example stations, with consistent interaction surfaces in terms of the  $\Delta O_3$  response to  $NO_x$  and the temperature dependence (Fig. S5). As in Rhineland-Palatinate, the regression lines were slightly different when comparing GAM-P2 and the projected  $\Delta O_3$  response under  $NO_x$  reductions (Fig. S6), which reinforce our hypothesis of an underlying factor influencing the  $\Delta O_3$ -temperature relationship.

We further assess the effect of the temperature and  $NO_x$  on  $\Delta O_3$  separately with GAM-P1 and GAM-P2 under fixed  $NO_x$  and temperature conditions determined as the 10th, 50th and 90th percentiles of the corresponding distributions.

Over the whole period of study (1999–2018). In contrast to the contour plots (Fig. 6), we now include the intercept and a constant value (i.e. median) for the rest of the covariates, in order to further examine the summed effects. Table 2 summarizes the values of the covariates for the selected urban stations. The shaded areas denote the 95% pointwise confidence intervals of the GAM estimates. It should be noted that the smooth functions show a major uncertainty in the regions with less data (i.e. in the tails of the presented ranges). Fig. 7 shows  $\Delta O_3$  as a function of temperature.  $\Delta O_3$  estimates are generally lower in the second period 2009–2018 under moderate (50th) and high (90th)  $NO_x$  concentrations for moderate temperatures (e.g. 20 °C) at both stations. It can be observed the decreasing temperature dependence of  $\Delta O_3$ , especially for high  $NO_x$  concentrations during the second period. Similarly, Fig. 8 illustrates the changes in the nonlinear relationship between  $\Delta O_3$  and  $NO_x$ . In general, at lower temperatures (10th)  $\Delta O_3$  decreases with increasing  $NO_x$  concentrations (VOC-limited), while it increases with increasing  $NO_x$  at high temperatures (90th) ( $NO_x$ -limited). We found that during the second period the regime change (i.e. to a  $NO_x$ -limited regime) occurs earlier at lower  $NO_x$  for high temperatures, particularly in Rhineland-Palatinate. In Berlin, the relationships are similar for both periods, but showing lower  $\Delta O_3$  estimates in the second period. At moderate temperatures (50th) only Rhineland-Palatinate shows peak ozone production and therefore a transition towards  $NO_x$ -limited chemistry during the second period. Such transition is not observed in the first period due to higher  $NO_x$  concentrations. Consistent with Fig. 6, in Berlin the regime transition during the second period is not observed as a result of high.

We observed a shift of the  $\Delta O_3$  peak towards lower  $NO_x$  concentrations at most of the urban stations during the second period, which indicates that those sites are near to a more  $NO_x$ -limited regime as a result of continued reductions of  $NO_x$  and concurrent VOC decreases. Ultimately, we infer effective VOC reductions that led to  $\Delta O_3$  decreases during the second period.

Fig. 9 depicts the interaction surfaces for two selected rural stations located in the same regions than the urban stations presented above,

Berlin (Berlin-Grünwald) and Rhineland-Palatinate (Pfälzerwald-Hortenkopf). The temperature dependence of  $\Delta O_3$  is stronger in the first period 1999–2008 compared to second period 2009–2018. The GAMs-P2 show a decreasing sensitivity of  $\Delta O_3$  to temperature and  $\Delta O_3$  is generally lower with increasing temperature under similar conditions of  $NO_x$ . We see similarities between the rural and urban stations in Berlin, in terms of the shape of the nonlinear relationship between temperature and  $NO_x$ , which is expected due to the proximity between both stations (Fig. 1). It must be noted that the Berlin-Grünwald has been categorised as a rural-near-city in the latest version of the Airbase dataset. In Berlin the regimes transition with temperatures is well observed in both periods: a  $NO_x$ -limited chemistry at higher temperatures and a VOC-limited chemistry at lower temperatures. We observed that at similar  $NO_x$  concentrations during the second period,  $\Delta O_3$  tends to decrease in the  $NO_x$ -limited regime (high temperatures) when comparing to the first period, while  $\Delta O_3$  increases in the VOC-limited regime (low temperatures). This suggests that  $NO_x$  reductions in the rural station of Berlin (declining by 28.8%) led to  $\Delta O_3$  decreases at higher temperatures in the second period. In Rhineland-Palatinate, the peak of  $\Delta O_3$  occurs at lower  $NO_x$  concentrations ( $< 8 \mu\text{g m}^{-3}$ ) in the second period than the peak observed in the first period ( $> 8 \mu\text{g m}^{-3}$ ), due to  $NO_x$  reductions (declining by 37%). The  $\Delta O_3$  as a function of temperature under  $NO_x$  mean conditions is also shown in Fig. 9 (right). For both rural stations, the shapes of the regression lines obtained from the GAM-P2 and the projected  $\Delta O_3$  response from GAM-P1 are different. In Rhineland-Palatinate the temperature dependence is considerably lower than in Berlin and a flat regression line is shown by GAM-P2 for the second period. The discrepancies found here point out that changes in VOCs have also influenced  $\Delta O_3$ . This is consistent with a dominant VOC-limited chemistry at low and moderate temperatures found for most of the stations during the first period, including rural stations (see Fig. 3), where changes in organic reactivity would have had a major influence. Overall, we found a larger variability among the rest of the rural stations considered in the study, in terms of the interaction surfaces  $NO_x$ -temperature (Fig. S7). The results show a decreasing temperature dependence of  $\Delta O_3$  during the second period at higher temperatures in some stations. This is also reflected in the estimated temperature response of  $\Delta O_3$  when comparing GAM-P2 and the projected response using GAM-P1 (Fig. S8).

As shown in Fig. 9 it can be observed the differences in the shapes of the regression lines when comparing both periods. This is reflected when examining the  $\Delta O_3$  response as a function of temperature under low (10th), medium (50th) and high (90th) levels of  $NO_x$  (Fig. S9). Such differences between the periods are more evident in Rhineland-Palatinate where the regression line corresponding to the second period 2009–2018 becomes flat at temperatures between 18 and 22 °C at moderate (50th) and high (90th)  $NO_x$  concentrations. In Berlin,  $\Delta O_3$  slightly decreases in the second period, and the regression lines are very similar at the fixed  $NO_x$  conditions in both periods. The variations of  $\Delta O_3$  with  $NO_x$  at different temperature conditions indicates a major decrease of  $\Delta O_3$  at moderate (50th) and high (90th) temperatures in the second period in Rhineland-Palatinate than in Berlin. Little changes of  $\Delta O_3$  with  $NO_x$  are observed at low temperatures (Fig. S10).

Only two suburban stations were included in this study, in Berlin and in Saxony (see Fig. 1), both eastward located. The contours obtained in each period and station showed similar patterns than those found for

**Table 2**

Median values of the covariates during the period first 1999–2008, and second period 2009–2018 for the example urban stations. Note that these values are obtained from the input data used for the GAMS (i.e. previously filtered).

Code	Station name	Period	$NO_x$	tas	$\Delta BLH$	VPD	$CO_2$ (t-1)	$^cMDA8^{(t-24)}$	type
DEBE034	Berlin-Neukölln-Nansenstraße	1999–2008	28.00	17.71	22.65	0.39	42.50	76.12	urban
DEBE034	Berlin-Neukölln-Nansenstraße	2009–2018	24.26	18.41	23.36	0.46	48.84	79.11	urban
DERP025	Worth-Marktplatz	1999–2008	21.07	18.82	24.13	0.53	52.00	90.12	urban
DERP025	Worth-Marktplatz	2009–2018	15.23	19.00	24.67	0.56	51.28	85.46	urban

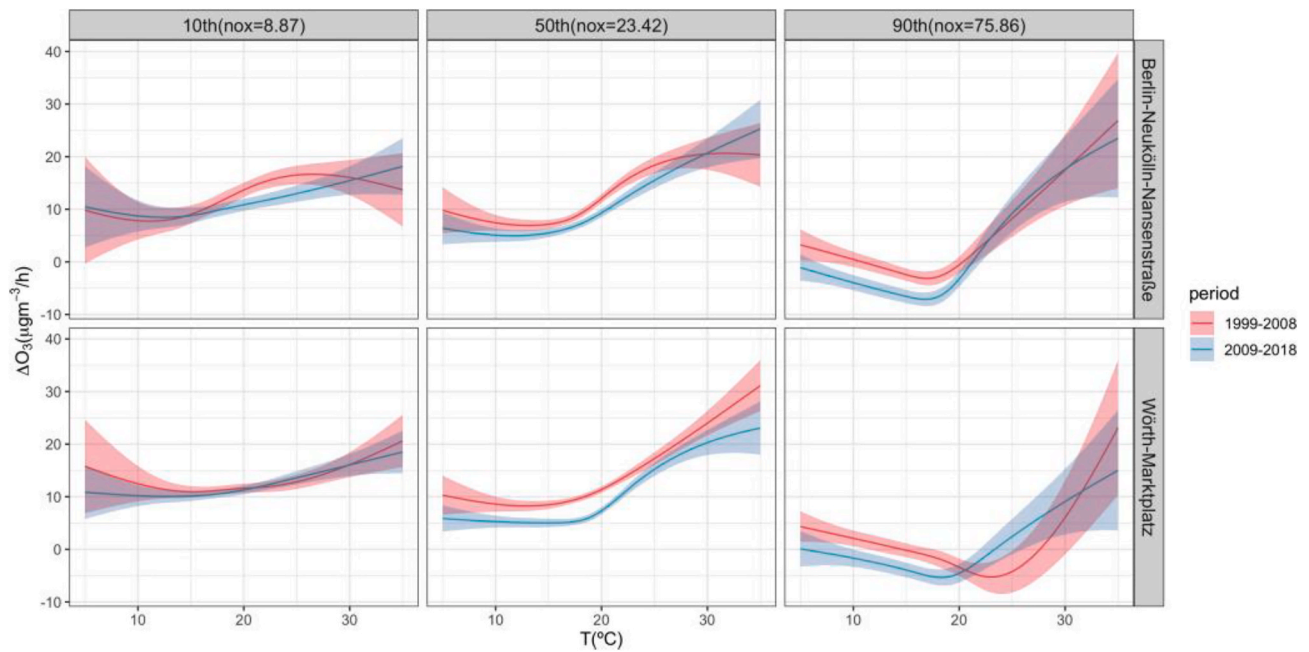


Fig. 7. Smooth functions for temperature at low (10th), medium (50th) and high (90th).  $NO_x$  conditions, which are detailed in each corresponding title. Shaded bands represent the pointwise 95% confidence interval.  $NO_x$  concentrations at such temperatures (50th).

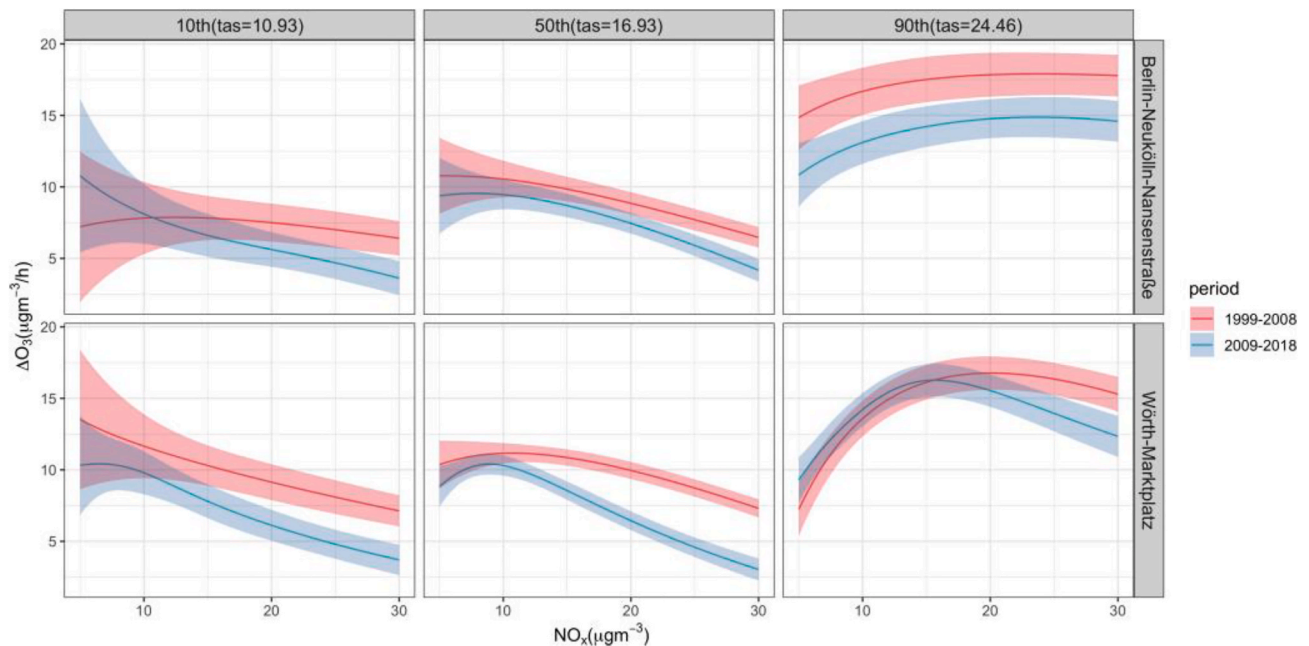


Fig. 8. Smooth functions for  $NO_x$  at low (10th), medium (50th) and high (90th) temperature conditions, which are detailed in each corresponding title. Shaded bands represent the pointwise 95% confidence interval.

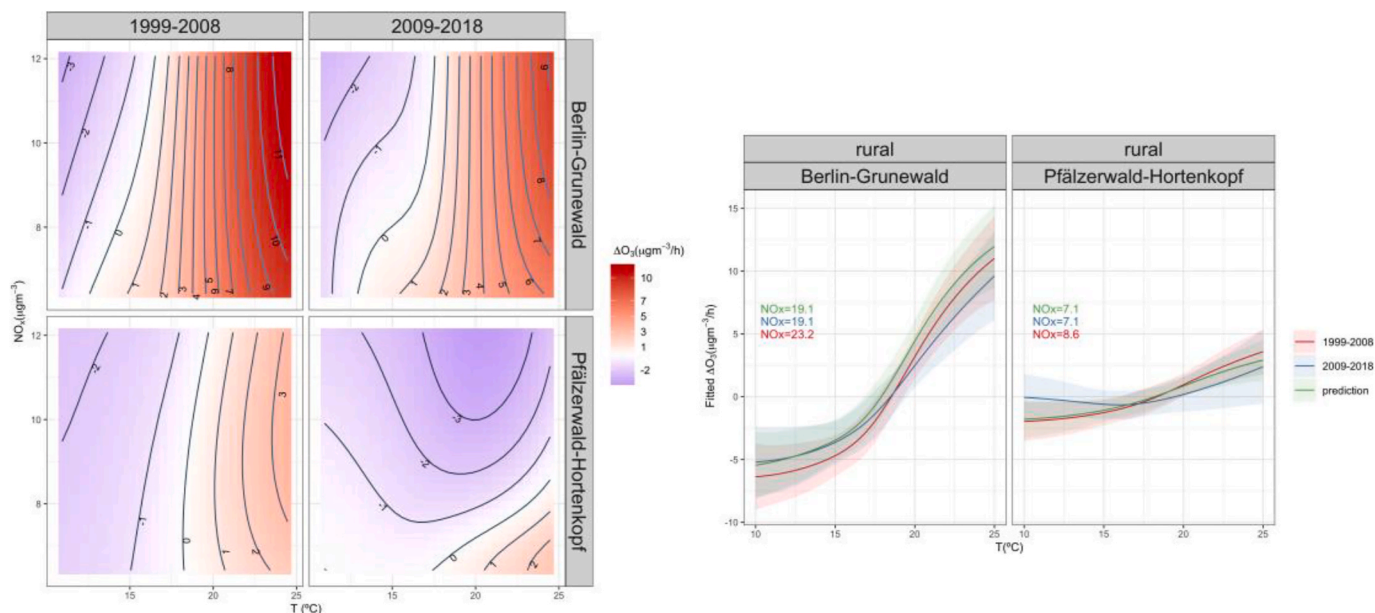
urban stations, especially in Berlin. The GAMs consistently reproduce the temperature dependence of  $\Delta O_3$  at higher temperatures and the differences between the GAM-P2 and the projected  $\Delta O_3$  response to temperature with GAM-P1 were more evident in Saxony (not shown).

#### 4.3.2. VPD and $O_3$ from the previous hour ( $C_{O_3}(t-1)$ )

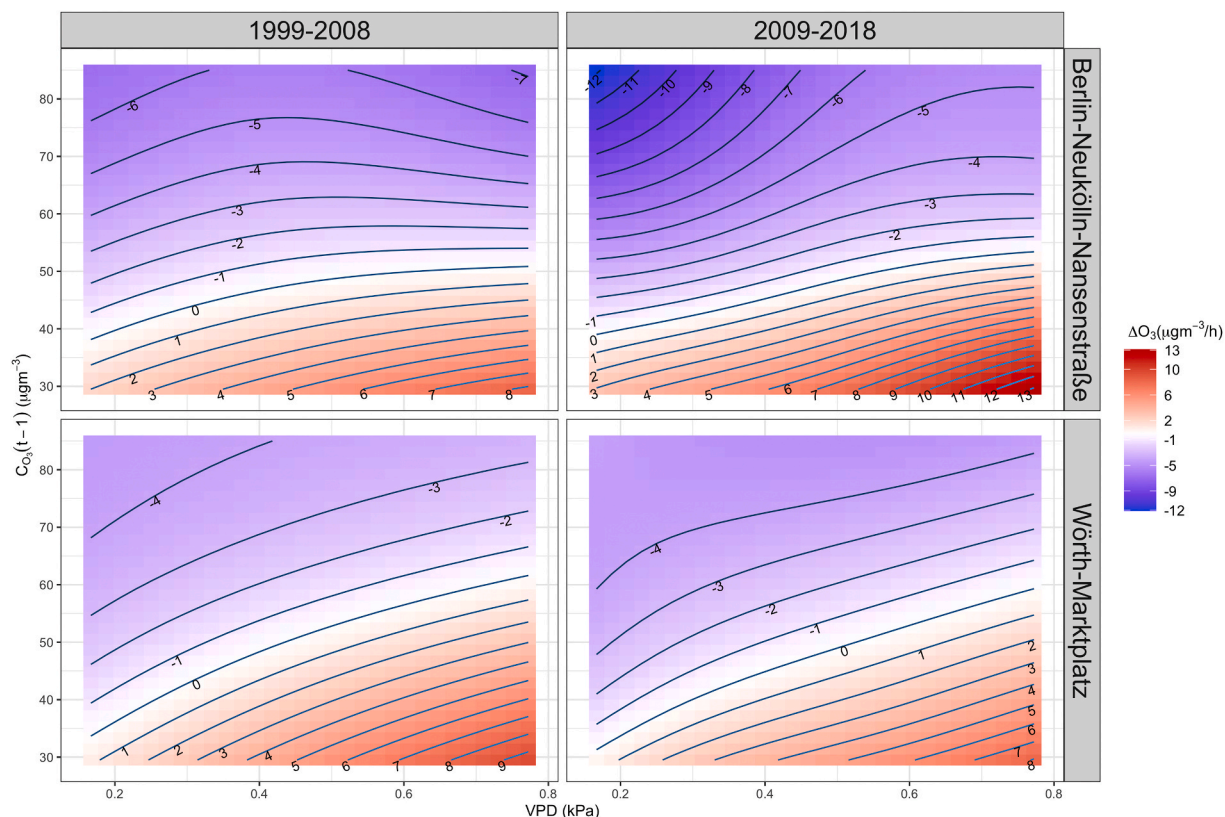
We discuss now the interaction term from VPD and  $C_{O_3}(t-1)$ . VPD is crucial and controls the stomatal conductance. Its effects can be summarised as follows: under high VPD levels (associated with high temperatures), plants cannot extract sufficient moisture from dry soils to satisfy the atmospheric demand for evapotranspiration (Teuling, 2018).

In this situation of drought stress, plants close their stomata to reduce water loss and limit the uptake of ozone by vegetation.

Fig. 10 reveals the nonlinear relationship between VPD and the  $C_{O_3}(t-1)$  at the selected urban stations in Berlin and in Rhineland-Palatinate. In general,  $\Delta O_3$  tends to increase with higher levels of VPD (i.e. drier conditions) and low  $O_3$  concentrations from the previous hour in both locations and periods. In the first period, the contribution of the interaction between VPD and persistent  $O_3$  concentrations is similar at both locations, and the model shows maximum  $\Delta O_3$  at  $C_{O_3}(t-1) < 30 \mu g m^{-3}$  and VPD  $> 0.70$  kPa. In Berlin, the results obtained from GAM-P2 suggest that higher levels of VPD and low  $C_{O_3}(t-1)$  ( $\sim 30 \mu g m^{-3}$ ) lead



**Fig. 9.** Contour plot for the interaction temperature-NOx at the rural stations in Berlin (Berlin-Grunewald) and Rhineland-Palatinate (Pfälzerald-Hortenkopf) for the first period 1999–2008 and second period 2009–2018 (left). In the right panel, smooth functions representing the temperature response of O<sub>3</sub> production rates under mean conditions of NO<sub>x</sub> (indicated by the text numbers) obtained from GAM-P1 (red line) and GAM-P2 (blue line), along with the prediction of the O<sub>3</sub> response using GAM-P1 (green line). Shaded bands represent the pointwise 95% confidence interval. . (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)



**Fig. 10.** Contour plot for the interaction VPD-CO<sub>3</sub> (t – 1) at the urban stations in Berlin (Berlin-Neukölln-Nansenstraße) and Rhineland-Palatinate (Wörth-Marktplatz) for the first. period 1999–2008 and second period 2009–2018.

to an increase of  $\Delta O_3$ , but the  $\Delta O_3$  tends to decrease faster with high  $C_{O_3}(t-1)$  concentrations (above  $50 \mu\text{gm}^{-3}$ ) when comparing to GAM-P1. The interaction surfaces obtained in Rhineland-Palatinate show small

changes 3 when comparing both periods.

In the case of the rural stations, we see large differences among the stations (Fig. S11).  $\Delta O_3$  dependence with VPD is more pronounced in



Rhineland-Palatinate, especially in the second period 2009–2018 with a larger increase of  $\Delta O_3$  with increasing VPD levels (i.e. drier conditions). In this case, GAM-P1 shows little changes in the estimated  $\Delta O_3$  ( $\sim 3 \mu\text{g m}^{-3}$ ) at low  $C_{O_3}$  (t-1) concentrations for all range of VPD, while the GAM-P2 shows a significant 3increase of  $\Delta O_3$  under similar  $C_{O_3}$  (t-1) concentrations when moving to higher VPD. In Berlin,  $C_{O_3}$  (t-1) concentrations seems to have a major influence on  $\Delta O_3$ , and  $\Delta O_3$  estimates are slightly lower in the second period 2009–2018 than in the first period 1999–2008. The interaction between VPD and  $C_{O_3}$  (t-1) in the suburban stations (Berlin and Saxony) is consistent with the patterns found in the urban and rural stations and  $\Delta O_3$  increases with higher VPD and low  $C_{O_3}$  (t-1) concentrations (not shown).

Our results illustrate that the combination of high VPD and lower  $C_{O_3}$  (t-1) concentrations result in higher  $\Delta O_3$  (thus, less uptake of  $O_3$ ). Moreover, given that  $O_3$  concentrations are typically lower in urban environments due to the local scavenging of  $O_3$  (NO titration), a larger contribution of the interaction of VPD and  $C_{O_3}$  (t-1) to  $\Delta O_3$  in the urban and suburban stations than in the rural stations is expected.

#### 4.3.3. $\Delta BLH$ and $MDA8$ from the previous day ( $C_{MDA8}(t-24)$ )

The effect of mixing processes was introduced in the GAMs through the  $\Delta BLH$  and  $C_{MDA8}$  (t-24). Fig. 11 depicts the interaction surfaces between the covariates  $\Delta BLH$  and  $C_{MDA8}$  (t-24) at the selected urban stations in Berlin and Rhineland-Palatinate. In general,  $\Delta O_3$  is mainly dependent on changes in  $\Delta BLH$  and it increases at higher  $\Delta BLH$ , while the influence of  $C_{MDA8}$  (t-24) on  $\Delta O_3$  is negligible for  $\Delta BLH$  sim < 30%. The results obtained from most of the stations at different environments (i.e. urban, rural and suburban) showed consistent shapes with the patterns described for the selected stations (not shown).

These interaction surfaces can be used to interpret the nonlinear relationship between  $\Delta BLH$  and  $C_{MDA8}$  (t-24) concentrations. As BLH grows, air is entrained from layers aloft and  $O_3$  production rates can increase or decrease depending on the  $O_3$  concentrations in this residual

layer (Haman et al., 2014). We show that a rapid development of the BLH along with high  $C_{MDA8}$  (t-24) (from the previous day), likely stored at the residual layer, lead to an increase of  $\Delta O_3$ . Note that  $C_{MDA8}$  (t-24) concentrations seems to have an influence on  $\Delta O_3$  when the BLH rapidly changes. These results are consistent with previous studies that showed the importance of  $O_3$  persistence for high  $O_3$  levels (Otero et al., 2016). The effect of this interaction was slightly larger in most of the urban and suburban stations as compared to the rural stations (not shown), while small differences are observed when comparing the patterns obtained from each period.

## 5. Discussion

Despite substantial reductions of emission of  $O_3$  precursors achieved over the past decades, high  $O_3$  levels still remain a concern in Europe (EEA, 2019, 2020). While several studies showed a decreasing climate penalty of  $O_3$  as a result of emission controls (Bloomer et al., 2009; Lin et al., 2020; Boleti et al., 2020), due to its strong temperature dependence, further emissions controls might be required as the climate penalty can be aggravated under a warmer climate (Jing et al., 2017; Lin et al., 2020). Our study provides a comprehensive observational-based analysis of the  $O_3$  sensitivity to temperature and how  $NO_x$  reductions have influenced the observed changes of the  $O_3$ -temperature relationship. We focused on Germany where temperature is a key driving factor of  $O_3$  in summertime (Otero et al., 2018). A total of 29 stations were selected for the period 1999–2018, which was further divided in two 10-year periods (1999–2008, 2009–2018). While the period selection was mainly limited by the availability of co-located data of both  $O_3$  and  $NO_x$ , the subdivision of a 10-year period allowed us to assess the impact emissions controls on the temperature dependence of  $O_3$ . Moreover, as observed changes of local  $O_3$  behaviour in a 10-year period are likely driven by short-term emission reductions of its precursors ( $NO_x$  and VOCs) (Wolff et al., 2013; Sicard et al., 2020), our approach provides

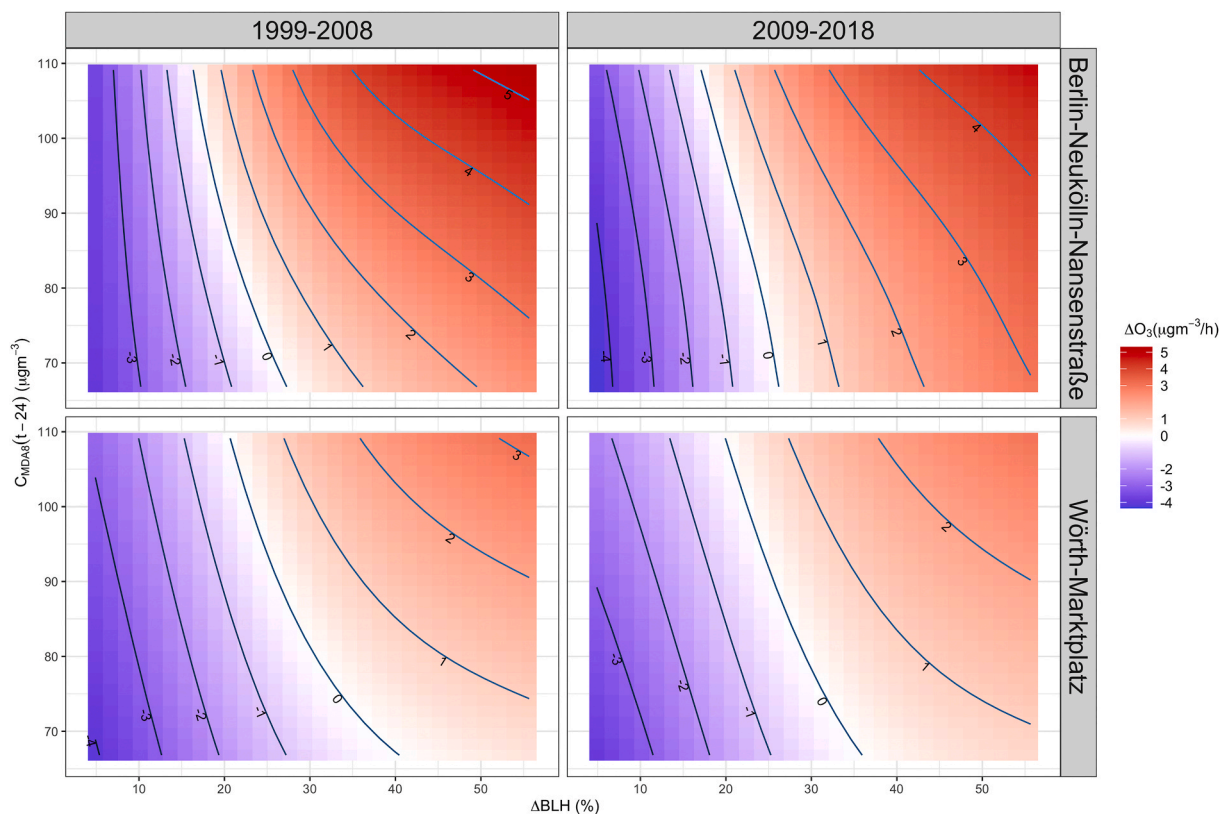


Fig. 11. Contour plot for the interaction  $\Delta BLH$ - $C_{MDA8}$  (t-24) at the urban stations in Berlin (Berlin-Neukölln-Nansenstraße) and Rhineland-Palatinate (Wörth-Marktplatz) for the first period 1999–2008 and second period 2009–2018.



further insights into the effectiveness of emissions control for mitigating the climate penalty.

We found a general downward trend of the 95th percentile of NO<sub>x</sub> concentrations during the complete period of study, with the most dramatic decrease during the first decade (1999–2008). In contrast, no clear and flat trends were observed in the 50th and 5th percentiles of NO<sub>x</sub> over the whole period of study. Consistent with previous work, we saw a decreasing sensitivity of O<sub>3</sub> to temperature over time, coinciding with decreasing trends in high NO<sub>x</sub> concentrations. A wide number of studies showed that changes in the weekend-weekday effect can explain the transition between O<sub>3</sub> regimes (de Foy et al., 2020; Sicard et al., 2020; Abeleira and Farmer, 2017). Our results showed a weaker weekend-weekday effect during the second period, especially at moderate temperatures (Fig. 3).

While at higher temperatures most of the stations showed a dominant NO<sub>x</sub>-limited regime in both periods, we found some exceptions (e.g. Berlin) with an increase of the weekend-weekday effect during the second period, suggesting that stronger control strategies should be required there. In contrast with most of previous studies that analysed O<sub>3</sub> production (Pusede et al., 2014; Coates et al., 2016; Romer et al., 2018), we proposed a simplified statistical modelling framework based on Generalized Additive Models (GAMs) to model the daily increase in O<sub>3</sub> concentrations as a function of three interaction terms accounting for photochemical production (dependent on NO<sub>x</sub> and temperature), dry deposition (dependent on vapor pressure deficit and ozone concentrations from the previous hour) and mixing processes (dependent on the boundary layer height growth rate, and ozone concentrations from the previous day).

In most of the stations, the effect of the interaction term NO<sub>x</sub>-temperature was larger in the first period than in the second period, resulting in higher ΔO<sub>3</sub> estimates in 1999–2008 compared to ΔO<sub>3</sub> estimates in 2009–2018. A decreasing sensitivity of ΔO<sub>3</sub> to temperature was shown by the GAMs built for the second period 2009–2018 when comparing with the GAMs from the first period 1999–2008, leading to lower ΔO<sub>3</sub> values under moderate-high temperatures in the second period. This decreasing temperature sensitivity was more pronounced in the southward urban stations (e.g. Rhineland-Palatinate). Moreover, we found that in a large number of stations the peak of ΔO<sub>3</sub> shift to lower NO<sub>x</sub> concentrations in the second period, which indicates the transition to NO<sub>x</sub>-limited chemistry, consistent with a weaker weekend-weekday effect showed in second period, in particular at moderate and high temperatures. The observed decreasing trend in the climate penalty over the southwestern stations indicates that NO<sub>x</sub> reductions were more effective in decreasing the temperature sensitivity of O<sub>3</sub> at higher temperatures. This was not the case for some of the stations (e.g. Berlin) where the climate penalty did not show significant changes over time.

Using the GAMs derived from the first period 1999–2008 to project the ΔO<sub>3</sub> response to temperature under the mean NO<sub>x</sub> conditions of the second period 2009–2018, we showed that the shape of the regression lines have changed in the second period for a large number of urban stations, pointing out the contribution of emissions from VOC that also influence ΔO<sub>3</sub>. Similar conclusions were obtained for most of the rural stations, where the shape of the projected ΔO<sub>3</sub> response with temperature in the second period 2009–2018 differ from the estimated ΔO<sub>3</sub> response from the GAMs built for that period.

The temperature-dependence of biogenic VOC emissions is well-known. In particular, biogenic isoprene emissions have a strong temperature dependence with critical implications on O<sub>3</sub> production, mostly during warmer summer days. Therefore, one plausible explanation for the changes in the shapes of the ΔO<sub>3</sub>-temperature relationship might be attributed to the accompanying effect of changes in biogenic emissions (along with NO<sub>x</sub>) that are likely influencing the temperature-dependence of ΔO<sub>3</sub> and consequently the m<sub>O<sub>3</sub>T</sub>. We have shown a general decrease of ΔO<sub>3</sub> at higher temperatures, which may suggest that enhanced temperature-driven biogenic emissions can result in ΔO<sub>3</sub> being more dependent of NO<sub>x</sub> (NO<sub>x</sub>-limited) and thus, more sensitive to

the NO<sub>x</sub> controls. Our results have important implications for the implementation of mitigation strategies, specially when considering the effects of a warming climate. We expect that the methodology described herein can be applied to other locations with available long-term measurements to assess how NO<sub>x</sub> reductions have influenced the temperature dependence of O<sub>3</sub>. Consistent with previous work, we may anticipate that our approach will show changes in the climate penalty factor as well as in the sensitivity of ΔO<sub>3</sub> with temperature. Further analysis to examine in more detail the effect of NO<sub>x</sub> reductions in particular locations should be required.

## 6. Conclusions

We conclude that the emissions controls of O<sub>3</sub> precursors have been generally effective at a large number of the stations used in this study that showed a tendency to move to a NO<sub>x</sub>-limited chemistry with time.

While our results point out effective reductions of NO<sub>x</sub> and VOCs that led to a decreasing O<sub>3</sub> sensitivity to temperature, they suggest that further emissions controls, likely targeting VOCs due a dominant VOC-limited regime (e.g. Figs. 3 and 6), should be applied in a few stations of the study to counteract the O<sub>3</sub> climate penalty.

In summary, the sensitivity of O<sub>3</sub> to temperature has decreased during the last period (2009–2018) over a great number of the German stations considered in the study, including rural areas. Even though NO<sub>x</sub> reductions accomplished during the last decades have partially counteracted the O<sub>3</sub> climate penalty, our study highlights the relevance of considering the influence of additional factors controlling the O<sub>3</sub>-temperature relationship. Since observations of long-term dataset of VOCs are lacking, further analysis including short-term measurements of a suite of VOCs would be definitively required to quantify their contribution to the observed changes in the climate penalty.

## Data availability

Observational ozone data used in this study are available at the Airbase database of the European Environment Agency (EEA) data service: <https://www.eea.europa.eu/data-and-maps/data/aqereporting-8>.

The ERA5 reanalysis products are available on the Climate Data Store (CDS) cloud server: <https://cds.climate.copernicus.eu>.

## CRediT authorship contribution statement

**Noelia Otero:** Investigation, Methodology, Software, Data curation, Writing – original draft. **Henning W. Rust:** Methodology, Writing – review & editing. **Tim Butler:** Conceptualization, Supervision, Writing – review & editing.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.atmosenv.2021.118334>.

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