Optische Tomographie basierend auf der Gleichung für Strahlungstransport

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von

Alexander Klose

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Betreuer: Prof. A.H. Hielscher, PhD

Erster Gutachter: Prof. Dr. J. Beuthan

Zweiter Gutachter: Prof. Dr. K.D. Kramer

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Optical Tomography Based on the Equation of Radiative Transfer

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by

Alexander Klose

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Abstract

Optical tomography is a non-invasive medical imaging modality that utilizes measurements of transmitted near-infrared light to reconstruct the distribution of optical properties inside the human body. Clinical studies are currently being conducted that use this imaging technique for the determination of blood oxygenation, functional imaging of brain activities, and early diagnosis of rheumatoid arthritis in finger joints. These studies incorporate the fact that optical properties are closely related to physiological and pathological differences between healthy and diseased human tissue types. The instrumentation for highly precise measurements of light intensities is nowadays widely available. However, the development of algorithms that efficiently transform these measurements into accurate cross-sectional images of optical parameters remains a major challenge.

The majority of currently applied image reconstruction schemes rely on the validity of the diffusion equation for the description of light propagation in tissue. Unfortunately, the diffusion equation does not accurately describe light propagation in media that contain low-scattering regions, such as the cerebrospinal fluid that cushions the brain or the synovial fluid that lubricates joints. Therefore, the usefulness of diffusion-theory-based image reconstruction algorithms is questionable.

This work addresses these shortcomings by developing a novel model-based iterative image reconstruction scheme for optical tomography. It consists of two major parts:

(1) a forward model for light propagation and (2) an inverse model. The forward model predicts the detector readings on the tissue boundary given a source and distribution of optical parameters inside the medium. The equation of radiative transfer, unlike the diffusion equation, describes correctly as a forward model the photon propagation in turbid

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media containing low-scattering areas. In contrast, the inverse model determines the optical parameters inside tissue, given a set of detector readings on the boundary of tissue. The inverse model is viewed as a nonlinear optimization problem. The measured fluence on the boundary is compared to the predicted detector readings by defining an objective function. The objective function is iteratively minimized by a nonlinear conjugate gradient technique, or by quasi-Newton methods. These techniques use the first derivative of the objective function with respect to the optical parameters for calculating search directions towards the minimum. Forward and inverse model are iteratively employed until self-consistency is reached.

A major obstacle is the computationally efficient calculation of the first derivative of the objective function within the inverse model. We calculate the derivative by utilizing an adjoint differentiation technique that is a particular numerical implementation of an adjoint model. We apply the adjoint differentiation technique for the first time to the equation of radiative transfer.

Two-dimensional images of the scattering and absorption coefficients are reconstructed by using experimental data. Never before executed, cross-sectional images of scattering phantoms with water-containing areas are reconstructed. Furthermore, we show reconstructed sagittal images of optical parameters of a human finger joint. We emphasize the potential application for the early diagnosis of rheumatoid arthritis in a numerical study.

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Glossary of Notation

Mathematical and physical notations:

```
\mathbb{R}
                                                                                                                      set of real numbers
                                                                                                                     scalar
\boldsymbol{x}
                                                                                                                      absolute value of scalar
|x|
                                                                                                                      vector
                                                                                                                      element of vector
x_{i}
                                                                                                                      unit vector
egin{array}{l} \langle oldsymbol{x}, oldsymbol{y} 
angle = \sum_{\mathrm{i}} x_{\mathrm{i}} y_{\mathrm{i}} \ \| oldsymbol{x} \parallel olds
                                                                                                                      inner product
                                                                                                                      Euclidean norm
 f, g
                                                                                                                      function
(f \circ g)(x) = f(g(x))
                                                                                                                      composition
                                                                                                                      matrix
oldsymbol{A}^*
                                                                                                                      adjoint matrix
 A^T
                                                                                                                      transposed matrix
 I
                                                                                                                      identity matrix
 \mathcal{J}, \mathcal{G}
                                                                                                                       Jacobian matrix
\mathcal{B}
                                                                                                                       approximate Hessian matrix
 \mathcal{H}
                                                                                                                       approximate inverse Hessian matrix
\delta x
                                                                                                                      variation
                                                                                                                      finite difference
 \Delta x
                                                                                                                      total derivative
 \frac{\frac{\alpha}{dx}}{\frac{\partial}{\partial x}}
                                                                                                                      partial derivative
                                                                                                                      overrelaxation parameter
                                                                                                                      search direction
                                                                                                                      search direction of the k-th iteration step
oldsymbol{u}_{
m k}
                                                                                                                     step length
\alpha
                                                                                                                      azimuthal angle
 \varphi
θ
                                                                                                                      polar angle
x, y, z
                                                                                                                      Cartesian coordinates
\boldsymbol{r}(x,y,z)
                                                                                                                      spatial coordinate
\boldsymbol{\omega}(\varphi, \vartheta)
                                                                                                                      angular direction
\tilde{\boldsymbol{\omega}}(\varphi)
                                                                                                                      angular direction in x - y plane
                                                                                                                      discrete ordinate in x-y plane
	ilde{oldsymbol{\omega}}_{
m k}
                                                                                                                      enclosed angle between two directions \omega and \omega'
\psi(\boldsymbol{r}, \boldsymbol{\omega})
                                                                                                                      radiance
                                                                                                                      radiance at grid point (i,j) with ordinate k
 \psi_{
m kij}
                                                                                                                      radiance of the z-th iteration step
 \psi_{
m kii}^{
m z}
                                                                                                                      at grid point (i,j) with ordinate k
\psi
                                                                                                                       vector of radiance
oldsymbol{\psi}^{\mathrm{z}}
                                                                                                                       vector of radiance of z-th iteration step
```

Mathematical and physical notations:

$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$\phi(m{r})$	fluence
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	• •	
$\begin{array}{c} \mu_s \\ \mu_s' \\ \mu_a' \\ \\ \mu_a \\ \\ \\ \mu_b \\ \\ \\ \mu_b \\ \\ \\ \\ \\ \mu_b \\ \\ \\ \\ \\ \mu_b \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\$	_	,,
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	·	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	μ'_{s}	
$\begin{array}{c} \mu \\ \mu_0 \\ \nu_0 \\ \nu$	-	_
μ_k vector of optical parameters at the k-th iteration step μ_s vector of scattering coefficients μ_a vector of absorption coefficients g anisotropy factor p scattering phase function \tilde{p} normalized scattering phase function in $x-y$ plane n refractive index T transmissivity R reflectivity c speed of light v^i i-th individual of population σ strategy parameter ν number of individuals in parent population χ number of individuals in offspring population $\gamma(1)$ rate of progress of the last 1 generations m vector of measurement data p vector of predicted data Φ objective function (as a function of p) $\tilde{\phi}$ vector of predicted data Φ objective function (as a function of p) $\tilde{\phi}$ value of the objective function F forward model ρ_a correlation coefficient ϕ_b deviation factor Σ scaling factor Σ number of source-detector pairs Σ number of grid points along the x -axis Σ number of grid points along the y -axis Σ number of grid points for μ_s and μ_a Σ number of ordinates	·	vector of optical parameters
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$oldsymbol{\mu}_0$	vector of initial guess of optical parameters
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\mu_{ m k}$	vector of optical parameters at the k-th iteration step
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	μ_s	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	μ_a	vector of absorption coefficients
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	g	anisotropy factor
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\stackrel{-}{p}$	scattering phase function
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$ ilde{p}$	normalized scattering phase function in $x - y$ plane
R reflectivity c speed of light v^i i-th individual of population σ strategy parameter ν number of individuals in parent population λ number of individuals in offspring population $\gamma(1)$ rate of progress of the last 1 generations m vector of measurement data p vector of predicted data Φ objective function (as a function of μ) $\tilde{\Phi}$ objective function (as a function of p) $\tilde{\phi}$ value of the objective function F forward model ρ_a correlation coefficient ρ_b deviation factor χ scaling factorDnumber of source-detector pairsInumber of grid points along the x -axisJnumber of grid points along the y -axisNnumber of grid points for μ_s and μ_a Knumber of ordinates	n	refractive index
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	T	${ m transmissivity}$
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	R	reflectivity
$\begin{array}{lll} \sigma & & & & & & \\ \nu & & & & & \\ number of individuals in parent population \\ \lambda & & & & & \\ number of individuals in offspring population \\ \gamma(1) & & & & \\ rate of progress of the last 1 generations \\ m & & & \\ vector of measurement data \\ p & & & \\ vector of predicted data \\ \Phi & & & \\ objective function (as a function of \mu) \\ \tilde{\Phi} & & \\ objective function (as a function of p) \tilde{\varphi} & & \\ value of the objective function \\ F & & \\ forward model \\ \rho_a & & \\ correlation coefficient \\ \rho_b & & \\ deviation factor \\ \chi & & \\ scaling factor \\ D & & \\ number of source-detector pairs \\ I & & \\ number of grid points along the x-axis \\ J & & \\ number of grid points for μ_s and μ_a \\ K & & \\ number of ordinates \\ \end{array} $	c	speed of light
number of individuals in parent population λ number of individuals in offspring population $\gamma(1)$ rate of progress of the last 1 generations m vector of measurement data p vector of predicted data Φ objective function (as a function of μ) objective function (as a function of p) value of the objective function p value of the objective function of p value of	$oldsymbol{v}^{ ext{i}}$	i-th individual of population
$\begin{array}{llllllllllllllllllllllllllllllllllll$	σ	strategy parameter
$\begin{array}{lll} \gamma(l) & \text{rate of progress of the last l generations} \\ \boldsymbol{m} & \text{vector of measurement data} \\ \boldsymbol{p} & \text{vector of predicted data} \\ \boldsymbol{\Phi} & \text{objective function (as a function of } \boldsymbol{\mu}) \\ \boldsymbol{\tilde{\Phi}} & \text{objective function (as a function of } \boldsymbol{p}) \\ \boldsymbol{\tilde{\varphi}} & \text{value of the objective function} \\ \boldsymbol{F} & \text{forward model} \\ \boldsymbol{\rho}_a & \text{correlation coefficient} \\ \boldsymbol{\rho}_b & \text{deviation factor} \\ \boldsymbol{\chi} & \text{scaling factor} \\ \boldsymbol{D} & \text{number of source-detector pairs} \\ \boldsymbol{I} & \text{number of grid points along the x-axis} \\ \boldsymbol{J} & \text{number of grid points along the y-axis} \\ \boldsymbol{N} & \text{number of ordinates} \\ \boldsymbol{K} & \text{number of ordinates} \end{array}$	u	number of individuals in parent population
m vector of measurement data p vector of predicted data Φ objective function (as a function of μ) $\tilde{\Phi}$ objective function (as a function of p) $\tilde{\varphi}$ value of the objective function F forward model ρ_a correlation coefficient ρ_b deviation factor χ scaling factorDnumber of source-detector pairsInumber of grid points along the x -axisJnumber of grid points along the y -axisNnumber of grid points for μ_s and μ_a Knumber of ordinates	λ	number of individuals in offspring population
$\begin{array}{lll} \boldsymbol{p} & \text{vector of predicted data} \\ \boldsymbol{\Phi} & \text{objective function (as a function of } \boldsymbol{\mu}) \\ \boldsymbol{\tilde{\Phi}} & \text{objective function (as a function of } \boldsymbol{p}) \\ \boldsymbol{\tilde{\varphi}} & \text{value of the objective function} \\ \boldsymbol{F} & \text{forward model} \\ \boldsymbol{\rho_a} & \text{correlation coefficient} \\ \boldsymbol{\rho_b} & \text{deviation factor} \\ \boldsymbol{\chi} & \text{scaling factor} \\ \mathbf{D} & \text{number of source-detector pairs} \\ \mathbf{I} & \text{number of grid points along the } \boldsymbol{x}\text{-axis} \\ \mathbf{J} & \text{number of grid points along the } \boldsymbol{y}\text{-axis} \\ \mathbf{N} & \text{number of grid points for } \boldsymbol{\mu_s} \text{ and } \boldsymbol{\mu_a} \\ \mathbf{K} & \text{number of ordinates} \\ \end{array}$	$\gamma(1)$	rate of progress of the last 1 generations
objective function (as a function of μ) objective function (as a function of p) value of the objective function forward model objective function (as a function of p) value of the objective function forward model objective function (as a function of p) value of the objective function forward model objective function (as a function of p) value of the objective function of p forward model occurrently correlation coefficient deviation factor scaling factor number of source-detector pairs number of grid points along the x -axis number of grid points along the y -axis number of grid points for μ_s and μ_a K	m	vector of measurement data
$\begin{array}{lll} \tilde{\Phi} & \text{objective function (as a function of } \boldsymbol{p}) \\ \tilde{\varphi} & \text{value of the objective function} \\ F & \text{forward model} \\ \rho_a & \text{correlation coefficient} \\ \rho_b & \text{deviation factor} \\ \chi & \text{scaling factor} \\ D & \text{number of source-detector pairs} \\ I & \text{number of grid points along the } x\text{-axis} \\ J & \text{number of grid points along the } y\text{-axis} \\ N & \text{number of grid points for } \mu_s \text{ and } \mu_a \\ K & \text{number of ordinates} \\ \end{array}$	\boldsymbol{p}	vector of predicted data
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$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$ ilde{\Phi}$	objective function (as a function of p)
$egin{array}{lll} ho_a & { m correlation coefficient} \ ho_b & { m deviation factor} \ ho_b & { m scaling factor} \ ho_b & { m scaling factor} \ ho_b & { m scaling factor} \ ho_b & { m number of source-detector pairs} \ ho_b & { m number of grid points along the x-axis} \ ho_b & { m number of grid points along the y-axis} \ ho_b & { m number of grid points for μ_s and μ_a} \ ho_b & { m number of ordinates} \ \ho_b & { m number of ordinates} \ \ho_$	$ ilde{arphi}$	value of the objective function
$ ho_b$ deviation factor $ ho_b$ scaling factor $ ho$ number of source-detector pairs $ ho$ number of grid points along the x -axis $ ho$ number of grid points along the y -axis $ ho$ number of grid points for μ_s and μ_a $ ho$ number of ordinates	F	forward model
χ scaling factor D number of source-detector pairs I number of grid points along the x -axis J number of grid points along the y -axis N number of grid points for μ_s and μ_a K number of ordinates	$ ho_a$	correlation coefficient
D number of source-detector pairs I number of grid points along the x -axis J number of grid points along the y -axis N number of grid points for μ_s and μ_a K number of ordinates	$ ho_b$	deviation factor
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J number of grid points along the y -axis N number of grid points for μ_s and μ_a K number of ordinates	D	number of source-detector pairs
N number of grid points for μ_s and μ_a K number of ordinates	I	number of grid points along the x-axis
K number of ordinates	J	number of grid points along the y -axis
	N	number of grid points for μ_s and μ_a
Z number of iteration steps of the SOR method	K	number of ordinates
	\mathbf{Z}	number of iteration steps of the SOR method

Acronyms:

ART algebraic reconstruction technique
BFGS Broyden-Fletcher-Goldfarb-Shanno

CAT computer aided tomography

CG conjugate gradient
CS coordinate system
CSF cerebrospinal fluid

DOT diffuse optical tomography
EP evolutionary programming
ERT equation of radiative transfer

ES evolution strategy
FDM finite-difference method
FEM finite-element method
GA genetic algorithm
lm-BFGS limited-memory BFGS

MC Monte-Carlo

MOBIIR model-based iterative image reconstruction

MRF Markov random field

MRI magnetic resonance imaging NEP noise equivalent power

NIR near-infrared

NMR nuclear magnetic resonance

OT optical tomography QN quasi-Newton

P_N-method spherical harmonics method
PET positron emission tomography
PIP proximal interphalangeal
PMT photon migration tomography

 $\begin{array}{ccc} RA & rheumatoid \ arthritis \\ RF & radio \ frequency \\ RWT & random \ walk \ theory \\ S_N\text{-method} & discrete-ordinates \ method \\ SA & simulated \ annealing \\ SNR & signal-to-noise \ ratio \\ \end{array}$

SOR successive overrelaxation

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Oft bedrückt mich der Gedanke, in welchem Maße mein Leben auf der Arbeit meiner Mitmenschen aufgebaut ist, und ich weiß, wieviel ich ihnen schulde.

Albert Einstein, Mein Glaubensbekenntnis, Caputh, 1932.

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