

9 Appendix B: Portfolio History

This appendix shows all transactions for the two test investors. The first column contains the ticker abbreviation of the stock as defined in Appendix E. The second column shows the transaction date. The amount in the third column is positive for purchases and negative for sales. Finally the stock price at the time the transaction took place is shown in the last column. Please note that these prices are adjusted for stock splits as of May 15, 2000.

9.1 Transactions by Conservative Investor

Ticker	Date	Amount	Price
MADGF	5/15/1999	208	\$ 3.50
GAP	5/15/1999	23	\$ 31.56
IBM	5/15/1999	2	\$239.25
SPGLA	5/15/1999	70	\$ 8.50
DOW	5/15/1999	7	\$135.31
FTLAF	5/15/1999	80	\$ 11.88
AAPL	5/15/1999	28	\$ 44.38
AOL	5/15/1999	10	\$125.25
BI	5/15/1999	13	\$ 11.00
COMS	5/15/1999	4	\$ 28.56
AXP	5/15/1999	6	\$120.75
BS	5/15/1999	118	\$ 9.13
CSCO	5/15/1999	2	\$115.44
CRUS	5/15/1999	32	\$ 7.56
EK	5/15/1999	3	\$ 77.63
IT	5/15/1999	5	\$ 24.06
IBM	5/23/1999	1	\$230.38
BA	5/23/1999	3	\$ 44.94
DOW	5/23/1999	-2	\$126.19
AOL	5/23/1999	-4	\$126.44
AXP	5/23/1999	1	\$120.25
CSCO	5/23/1999	1	\$113.25

DOW	9/11/1999	5	\$113.13
AAPL	9/11/1999	-5	\$ 77.44
AOL	9/11/1999	-5	\$ 96.31
AXP	9/11/1999	-2	\$140.00
CHV	9/11/1999	4	\$ 95.88
DOW	9/19/1999	-6	\$115.63
AAPL	9/19/1999	-17	\$ 76.94
AXP	9/19/1999	-4	\$139.88
CVD	9/19/1999	48	\$ 8.75
EBF	9/19/1999	46	\$ 8.56
DZB	9/19/1999	17	\$ 24.00
HSIC	9/19/1999	18	\$ 15.81
MADGF	11/14/1999	-208	\$ 2.44
GAP	11/14/1999	-23	\$ 27.25
IBM	11/14/1999	-3	\$ 95.88
SPGLA	11/14/1999	-70	\$ 12.44
DOW	11/14/1999	-4	\$118.56
AAPL	11/14/1999	-6	\$ 90.63
BI	11/14/1999	72	\$ 4.75
COMS	11/14/1999	28	\$ 33.38
BS	11/14/1999	-67	\$ 6.06
CHV	11/14/1999	-4	\$ 91.38
CSCO	11/14/1999	-3	\$ 83.44
IT	11/14/1999	32	\$ 11.44
ANGN	11/14/1999	536	\$ 1.56
CVD	11/14/1999	-48	\$ 11.25
EBF	11/14/1999	46	\$ 9.00
DZB	11/14/1999	-17	\$ 22.38
SHN	11/14/1999	846	\$ 1.50
UMGI	11/14/1999	28	\$ 70.19
DAB	11/14/1999	48	\$ 10.31
HBNK	11/14/1999	60	\$ 18.56
BS	1/23/2000	-101	\$ 7.50
DAB	1/23/2000	-95	\$ 6.88
BI	2/12/2000	-85	\$ 3.56
BS	2/12/2000	50	\$ 5.63
DAB	2/12/2000	47	\$ 7.88
HBNK	2/12/2000	-60	\$ 16.13
FLSC	2/19/2000	210	\$ 1.88
IT	5/7/2000	-37	\$ 13.44
SHN	5/7/2000	-846	\$ 0.81
IT	5/14/2000	37	\$ 12.13
SHN	5/14/2000	846	\$ 0.63
IT	7/14/2000	-37	\$ 13.31
FLSC	7/14/2000	-210	\$ 2.38
GPS	7/15/2000	22	\$ 38.19

9.2 Transactions by High Risk Investor

Ticker	Date	Amount	Price
MSFT	5/15/1999	126	\$ 76.88
AOL	5/15/1999	1	\$125.25
INTC	5/23/1999	2	\$ 57.00
MSFT	5/23/1999	-9	\$ 77.56
AAPL	5/23/1999	6	\$ 43.94
AOL	5/23/1999	2	\$126.44
BS	5/23/1999	18	\$ 9.00
MSFT	5/30/1999	-2	\$ 80.69
AOL	5/30/1999	1	\$119.25
INTC	6/12/1999	42	\$ 54.44
MSFT	6/12/1999	-100	\$ 78.13
AAPL	6/12/1999	-4	\$ 46.44
AOL	6/12/1999	55	\$ 99.50
BS	6/12/1999	18	\$ 7.56
INTC	6/19/1999	12	\$ 54.94
MSFT	6/19/1999	6	\$ 85.00
AOL	6/19/1999	-17	\$112.00
CSCO	6/19/1999	3	\$119.38
MSFT	7/3/1999	6	\$ 90.19
AOL	7/3/1999	-3	\$110.00
DELL	7/10/1999	24	\$ 42.81
INTC	7/10/1999	4	\$ 66.25
AAPL	7/10/1999	9	\$ 55.63
AOL	7/10/1999	-23	\$128.25
BS	7/10/1999	90	\$ 8.06
CSCO	7/10/1999	9	\$ 67.06
MSFT	7/17/1999	3	\$ 99.44
BS	7/17/1999	-60	\$ 8.13
IBM	7/24/1999	5	\$124.81
BS	7/24/1999	-46	\$ 8.06
IBM	7/31/1999	-2	\$125.69