Regulation of the aryl hydrocarbon receptor (AHR) activity through intracellular transport processes

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Declaration of Independence

I hereby declare that I have independently prepared this thesis entitled 'Regulation of the aryl hydrocarbon receptor (AHR) activity through intracellular transport processes' without the use of any other than the approved resources. All citations are marked as such.

The submitted work has not been accepted or found to be unsatisfactory in any previous doctoral proceedings.

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Abbreviations

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1 Introduction

The aryl hydrocarbon receptor (AHR) is a ligand-activated transcription factor (TF) with a famous history in the field of toxicology. Originally, the AHR was identified in the 1970s for mediating an enzymatic response to environmental toxicants, especially benzo[*a*]pyrene (B[*a*]P) and 2,3,7,8tetrachlordibenzo-*p*-dioxin (TCDD) (Poland *et al.*, 1976) (Okey *et al.*, 1979). This cellular response was described initially as "aryl hydrocarbon hydroxylase (AHH)" (Nebert & Gelboin, 1968a) (Nebert & Gelboin, 1968b), but turned out to be the cytochrome P450 -dependent monooxygenase (CYP) (Nebert & Gielen, 1972) (Nebert, 2017), thus presenting early evidence for AHR's role in xenobiotic metabolism. In the following years, the N-terminal domain of the AHR was sequenced (Bradfield *et al.*, 1991) and subsequently AHR knockout (AHR^{-/-}) mice were generated in many laboratories (Lahvis & Bradfield, 1998) (Fernandez-Salguero *et al.*, 1995). These knock-out mice exhibited a low sensitivity to xenobiotics underscoring the importance of the AHR in xenobiotic metabolism (Fernandez-Salguero *et* al., 1996). Furthermore, studies on AHR^{-/-} mice gave first hints for more complicated AHR functions than xenobiotic metabolism (Gonzalez & Fernandez-Salguero, 1998), involving organ development and fertility (Fernandez-Salguero *et al.*, 1995) (Lahvis *et al.*, 2005). Moreover, current research revealed that the AHR participates in many physiological processes, including cell cycle, apoptosis, and immune cell functions (Xue *et al.*, 2018).

Evolutionary, the AHR is highly conserved in vertebrates and invertebrates (Hahn *et al.*, 2017) and its expression is well characterized in human und mice. mRNA of the human AHR (hAHR) has been detected in all tested human tissues, even in retina (Y. Zhou *et al.*, 2018) and different blood cells types (Karlsson *et al.*, 2021). High AHR expression is noticed in physiological barriers, like lung, liver, placenta, and the gastrointestinal system (Fagerberg *et al.*, 2014).

1.1 Regulation of the *AHR* **gene**

The promoter of *hAHR* contains multiple binding sites belonging to different TFs, like cAMP response elements (CRE). The *AHR* promoter contains close to the transcription initiation sites no TATA box but many GC boxes, which are binding sites for Sp1 (specificity protein 1) (Eguchi *et al.*, 1994). Further research confirmed many similarities between human and murine *AHR*, which were found to be regulated through members of Sp family of TFs (Fitzgerald *et al.*, 1998). In general, induction of the *AHR* is cell type specific. As for example, activation of transforming growth factor-β (TGF-β) can induce the expression of *AHR* in the human hepatoma cell line HepG2 but not in the lung carcinoma cell line A549 (S. Wolff *et al.*, 2001), or in other studied human cancer cells (Döhr & Abel, 1997). Another study reported that treatment of HepG2 cells with interleukin 6 (IL-6) leads to binding of the signal transducer and activator of transcription 3 (STAT3) to a binding site upstream of *AHR*, resulting in

initiation of its transcription (Stobbe-Maicherski *et al.*, 2013). Comparably, IL-4 induces *AHR* expression in human B cells in a STAT6-dependent manner (Tanaka *et al.*, 2005).

Furthermore, many other factors regulate *AHR* gene expression (Shivanna *et al.*, 2022), for example epigenetic factors like histone acetylation (Garrison *et al.*, 2000) or DNA methylation (Mulero-Navarro *et al.*, 2006).

1.2 The AHR signalling pathway

In general, the AHR signaling pathway can be subdivided into the classic (also called canonical or genomic) signaling pathway, referring to AHR's involvement in xenobiotic metabolism, and the nonclassic (non-canonical or non-genomic) signaling pathway that rely on AHR's interactions with other signaling pathways.

1.2.1 The classical AHR pathway

According to the classic activation pathway, the AHR remains in the cytoplasm prior to ligand-binding as a part of a multiprotein complex. Binding to ligands triggers rapid import of the AHR into the nucleus whereby it is released from the complex. Next, the AHR builds a dimer with the AHR-nuclear translocator (ARNT) and then binds to the DNA leading to induce the expression of AHR target genes (Beischlag *et al.*, 2008).

Considering more details in the classical AHR pathway, the cytoplasmic multiprotein complex of AHR consists of two molecules of heat shock protein 90 (HSP90) (Perdew, 1988) and single molecules of cochaperone p23 (Kazlauskas *et al.*, 1999) and hepatitis x-associated protein-2 (XAP2) (B. K. Meyer *et al.*, 1998). More recently, an association between AHR protein complex and c-Src protein kinase has been reported in cell lines from colon cancer (Xie *et al.*, 2012) or prostate cancer (Ghotbaddini *et al.*, 2017). Nevertheless, only limited studies investigate this association.

Members of the AHR multiprotein complex collaborate to ensure high AHR activity (Beischlag *et al.*, 2008), since experimental evidence showed that the monomeric AHR has no capacity for ligandbinding (Pongratz *et al.*, 1992). The AHR interacts directly in the complex with both HSP90 and XAP2 (B. K. Meyer & Perdew, 1999), while P23 interacts only with one HSP90 (Chadli *et al.*, 2000) (Larigot *et al.*, 2022). Moreover, HSP90 is involved in maintaining a proper folding of the newly synthesized AHR (Petrulis & Perdew, 2002), while XAP2 and co-chaperone p23 contribute to protect the AHR from ubiquitination and subsequent proteasome-mediated degradation (Kazlauskas *et al.*, 2000) (Pappas *et al.*, 2018).

Endogenous and exogenous ligands of the AHR are typically hydrophobic and thus they are able to cross the cell membrane through diffusion (Stevens *et al.*, 2009), where they bind the cytoplasmic AHR. This binding leads to a conformational change that exposes a regulatory motive, termed nuclear localization signal (NLS), to a nuclear transporter, which mediates the nuclear import of the AHR (Esser & Rannug, 2015). In the nucleus, AHR dissociates from the protein complex (Tsuji *et al.*, 2014) and dimerizes with ARNT (Figure 1) (Reyes *et al.*, 1992). The AHR/ARNT complex (AHRC) recruits coactivators with histone acetyltransferase and histone methyltransferase activity (Esser & Rannug, 2015), which gives the AHRC access to the DNA. Subsequently, the AHRC binds a special DNA sequence located in the enhancer region of its target genes, called XRE (xenobiotic responsive element), also known as dioxin responsive element (Figure 1) (Swanson *et al.*, 1995b). XREs have the minimal sequence 5´-TNGCGTG-3´ (N stands for any nucleotide), in which AHR recognizes (TNGC), and ARNT (GTG) (Bacsi & Hankinson, 1996). Finally, binding of AHRC to XRE induces the expression of different xenobiotic metabolizing enzymes (XMEs) (Esser & Rannug, 2015).

Figure 1: Model of the classical activation pathway of the AHR: Prior to ligand binding, the AHR is located in the cytoplasm as a member of a protein complex that contains HSP90, XAP2, p23, and SRC. Ligand binding triggers release of SRC in the cytoplasm. Subsequently, the AHR is imported into the nucleus, where it is released from its protein complex, builds a dimer with ARNT, and binds to a sequence in the DNA called XRE. Binding to XRE induces the expression of its target genes, e.g., *CYP1A1* or *AHRR*. The expressed AHRR builds a dimer with ARNT and binds to XRE, thereby blocking the activation of the AHR. Finally, the AHR gets exported through CRM1 to the cytoplasm, where it undergoes an ubiquination followed by 26S proteasome-mediated degradation.

1.2.1.1 Role of the AHR in xenobiotic metabolism

The AHR induces the expression of many XMEs. This comprises phase I XMEs like *CYPs*. The most prevalent CYPs in the research on the AHR are *CYP1A1* and *CYP1B1*, which are used as markers for the AHR activation. CYP enzymes catalyze insertion or unmasking of polar functional group e.g., -OH, -SH and -NH2. Additionally, the AHR promotes the upregulation of many phase II XMEs, like UDPglucuronosyltransferase 1A1 (*UGT1A1*), glutathione *S*-transferase α (*GST-α*), and NADPH quinone oxidoreductase 1 (*NQO1*) (Nebert *et al.*, 2000) (Yueh *et al.*, 2003). Reactions of phase II mediate conversion of compounds to even more polar metabolites, by conjugation of subgroups to one of the functional groups -OH, -SH, or -NH2 to form a chemically-inactive metabolite. Typically, the inactive metabolites are excreted through the kidney into urine, via liver into fecal matter, and less often via lung (Guerrina *et al.*, 2018).

However, many AHR-regulated enzymes, for instance CYP1A1, cyclooxygenase (COX), and AKR (Aldoketo reductase) give also rise to reactive oxygen species (ROS) resulting in oxidative damage to DNA or other macromolecules in the cells (Kennedy *et al.*, 2013) (Grishanova & Perepechaeva, 2022). This implies toxic outcomes for a chronic active AHR, which may result from exposure to environmental toxicants for example. For instance, the B[*a*]P contained in tobacco smoke promotes an overexpression of CYP enzymes and is associated with elevated ROS formation (Vogel *et al.*, 2020).

1.2.1.2 Post activation of the AHR

AHR activation increases the oxidative metabolism and thereby forms oxidative stress. Accordingly, the cellular homeostasis induces a feedback mechanism to limit AHR activity. First, the AHR induces the expression of its own inhibitor, the AHR-repressor (AHRR) (Mimura *et al.*, 1999). In the N-terminal domain, the AHRR and AHR are structurally very similar (Mimura *et al.*, 1999). This allows AHRR to compete with AHR for binding the same target sequence on the DNA, the XRE (Figure 1) (Vogel & Haarmann-Stemmann, 2017). Further investigation revealed that the C-terminal domain of AHRR is also involved in AHR inhibition through recruitment of corepressors (Oshima *et al.*, 2009). In this context, it is interesting to note that the AHRR can also control AHR function. Indeed, ARNT availability is the main factor determining for AHR to serve as ubiquitin ligase or to initiate its work as transcription factor, respectively. More precisely, when ARNT is occupied through dimerization with AHRR, AHR possesses a ubiquitin ligase function (Luecke-Johansson *et al.*, 2017).

Secondly, the AHR is also a target for TCDD-inducible poly-ADP-ribose polymerase (TIPARP), which is known to repress AHR's transcriptional activity through ADP-ribosylation of the nuclear AHR (Gomez *et al.*, 2018). Notably, both systems, meaning TIPARP and ubiquitin, collaborate towards AHRdegradation (Rijo *et al.*, 2021).

At last, AHR is re-exported into the cytoplasm after activation, mediated by CRM1 (chromosome region maintenance 1, also known as exportin). CRM1 recognizes and binds a sequence within the AHR, namely nuclear export signal (NES) (Marlowe & Puga, 2010). This returns the AHR back into the cytoplasm, where it undergoes a ubiquitination followed by 26S proteasome-mediated degradation (Figure 1) (Davarinos & Pollenz, 1999).

1.2.1.3 The dimerization partner ARNT

In the initial phase of AHR research, ARNT was mistakenly described as the nuclear translocator of the AHR. In fact, the *ARNT* gene was discovered accidentally while attempting to clone the *AHR* and was thought to be responsible for the nuclear import of the AHR after ligand-binding (Hoffman *et al.*, 1991). Following this, the AHR/ARNT-XRE was acknowledged as a core complex for AHR's transcriptional activity (Bacsi *et al.*, 1995) (Swanson *et al.*, 1995a) (Bacsi & Hankinson, 1996). Thereafter, a thorough investigation of the subcellular localization of ARNT demonstrated a predominantly nulcear localization of the protein (Sojka *et al.*, 2000) (Eguchi *et al.*, 1997).

1.2.2 The non-classical AHR pathway

The non-classic pathway comprises direct physical interactions between the AHR and members of other signaling pathways, including but not limited to, NF-κB (nuclear factor kappa-light-chainenhancer of activated B cells) components RelA (DiNatale *et al.*, 2010) and RelB (Vogel *et al.*, 2007b). More interesting, ligand binding to AHR promotes c-SRC release from AHR complex, which itself contributes to many signaling events as mediator of phosphorylation. For instance, an *in vitro* study demonstrated that exposure to B[*a*]P results in activation of the oncogenic protein epidermal growth factor receptor (EGFR) via c-SRC mediated phosphorylation (Vogeley *et al.*, 2022). Another study illustrated that UVB radiation leads to c-SRC translocation to the cell membrane through AHRactivation and consequently initiates EGFR internalization, thus pointing to AHR as mediator in UVB stress response (Fritsche *et al.*, 2007).

The involvement of the AHR in immune regulation mainly relies on non-classic functions. Indeed, AHR participates in Th17 differentiation by inhibiting STAT1 phosphorylation. Notably, this effect is reported in the absence of ligands (Kimura *et al.*, 2008). Consistent with this finding, multiple studies investigated the interaction between AHR and the JAK (janus kinase)/STAT pathway, which is known for its impact on the innate and adaptive immune system (Liu *et al.*, 2021) (Masuda *et al.*, 2011). In macrophages, the AHR builds a complex with STAT1 after lipopolysaccharide (LPS) stimulation. This complex interacts with the NF-κB subunit p50 to block the promoter activity of IL-6 and therefore the proinflammatory responses induced by LPS (Kimura *et al.*, 2009).

Furthermore, the non-classical pathway may result from AHR-binding to non-XRE sequences. For example, the AHR interacts physically with the NF-κB component RelB to form a complex that binds to a responsive element of the IL-8 promoter, thereby inducing its expression (Vogel *et al.*, 2007a).

1.3 AHR ligands

The AHR can be bound and activated by a wide range of ligands. These ligands vary greatly in origin, structure, and binding affinity (Denison & Nagy, 2003). However, AHR ligands can be typically described as hydrophobic, small (12.0 - 14.0 A° in length), and planar (Nguyen & Bradfield, 2008) (Waller & McKinney, 1995).

Moreover, AHR ligands include various phytochemicals, pharmaceuticals and synthetic flavonoids (Stejskalova *et al.*, 2011), which are weak inducers of AHR target genes compared to TCDD (Denison & Heath-Pagliuso, 1998).

1.3.1 Exogenous ligands

1.3.1.1 Halogenated aromatic hydrocarbons (HAHs) and polycyclic aromatic hydrocarbons (PAHs) The first characterized AHR ligands are classified under the group of halogenated aromatic hydrocarbons (HAHs). This comprises dioxin-like compounds: polychlorinated dibenzofurans (PCDFs), polychlorinated biphenyls (PCBs), and polychlorinated dibenzodioxins (PCDDs) (Busbee *et al.*, 2013), which show high persistency against XMEs (Q. Wang *et al.*, 2016) (Busbee *et al.*, 2013). Therefore, they are metabolically stable and bioaccumulative (Avilla *et al.*, 2020). HAHs can enter the environment as contaminants of consumer products or through waste incineration processes (Nguyen & Bradfield, 2008). TCDD belongs to the group of PCDDs and is the most investigated AHR ligand (Figure 2). Through a high binding affinity to the hAHR and impaired metabolism in humans, the half-life of TCDD can be up to 13 years (Matsumoto *et al.*, 2015) (Wolfe *et al.*, 1994). Exposure to TCDD can clinically be identified through induction of chloracne and results typically in hepatotoxicity, liver cancer, or immunosuppression (Schecter *et al.*, 2006).

In addition to HAHs, many compounds of the group of polycyclic aromatic hydrocarbons (PAHs) are AHR ligands, as for example 3-methylcholanthrene (3-MC) and 7,12-dimethylbenzanthracene (DMBA) (Figure 2) (Avilla *et al.*, 2020). PAHs occur in the nature through incomplete combustion of organic compounds and are contained in cigarette smoke (Guerrina *et al.*, 2018). PAHs are found in soil, air, and water, underlining the importance of PAHs as environmental pollutants (Sampaio *et al.*, 2021). They can also enter the food chain when food is processed with high temperatures, like grilling and frying (Sampaio *et al.*, 2021). Through AHR signaling, some PAHs can be metabolically activated to carcinogens, one prominent example is B[*a*]P (Figure 2) (Luch, 2005). Biotransformation of B[*a*]P leads to the formation of a diol epoxide derivate, which covalently binds to macromolecules and forms genotoxic DNA-adducts (Luch, 2005).

1.3.1.2 Synthetic flavonoid

5,6-Benzoflavone or β-naphthoflavone (BNF) is a non-carcinogenic synthetic flavonoid that belongs to the first compounds tested to induce AHR activity (Figure 2) (Boobis *et al.*, 1977) and is now acknowledged as a strong inducer of AHR target genes (Sinal *et al.*, 1999) (Fujii-Kuriyama & Kawajiri, 2010). Early studies on the anticarcinogenic effect of BNF were carried out even before the discovery of the AHR (Wattenberg & Leong, 1968). Moreover, a study on female rats showed that BNF exhibited antitumoral effects against mammary carcinomas by activating the AHR (Lubet *et al.*, 2011). It was also shown that BNF mediated a cell cycle arrest of estrogen receptor (ER)-positive breast cancer cells *in vitro* (C. Wang *et al.*, 2014).

Additionally, BNF reduces the oxidative stress caused by hydrogen peroxide significantly, indicating a strong potential as an antioxidant (Zhu *et al.*, 2017). The antioxidative activity of BNF was used in *in vivo* studies and it improved the survival rates of mice exposed to radiation therapy (X. Zhou *et al.*, 2020). Nevertheless, the clinical application of BNF is restricted by its low water-solubility. Hence, it was beneficial to improve BNF water solubility, for example through supramolecular complexation (Choi *et al.*, 2016). In contrast to BNF, 7,8-benzoflavone or α-naphthoflavone (ANF) has a poor affinity to AHR and is a very weak inducer of AHR activity (Figure 2) (Sinal *et al.*, 1999).

Figure 2: Chemical structures of well known exogenous ligands of the AHR: 3-methylcholanthrene (3- MC), 2,3,7,8-tetrachlordibenzo-*p*-dioxin (TCDD)**,** 7,12-dimethylbenzanthracene (DMBA), benzo[a]pyrene (B[*a*]P), β-naphthoflavone (BNF), α-naphthoflavone (ANF).

1.3.1.3 Naturally occurring compounds

The diet represents a great source for natural compounds that are able to activate the AHR (De Juan & Segura, 2021). These are weak AHR activators and mostly competitive inhibitors of AHR ligands. This means, they compete with other ligands to bind the AHR, thereby triggering a nuclear import but inducing very low expression of AHR target genes (Busbee *et al.*, 2013). This category contains many famous compounds, like resveratrol (a polyphenol found in red wine) (Ciolino *et al.*, 1998), curcumin (found in *Curcuma longa*) (Garg *et al.*, 2008) and catechins (flavonols found in green tea extract) (Williams *et al.*, 2000). Another well-studied compound is indolo[3,2-b]carbazole (ICZ) (Figure 3), a metabolite of indole-3-carbinol (I3C) found in *Cruciferous* plants, such as broccoli (Bjeldanes *et al.*, 1991).

1.3.1.4 Nonligand activators or nonspecific ligands

Omeprazole (OM) is a proton pump inhibitor that blocks the gastric acid production (Figure 3) (Massoomi *et al.*, 1993). OM is prescribed to treat infection with *helicobacter pylori*, peptic ulcer and many other gastric acid disorders (Langtry & Wilde, 1998). Importantly, OM induces the nuclear import of AHR and subsequently induces the expression of *CYP1A1* (Daujat *et al.*, 1992). However, a physical interaction between AHR and OM is still not proven (Daujat *et al.*, 1992). Many hypotheses aimed to explain OM's effect on the AHR, proposing that a metabolite of OM is actually activating the receptor (Dzeletovic *et al.*, 1997) or that AHR phosphorylation leads to an indirect activation (Kikuchi *et al.*, 1998). Nevertheless, the precise mechanism for the AHR activation through OM remains unknown.

1.3.2 Endogenous ligands

Endogenous ligands are compounds synthesized in higher organisms (Denison & Nagy, 2003) and cover heme and arachidonic acid metabolites, e.g., bilirubin (Sinal & Bend, 1997) and lipoxin 4A (Schaldach *et al.*, 1999), respectively. The search for endogenous ligands revealed agonists with high importance, like indigoids and tryptophan (Trp) metabolites (Nguyen & Bradfield, 2008).

1.3.2.1 Indigoids

Indigo is a dark blue pigment that was used originally to dye jeans, while indirubin (IND) in this context is an undesirable isomer with red color. Historically, indigo was manufactured by fermentation of plants like *Isatis tinctoria* (Gillam *et al.*, 2000). Interestingly, old Chinese medicine used a plant-based powder with a dark blue color to treat leukemia. This powder is rich with indigo, but the major antileukemic effect is caused by indirubin (Figure 3) (Hoessel *et al.*, 1999).

Surprisingly, both indigo and indirubin are detected in the urine of healthy humans with a sufficient amount to activate the AHR (Adachi *et al.*, 2001). It is believed, that dietary Trp is degraded by intestinal bacteria to indole, absorbed, and transported to the liver, where it is oxidized to indoxyl and then excreted in the urine. Hydrolyzed by bacteria in the urine, the free indoxyl can undergo spontaneous oxidation and dimerization to form indigo or IND (Meijer *et al.*, 2006).

IND is more potent as an AHR ligand than indigo (Sugihara *et al.*, 2004) and was used in many studies for its strong potency of AHR activation (Haidar *et al.*, 2021). Notably, IND is a strong inducer of AHR-regulated enzymes like CYP1B1 and CYP1A1 and is at the same time a substrate of it. Hence, IND has only a transient effect on the AHR (Spink *et al.*, 2003).

IND was never used for any clinical application due to its limited water-solubility (Cheng & Merz, 2016). Thus, more effort is now made on its water-soluble derivates, like indirubin-3'-monoxime (Lo & Chang, 2013) (Springs & Rice, 2006) (Rebl *et al.*, 2022).

1.3.2.2 Tryptophan metabolites

Trp is an essential amino acid (Koper *et al.*, 2022). The majority of Trp can be metabolized through kynurenine (Kyn) or serotonin pathways, while small amounts take the indole pathway mediated by gut microbiota (Yang *et al.*, 2023).

In the Kyn pathway, the AHR-regulated enzymes indoleamine-2,3-dioxygenase (IDO) and tryptophan-2,3-dioxygenase (TDO) metabolize Trp to N-formyl-Kyn, which is reduced to Kyn (Figure 3). Kyn can be further catabolized to kynurenic acid and xanthurenic acid (Badawy, 2017) (Murray & Perdew, 2020). In particular, Trp itself is a weak activator of the AHR, but the metabolic product kynurenic acid exhibits great binding affinity and capacity to induce AHR activity within the measured physiological concentration (Murray & Perdew, 2020). Besides that, Kyn is produced in many cancer types in sufficient amount to activate the AHR (Z. Wang *et al.*, 2020). Hence, constitutive AHR activity can be sustained in malignant cells through IDO, TDO, and Kyn (Z. Wang *et al.*, 2020).

Moreover, another Trp metabolite is 6-formylindolo[3,2-b]carbazole (FICZ) (Figure 3), which is a potent AHR activator and strong inducer of *CYP1A1* (Rannug *et al.*, 1987) (Murray & Perdew, 2020). Primarily, FICZ was identified as a photo-oxidation product of Trp (Rannug *et al.*, 1987). By now, it has been shown that FICZ can be generated in an UV-independent way through enzymatic deamination and oxidative rearrangement of an indole derivate from microbial origin (Smirnova *et al.*, 2016).

Figure 3: Chemical structures of most famous nonligand activators, naturally occuring compounds, and endogenous ligands of AHR: indolo[3,2-b]carbazole (ICZ)**,** omeprazole (OM), indirubin (IND), kynurenine (Kyn), 6-formylindolo[3,2-b]carbazole (FICZ).

1.4 Structure of the AHR

The AHR and its dimerization partner ARNT belong to the same family of transcriptional regulators: bHLH-PAS (basic helix-loop-helix, Per (period circadian protein)-ARNT-Sim (single-minded protein) (Kolonko & Greb-Markiewicz, 2019). Proteins of this superfamily are classified into two classes: Proteins of class I are activated in a specific manner in response to physiological or environmental stimuli, like AHR, while proteins of class II are expressed continuously and serve as dimerization partners, like ARNT (Kolonko & Greb-Markiewicz, 2019). In humans, the *AHR* gene consists of 11 exons and is located on chromosome 7p15 (Micka *et al.*, 1997). The *hAHR* gene encodes a 848 amino acid protein with a molecular weight of 96 kDa (Dolwick *et al.*, 1993). Notably, it has been concluded from studies on murine AHR that the amino acids (1-10) in hAHR are leader peptide, which are cleaved during translational processing (Burbach *et al.*, 1992).

1.4.1 Domain structure of the AHR

At the well-conserved N-terminus, the AHR contains the bHLH domain followed by the PAS domain (Jones, 2004). The basic (b) region possesses the DNA-binding motive and the helix (HLH) contributes to AHR protein interactions (Schulte *et al.*, 2017). Next to bHLH, two imperfect repeats separated by a low conserved linker, called PAS-A and PAS-B, form the PAS domain (Crews, 1998). Both PAS-A and -B mediate direct interactions with other proteins, but PAS-B holds the specific ligand binding domain (Soshilov & Denison, 2008). The C-terminus of the AHR is less conserved, which explains the difference in AHR length between human and mouse (Ramadoss & Perdew, 2005). At the C-terminus, the transactivation domain (TAD) mediates recruitment of coactivators to facilitate the AHR's

transcriptional activity (Kumar & Perdew, 1999) (Ramadoss & Perdew, 2005). TAD can be subdivided into three domains: starting by an acidic region (due to enrichment with glutamic and aspartic acid), followed by a glutamine-rich region (Q-rich), and a region rich in proline/serine/threonine (PST) (Kumar *et al.*, 2001).

On the other hand, ARNT shares the same domain structure like the AHR. However, the PAS B domain of ARNT is unable to bind ligands (Kolonko & Greb-Markiewicz, 2019).

The crystal structure of the N-terminal region of both AHR and ARNT bound to XRE was first disclosed a few years ago in two different laboratories (Seok *et al.*, 2017) (Schulte *et al.*, 2017). Owing to these results, the crucial amino acids in the AHR for ARNT dimerization and DNA-binding were identified. Recently, advances in cryo-electron microscopy helped to elucidate the AHR structure further. The reported structure describes the first step of AHR activation prior to nuclear import, while the AHR, as a part of the protein complex with HSP90 and XAP2, binds a ligand. The essential amino acids for the interaction with HSP90, XAP2, and ligand were identified in the mentioned study (Gruszczyk *et al.*, 2022).

1.4.2 Regulatory motives for the nucleo-cytoplasmic translocation of the AHR

As mentioned above, the AHR's transcriptional activity demands nuclear import as an essential step towards dimerization with the nuclear ARNT. The nucleo-cytoplasmic translocation of the AHR is regulated through a special sequence, referred as NLS and NES (Ikuta *et al.*, 1998). NES is typically a cluster of hydrophobic residues, mostly leucine (Wen *et al.*, 1995). Classical NLS motives are generally of two types: monopartite, consisting of a single cluster rich in basic residues (lysin and arginine), and bipartite NLS, with two clusters enriched with basic residues separated by a linker (Freitas & Cunha, 2009). The bipartite NLS (13RKRR16-37KRH39) of AHR along with the NES (63K**L**DK**L**SV**L**R**L**S73) are contained in the bHLH domain (Ikuta *et al.*, 1998).

Nevertheless, studies on the C-terminal domain of the human AHR demonstrated a significant effect of this domain on the protein trafficking, especially the residue valine 647 (Tkachenko *et al.*, 2016).

1.5 Physiological significance of the AHR

More than five decades of research left no doubts on the crucial role of the AHR in various physiological processes additionally to the xenobiotic metabolism, like lung health (Guerrina *et al.*, 2018), interactions with skin and gut microbiome (Dong & Perdew, 2020), skin homeostasis (Fernández-Gallego *et al.*, 2021), immune responses (Rothhammer & Quintana, 2019), nervous system (Juricek & Coumoul, 2018), and circadian rhythmicity (Anderson *et al.*, 2013).

1.5.1 Androgenic, estrogenic and anti-estrogenic AHR-mediated effects

As previously mentioned, the AHR serves as a E3 ubiquitin ligase. More specifically, the AHR recognizes specific signals on other proteins and transfer ubiquitin molecules to the specific substrate. Next, proteasomal degradation targets the substrates marked with ubiquitin molecules. Multiple studies reported estrogenic or androgenic effects of AHR ligands. This ligand specific effect has been reported for BNF and TCDD originally (Brauze *et al.*, 1997) (Lin *et al.*, 2002). Later research demonstrated that the AHR is a component of a ubiquitin ligase complex, namely CUL4B^{AHR} (Sondermann *et al.*, 2023). In this complex, the ligand-activated AHR took the role of substrate-specific component targeting sex steroid receptors (e.g., androgen receptor, ER-α, and ER-β) towards their degradation (Ohtake *et al.*, 2007) (Ohtake *et al.*, 2003). This function as E3 ligase occurs in a ligand-dependent manner and is also tissue specific (Sondermann *et al.*, 2023).

1.5.2 Involvement in carcinogenesis

Clearly, AHR activation by some ligands like B[*a*]P can lead to generate mutagenic metabolites (Luch, 2005). Despite that, AHR's role in cancer progression is more complex than to be limited to xenobiotic metabolism.

The first hint on potential associations between the AHR and cancer arose from increased AHR expression in blood cancer, like adult T-cell leukemia (Hayashibara *et al.*, 2003), as well as solid tumors, like breast cancer (Baker *et al.*, 2020) [reviewed in (Paris *et al.*, 2021) and (Z. Wang *et al.*, 2020)]. According to Murray *et al*., 2014 , the enhanced AHR expression correlates with activation of STAT6 and NF-κB, which is common in tumor microenvironment (Murray *et al.*, 2014). In addition to hyperexpression, a constitutively active AHR is detected in various cancer types, like in liver, prostate, or breast (Xue *et al.*, 2018).

Notably, it is not possible to describe a single and unique role of the AHR on tumor progression, since the relevant effects of AHR depend completely on cancer type, cellular context, and presence of ligands (Paris *et al.*, 2021). Many studies searched for the probable trigger for the constitutive activity of the AHR in cancer cells (Xue *et al.*, 2018). A well-researched example is the IDO/TDO-Kyn-AHR loop. Trpderived AHR ligands, like Kyn, are produced in malignant cells in high amounts due to high Trp circulation by the enzymes IDO and TDO. This loop ends with the generation of immune-tolerant dendritic cells as well as regulatory T cells that promote a tumor immunological microenvironment. This tumor environment lacks the ability to recognize and suppress tumor cells by immune cells (Cheong & Sun, 2018) (Campesato *et al.*, 2020).

Further research on Kyn exhibited its ability to drive an AHR-dependent breast cancer migration (Novikov *et al.*, 2016). In another study, it promotes epithelial-to-mesenchymal transition (EMT), a key step in metastasis, in lung cancer cells (Duan *et al.*, 2018). In accordance, Kyn stimulates specific AHR-

driven EMT in thyroid carcinoma cells (Moretti *et al.*, 2020). Additionally, the Trp photoproduct, FICZ, advances an inflammation-induced melanoma cell dedifferentiation in a mouse model (Mengoni *et al.*, 2020). Complementary, in a study on oral squamous cell carcinoma in mice, application of AHR inhibitors blocked the rapid migration of cancer cells (Stanford *et al.*, 2016).

All above-mentioned facts classify the AHR rather as oncogene. However, recent studies showed tumor suppressor properties of the AHR as well (Elson & Kolluri, 2023). In fact, it has been shown that AHR enhances the expression of some microRNAs that act as tumor suppressors in many cancer types, like in prostate cancer cells (Yu *et al.*, 2018) or breast cancer cells (Mobini *et al.*, 2019). Moreover, the AHR interacts with the tumor suppressor p53 to promote antiproliferative effects and protect against carcinogenesis (Phillips *et al.*, 2022).

1.5.3 The AHR as pharmaceutical target

Due to the oncogenic and tumor suppressor properties, the AHR has promising potential as a drug target (Kolluri *et al.*, 2017). First of all, antagonism of the AHR activity is a logic strategy to deal with carcinogenesis related to AHR hyperactivity. However, the search for applicable AHR antagonists is still ongoing. A classic and early-described AHR antagonist is ANF (Merchant *et al.*, 1993). However, the weak agonistic effect reported when applied in high concentrations limited its use (Santostefano *et al.*, 1993). The search for AHR antagonists identified many novel compounds. As for example, CH-223191 that blocks the AHR activity driven by TCDD and other HAHs, but fails to antagonize other AHR ligands, like IND and BNF (Zhao *et al.*, 2010). Another compound is CB7993113, which was first identified in an *in silico* study. CB7993113 reduces invasion of breast cancer cells in 3D-cultures, inhibits the AHRtriggered activity by TCDD and PAHs, and blocks tumor cell migration in 2D-cell cultures (Parks *et al.*, 2014). A recent investigation in targeting the AHR was carried out with the inhibitor BAY2416964. The inhibitory effect of BAY2416964 relies on antagonizing the immunosuppressive effects of the ligandactivated AHR (Dumbrava *et al.*, 2023).

Moreover, the AHR hyperactivity observed in various cancer types can be targeted through blocking the IDO/TDO-Kyn-AHR loop, for instance by minimizing the production of the ligand Kyn. Recently, a study examined a selective synthetic antagonist of the AHR, namely Kyn-101, that led to delayed tumor progression through AHR inhibition (Campesato *et al.*, 2020).

Furthermore, targeting the AHR can be achieved through ligand driven activity. Reasonably, most research focuses on the AHR-agonistic activity of molecules already approved by the US Food and Drug Administration (FDA). Of note, many clinically approved drugs are ligands of the AHR, for instance, Raloxifene, Sulindac, and Leflunomide (Baker *et al.*, 2020). The latter is prescribed to treat rheumatoid arthritis (O'Donnell *et al.*, 2010), but an AHR-related therapeutic value of Leflunomide in melanoma treatment was also demonstrated (Hanson *et al.*, 2018). On the other hand, the AHR endogenous ligands are still interesting for current research due to their high potency and their transient activation e.g., ITE (2-(1' *H*-indole-3'-carbonyl)-thiazole-4-carboxylic acid methyl ester) (Piwarski *et al.*, 2020) and FICZ (Arabnezhad *et al.*, 2020).

Interestingly, in the year 2022 the first and only FDA-approval of an AHR-agonist based drug, namely Tapinarof, was announced (Keam, 2022) (Smith *et al.*, 2017). A cream containing 1% of the active substance Tapinarof can now be employed to treat plaque psoriasis, an immune-mediated skin disease (Keam, 2022).

1.6 AHR subcellular localization and nucleocytoplasmic transport

The AHR, as a member of the bHLH-PAS transcriptional regulators, is identified as a cytoplasmic sensor for intracellular and extracellular signals, while its activation demands a nuclear import (Greb-Markiewicz & Kolonko, 2019).

1.6.1 The precise mechanism of importin-mediated protein transfer through the nuclear envelope

In a general sense, TFs stay in the cytoplasm, before stimuli initiate their activation. Towards effective function, TFs should bind to specific target DNA after entering the nuclear envelope and accumulating in the nucleus (Komeili & O'Shea, 2000). The mentioned nuclear import is regulated via a highly selective nuclear pore complex (NPC). Notably, nucleo-cytoplasmic transport is the main function of NPC, and thereby they contribute to many cellular processes, like cell cycle progression and chromatin organization (Strambio-De-Castillia *et al.*, 2010).

In the NPC, small molecules take a central viscous channel as their exclusive route. Meanwhile large macromolecules take periphery transport pathways (J. Ma *et al.*, 2012). Solutes and small molecules (e.g., ATP) can traverse the NPC through passive diffusion, with the molecular mass being the limiting factor for this process (Keminer & Peters, 1999) (Timney *et al.*, 2016). By contrast, transport receptors and the related cargo complexes use the facilitated diffusion to ensure a rapid diffusion, which is generally, but not necessarily, energy dependent (Quimby & Dasso, 2003) (Çağatay & Chook, 2018). In this context, the molecular mass of proteins contributes to affect their cellular distribution (Wühr et *al.*, 2015). Even though it is agreed in some reviews that only proteins under 45 kDa can undergo passive diffusion (Kosyna & Depping, 2018) (Jans *et al.*, 2019), many *in vitro* studies demonstrated that larger proteins undertake passive diffusion as well (R. Wang & Brattain, 2007) (Popken *et al.*, 2015). In brief, proteins using the facilitated diffusion should carry an NLS sequence. This sequence can be recognized by nuclear transporter, typically importin β1 (IMPβ1). As some proteins cannot be bound by IMPβ1, an adaptor protein called IMPα mediates the binding between cargo protein and IMPβ1. After cargo recognition, either the complex IMPβ1/cargo or the complex IMPβ1/IMPα/cargo

translocates to the nucleus through an interaction between IMPβ1 and the main units of NPC,

nucleoporins (Figure 4). On the nuclear side of NPC, IMPβ1 binds to guanosine triphosphate-bound Ran (RanGTP) releasing the cargo protein and IMPα. Next, the IMPβ1/RanGTP returns to the cytoplasmic side of NPC, where RanGTP is hydrolyzed, with help from the GTPase, RanGAP, to RanGDP, releasing IMPβ1 (Kosyna & Depping, 2018) (van der Watt *et al.*, 2016) (Jans *et al.*, 2019). Very similar, exportins bind to their cargos through the NES sequence and subsequently associate with RanGTP (Figure 5). Following the export, RanGTP is hydrolyzed to RanGDP and the exportin/cargo protein complex dissociates. At the end, RanGDP is imported into the nucleus where the GDP on Ran (RanGDP) is switched to GTP through RanGEF (Ran guanine exchange factor) (Kosyna & Depping, 2018). Importantly, the human genome encodes 7 isoforms of IMPα, namely IMPα1 to IMPα7, and different isoforms bind to distinguishable NLSs. In addition, more than 20 isoforms of IMPβ are encoded in humans. This includes IMPβ1, transportin 1 (TNPO1), and CRM1 (Kosyna & Depping, 2018).

Figure 4: Model of the import pathway of NLS-carrying cargos. NLS is recognized in the cytoplasm by IMPβ1 or its adaptor IMPα. Next, the complex IMPα/IMPβ1/cargo translocates to the nucleus through an interaction between IMPβ1 and NPC. On the nuclear side, IMPβ1 binds to RanGTP, thereby releasing the cargo protein and IMPα. Subsequently, the IMPβ1/RanGTP returns to the cytoplasmic side of NPC, where RanGTP is hydrolyzed through RanGAP to RanGDP releasing IMPβ1. RanGDP returns to the nucleus and gets converted into RanGTP with help from RanGEF.

Figure 5: Model of the export pathway of NES-carrying cargos. Exportin or CRM-1 binds to NES sequence and thereby build an association with RanGTP in the nucleus. This complex goes through the NPC to the cytoplasm. In the cytoplasm, RanGTP is hydrolyzed to RanGDP and the exportin/cargo protein dissociates and is released. RanGDP returns to the nucleus and is converted into RanGTP with help from RanGEF.

1.6.2 Inhibitors of nucleo-cytoplasmic transport

Due to the clear association between nucleo-cytoplasmic transport of many TFs and significant cellular processes (e.g., cell differentiation), manipulation of nucleo-cytoplasmic transport is of great research interest. Notably, the existence of endogenous compounds inhibiting nucleo-cytoplasmic transport was also investigated. As for example, the anti-inflammatory and anti-viral prostaglandin has been shown to block the export of CRM1 substrates by binding CRM1 directly (Hilliard *et al.*, 2010).

Furthermore, the search for inhibitors of the cytoplasmic export revealed leptomycin B (LMB), which was originally discovered as an antifungal in the 1980s (Hamamoto *et al.*, 1983). Research on LMB demonstrated that it is a highly efficient and specific inhibitor of CRM1 by targeting only one residue (Kudo *et al.*, 1999). LMB was widely used in *in vitro* studies leading to export block and nuclear accumulation of many proteins, including the AHR (Tkachenko *et al.*, 2016) (Haidar *et al.*, 2021) and viral proteins like HIV-1 (Human Immunodeficiency Virus-1) (B. Wolff *et al.*, 1997).

In contrast, research on import inhibitors reached its highest point in the last decade (Jans *et al.*, 2019). Until today, many import inhibitors were identified and can be applied to study the nuclear import of TFs, like the AHR. Ivermectin (IVM) was described in the 1980s as an antiparasitic agent (Campbell *et al.*, 1983) but later, it turned out to be one of the most effective inhibitors of IMPα/β1 mediated

translocation. In fact, a study on IVM showed that it selectively targets the nuclear import mediated by the adaptor IMPα, while IMPβ1 recognized cargoes are still unaffected (Wagstaff *et al.*, 2011) (Wagstaff *et al.*, 2012). In another study, IVM reduces the nuclear accumulation and the related transcriptional activity of HIF1α (Kosyna *et al.*, 2015), which belongs to the same family of proteins as the AHR. In addition, IVM inhibits the replication of many viruses, like dengue virus (Tay *et al.*, 2013) and influenza (Götz et al., 2016). Due to its antiviral properties against SARS-CoV-2 in cell culture (Caly *et al.*, 2020), IVM was suggested to reduce the severe progression of COVID-19. However, clinical trials showed no beneficial effect of IVM (Popp *et al.*, 2022).

Another promising compound in inhibiting the nuclear import is importazole (IPZ). IPZ interferes with IMPβ1 and RanGTP binding (Soderholm *et al.*, 2011), showing thereby anti-tumor capabilities in malignant breast cancer cells *in vitro* (Kuusisto & Jans, 2015).

1.6.3 Nuclear and cytoplasmic AHR

The AHR is generally identified as a cytoplasmic protein and the nuclear import is only associated with ligand binding. In this theory, ligand binding to the AHR triggers a conformational change, which unmasks the bipartite NLS. Thereafter, nuclear transporters target the exposed NLS and import AHR into the nucleus. In this context, the nucleo-cytoplasmic shuttling of the AHR was primarily described in the year 2000 (Ikuta *et al.*, 2000), two years after characterizing its NLS and NES (Ikuta *et al.*, 1998). Another study from the same group reported a relation between cell density and the intracellular distribution of AHR (Ikuta *et al.*, 2004a). Notably, nucleo-cytoplasmic shuttling is not unique for the AHR and was observed in many TFs, like GATA (Cai *et al.*, 2014) and STAT (T. Meyer & Vinkemeier, 2004).

Although the nuclear import of the AHR was initially linked to ligand binding (Ikuta *et al.*, 2000), an independent study elucidated that the AHR shuttles between the nucleus and the cytosol in the absence of exogenous ligands (Richter *et al.*, 2001). More recently, it was demonstrated that ligand binding increases the nuclear import rates of the AHR, while shuttling itself happens continuously and does not lead to any receptor activation (Tkachenko *et al.*, 2016). In the same study, co-treatment with the export inhibitor LMB and ligand resulted in a stronger AHR nuclear accumulation compared to ligand alone, thus indicating a parallel re-export for ligand-free AHR (Tkachenko *et al.*, 2016).

Notably, the AHR localization is used as a biomarker in advanced cancer research to determine tumor grade and prognosis level for patients (Z. Wang *et al.*, 2020) (Paris *et al.*, 2021). In ovarian cancer, low cytoplasmic AHR was linked to improved survival. In the same context, expression levels of the cytoplasmic or nuclear AHR correlates with tumor size (Deuster *et al.*, 2019). Meanwhile, the AHR nuclear translocation is associated with poor survival in patients with squamous cell carcinoma (Su *et al.*, 2013). In addition, the nucleo-cytoplasmic immunoreactive score of the AHR was measured in around 300 breast cancer samples. These scores showed an association between nuclear AHR and poor outcome (Jeschke *et al.*, 2019). Remarkably, both nuclear and cytoplasmic AHR were detected in 80% of ERα-positive or ERα-negative breast tumors, which gives a hint to a nucleo-cytoplasmic shuttling to be existing *in vivo* (Vacher *et al.*, 2018).

2 Aim of the thesis

The AHR is a master regulator in the human body gaining a lot of attention from researchers. First of all, several studies considered identifying its endogenous agonists and described their physiological relevance. Beyond this, more advanced research focused on its involvement in different cellular processes along with the pathological relevance. Moreover, the AHR localization is used in cancer studies in the assessment of tumor grade and prognosis among patients. Taken together, the therapeutical potential and the need for targeting AHR in living cells increased dramatically.

Despite all of that, studies trying to address the localization of AHR are very limited and many inquiries on the basal import are yet to be addressed. The proposition that the AHR is a cytoplasmic receptor or remains in the cytoplasm prior to ligand-binding is considered a fact in many publications, even though evidence is mounting that contradicts this simplistic picture.

In this thesis, the localization of the AHR in living cells was thoroughly examined. Hence, a visualization system was established to track overexpressed EYFP-AHR in live modus *in vitro* and examine its intracellular movement. Furthermore, a validation of this system was carried out to confirm the intracellular distribution to be similar to endogenous AHR.

In the first part of the current work, I addressed the factors regulating AHR accumulation in the nucleus. More exactly, ARNT-dimerization and XRE-binding, independent of their significance on the functional output, were analyzed on their impact to stabilize the receptor in the nucleus after ligandbinding or in the basal state. Thereby, I addressed the characteristics of the nucleo-cytoplasmic translocation in absence of the ability to form the AHR/ARNT-XRE complex.

In the second part of the present thesis, I analyzed the nuclear import of the AHR in detail in order to characterize and distinguish between the basal and ligand-induced import. For this reason, various AHR variants with semi or full impaired translocation-functions were generated. This was used to study the nuclear import of the AHR in absence or presence of ligands. Additionally, import inhibitors were applied and tested on the AHR. Through that, I aimed to clarify the involved nuclear transporters in the nuclear import of the AHR. Especially since these import inhibitors target different stages and work on different molecular components of the import pathway.

Taken together, this work aimed to help clarifying the AHR's internal residues that are specifically involved in basal and ligand-induced nuclear import and the cellular components contributing in the nucleo-cytoplasmic translocation as well as the nuclear accumulation of AHR in living cells.

3 Results

3.1 Paper 1

The role of DNA-binding and ARNT dimerization on the nucleo-cytoplasmic translocation of the aryl hydrocarbon receptor

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Author contributions within this chapter: Project planning (80%) Experimental work (90%) Data analysis (100%)

Writing of the manuscript (80%).

Supplementary materials for the following publication are detailed in Annex I.

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scientific reports

OPEN The role of DNA-binding and ARNT dimerization on the nucleo-cytoplasmic translocation of the aryl hydrocarbon receptor

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The human aryl hydrocarbon receptor (AHR) is predominantly located in the cytoplasm, while activation depends on its nuclear translocation. Binding to endogenous or xenobiotic ligands terminates the basal nucleo-cytoplasmic shuttling and stabilizes an exclusive nuclear population. The precise mechanisms that facilitate such stable nuclear accumulation remain to be clarified as essential step in the activation cascade. In this study, we have tested whether the sustained nuclear compartmentalization of ligand-bound or basal AHR might further require heterodimerization with the AHR-nuclear translocator (ARNT) and binding to the cognate XRE-motif. Mutagenesis of the DNA-binding motif or of selected individual residues in the ARNT-binding motif did not lead to any variation in AHR's nucleo-cytoplasmic distribution. In response to ligands, all mutants were retained in the nucleus demonstrating that the stable compartmentalization of activated AHR in the nucleus is neither dependent on interactions with DNA, nor ARNT. Knocking down the ARNT gene using small interfering RNA confirmed that ARNT does not play any role in the intracellular trafficking of AHR.

The aryl hydrocarbon receptor (AHR) is a ligand induced transcription factor that activates oxidative phase I metabolism by the induction of cytochrome P450-dependent monooxygenases (CYP), especially CYP1A1 and 1B1¹. Early-identified AHR ligands included environmental toxicants, as for example 2,3,7,8-tetrachlordibenzo p -dioxin (TCDD) 2 and benzo[a]pyrene (B[a]p) 3 , as well as β-naphthoflavone (BNF) 4 and other xenobiotic compounds, thereby pointing to the role of the AHR in xenobiotic substance metabolism. However, more current studies demonstrate the AHR to be more than a simple sensor, indicating further functional interactions with other signalling pathways^{5,6}. The AHR plays also a major role in organ development^{7,8}, regulation of adaptive immunity⁹ and the maintenance of lung health¹⁰. Furthermore, several endogenous ligands have been identified consistent with various physiological functions, such as skin differentiation¹¹ or intestinal homeostasis^{12,13}. Endogenous AHR agonists include tryptophan metabolites, such as kynurenine (KYN), and indole derivatives, such as indirubin $(IND)^{14}$. The latter is confirmed to be present in the urine of healthy people with levels sufficient to activate the AHR¹⁵. Recent research has shown that bacterial products from Pseudomonas aeruginosa can bind and activate the AHR, which indicates that the AHR collaborates in antibacterial responses $^{16}\!$.

Activation of the AHR is linked to increased oxidative metabolism and consequently the formation of, e.g., reactive oxygen species. In fact, prolonged activation of the AHR can enhance carcinogenic effects¹⁷. Transcriptional activation of the AHR is therefore a tightly regulated process that depends on the interactions with several co-factors and the heterodimerization with the AHR-nuclear translocator (ARNT). ARNT is a nuclear protein that acts as dimerization partner for several transcription factors including hypoxia-inducible factors (HIF)¹⁸, single-minded proteins $(SIM)^{19}$ or the estrogen receptor $(ER)^{20}$. Studies on the anti-estrogenic effects of AHRactivation confirmed the competition between AHR and ER to interact with ARNT²¹.

The highly conserved bHLH domain within the N-terminal domain contains a bipartite nuclear localisation signal (NLS), adjacent to a nuclear export signal (NES). These highly conserved motifs regulate the intracellular

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trafficking of the AHR. Notably, the DNA-binding motif of the AHR overlaps with the NLS, more accurately with the amino acids Histidine 39 (H39) and Arginine 40 (R40) in the second $\text{NLS}^{22-24}.$

Activation of the AHR is strongly linked to intracellular transport. Prior to binding to inducing ligands, the AHR is mainly located in the cytoplasm²⁵ as a part of a chaperon complex consisting of HSP90, XAP2 and $p23^{26}$. The HSP90 masks the NLS to prevent nuclear import²⁶. The current model of the AHR signalling pathway describes ligand-binding as key event that triggers a conformational change²⁷, which unmasks the NLS and exposes it to importin-α. The latter binds to importin-β to pass the nuclear protein import²⁸. However, continuous shuttling of the AHR between cytoplasm and nucleus is confirmed in the absence of ligands^{23,29}. In the context of shuttling, basal import is efficiently compensated by NES-dependent export that maintains a predominantly cytoplasmic compartmentalization. Notably, this shuttling does not lead to any activation²⁹ and its import related-mechanism, with regard to the NLS, is still not well understood.

During activation, AHR is released from its chaperon complex in the nucleus and forms a heterodimer with ARNT³⁰. The AHR-ARNT dimer binds to a cognate sequence in the promoter region of AHR target genes, the xenobiotic response elements $(XRE)^{31}$. This binding is accelerated by numerous coactivators that associate with the AHR-ARNT dimer to enhance the promoter accessibility³². In order to initiate transcription, receptor-ligand complexes need to engage with several co-factors and DNA, involving both the N- and C-terminal domains. Importantly, this needs to occur within the limited time interval of nuclear transit, since the export of the AHR from the nucleus continues in the presence of ligands²⁹. The nuclear mechanisms involved in terminating export and subsequently stabilizing the nuclear population are important steps towards activation. However, these steps are not yet fully understood.

In principle, associations with ARNT as well as binding to the DNA and other nuclear factors might anchor the AHR in the nucleus, thus facilitating further steps towards transcription. However, this theory has not yet been tested. As alternative option, ligand-binding might inactivate export into the cytoplasm, possibly due to conformational changes that masks the NES. This could terminate endogenous shuttling, leading to a constitutive nuclear population to engage in various functional interactions. The NES is also postulated to terminate transcription by re-exporting the receptor into the cytoplasm 29 , where it can be degraded by the proteasome 33 .

In this study, we have investigated whether the ligand-induced nuclear accumulation of the AHR depends on its heterodimerization with ARNT and its DNA-binding domain that associates with the cognate XRE motif. Our data indicate the nuclear compartmentalization is not affected or stabilized by consecutive association with either DNA or ARNT. Instead, the alternative concept of an inhibited NES-dependent export during receptor activation is supported.

Results

Using the AHR crystal structure to generate AHR-mutants that are deficient for DNA-binding **and/or dimerization with ARNT.** To design AHR mutants that are incapable of interacting with ARNT or the XRE, we took reference to the AHR crystal structure model as defined by³⁴. The quoted crystal structure refers to an AHR-ARNT complex, containing only the bHLH and PAS-A domains of both proteins, bound to a 12mer double-stranded DNA. Crucial residues that are involved in both interactions with ARNT or the XRE have been identified or confirmed in agreement with previous functional studies^{35,36}.

According to the crystal structure, the interaction of AHR with the XRE motif relies on three amino acids: Ser36 (S36), His39 (H39) and Arg40 (R40). While S36 and R40 form hydrogen bonds with cytosine and guanine on the DNA strand, H39 builds a contact to the phosphate backbone of the thymine base³⁴. For an entire characterization profile of the DNA-binding motif, we examined different AHR-mutants covering the whole DNA-binding motif as well as the individually mutated amino acids (Fig. 1b).

The dimerization of AHR and ARNT includes three interaction interfaces in the bHLH as well as the PAS-A domain. In accordance with this information the amino acids L50, A79, F82, L118, A121, L122 have been identified as crucial for the formation of a stable AHR-ARNT heterodimer^{34,36}. For a precise description of the role of the AHR-ARNT heterodimer, we generated an AHR mutant in which all amino acids described above were mutated (Fig. 1c).

In order to verify the generated AHR mutants, we analyzed their transcriptional activity as functional output in MCF-7^{ΔAHR} cells. CYP1A1 mRNA levels were determined after induction of the AHR or the AHR mutants with IND, respectively (Fig. 2a–c). A mutation in the DNA-binding motif leads to a loss of transcriptional activity of the AHR. While AHRH39G and AHRR40D inhibit CYP1A1 induction completely, AHRS36G still shows a minor induction (Fig. 2b). Further analysis examined the XRE driven luciferase activity after treating MCF-7^{ΔAHR} cells with an increased concentration of TCDD or IND. In contrast to wild-type AHR, which activates luciferase in a concentration-dependent manner, the AHR DNA-binding deficient mutants do not elicit any reaction to increased concentrations of the ligands (Fig. 2d).

The transcriptional activity of ARNT-binding deficient mutants confirms that mutations in the bHLH domain $(L50D.A79D.F82D)$ are sufficient to inhibit the induction of CYP1A1 (Fig. 2c). Nevertheless, the induction of CYP1A1 is not inhibited completely through the mutation in the PAS-A domain (L118E.A121D.L122E) (Fig. 2c). Based on these results, we decided to solely consider the mutation L50D.A79D.F82D.L118E.A121D. L122E (AHR∆ARNT), which covers the ARNT-binding motif in both domains. Furthermore, a loss of the ARNTdimerization ability of AHR∆ARNT has been validated with co-immunoprecipitation (Co-IP) (Supplementary Fig. 1).

The DNA-binding motif alters the nucleo-cytoplasmic distribution of AHR through the overlap with the second NLS. To investigate the cellular compartmentalization of the AHR in living HepG2 cells, human AHR was expressed as a fusion protein, linked to the enhanced yellow fluorescent protein (EYFP) as

2

C

AHRWT 49RLASLLPFPQDVINKLDKLSVLRLSVSYLRAKSFEDVALKSSPTERNGGQDNCRAANFREGLNLQEGEFLLQAL122 AHRL50D.A79D.F82D ₄₉R<mark>D</mark>ASLLPFPQDVINKLDKLSVLRLSVSYLR<mark>D</mark>KSF<mark>D</mark>DVALKSSPTERNGGQDNCRAANFREGLNLQEGEF<u>L</u>LQ<mark>AL</mark>₁₂₂ AHRL118E.A121D.L122E 49RLASLLPFPQDVINKLDKLSVLRLSVSYLRAKSFEDVALKSSPTERNGGQDNCRAANFREGLNLQEGEFELQDE122 **AHRAARNT** ₄₉R<mark>D</mark>ASLLPFPQDVINKLDKLSVLRLSVSYLR<mark>D</mark>KSF<mark>D</mark>DVALKSSPTERNGGQDNCRAANFREGLNLQEGEF<u>E</u>LQ<mark>DE</mark>₁₂₂

> **Figure 1.** Design of AHR mutants to study DNA-binding and ARNT dimerization. (**a**) Domain architecture of the AHR. The bipartite nuclear localization signal (NLS) and a nuclear export signal (NES) are indicated as amino acid sequence. Graphical representation of mutated amino acids in the DNA-binding motif (**b**) and ARNT-binding domain (**c**) of the AHR.

described previously (Tkachenko et al., 2016). The EYFP-tagged AHR or AHR mutants allowed us to perform online fluorescence imaging in living cells.

The DNA-binding motif includes two positive charged amino acids H39 and R40 that are considered to be a part of the 2nd NLS (Fig. 1a). Changing the weakly alkaline H39 to the neutral glycine (AHRH39G) did lead to a compartmentalization similar to the wild-type receptor (Fig. 3a). In contrast to AHRH39G, the distribution of the AHRR40D mutant was shifted to an exclusively cytoplasmic distribution (Fig. 3a), suggesting that the basal nuclear import was abolished due to inactivation of the second NLS motif. From a technical perspective, AHR^{H39G} was confirmed as AHR mutant that is selectively deficient for DNA-binding, but contains a fully functional NLS.

On the other hand, AHRR40G allows to address specifically the impact of the 2nd NLS on nuclear import. Interestingly, in response to the endogenous ligand (IND), nuclear accumulation was not delayed, but even accelerated when compared to wild-type AHR (Fig. 3b–d). Consistently, the xenobiotic ligand (BNF) did induce similar import profiles for AHRR40D and wild-type receptor (Fig. 3b,c,h). These data suggest that the 2nd NLS has no apparent function in the ligand induced nuclear import.

To verify this hypothesis, we compared the basal import of AHRR40D with the wild-type after blocking the protein export with leptomycin B (LMB). The subcellular distribution of AHR was observed until 30 min after treatment. Our results reveal that both the nuclear accumulation and the slope of nuclear transition of AHRR^{40D} is significantly reduced when compared with the wild-type (Fig. 3e–h), thus confirming a function of the 2nd NLS in the basal, but not in a ligand-induced nuclear import.

Activation related nuclear retention of the AHR neither requires dimerization with ARNT nor **binding to XRE.** AHR heterodimerization with ARNT is the key to form a stable complex with the XRE motif. As ARNT is a nuclear protein that mediates AHR activation, we decided to investigate the requirement of the ARNT-AHR heterodimer for AHR nucleo-cytoplasmic translocation. The AHR mutant AHR^{H39G}, containing a mutation within the DNA-binding domain, failed to activate CYP1A1 expression (Fig. 2b). We used the

Figure 2. Transcriptional activity of the AHR and AHR mutants. Relative CYP1A1 mRNA level determined by qPCR in MCF-7^{ΔAHR} cells. Values were standardized against HPRT and normalized against AHR^{WT} transfected cells treated with 5 µM indirubin (IND) for three hours. Depicted are the results for a comparison of treatment and AHR^{WT} transfection (a), AHR DNA-binding deficient mutants (b) and AHR mutants deficient for ARNT dimerization (c). (d) Luciferase activity driven by an XRE-promoter after stimulation with increasing concentrations of IND and TCDD for twenty-four hours. (**a**–**c**) Each bar represents the mean of three biological replicates ± S.D., One way Anova (ns: not signicant, ** P < 0.01, **** P < 0.0001). (**d**) Presented are the means of three biological replicates + /- S.D.

mutants AHR^{H39G.∆ARNT}and AHR^{H39G} to test, whether DNA-binding of the AHR or the formation of the corresponding transcription complex is a prerequisite for the maintenance of stable nuclear localization.

Analyzing intracellular trafficking of the AHR mutants after treatment with IND or BNF showed that both mutants AHR^{H39G} and AHR^{H39G.∆ARNT} exhibited a stronger nuclear accumulation after stimulation with the endogenous IND, as compared with the xenobiotic ligand BNF (Fig. 4b–d). Furthermore, the mutant AHR^{H39G.∆ARNT} shows significantly accelerated import after stimulation with IND (Fig. 4a).

This data indicates that the formation of the AHR-ARNT heterodimer and subsequent binding to the XRE is not crucial to stabilize the ligand-dependent nuclear retention of the AHR.

Transient knockdown of ARNT does not change the AHR nucleo-cytoplasmic profile in MCF-7 **cells.** MCF-7 \triangle AHR cells show a significant decrease in ARNT mRNA at 24 and 48 h after transfection with siRNA against ARNT (Fig. 5a). In the basal state, AHR^{WT} is predominantly located in the nucleus and knockdown of ARNT does not change the intracellular distribution (Fig. 5b,e). Interestingly, neither the ligand dependent nuclear accumulation nor the nuclear import after stimulation with IND or BNF are changed through ARNT knockdown (Fig. 5c,d,f). In conclusion, live tracking of AHRWT in ARNT knockdown cells clearly indicates that nuclear import and accumulation caused by ligand binding is independent from ARNT mediated interactions.

Discussion

In the absence of exogenous ligands, the AHR shuttles continuously between the cytoplasm and the nucleus while a predominantly cytoplasmic compartmentalization is maintained due to an efficient nuclear export. This "shuttling" has been previously reported as a constitutive process that does not lead to any transcriptional activity. Ligand binding accelerates nuclear import and sequesters the receptor in the nucleus. Importantly, this is linked to an exit from the shuttling cycle and to a deactivated export, which determines the cytoplasmic localization prior to activation. However, the molecular mechanisms that stabilize the nuclear AHR population have not been characterized yet.

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Figure 3. The DNA-binding motif determines the intracellular distribution of the AHR through the overlap with the second nuclear localization signal (NLS). (**a**) Distribution of the EYFP-tagged AHR and AHR mutants in HepG2 cells are shown. More than 300 cells were randomly selected and classified according to the given distribution pattern. Data represents mean ± S.D from three biological replicates. (**b**,**e**) Slopes of nuclear transition the AHR^{WT} and AHR^{R40D} (Arg → Asp) after treatment with 10 µM indirubin (IND), 10 µM β-naphthoavone (BNF) or 200 nM leptomycin B (LMB). (**c**,**f**) Nuclear accumulation of the AHRWT and AHRR40D 15 min or 30 min after treatment with IND, BNF or LMB, respectively. Individual values and the mean \pm S.D. of 15 (b,c) or 10 (e,f) cells are presented (two way ANOVA, Dunnett's multiple comparisons test, *** p < 0.001). (**d**,**g**) Representative measurements of time-lapse experiments after stimulation with IND (**d**) or LMB (**g**) of indicated AHR variants in HepG2 cells. Relative nuclear intensity denotes the intensity of the nucleus against the intensity of the entire cell. (**h**) Representative images of HepG2 cells transfected with AHRWT or AHRR40D before and after 15 min of treatment with IND, BNF, and LMB.

Figure 4. Forming the AHR-ARNT heterodimer and the active binding to the XRE is not decisive for stable ligand-dependent nuclear retention. (a) Slopes of nuclear transition of the AHR^{WT}, AHR^{H39G} and AHR^{H39G.∆ARNT} after treatment with10μM indirubin (IND) or 10 μM β-naphthoflavone (BNF). (**b**) Nuclear accumulation of the AHR^{WT}, AHR^{H39G} and AHR^{H39G.∆ARNT} 15 min after treatment with IND or BNF, respectively. Data display the individual values and the mean +/- S.D. of 15 cells (two way ANOVA, Dunnett's multiple comparisons test, ** p < 0.01, *** p < 0.001). (c) Representative measurements of time-lapse experiments after stimulation with IND of indicated AHR variants in HepG2 cells. Relative nucleus intensity denotes the intensity of the nucleus against the intensity of the entire cell. (d) Snapshots of HepG2 cells 15 min after stimulating with IND or BNF.

A prior study of our lab defined the Q-rich/PST domain in the C-terminus as a crucial component for regulating both the shuttling and the ligand-induced import²⁹. In particular, the Q-rich/PST domain is essential for regulating intracellular trafficking and nuclear accumulation after ligand binding. The C-terminal AHR deletion mutant AHR^{∆647}, lacking 201 residues after valine 647, did not show any nuclear accumulation in response to ligands due to increased export. Intriguingly, point mutations of valine 647 (AHR^{V647A}), or C-terminal deletions that exclude this residue ($\widehat{A}HR^{\Delta 646}$ or shorter) display consistently an exclusive nuclear staining. These previous data implied a mandatory role of valine 647 to maintain a conformation that is capable to undergo export. Further, the adjacent C-terminal domain is required to switch off export during activation, possibly in concert with the well-studied N-terminal trafficking motifs.

In this manuscript, we have addressed the impact of the N-terminal domain on both shuttling and ligandinduced import. Besides the well characterized nuclear transport signals, this domain harbors the DNA-binding XRE motif and participates in the heterodimerization with ARNT that is regarded as crucial step towards activation. There are now two hypotheses for the molecular mechanism of the AHR activation cascade. Firstly, ligand binding might trigger a conformational change that accelerates import and blocks nuclear export in parallel. This would enable all consecutive interactions that lead to transcription of target genes and other nuclear effects. Alternatively, termination of export could be linked to molecular interactions of the AHR that are formed during activation. In order to distinguish both options, we have analyzed whether the DNA-binding motif or the interaction with ARNT are required to stabilize the nuclear AHR fraction during activation. In our experiments, we have used IND as an endogenous ligand, as well as BNF as a xenobiotic agonist. Further, we veried that all AHR mutants, deficient of DNA or ARNT-binding, did not induce transcription of CYP1A1.

As the DNA-binding motif overlaps with the 2nd NLS, mutagenesis might also affect nuclear import. Indeed, partial inactivation of nuclear import was indicated for AHR^{R40D} by an absence of basal nuclear staining. We have aimed to separate both functions, generating a mutant that is deficient to bind the XRE without compromising the second section of the bipartite NLS. AHR^{H39G} met the requirement of a comparable basal staining as the wild-type receptor and was used to address the impact of the DNA-binding domain on ligand-induced

Figure 5. Down-regulation of ARNT is not critical for the AHR nucleo-cytoplasmic translocation in MCF-7 cells. (a) Relative ARNT mRNA level determined by qPCR in MCF-7^{ΔAHR} cells after transfection with siRNA against ARNT and control siRNA, respectively. Values were standardized against HPRT and normalized against control siRNA transfected cells. Each bar depicts the mean of three biological replicates ± S.D. (two way ANOVA, Dunnett's multiple comparisons test, *** p < 0.001,**** P < 0.0001). (**b**) Intracellular distribution pattern of EYFP-tagged AHR^{WT} in MCF-7 ^{AAHR} cells are shown. At least 300 transfected cells are randomly selected and sorted according to the following classification: $N > C$ predominantly nuclear; $N = C$ equal distribution; N < C predominantly cytoplasmic. Each bar represents the mean +/- S.D. of three biological experiments. Depicted are relative nuclear intensity (**c**) and slope (**d**) of time-lapse measurements after stimulation with 10 µM indirubin (IND) or 10 µM β-naphthoavone (BNF) in control siRNA and ARNT siRNA transfected MCF-7^{Δ AHR} cells. The presented data contains individual values and the mean + /- S.D. of 11 cells. (**e**) Snapshots of MCF-7 ΔAHR cells before and aer knocking down the ARNT gene. (**f**) Snapshots of the MCF-7 cells after stimulation with BNF and IND.

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nuclear import. Importantly, the kinetics of nuclear accumulation were similar for both to the wild-type AHR and AHR^{H39G}. Surprisingly, this was also true for AHR^{R40D}, suggesting that neither DNA-binding nor the second section of the NLS affect the ligand-induced nuclear retention.

We further used the mutant AHRR40D to characterize the 2nd NLS in more detail. Interestingly, this mutant failed to accumulate in the nucleus after protein export was inhibited using LMB. Our data indicate a specific role for this tracking motif in the basal (i.e. ligand-independent) nuclear import that occurs in the context of nucleo-cytoplasmic shuttling. In contrast, this motif has no impact on the ligand induced nuclear transfer. These observations underscore the idea that the ligand induced and the basal import constitute two independent transport mechanisms. The basal import might take place due to a direct binding to importin- β through the arginine rich NLS. Findings by Pterulis et al. further support this hypothesis by showing that the murine AHR is able to perform a stable binding with importin- β^{26} . In conclusion, our results propose that the NLS stays partially unmasked in the absence of exogenous ligands, thereby enabling binding with importin-β and allowing the basal nuclear import. However, this theory has to be addressed in a next study.

As second question, we have studied whether dimerization with ARNT is required to retain the AHR in the nucleus. The mutant AHR^{H39G.∆ARNT} is an inactive AHR variant with a similar compartmentalization as the wild type, that is incapable to dimerize with ARNT or bind the DNA. Data indicate that ligand-induced nuclear retention of the AHR does not depend on functional interactions with ARNT. This was also confirmed after inhibition of ARNT expression using siRNA. The transient knockdown of ARNT reveals that heterodimerization is not required for the AHR to maintain a stable nuclear localization.

Notably in comparison with wild type AHR, both AHR^{H39G.∆ARNT}and AHR^{H39G} exhibit a strong increase in the nuclear accumulation after stimulation with the endogenous IND but not with the xenobiotic ligand BNF. For AHRH39G.∆ARNT treated with IND, we also detected an increased slope of nuclear transition, which directly leads to higher nuclear accumulation. The reason for the change in nuclear transition, meaning nuclear import and parallel re-export in general, through IND opposed to BNF cannot be elucidated with our experiments. For AHR^{H39G}, the nuclear transition was not significantly altered in comparison to wild type AHR and increase in nuclear accumulation was less pronounced. However, nuclear import and induction of target gene expression (CYP1A1) correlates for IND but less for BNF⁴. Based on this, one might hypothesize that nuclear transition and functional effectivity of AHR within the nucleus might be linked. Therefore, the effect is stronger for the more potent ligand IND than for BNF.

Taken together, our results indicate that the stabilized nuclear population of ligand-bound or ligand-free AHR is neither dependent on interactions with DNA nor the ARNT. Therefore, the kinetic of nuclear accumulation is not related to the molecular interactions conducted by the activated receptor in the nucleus. In conclusion to our data, we propose that the conformational change followed by ligand binding promotes the nuclear import and blocks the export autonomously.

Methods

Plasmids. The plasmid pEYFP-AHR-C1 used by²⁹ encodes the human AHR (start at Ala 11). All pEYFP-AHR variants, which carry mutations at certain amino acids (AHR^{H39G}, AHR^{R40D}, AHR^{S36G}, AHR^{S36G.H39G.R40D}, AHRL118E.A121D.L122E, AHRL50D.A79D.F82D and AHRH39G.L50D.A79D.F82D.L118E.A121D.L122E.) were generated by GenScript (GenScript Biotech, Leiden, Netherlands).

Cell culture. The human hepatoma cell line HepG2 was purchased from DSMZ (Braunschweig, Germany). HepG2 cells were grown in RPMI 1640. MCF-7^{∆AHR} cells were cultured in DMEM. Both cell lines were maintained in 5% CO₂ at 37 °C in culture medium containing 10% (v/v) FCS, 100 U/ml penicillin, 100 mg/ml streptomycin, and 2 mM L-glutamine. All media components were purchased from Pan-Biotech (Aidenbach, Germany). AHR-deficient variant of MCF-7 cells were kindly provided by Dr. P. Tarnow³⁷.

Transient transfection. HepG2 and MCF-7^{∆AHR} cells were seeded either on 10-cm dishes, 6-well plates (Techno Plastic Products AG, Trasadingen, Switzerland), 96-well plates (Techno Plastic Products AG, Trasadingen, Switzerland), or on glass bottom dishes (In VitroScientic, Sunyvale, CA, USA). On the next day, cells on glass bottom dishes were transfected by using Xfect (Takara Bio Europe SAS, Saint-Germain-en-Laye, France) and an appropriate DNA amount according to the manufacturer's instructions. Cells on 10-cm dishes or on multiwell plates were transfected with Lipofectamine 2000 (Invitrogen, Carlsbad, CA, USA) and an appropriate DNA amount as stated by the manufacturer's instructions. After 4 h incubation, transfection medium was removed and replaced by new RPMI or DMEM medium, respectively.

Reagents. β-naphthoflavone (BNF) and dimethyl sulfoxide (DMSO) were obtained from Sigma-Aldrich (Sigma-Aldrich Chemie GmbH, Munich, Germany). Leptomycin B (LMB) was acquired from Santa Cruz Biotechnology (Santa Cruz Biotechnology Inc., Texas, U.S.A). Indirubin (IND) was provided from Enzo Life Sciences (Enzo Life Sciences GmbH, Lörrach, Germany) and 2,3,7,8-tetrachlordibenzo-p-dioxin (TCDD) from LGC Standards (LGC Standards GmbH, Wesel, Germany). All chemicals were purchased at the highest purity available.

RNA analysis. RNA was isolated form cells by using RNeasy Midi kit in conjunction with QIAshredder (QIAGEN GmbH, Hilden, Germany). The purity and the concentration of RNA were determined using a plate reader device (Infinite M200 PRO, Tecan Trading AG, Männedorf, Switzerland). Reverse transcription was performed with the high-capacity cDNA Reverse Transcription kit (Applied Biosystems, Foster City, CA USA).

Table 1. Primer sequences used.

Quantitative PCR (qPCR) was performed on a 7500 Fast Real-Time PCR instrument using FAST SYBR Green Master Mix (Applied Biosystems, Foster City, CA, USA). Hypoxanthine–guanine phosphoribosyl transferase (HPRT) was used as reference gene.

The primer sequences are listed in Table 1.

Luciferase assay. Cells used for the luciferase assay were seeded in 96-well plates (Merck KGaA, Darmstadt, Germany) at a concentration of 4.5×10^4 and 150 µL per well. Cell were transfected with pGL4.26-XRE provided by Dr. P. Tarnow³⁸ together with AHR plasmids (WT or mutants). 24 h after stimulation cells were washed with PBS and lysed with lysis-puffer (10 mM Tris 0.1 mM, 2 mM EDTA, 1% Triton™ X-100) for 15 min. 50 µl luciferin solution and 150 µl assay puffer (Gly-Gly puffer, DTT and ATP) were injected per well. Luminescence was measured in a Synergy Neo2 plate reader (BioTek, Bad Friedrichshall, Germany).

RNA-silencing. For RNA silencing, cells were transfected with 80 pmol of siRNA (sc-29734) or the control siRNA (sc-37007) (all from Santa Cruz Biotechnology, Heidelberg, Germany) by using Lipofectamine 2000 according to the manufacturer's instructions. The expression of the ARNT gene was measured by using the ARNT primer (sc-29734-PR) provided from Santa Cruz Biotechnology.

On-line confocal microscopy. HepG2 and MCF-7^{∆AHR} cells are seeded and transfected as described above. Cells were monitored by confocal microscope (LSM 700, Carl Zeiss Jena GmbH, Jena, Germany) twenty four hours post transfection. For live cell imaging, cells were maintained in buffered medium in 5% CO₂ at 37 °C. Simultaneously with the treatments, the imagining was initiated at a rate of one picture per minute. Microscopic image acquisition was done by using ZEN 2012 black edition software (Carl Zeiss Jena GmbH). For data analysis ZEN 2012 blue edition was used (Carl Zeiss Jena GmbH). The nucleus and the whole cell were defined and outlined as a region of interest (ROI), for which the fluorescence intensity was extracted.

Statistics. Data were analysed and graphed using GraphPad Prism (Graph Pad, La Jolla, CA, USA). Statistical analysis was done using paired Dunnett's multiple comparisons test, one-way or two-way ANOVA, $* p < 0.01$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$.

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Author contributions

R.H., F.H., J.K., A.L. designed the experimental program. R.H. performed the experimental work, A.R. contributed to the confocal microscopy and D.G. generated plasmids. Data analysis and figures creation were done by R.H. Manuscript writing was done by R.H. with contributions from F.H., A.L., P.L. and J.K. The final version was approved by all authors.

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3.2 Paper 2

The nuclear entry of the aryl hydrocarbon receptor (AHR) relies on the first nuclear localization signal and can be negatively regulated through IMPα/β specific inhibitors

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Author contributions within this chapter: Project planning (80%) Experimental work (85%) Data analysis (85%) Writing of the manuscript (80%).

Supplementary materials for the following publication are detailed in Annex II.

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OPEN The nuclear entry of the aryl hydrocarbon receptor (AHR) relies on the first nuclear localization signal and can be negatively **regulated through IMPα/β specific** inhibitors

 R ashad Haidar $^{1,2\boxtimes}$, Reneh Shabo 1 , Marie Moeser 1 , Andreas Luch 1,2 & Josephine Kugler 1

The human aryl hydrocarbon receptor (AHR) undergoes continuous shuttling between nucleus and cytoplasm. Binding to exogenous or endogenous ligands promotes its rapid nuclear import. The proposed mechanism for the ligand-dependent import is based on exposing the bipartite nuclear localisation signal (NLS) to members of the importin (IMP) superfamily. Among this, the molecular interactions involved in the basal import still need to be clarified. Utilizing fluorescently fused AHR variants, we recapitulated and characterized AHR localization and nucleo-cytoplasmic shuttling in living cells. Analysis of AHR variants carrying NLS point mutations demonstrated a mandatory **role of first** (₁₃RKRRK₁₇) and second (₃₇KR-R₄₀) NLS segments on the basal import of AHR. Further experiments indicated that ligand-induced import is mainly regulated through the first NLS, while the second NLS is supportive but not essential. Additionally, applying IMP α/β specific inhibitors, ivermectin (IVM) and importazole (IPZ), slowed down the ligand-induced import and, correspondingly, decreased the basal nuclear accumulation of the receptor. In conclusion, our data show that ligandinduced and basal nuclear entry of AHR rely on the same mechanism but are controlled uniquely by the two NLS components.

The aryl hydrocarbon receptor (AHR) is a ligand-dependent transcription factor, which was initially discovered due to its contribution in mediating the toxicity of exogenous environmental toxicants, like 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD)¹ and benzo[a]pyrene (B[a]P)². Exposure to AHR ligands, as for example the synthetic flavonoid β-naphthoflavone (BNF)³, induces the expression of xenobiotic metabolizing enzymes (XMEs). These include phase I XMEs such as cytochrome P450-dependent monooxygenase 1A1 (CYP1A1) and phase II XMEs such as glutathione S-transferase α (GST-α)⁴, indicating AHR's role in xenobiotic metabolism⁵.

More current studies discovered essential physiological roles of AHR by demonstrating that many compounds from endogenous origins, including indole, heme, and tryptophan metabolites^{6,7}, are able to bind and activate the receptor. As for example, the endogenous indirubin (IND) is generated from dietary tryptophan by the intestinal and urinary microbiome⁸. It is one of the most potent AHR ligands and exhibits anti-inflammatory effects in vivo⁹. Furthermore, current research reveals that AHR has a more complex role in cell biology. In fact, AHR interacts with other signaling pathways involved in proliferation, apoptosis, and cell cycle regulation 10,11 .

AHR activation is firmly linked with nuclear transition that depends on a sequence of positively charged amino acids, the nuclear localization signal $(NLS)^{12}$. The NLS comprises two segments (bipartite NLS) and is located within the conserved bHLH (basic helix-loop-helix) domain in the N-terminal part of the protein¹³. According to the classical AHR pathway, unliganded AHR is mainly located in the cytoplasm bound by a chaperon complex consisting of two molecules of HSP90¹⁴ and single molecules of co-chaperone p23¹⁵ and hepatitis x-associated protein-2 $(XAP2)^{16}$. Most AHR ligands are able to cross the cell membrane through simple diffusion

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due to their hydrophobicity¹⁷. Ligand binding to cytosolic AHR triggers a conformational change that exposes the NLS to a nuclear transporter in order to permit nuclear import¹⁸. On the molecular level, members of the importin (IMP) superfamily IMPβ1 or its adaptor protein IMPα can recognize the revealed NLS^{18,19}. Thereafter, AHR along with the $IMPa/\beta1$ heterodimer reaches the nucleus through the nuclear pore complexes (NPCs). On the nuclear side of NPCs, IMPβ1 binds to RanGTP (Ras-related nuclear protein) subsequently leading to a release of the NLS-cargo²⁰.

In the nucleus, AHR releases its chaperons 21 and builds a stable dimer with the AHR nuclear translocator $(ARNT)^{22,23}$. The AHR/ARNT heterodimer recruits several co-factors²⁴, binds its target sequences, called XRE (xenobiotic response element)²⁵, and regulates the expression of AHR target genes. Ultimately, AHR is exported from the nucleus to the cytoplasm to undergo proteasomal degradation marking the end of activation²⁶. This nuclear export is established through CRM1 (chromosome region maintenance 1 also known as exportin) that binds the nuclear export signal (NES) of the receptor²⁷. In a previous report, we showed that the molecular interactions performed by the receptor in the nucleus, ARNT dimerization and DNA binding, do not alter the nuclear retention after ligand binding²⁸.

Analyzing AHR translocation in vitro, however, discloses many aspects that are still not completely understood. For example, AHR undergoes constitutive shuttling between the nucleus and cytoplasm^{29,30}, which neither leads to any activation³¹, nor demands HSP90 dissociation³². Although most of the effort given on AHR trafficking intends to clarify the ligand-induced transition, the basal shuttling requests further investigation. On this stage, the research done in the last decade revealed many nuclear import inhibitors targeting IMPs³³. The best characterized ones are importazole (IPZ)³⁴ and ivermectin (IVM)^{35,36}. The former revealed interferences with the IMP α/β 1 mediated-nuclear entry of NF- κ B³³ and the latter showed import suppressing properties on the hypoxia induced factor (HIF)³⁷. Both import inhibitors differ markedly in their mechanism. While IVM inhibits the binding between IMPα and the NLS $^{38,39},$ IPZ interferes with IMPβ1 and RanGTP binding $^{40}\!\!$

In the current study, we aimed to elucidate the mechanism of AHR translocation in the basal and ligandinduced state in living cells. We confirmed faithful recapitulation of endogenous AHR localization for our EYFP-AHR overexpression system, independent of cell cycle, expression level, or fusion with EYFP. Through point mutations over the entire NLS, importance of both parts of the bipartite NLS for AHR localization has been shown. But surprisingly, these effects are not transferable on the ligand-induced import, which can still occur with 2nd NLS mutants, confirming that the 1st NLS of AHR is mandatory for the nuclear import. Application of the nuclear transport inhibitors IVM and IPZ resulted in a clear reduction of nuclear accumulation of ligandfree and ligand-bound AHR. In summary, our data demonstrated that ligand-induced and basal nuclear entry are two different processes that rely on the same molecular mechanism.

Results

EYFP-AHR in MCF-7^{\triangle AHR} faithfully recapitulates AHR localization

In our study, we aimed to investigate the nucleo-cytoplasmic translocation of unliganded and ligand bound AHR. To avoid any confounding effects through endogenous AHR, AHR-knockout MCF-7 cells (MCF-7^{AAHR}) have been used⁴¹. Transient transfection of MCF-7^{ΔAHR} cells with plasmids coding for EYFP-AHR^{WT} or EYFP- $\rm AHR^{mut}$ allowed real-time tracking of AHR's subcellular localization in living cells as described previously 28,31 .

Notably, localization of AHR^{WT} in MCF-7^{ΔAHR} cells can be defined in three different states: accumulated in the nucleus, equally distributed or predominantly cytoplasmic (Figs. 1a, 2h and Supplementary Fig. S1). Antibody staining of endogenous AHR in fixed MCF-7^{WT} revealed a similar distribution (Fig. 1b). Treating cells for 30 min with 10 μ M IND, however, captured only predominantly nuclear accumulated AHR in both cases (Fig. 1c,d). To assure functional output of EYFP-AHRWT translocation, we verified CYP1A1 and CYP1B1 mRNA induction after IND treatment with qPCR (Supplementary Fig. S2).

To exclude an influence of the cell cycle on AHR localization, we determined the cell cycle phase of EYFP- $\rm AHR^{WT}$ transfected MCF-7 $\rm ^{\rm AAHR}$ cells by measuring DNA content. After 48 h of cultivation, roughly 65% of MCF 7^{AAHR} cells were in G1 phase (Fig. 1e). With nocodazole as positive control for cell cycle analysis, we shifted the population to mainly G2 phase (Fig. 1f). Interestingly, cells that have been transfected, but were negative for EYFP-AHR expression, exhibited the same distribution as untransfected cells (Fig. 1i). On the other side, the great majority of EYFP-AHR positive cells were in G1 phase (Fig. 1g,h). To further elucidate whether changed cell cycle progression is a result of EYFP-AHR overexpression, we similarly analyzed the cell cycle of cells transiently transfected with EYFP alone. Interestingly, these cells exhibited changed cell cycle distribution as well, leading to the hypothesis, that transfection itself alters cell cycle progression (Supplementary Fig. S3). Overall, transfection of EYFP-AHRWT in MCF-7ΔAHR faithfully recapitulates AHR localization, independent of expression level and cell cycle.

Impact of individual amino acids of the NLS on the basal import of AHR

Since we sought to investigate the nuclear transition of AHR, we decided to address the impact of NLS components on the basal import of AHR first. Therefore, we mutated every positively charged amino acid of the bipartite NLS individually and generated mutants for the first and the second part of the NLS as well (Fig. 2a–c).

We evaluated the effect of individual amino acids on the intracellular distribution of AHR in MCF-7^{ΔAHR} cells by categorizing at least 100 cells into one of the three described states (Fig. 2h and Supplementary Fig. S4). Consistently, switching the positively charged NLS amino acid arginine to aspartate (AHRR13D, AHRK^{14D}, AHRR^{R15D}, AHRR16D, AHRK17D, AHR1st.NLS, AHRK37D, AHRR38D, AHRR40D, and AHR^{2nd.NLS}) shifted the AHR compartmentalization to be solely cytoplasmic. On the other hand, similar substitution of the other two positions (AHR^{H39D} and AHRR42D) did not alter the typical cellular distribution (Fig. 2d and Supplementary Figs. S5 and S6).

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Figure 1. AHR intracellular distribution in MCF-7 cells. Representative images of EYFP-AHR^{WT} transiently transfected in MCF-7ΔAHR cells before (**a**) and aer (**c**) 30 min treatment with 10 µM indirubin (IND). Immunofluorescence images of AHR in MCF-7^{WT} cells before (**b**) and after (**d**) 30 min treatment with 10 μ M IND. Nuclei are marked either with Hoechst (**a**,**c**) or with DAPI (**b**,**d**). Cell cycle analysis of control cells without (**e**) and with nocodazole (f). EYFP-AHR transfected cells were sorted for expression level (**g**) and subpopulations individually analyzed for cell cycle for EYFP-AHR-positive (**h**) and for EYFP-AHR-negative cells (**i**). Total number of analyzed cells: 7624 (**e**), 1149 (**f**), 18,192 (**g**), 4441 (**h**) and 11,181 (**i**).

Next, we analyzed the relative nuclear intensity (Fig. 2h) of basal EYFP-AHRWT and EYFP-AHRmut, respectively, thus informing about the nuclear retention of AHR in the absence of ligands (Fig. 2e). Our results exhibited a signicant decrease in the relative nuclear intensity of NLS mutants compared with primary cytosolic EYFP- AHR^{WT} cells (Fig. 2e and Supplementary Fig. S5). Interestingly, mutations in the first part of the NLS seemed to have a higher impact on the nuclear retention than mutations on the second part of the NLS. Again, EYFP-AHR^{H39D} and EYFP-AHR^{R42D} revealed a comparable nuclear intensity to the wild type (Fig. 2e).

Moreover, we tested whether the basal import can still occur in first and second NLS full mutants after inhibiting nuclear export using leptomycin B (LMB) by measuring the change of relative nuclear intensity over time (Supplementary Fig. S7). Remarkably, the basal import for both mutants was completely repressed after treating the cells with 200 nM LMB for 30 min (Fig. 2f,g and supplementary Fig. S8).

Figure 2. Role of the nuclear localization signal (NLS) on the basal shuttling of AHR. The N-terminal bHLH domain of AHR is indicated as amino acid sequence (**a**). Graphical representation of mutated amino acids in 1st NLS (b) and 2nd NLS (c). The percentage of cells with nuclear accumulated AHR^{WT} or AHR^{mut} for 100 randomly chosen cells in the basal state (**d**) according to our classification (**h**). The ratio of the mean fluorescence intensity within the nucleus and the mean fluorescence intensity of the cells in the cytoplasmic state is given as relative nuclear intensity (e). Slopes of the nuclear transition of AHR^{WT} or AHR^{mut} after treatment with 200 nM leptomycin B (LMB) for 30 min (**f**). Values represent the mean \pm S.D of n = 100 (**d**), n = 10 (**e**), $n = 14$ for AHR^{WT} and $n = 5$ for AHR^{mut} or unstimulated AHR^{WT} (**f**). Statistical analysis was performed with a one-way ANOVA, Dunnett's post-test, $p < 0.05$, $***p < 0.0001$.

The ligand-induced nuclear entry of AHR depends primarily on the first part of NLS

Next, we studied the ligand-induced nuclear import of NLS mutants. After treatment with either IND or BNF, we tracked the subcellular location of fluorescent fusion proteins over 15 min.

In response to ligands, all mutants with point mutations in the 1st NLS, except EYFP-AHRK17D, revealed a clear reduction of nuclear transition slopes compared to the wild type protein (Fig. 3a–d). In contrast, mutants with point mutations in the 2nd NLS resulted only in a slight reduction of nuclear import after stimulation with IND and showed no effect on the import rate for BNF treatment (Fig. 3a–d). When mutating the entire first or second NLS, respectively, the effect on the nuclear transition slope was similar: mutating the 1st NLS blocked the nuclear transition entirely, whereas a mutation of the 2nd NLS only reduced the rate of nuclear import (Fig. 3a–f). EYFP-AHR^{H39D} and EYFP-AHR^{R42D} had no to very limited effect on the nuclear transition for IND and BNF, respectively, supporting the results from the basal shuttling that these amino acids do not contribute to NLS function (Fig. 3a–e). Interestingly, independent of import rate, nuclear accumulation can take place of

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Figure 3. The nuclear entry of AHR depends primarily on the first part of nuclear localization signal (NLS). Slopes of the nuclear transition of $EYFP-AHR^{WT}$ or $EYFP-AHR^{mut}$ after treatment with 10 µM indirubin (IND) (**a**) or 10 µM β-naphthoavone (BNF) (**c**) for 15 min. Negative control refers to untreated sample transfected with AHR^{WT}. Mean of time-lapse measurements after stimulation with 10 μM IND (**b**) or 10 μM BNF (**d**) for 15 min. Representative images of 1st and $2nd NLS$ point mutants before and after treatment with IND (**e**). Snapshots of AHRWT, AHR1st.NLS and AHR2nd.NLS before and aer treatment with IND (**f**). Data show the mean \pm S.D of n = 12 for AHR^{WT}, n = 5 for AHR^{mut} and NC (a-**c**). Statistical analysis was performed with a oneway ANOVA, Dunnett's post-test, $*p < 0.05$, $*p < 0.01$, $***p < 0.001$, $****p < 0.0001$.

ligand activated EYFP-AHR^{WT} or EYFP-AHR^{mut}, leading to the formation of protein clusters within the nucleus (Fig. 3e, f and supplementary Fig. S3).

IPZ and IVM reduce nuclear accumulation of AHR

Taken together, the results of basal and ligand-dependent nuclear import for the individual amino acids of the NLS indicate different roles for the two parts of the NLS and we hypothesized a difference in import mechanism for unliganded and ligand-bound AHR. We next intended to study the influence of importin specific inhibitors IPZ and IVM on the nucleo-cytoplasmic translocation of AHR.

MCF-7ΔAHR cells transiently transfected with EYFP-AHRWT were incubated either with IPZ or IVM for 90 min. Image analysis elicited a significant reduction of the nuclear $EYFP-AHR^{WT}$ staining. This was also accompanied with an increase of cytoplasmic EYFP-AHR^{WT} in studied cells. Therefore, the relative nuclear intensity diminished to 80% during this treatment (Fig. 4a–c and Supplementary Fig. S9).

Further analysis examined the influence of IPZ and IVM on the ligand-induced nuclear import of AHR. In response to IND stimulation, EYFP-AHRWT live tracking exhibited remarkable deceleration of around 50% of nuclear import for both IPZ and IVM compared with the control (Fig. 4d,e). Taken together, these results indicate that basal and ligand-induced nuclear import are both affected by application of both import inhibitors.

To evaluate whether suppressing the AHR nuclear entry will influence AHR activity, we determined the functional output of the AHR after ligand stimulation either with or without preincubation with import inhibitors.

Compared to ligand stimulated control without import inhibitors, IVM treatment resulted in a notable decrease of CYP1A1 mRNA levels and a slight decrease of CYP1B1 mRNA expression (Fig. 4f). On the other hand, IPZ resulted in a significant decrease in the expression of both CYP1A1 and CYP1B1 (Fig. 4f).

Figure 4. The inhibitors of importin (IMP) α/β mediated import importazole (IPZ) and ivermectin (IVM) reduce the nuclear accumulation of AHR. MCF-7ΔAHR cells were transfected with EYFP-AHRWT and treated with IPZ or IVM for 90 min at concentrations of 10 µM and 17.5 µM, respectively. Relative nuclear intensity of around 50 cells (sorted according to 0 min value) at the time of treatment (0 min) and after 90 min of treatment with IPZ (a) and IVM (b), respectively. Representative images of cells before and after incubation with 17.5 μ M IVM for 90 min (c). Slopes of nuclear transition (**d**) and mean of time-lapse measurements (**e**) after incubation with 10 μ M IPZ or 7.5 μ M IVM for 22 h followed by co-treatment with 10 μ M indirubin (IND) for 15 min. Data is expressed as mean + /− S.D. for 12 cells. Control implies to cells treated with ligand only. One-way ANOVA, Dunnett's post-test, ***p < 0.001, ***p < 0.0001. MCF-7^{WT} cells were treated with IPZ or IVM for 24 h at concentrations of 10 μ M and 7.5 μ M, respectively. Thereafter, cells were co-treated with 2.5 μ M IND for 2 h. Relative CYP1A1 and CYP1B1 mRNA levels determined by qPCR (**f**). Values were standardized against HPRT and normalized to a sample treated with DMSO and ligand (mean + /− S.D.; one-way ANOVA, Dunnett's posttest, ** $p < 0.01$, **** $p < 0.0001$).

Discussion

For import and export through the nuclear envelope, proteins larger than 40 kDa require specific signals in the form of amino acid sequences⁴². These signals permit recognition by nuclear transporters like the IMP α / β1 heterodimer for nuclear import or CRM1 for nuclear export. AHR possesses NLS and NES clusters, which unsurprisingly results in the ability of dynamic shuttling. Certainly, in the literature AHR is repeatedly defined as a cytoplasmic protein, while the nuclear entry requests ligand binding that induces a conformational change unmasking the NLS^{43,44,45}. This theory ignores the nuclear retention of unliganded receptor detected in various in vitro systems confirming the existence of basal shuttling. Additionally, a nuclear trapped AHR shares a similar ability to bind ligands and induce CYP1A1 expression in comparison to wild type AHR²⁹. From our point of view, a mainly cytoplasmic localization could be attributed to high export efficiency or impeded import in individual cells. One popular theory links the basal nuclear accumulation of AHR with the presence of endogenous ligands in cell culture medium⁴⁶. Nevertheless, a study of our lab showed that a part of ligand binding domain of AHR, namely the amino acid H291, is essential to bind endogenous ligands but is not mandatory for the nucleo-cytoplasmic shuttling⁴⁷

Our first results confirmed that AHR subcellular localization of endogenous or overexpressed AHR varies between neighboring cells. From a technical aspect, cells in 2D cell culture are normally not synchronized and follow their own cell cycle progression. This offers the possibility that the noticed receptor staining might be related to various cell cycle stages. Especially since the cell cycle influences many physiological processes. In fact, in MCF-7 cells it has been shown that AHR physically interacts with proteins implicated in the cell cycle, like cyclin-dependent kinase 4 (CDK4)⁴⁸ or the inhibitor of CDK2, p27⁴⁹. More interesting, knocking out p27 resulted in increasing level of nuclear AHR⁵⁰. In comparison to our results, it is interesting to note that the increase of detected cells in G1 phase in AHR positive cells might be related to AHR function. However, transfecting the MCF-7 cells with EYFP leads to a similar increase in the G1 phase, referring to a transfection-related effect on the cell cycle in general. Concisely, in our experiments typical distribution of AHR has been observed independent of cell cycle, expression level, or fusion with EYFP. Based on these data, we could make sure that we our system is suitable to study AHR localization.

In a previous study of our lab, we examined the DNA binding motif which has one overlapping amino acid with the 2nd NLS (EYFP-AHRR40D). This substitution impairs DNA binding and basal import, thereby changing the intracellular distribution²⁸. Interestingly, this point mutation does not interfere with the ligand-induced import, which led us to hypothesize that two different import mechanisms for the AHR nuclear entry exist²⁸.

Initially, Ikuta and co-workers identified the minimal NLS of AHR as $_{13}$ RKRR₁₆ and $_{37}$ KRH₃₉ by analyzing the intracellular distribution¹². Our results expand the NLS sequence to include Arg17 along with Arg40 considering the localization of unliganded AHR. At the same time, His39 and Arg42 do not seem to influence the nuclear import in general. Technically, the methods used about 2 decades ago covered only short AHR variants involving the NLS and neighboring amino acids^{12,30}. But this is not sufficient for full characterization of the AHR, since the C-terminus has an effect on its translocalization 31 . In particular, our experiments highlight a central role of the 1st NLS for the basal nuclear accumulation and the ligand-induced import of the receptor. Point mutations in the 2nd NLS lead to predominant cytoplasmic AHR staining, pointing to a diminished basal import, with only a slight reduction of the ligand-induced import.

Nuclear import can occur through IMPβ1 alone or with help of the adaptor protein IMP $α^{51,52}$. According to Petrulis et al., murine AHR can be bound by IMP β 1 directly¹⁸. In combination with the apparently differing mechanisms for basal and ligand-induced import, we wanted to elucidate whether IMPα is mandatory for AHR translocation. IVM and IPZ are both specific inhibitors for IMP mediated import. IVM impedes binding between IMPα and NLS, thereby disrupting $\text{IMPa}/\beta1$ mediated transport^{38,39}. IPZ disrupts IMPβ1 RanGTP binding, through which all IMPβ1 associated imports are inhibited⁴⁰. Both inhibitors clearly reduce the basal nuclear accumulation of EYFP-AHR and diminish the ligand-induced import rate indicating similar mechanisms for both import processes.

According to our results, we suggest the following hypothesis: In the unliganded state, blocking of both NLS parts is only partial, thereby allowing IMPα binding only at a diminished rate. The part of the AHR chaperone complex that is responsible for the shielding still needs to be determined. After ligand binding, the conformational change facilitates rapid IMPα recruitment without impediments. Interestingly, ligand-induced import is mainly implemented through the 1st NLS. The 2nd NLS is complementary but not essential. In conclusion, we show that ligand-independent nuclear import is mediated by the same molecular mechanism as the liganddependent import (Fig. 5).

Methods

Plasmids

The plasmid pEYFP-AHR-C1 has been used previously²⁸ and encodes the human AHR starting with the amino acid alanine from position 11. GenScript (GenScript Biotech, Leiden, Netherlands) generated the modified variants of pEYFP-AHR that contain mutations at specific amino acids $\rm AHR^{R13D}, AHR^{K14D}, AHR^{R15D}, AHR^{R16D},$ $\rm AHR^{K17D}, AHR^{1st.NLS}, AHR^{K37D}, AHR^{R38D}, AHR^{H39D}, AHR^{R40D}, AHR^{R42D}$ and $AHR^{2nd.NLS}$). AHR^{WT} and AHR^{mut} have been validated by sequencing (Eurofins, Germany).

Cell culture

MCF-7 cell line was purchased from ATCC (Manassas, VA, USA). AHR knockout MCF-7 cells (MCF-7∆AHR) were generated in our lab using a CRISPR-Cas9 based approach⁴¹. Both cell lines were cultured in DMEM supplemented with 10% (v/v) FCS, 100 U/ml penicillin, 100 mg/ml streptomycin, and 2 mM L-glutamine. All cell culture media and supplements were bought from Pan-Biotech (Aidenbach, Germany). Cells were maintained

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Figure 5. Nuclear import of AHR is mediated by importin (IMP) α/β 1. The basal import occurs at a diminished rate because of a partial blocking of the 1st and 2nd nuclear localisation signal (NLS) through the c-terminal part of AHR or parts of the chaperon complex. Upon ligand binding, a conformational change grants full access for IMPα to the 1st NLS, thereby increasing import rate drastically. NPC, nuclear pore complex.

in 5% CO₂ at 37 °C and humidified atmosphere. Cells were routinely inspected for absence of mycoplasma contamination.

Reagents

Β-naphthoavone (BNF)**,** dimethyl sulfoxide (DMSO) and ivermectin (IVM) were acquired from Sigma-Aldrich (Sigma-Aldrich Chemie GmbH, Munich, Germany). Importazole (IPZ) was obtained from BIOZOL (Biozol Diagnostica Vertrieb GmbH, Eching, Germany). Indirubin (IND) was purchased from Enzo Life Sciences (Enzo Life Sciences GmbH, Lörrach, Germany). Leptomycin B (LMB) was deliviered from Santa Cruz Biotechnology (Santa Cruz Biotechnology Inc., TX, USA). DMSO was used as vehicle for BNF, IND, IPZ and IVM, while ethanol (Carl Roth, Germany) was used as a vehicle for LMB. The end-concentration does not exceed 0.1% when using DMSO or ethanol. All chemicals were ordered at the highest available purity.

Transient transfection

MCF-7 or MCF-7∆AHR cells were seeded either on 6-well plates (both from Techno Plastic Products AG, Trasadingen, Switzerland) or on glass bottom dishes (In VitroScientific, Sunyvale, CA, USA). After 24 h, cells on multiwell plates were transfected with lipofectamine 2000 (Invitrogen, Carlsbad, CA, USA) and an appropriate DNA amount as indicated by the manufacturer's instructions. Meanwhile, cells on glass bottom dishes were transfected with Xfect (Takara Bio Europe SAS, Saint-Germain-en-Laye, France) and an appropriate DNA amount as stated by the manufacturer's instructions. In both cases, transfection medium was refreshed after incubation for 4 h.

Cell treatment with import inhibitors

To investigate the influence of import inhibitors on the basal localization of AHR, EYFP-AHR^{WT} transiently transfected MCF-7ΔAHR cells were incubated with IPZ or IVM at concentration of 10 µM and 17.5 µM, respectively, for 90 min. To study the impact on ligand induced import, cells were incubated with 10 µM IPZ or 7.5 µM IVM after medium change after transfection for nearly 22 h and then co-treated with 10 μ M IND for 15 min. To measure the effect of import inhibitors on the transcriptional activity of AHR, total RNA levels of MCF-7^{WT} cells were gathered after incubating the cells for 22 h with either IPZ or IVM at concentration of 10 μ M and 7.5 μ M, respectively, and afterwards stimulated with 2.5 µM IND for 2 h.

Table 1. Primer sequences used.

Immunofluorescence staining

Cover glasses were coated with Poly-L-Lysin 0.01% solution (Sigma-Aldrich, Germany) according to the manufacturer's instructions. Cells were grown on cover glasses with a cell density of $2.5 \times 10^5/\text{ml}$ for 48 h and then fixed in 3.7% formaldehyde (15 min), permeabilized with 0.2% Triton X-100 (Sigma-Aldrich, Germany) in PBS (10 min). Finally, cells were blocked with 5% fetal bovine serum (FBS) in PBS for 1 h at room temperature. After blocking, the coverslips were incubated with primary antibody for 90 min, washed and then incubated with the secondary antibody for 1 h. The antibodies were diluted in 1.5% FBS in PBS solution. Slides were mounted in Vectashield HardSet Mounting Medium with DAPI (VECTOR LABORATORIES, INC., Burlingame, CA, USA). The used primary antibody is anti-AHR (sc-5579, Santa Cruz Biotechnology, 1:25) and secondary antibody is goat anti rabbit, Alexa Fluor[™] 594 (A-11012, 1:400), purchased from Invitrogen, Thermo Fisher Scientific, Germany.

Cell cycle analysis by flow cytometry

Cells grown on glass bottom dishes were transfected using Xfect as described above. After 24 h, cells were detached from culture flasks by using trypsin (0.5%-EDTA 0.2%; Pan-Biotech (Aidenbach, Germany)) solution for 20 min. After cell fixation with ice cold 80% ethanol for 1 h, cells were washed with PBS and stained with propidium iodide (PI)/RNAse solution (Thermo Fisher Scientific, Germany) according to the manufacturer's protocol. Stained cells were analyzed by fluorescence-activated cell-sorting (FACS) (BD FACSAria III). For data analysis, FlowJo (V.10.7.1, BD Biosciences) was used. For analysis, samples were analyzed to discriminate doublets (FSC-A versus FSC-W). Cell cycle analysis was performed using the PI-A intensity on a linear scale of either AHR-YFP-positive or -negative cells. To determine the intensity of G2-phase and verify cell cycle analysis, nocodazole (0.6 µg/ml; Sigma-Aldrich, Germany) synchronized cells were analyzed as positive control.

Gene expression analysis

Total RNA was isolated form cells using RNeasy Midi kit and QIAshredder (both from QIAGEN GmbH, Hilden, Germany). Thereafter, purity and concentration of isolated RNA were determined using a plate reader device (Innite M200 PRO, Tecan Trading AG, Männedorf, Switzerland). 1 µg or 500 ng of the extracted RNA was reversely transcribed into cDNA by using the high-capacity cDNA Reverse transcription kit (Applied Biosystems, Foster City, CA USA).

Transcript levels were determined with fast SYBR Green mix (Applied Biosystems, Foster City, CA, USA) and quantitative PCR (qPCR) device 7500 Fast Real-Time PCR instrument (Applied Biosystems, Foster City, CA, USA). Hypoxanthine–guanine phosphoribosyl transferase (HPRT) was used as reference gene.

The primer sequences are listed in Table 1.

On-line confocal microscopy

As described in²⁸, for cell monitoring the confocal microscope LSM 700 (Carl Zeiss Jena GmbH, Jena, Germany) was used. During the measurement of living cells, suitable cell culture conditions like 5% CO₂ and 37 °C were maintained. The relative nuclear intensity refers to the mean fluorescence intensity of the nucleus divided by the mean fluorescence intensity of the whole cell. This was determined by outlining the nucleus and whole cells as region of interest (ROI) by using ZEN 2012 blue edition (Carl Zeiss Jena GmbH). The slope of nuclear transition was measured after plotting the relative nucleus intensity against time of the treatment. Representative examples of the analysis of AHR localization, time-lapse measurements and relative nuclear intensity are in (supplementary Figs. S1, S4 and S7).

Statistics

Data analysis and graphing were performed with GraphPad Prism (Graph Pad, La Jolla, CA, USA) and R-Studio (R-Tools Technology Inc, Canada). Statistical analysis was done using paired Dunnett's multiple comparisons test, one-way ANOVA, $p < 0.01$, $\ast p < 0.01$, $\ast \ast p < 0.001$, $\ast \ast \ast p < 0.0001$.

Data availability

The datasets used and/or analysed during the current study available from the corresponding author on reasonable request.

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Author contributions

R.H., J.K. designed the experimental program. R.H. performed the experimental work, R.S. contributed to the confocal microscopy and J.K., M.M. planned and contributed to the FACS analysis. Data analysis and figures creation were done by R.H. and J.K. Manuscript writing was done by R.H. with contributions from A.L. and J.K. The final version was approved by all authors.

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4 Discussion

It is notable that the research on the AHR is still expanding, even with the comprehensive description of its role in xenobiotic-metabolism. This certainly resulted from the involvement in various physiological processes, like mediating the degradation of sex steroid receptors (Ohtake *et al.*, 2007) (Wormke et al., 2003) or regulating immune responses (Gutiérrez-Vázquez & Quintana, 2018). Recently, AHR gained more attention due to association with host-microbiome interaction (Perdew *et al.*, 2023) and SARS-CoV-2 pathology (Turski *et al.*, 2020). Beyond all, current research on the AHR seeks to discover novel natural ligands or relations to other signaling pathways. At the same time, localization of the AHR is still not fully understood due to many unanswered questions regarding the basal import. In this context, analyzing the translocation helps understanding an important step in the signaling pathway of the AHR and empowering research towards potential therapeutic applications.

In the current work, I aimed to achieve a better understanding of the AHR translocation. Thus, a fluorescent-labelled AHR was utilized. This allowed real-time tracking in living cells in both the basal state or after treatment with different substances. In contrast to the majority of data published in this field, I used the full-length variant of hAHR. In our lab, the performed transient transfection experiments of *EYFP-AHR^{WT}* in HepG2 and MCF-7^{ΔAHR} cells did not show only predominant cytoplasmic AHR, which contradicts the classic description of the AHR as a cytoplasmic receptor. Nonetheless, snapshots of the AHR in living cells demonstrate the intracellular distribution to be one of three states: predominantly nuclear, predominantly cytoplasmic, or equally distributed. Notably, staining the endogenous AHR in wildtype MCF-7 cells exhibits comparable localization with the overexpressed $EYFP-AHR^{WT}$. In fact, the three mentioned states of localization were observed in neighbored cells similar to the overexpressed AHR. This means that our established system recapitulates the localization of the endogenous AHR.

It might come to mind, that the three distribution states are linked to different cell cycle phases, especially since it has been shown that the AHR interacts physically with proteins involved in the cell cycle, like cyclin-dependent kinase 4 (Barhoover *et al.*, 2010). In my experiments, the utilized cells are not synchronized and follow their own cell cycle progression. To verify that this does not influence the AHR localization, I analyzed the cell cycle stages of MCF-7 cells after transfection with *EYFP-AHRWT* or *EYFP* alone as control plasmid. My results showed that the transfection itself stops the progression of cell cycle in the G1 phase (Haidar *et al.*, 2023). Hence, the EYFP-AHR positive cells share the same cell cycle stage.

Furthermore, observing nuclear AHR in the basal state might be linked to the presence of endogenous ligands (Murray *et al.*, 2014). Cell culture medium presents a possible source for endogenous ligands. This idea is supported by a study that detected IND in the fetal bovine serum (FBS). FBS is a widely used growth supplement in cell culture that improves cell proliferation. Notably, the concentration of IND in FBS is enough to activate the AHR (Adachi *et al.*, 2001). Nevertheless, the results of many studies contradict a possible correlation between the AHR localization and the presence of endogenous ligands. In a previous study of our lab, mutational analysis in the ligand binding domain of AHR identified His291 as a crucial amino acid for AHR activation through the endogenous ligands IND, Kyn, and FICZ. A substitution of His with Ala on the position 291 generates an AHR variant that cannot be imported into the nucleus after IND treatment. Surprisingly, this mutation, which leads to a functional deficit, does not impair the basal shuttling, since a nuclear accumulation occurs with LMB treatment (picture not shown). And overall, this means that basal shuttling and ligand induced import are independent from each other (Tkachenko *et al.*, 2018).

Another source of endogenous ligands might be the media itself, but the cell culture medium used to incubate HepG2 cells, was examined particularly to reveal its content of natural AHR agonists. Indeed, this medium, namely RPMI, exhibits much less content of endogenous ligands compared to other cell culture media. Additionally, no AHR activity is detected under the influence of natural (endogenous) ligands contained in RPMI medium (Veldhoen *et al.*, 2009). Hence, there should be no risk of endogenous ligands altering localization and functional behavior of the AHR, when incubating the cells in RPMI medium. In the experiments I performed, even with RPMI, the basal AHR^{WT} was detected in the nucleus in almost half of the analyzed cells. More interesting, similar intracellular distribution of the AHR^{WT} was observed when investigating MCF-7 cells, which are cultured in different medium. It is also noteworthy, that the AHR localization changes to be completely nuclear, after treatment with ligands. This further points to the notion that endogenous ligands in the cell culture medium are not the reason for the basal nuclear accumulation of the AHR.

Additionally, in the literature, localization of the AHR in the basal state was linked to interactions with XAP2 in the cytoplasmic chaperon complex. First, XAP2 was supposed to stabilize the AHR in the cytoplasm. In particular, the localization of a short variant of the AHR comprising PAS and TAD domains was investigated in monkey kidney epithelial cells (COS-1 cells). Co-expression with XAP2 increased the levels of cytosolic AHR (LaPres *et al.*, 2000). Examining the same cell line in a different lab revealed that overexpression of XAP2 can shift the localization of full-length mouse AHR to the cytoplasm as well. This cytoplasmic localization was not affected by inhibiting the nuclear export with LMB, which gives a hint at an impaired basal import of the AHR (Petrulis *et al.*, 2003). Further investigation supposed that the correlation between XAP2 and the AHR localization is specific to the mouse AHR (Ramadoss *et al.*, 2004). At the end, the study of Pollenz *et al.,* 2006 diminished all the potential of XAP2 as a regulator of the AHR localization. They demonstrated that neither overexpression nor downregulation of endogenous XAP2 can influence the subcellular distribution of AHR (Pollenz *et al.*, 2006). Thus, the role of the chaperone complex in regulating the localization stays unresolved.

Up to this point, the three distribution states of AHR localization are neither result from endogenous ligands, nor from interaction with XAP2 in the chaperon complex. A possible clarification for the intracellular distribution might rely on the nucleo-cytoplasmic shuttling, which is supported in the present work.

Originally, the nucleo-cytoplasmic shuttling of AHR was characterized *in vitro* with help of LMB as a specific inhibitor of the nuclear export. Treating cells with LMB alone is sufficient for AHR to accumulate in the nucleus, indicating a continuous shuttling of the AHR (Tkachenko *et al.*, 2016). In a different study, cells are incubated with LMB at first to promote a nuclear accumulation of the AHR and then co-treated with TCDD. This treatment regime increases the AHR activity compared to TCDD only treated control significantly. Additionally, this observation implies that TCDD is also able to reach the nuclear AHR (Pollenz & Barbour, 2000). From a logical point of view, the protective role of the AHR should not only be limited to its cytoplasmic localization and shuttling might aim to maintain this protective role in the entire cell. However, this theory was neither tested nor verified in the current thesis and would request further verification of ligands entering the nucleus where they bind and activate the AHR.

In my published work, shuttling of the AHR is further characterized but for the first time by using import inhibitors instead of LMB. The application of import inhibitors was not possible in earlier studies, since their characterization was carried out only a few years ago (Kosyna & Depping, 2018). Again, the shuttling of AHR is proven as a permanent process in the basal state since both import inhibitors IVM and IPZ increase the cytoplasmic and reduce the nuclear localization (Haidar *et al.*, 2023).

In order to examine all possible states of the AHR localization, the present thesis sought to clarify all processes that stabilize the AHR in the nucleus after ligand binding. The molecular interactions performed by the AHR after ligand activation might stabilize the nuclear localization. Logically, these interactions are based on a strong binding with the nuclear located components, DNA and ARNT. Previous studies gave several supporting information, (1) a previous study found that a functional ARNT is crucial for the induction of a ligand-dependent AHR degradation occurring in the cytoplasm after AHR activation (Q. Ma & Baldwin, 2000). (2) In another study, a point mutation on ARNT reduced the degradation rate of the AHR (Numayama-Tsuruta *et al.*, 1997). (3) More important, the cellular availability of ARNT can direct AHR to work as a transcription factor or serve as an E3 ubiquitin ligase (Luecke-Johansson *et al.*, 2017). Thus, it is not clear how the AHR localization can be influenced when ARNT dimerization is not possible.

Therefore, I decided to study the influence of ARNT dimerization and DNA binding on the nucleocytoplasmic translocation. Interestingly, the responsible region in the AHR to interact with ARNT and DNA is relevant to the regulatory motives in localization as well. Indeed, the AHR dimerizes with ARNT through amino acids located before and after the NES sequence. Furthermore, the AHR binds the XRE

through three amino acids: Ser36, His39, and Arg40. His39 was identified primarily as a part of the second NLS. Likewise, Ser36, which is a protein kinase c phosphorylation site, supposed to have an impact on ligand-induced import of AHR (Ikuta *et al.*, 2004b).

Through applying point mutations on the full-length hAHR, I intended to generate variants of the AHR that cannot maintain a stable binding with ARNT, XRE, or both. The chosen amino acids for the mutational analysis are based on the crystal structure of AHR/ARNT-XRE (Seok *et al.*, 2017) (Schulte *et al.*, 2017). The AHR variants that carry XRE or ARNT binding-deficient mutations do not demonstrate impaired translocation pattern after ligand treatment, although the functional output was entirely abolished. Additionally, in the basal state AHR^{WT} shares a similar intracellular distribution with ARNT and XRE binding-deficient mutants (Haidar *et al.*, 2021). Based on these data, neither ARNT nor XRE binding are essential for the nuclear accumulation of AHR. Overall, these findings support the notion that the mechanism of stabilization of the AHR within the nucleus is similar for the ligand bound and the ligand free AHR.

Although His39 was originally considered as part of the second NLS, AHR^{H39G} unexpectedly does not show any impairment in the level of nuclear accumulation or activation pattern compared to the wildtype.

Finally, AHR^{R40D} showed a completely cytoplasm localization in the basal state, which exhibits characteristics associated to impaired NLS. In other words, Arg40, but not His39, is part of the second NLS. This guided me to examine the effect of the second NLS on translocation, especially since I proved that XRE-binding motive does not influence its localization (Haidar *et al.*, 2021).

The NLS of the AHR was previously analyzed but its characterization was performed by using a short variant of the AHR (Ikuta *et al.*, 1998). More particularly, deletion mutants from N- or C-terminus or single point mutants were examined to identify the functional parts of NLS. Briefly, GST-AHR (13–42)- GFP or its related mutants were purified and microinjected in the cytoplasm of HeLa cells. Thirty minutes post microinjection, nuclear or cytoplasmic staining of the AHR variants was assessed to classify whether the deleted or mutated amino acids have been an essential part of the NLS. According to this method, the sequence of the NLS was published as: $1st$ part: 13–16 (RKRR) and $2nd$ part: 37–39 (KRH) (Ikuta *et al.*, 1998). However, later research recognized the C-terminal part of the AHR as a key player in the AHR localization (Ramadoss & Perdew, 2005). More precisely, the amino acid valine on the position 647 in the Q-rich domain is determining the AHR-localization. Single point mutation on this position (AHR^{V647A}) shifts the compartmentalization of the AHR to be predominantly nuclear. More interesting, the deletion mutant ($AHR⁶⁴⁷$) is completely cytoplasmic in the basal state and does not react to ligand treatment but to the export inhibitor LMB. This points to a continuous export of AHR^{Δ 647} (Tkachenko *et al.*, 2016). These studies gave the insight on a probable interaction between the C-

terminus and the NLS to regulate AHR localization, which was, unfortunately, not considered when the NLS of the AHR was primarily identified.

In order to characterize the NLS, a series of mutants of the full length hAHR were generated. In these mutants, the positively charged amino acids in the region (13-17) and (37-42) were substituted for the negatively charged aspartic acid (Haidar *et al.*, 2023). In contravention of the studies done in this field, I examined the intracellular distribution of the basal AHR variants and their basal import. Additionally, I analyzed the activation pattern of these mutants after treatment with either BNF or IND. Overall, an impaired NLS expresses itself in a predominantly cytoplasmic localization in the absence of ligands. According to this, the two NLS segments are validated to be: $(13RKRK_{17})$ and $(37KR-R_{40})$. In fact, AHR^{H39D} and AHR^{R42D} show a similar intracellular distribution like the AHR^{WT} (Haidar et al., 2023).

When analyzing the NLS mutants, it was very surprising that the ligand-induced import can still occur on mutants of the second part NLS, while mutating the first NLS blocks the ligand-induced import entirely or impairs it significantly. Prior to ligand binding, mutating the first part of NLS leads to a stronger cytoplasmic localization accompanied with weak nuclear localization compared with mutations on the second part. Based on these data, we came to the result that the first part of NLS is the main regulator for the AHR nuclear import, while the second part NLS is just supportive and complementary but not crucial (Haidar *et al.*, 2023).

After characterizing the intrinsic factors responsible for AHR localization, it was required to examine the cellular components involved in nuclear entry. In this matter, it is interesting to note that only one published paper dealt with the nuclear transporters of AHR. Indeed, with the help of a thermal shift assay it was found that the AHR can bind IMPβ directly, unfortunately, a direct binding of the AHR and IMPα has not been tested (Petrulis *et al.*, 2003). Therefore, the nuclear import was supposed to be mediated by IMPβ directly and not IMPα.

To examine if the import of the AHR is mediated through IMPα generally, I used the well-characterized import inhibitors IVM and IPZ. Studies on IVM showed that IVM targets specifically the nuclear import mediated by IMPα, while IMPβ recognized cargoes are not affected (Wagstaff *et al.*, 2011) (Wagstaff *et al.*, 2012). Of note, these studies were performed on virus molecules and might not be transferable on AHR. Meanwhile, IPZ disturbs the binding between IMP β and RanGTP, thereby inhibiting both IMP α and IMPβ mediated nuclear translocation (Soderholm *et al.*, 2011). Nevertheless, my results showed that both import inhibitors IPZ and IVM can slow down the rates of nuclear import after ligand-binding. In comparable manner, nuclear localization of the basal AHRWT was significantly decreased after incubation with IPZ and IVM. Based on our data, it is more reasonable to assume that the AHR binds to IMPα to undergo a nuclear import for both basal and ligand induced import (Haidar *et al.*, 2023). Taken together, this thesis offers comprehensive description of the intracellular distribution of the AHR and its nuclear import with a main focus on the responsible residues and the differences between basal and ligand-induced import. An *in vitro* system is established and validated to track an overexpressed EYFP-AHR. The intracellular distribution of the basal AHR varies between neighbored cells but not due to transfection, cell cycle progression, or endogenous ligands in the cell culture medium. A permanent shuttling of the AHR causes different localization pattern between a mainly cytoplasmic and a mainly nuclear localization.

The AHR possesses a bipartite NLS, whereby the first part $_{13}$ RKRRK $_{17}$) is the main regulator and the second $_{37}$ KR-R₄₀) is supportive but not essential for nuclear import. In contrast to the wildtype receptor, the NLS mutated AHR is solely located in the cytoplasm prior to ligand treatment. Further, the ligand-induced import and basal import are two different processes since they can occur separately and independent from each other. However, both import processes rely on the same particular mechanism, as they cannot be diminished or decelerated separately. Finally, import inhibitors like IPZ or IVM can be utilized in order to regulate or slow down the nuclear transport of AHR after ligandbinding.

It is essential to recognize the limitations in the current work, as shuttling was only investigated in two malignant cell lines without including non-malignant cell lines from the same tissue type. In other words, it is still not clear if shuttling occurs in a tissue and cell type specific manner. In addition, evidence on nucelo-cytoplasmic shuttling occurring *in vivo* is still missing due to many technical restrictions.

Moreover, the influence of the protein chaperon complex on the AHR translocation should not be completely ignored and is still to be addressed. Indeed, a report on the HSP90 in the protein complex of glucocorticoid receptor demonstrated that the nuclear transporter, IMPβ, interacts with HSP90 and the co-chaperon p23. Beside this, the glucocorticoid receptor is able to bind parts of the NPC in the nuclear envelope more efficiently when associated with HSP90 (Echeverría et al., 2009). These results might be transferable to the AHR-HSP90 complex and need further examination.

The present thesis supports that AHR recruits $IMPa$ to ensure a nuclear import. However, $IMPa$ has seven identified isoforms in human. These diverse isoforms interact with NLS containing cargoes specifically, which means they have different target proteins (Pumroy & Cingolani, 2015). The specific IMPα isoforms have been identified for many important cellular components and virus molecules, but not for the AHR. This includes for example STAT1 (McBride *et al.*, 2002), Notch1 (Huenniger *et al.*, 2010), herpes simplex virus (Dˆhner *et al.*, 2018), and influenza virus (Gabriel *et al.*, 2011). Of note, the cellular levels of IMPα isoforms are not defined for all cell types and might differ according to the cell type and origin. For this reason, identifying the specific IMPα isoforms for the nuclear transport of AHR can clarify the reason of observed differences in the AHR localization between cell lines, between different type of tissues, or between cancer and non-malignant cells. Lastly, characterizing the nuclear import of a protein should also cover identifying the involved type of NPC component, expressly nucleoporin, as done for the human telomerase (Frohnert *et al.*, 2014). In summary, the type of IMPα and nucleoporin in the AHR nuclear import might be an important factor in the AHR translocation and should be examined in future studies.

5 Summary

The AHR is a ligand dependent transcription factor, which belongs to the bHLH-PAS superfamily of proteins. Originally, the AHR was discovered for mediating the toxicity of environmental toxicants like TCDD and different PAHs. Advanced research showed that the AHR can be bound and activated by a wide range of agonists, including various exogenous and endogenous compounds. According to the classical description of the AHR pathway, the AHR is mainly located in the cytoplasm prior to ligandbinding. Most ligands own hydrophobic characteristics allowing them to enter the cell via diffusion. Ligand-binding to the AHR triggers a nuclear import followed by building a dimer with a protein called ARNT. This heterodimer recruits many co-factors, thereby getting access and subsequently binding to a special sequence in the DNA, the XRE. The AHR/ARNT complex bound to XRE induces the expression of xenobiotic metabolizing enzymes, including phase I and phase II enzymes, thus pointing to a key role of the AHR in xenobiotic metabolism. Examining the intracellular distribution of the AHR in living cells reveals that the AHR undergoes a shuttling between cytoplasm and nucleus. This shuttling occurs continuously and does not lead to any activation. Despite the huge number of studies examining the AHR, the localization remains scarcely investigated.

In the presented thesis, the localization of the AHR was investigated thoroughly after transient transfection of *EYFP-AHR* leading to expression of a fluorescent fusion protein that can be detected in real-time in living cells. I validated this system by comparing the localization of the overexpressed AHR fusion protein with the endogenous AHR with and without the presence of ligands. First of all, in depth mutagenesis analyses on the crucial amino acids to dimerize with ARNT and bind to XRE were carried out. This aimed to characterize if these two significant steps might have an impact on maintaining the activated AHR in the nucleus after ligand-binding or generally affect its nucelo-cytoplasmic translocation in the basal state. Later, the regulatory motive responsible for intracellular distribution and nuclear import, namely NLS, was characterized using point mutations. Thereby, the intracellular distribution of the AHR variants that carry an impaired NLS was detected in the basal state or after ligand treatment. My results demonstrated that neither ARNT dimerization nor DNA binding have an impact on stabilizing the AHR in the nucleus prior or post ligand-binding. Moreover, the sequence of NLS was identified as $({}_{13}RKRRK_{17})$ and $({}_{37}KR-R_{40})$, whereby the first part represents the main regulator, while the second one has only a supportive and complementary role. Besides that, I examined both nuclear import processes of the AHR: basal and ligand-induced. Thereafter, the effect of novel import inhibitors IPZ and IVM was tested on the mentioned nuclear import of the AHR. I found that ligandinduced import can occur when the basal import is diminished. At the same time, trying to block the nuclear import using import inhibitors showed comparable influence on both import pathways. My results proposed that ligand-induced and basal import happen autonomously and independent of each other, but they rely on the same particular mechanism. Taken together, this thesis expressed novel findings on the AHR's sequence and the molecular components involved in its nucleo-cytoplasmic translocation using advanced methods.

6 Zusammenfassung

Der AHR ist ein Liganden-abhängiger Transkriptionsfaktor aus der bHLH-PAS-Proteinfamilie. Ursprünglich wurde der AHR bei der Untersuchung bezüglich der Toxizität von Umweltschadstoffen, wie TCDD und B[*a*]P entdeckt. Weitere Studien zeigten, dass der AHR von einer Vielzahl an Agonisten gebunden und aktiviert werden kann. Dazu gehören verschiedene exogene und endogene Stoffe. Bei dem klassischen AHR-Signalweg befindet sich der AHR primär im Zytoplasma in Abwesenheit von Liganden. Die meisten Liganden können aufgrund ihrer hydrophoben und planaren Eigenschaften in die Zelle diffundieren, woraufhin eine Bindung zwischen AHR und Ligand initiiert werden kann. Diese Interaktion führt zum nuklearen Import des AHR-Liganden-Komplexes. Im Nukleus erfolgt die Dimerisierung mit ARNT, ein weiteres Protein aus der bHLH-PAS Proteinfamilie. Anschlieflend werden anhand des AHR-ARNT-Heterodimers mehrere Cofaktoren rekrutiert, wodurch der Zugang zu einem spezifischen Motiv in der DNA (XRE) ermöglicht wird. Die Bindung des AHR-ARNT-Komplexes an XRE induziert die Expression von Xenobiotika metabolisierenden Enzymen, einschliefllich Phase-I- und Phase-II-Enzymen. Diese weisen auf die Schlüsselrolle des AHR in der Metabolisierung von Xenobiotika hin. Untersuchungen zur intrazellulären Verteilung des AHR in lebenden Zellen zeigte, dass der AHR zwischen dem Zytoplasma und dem Zellkern pendelt. Dieses "Shuttling" erfolgt kontinuierlich und führt zu keiner Aktivierung des AHR. Trotz vieler bereits erschienener Studien zum AHR ist die Lokalisation vergleichsweise wenig erforscht und lässt viele Fragen unbeantwortet.

In der vorliegenden Arbeit wurde die Lokalisation des AHR umfangreich untersucht. Nach der transienten Transfektion von EYFP-AHR in HepG2- und MCF7-Zellen wurde die Lokalisation und Liganden-induzierte Translokation des fluoreszierenden Fusionsproteins in lebenden Zellen charakterisiert. Das dieses System dabei der endogenen, physiologischen Lokalisierung entspricht, konnte unter anderem mithilfe von Immunfärbung belegt werden.

In den dabei entstandenen Publikationen wurde der Einfluss molekularer Interaktionen im Zellkern auf die intrazelluläre Verteilung des AHR untersucht. Dafür wurden AHR-Varianten generiert, bei denen essenzielle Aminosäuren sowohl zur ARNT-Dimerisierung als auch zur XRE-Bindung mutiert wurden. Die Ergebnisse zeigten, dass weder die Dimerisierung mit ARNT noch die DNA-Bindung die Stabilisierung des AHR im Zellkern vor oder nach Liganden-Bindung beeinflusst. Weiterhin wurde das NLS, das für die intrazelluläre Verteilung und den nukleären Import verantwortliche regulatorische Motiv, unter Nutzung von Punktmutationen charakterisiert. Dabei wurde die intrazelluläre Verteilung der AHR-Varianten mit mutierter NLS im basalen Zustand und nach Liganden-Behandlung beobachtet. Anhand dessen konnte die NLS-Sequenz als ($_{13}RKRRK_1)$ und ($_{37}KR-R_{40}$) identifiziert werden, wobei der erste Teil als Hauptregulator gilt, während der zweite nur eine unterstützende und ergänzende Rolle

hat. Zusätzlich wurden im Rahmen dieser Arbeit die beiden nukleären Importprozesse des AHR untersucht: der basale und der Liganden-induzierte Import. Die dabei generierten Ergebnisse zeigten, dass der Liganden-induzierte Import erfolgte, auch wenn der basale gehemmt wurde. Des Weiteren wurde die Wirkung der Importinhibitoren IPZ und IVM bezüglich des nukleären AHR-Imports getestet. Das Blockieren des nuklearen Imports mit Importinhibitoren führte zu einem vergleichbaren Einfluss auf beide Importwege. Die Ergebnisse dieser Arbeit deuteten darauf hin, dass der Liganden-induzierte und basale Import autonom und unabhängig voneinander erfolgten, jedoch auf dem gleichen spezifischen Mechanismus beruhten. Zusammenfassend bietet die vorliegende Arbeit unter Anwendung moderner Methoden neue Erkenntnisse über die intrinsischen Eigenschaften des AHR sowie über die molekularen Komponenten, die an der nukleo-zytoplasmatischen Translokalisation beteiligt sind.

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8 List of publications

Peer-reviewed articles

Haidar, R., Henkler, F., Kugler, J., Rosin, A., Genkinger, D., Laux, P., & Luch, A. (2021). The role of DNAbinding and ARNT dimerization on the nucleo-cytoplasmic translocation of the aryl hydrocarbon receptor. Sci. Rep., 11(1), 18194. doi:10.1038/s41598-021-97507-w.

Haidar, R., Shabo, R., Moeser, M., Luch, A., & Kugler, J. (2023). The nuclear entry of the aryl hydrocarbon receptor (AHR) relies on the first nuclear localization signal and can be negatively regulated through IMPα/β specific inhibitors. Sci. Rep., 13(1), 19668. doi:10.1038/s41598-023-47066z.

Conference contributions

Haidar, R., Kugler, J. (2023). Analyzing the molecular mechanism of AHR nuclear entry. Toxicol. Lett., 384(3):S308. DOI: 10.1016/S0378-4274(23)00969-4. Congress of the European Societies of Toxicology (EUROTOX 2023), 10-13 September 2023, Ljubljana, Slovenia

Annex I: Supplementary material for chapter 3.1

Supplementary Data

Supplementary information to:

The Role of DNA-Binding and ARNT Dimerization on the Nucleo-Cytoplasmic Translocation of the Aryl Hydrocarbon Receptor

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Supplementary materials and methods

Co-Immunoprecipitation. MCF-7[∆]AHR cells were seeded on 10-cm dishes (Techno Plastic Products AG, Trasadingen, Switzerland). On the next day, cells were transfected by using 24 µg pEYFP-AHR (WT or mutant) and 16 µg pmCherry-ARNT with 40 µl Lipofectamine 2000 (Invitrogen, Carlsbad, CA, USA) according to the manufacturer's instructions. For the negative control, cells were transfected with pmCherry-ARNT alone. After 4 hours incubation, transfection medium was removed and replaced by new cultivation medium. After 24 hours, cells were treated with 5 μ M Indirubin for three hours. For co-immunoprecipitation the GFP-Trap® Magnetic Agarose (Chromotek, Planegg, Germany) was used according to the manufacturer's instructions. Cell extracts were incubated with GFP-trap beads for two hours at 4°C. For each sample, 15 µg supernatant was saved as input for Western blots. YFP protein were eluted with SDS-sample buffer and then tested by western blotting.

Western-blot. Cells were lysed on ice in RIPA buffer (10 mM Tris/Cl pH 7.5, 150 mM NaCl, 0.5 mM EDTA, 0.1 % SDS, 1 % Triton™ X-100, 1 % deoxycholate) with protease inhibitor cocktail (Calbiochem, San Diego, CA, USA) and DNase (New England Biolabs, Ipswich; England). Protein concentration was measured with the Pierce™ BCA Protein Assay Kit (Thermo Scientifc, Waltham, MA, USA). Equal amounts of proteins were applied to SDS-PAGE, transferred onto nitrocellulose membranes and immunoblotted according to the manufacturer's instructions. The primary antibodies were, anti-AHR used at 1:300 (sc-133088) and anti-ARNT used at 1:600 (sc-17811) (all from Santa Cruz Biotechnology, Heidelberg, Germany). Primary antibodies were labelled with appropriate horseradish peroxidase (HRP)-coupled secondary antibodies (Santa Cruz Biotechnology) and visualized with Pierce ECL Substrate (Thermo Fisher Scientifc, Waltham, MA, USA). As a loading control, HRP conjugated anti-beta Actin was used at 1:12,500 (ab 49900, Abcam, Cambridge, UK).

Supplementary Figures

Suppl Fig 1. Co-Immunoprecipitation: (a) Cropped Western Blot images after coimmunoprecipitation of input (lower lane) and precipitate (upper row) for AHR (left) and ARNT (right) for indicated constructs and pmCherry-ARNT. Full-length blots are presented in Suppl Fig 2 **(b)** Representative image of MCF-7^{∆AHR} cells co-transfected with pEYFP-AHR (yellow) and pmCherry-ARNT (red).

Suppl Fig 2*.*Full length blots of the cropped Western Blot images from Suppl Fig 1 after co-immunoprecipitation of precipitate for ARNT **(a)**, AHR after 5 / 25 seconds exposure **(b)/(c)** and input for ARNT **(d)**, AHR and beta Actin **(e)**.

Annex II: Supplementary material for chapter 3.2

Supplementary Data

Supplementary information to

The nuclear entry of the aryl hydrocarbon receptor (AHR) relies on the first nuclear localization signal and can be negatively regulated through IMPα/β specific inhibitors

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Supplementary Figures

Supplementary Figure S1: Representative example of the assessment of relative nucleus intensity. The nucleus and the whole cells are marked as region of interest (ROI) by using ZEN 2012 blue edition. The relative nuclear intensity is
determined by the mean fluorescence intensity of the nucleus divided by the mean fluorescence intensity of the whole cell (a) Immunofluorescence images of AHR in MCF 7WT. (b) EYFP-AHRWT in transiently transfected in MCF 7ΔAHR cells.

Supplementary Figure S2: Relative *CYP1A1* **and** *CYP1B1* **in EYFP-AHRWT transfected MCF-7 ΔAHR cells**. Cells were treated with 2.5 µM IND or 0.1% DMSO for 2 h. Relative *CYP1A1* and *CYP1B1* mRNA levels determined by qPCR. Values were standardized against HPRT and normalized to sample treated with DMSO only.

Supplementary Figure S3: Cell cycle analysis of (a) EYFP-AHR transfected and (b) EYFP-transfected cells. Total number of analyzed cells: 3573(a), 9715(b).

Supplementary Figure S4: Representative example of determining the localization of EYFP-AHRWT . MCF7ΔAHR cells transfected with EYFP-AHR^{WT}. In the basal state, fifteen cells were selected and the relative intensity of the nucleus of each cell was measured. The localization of AHR was assigned according to our classification in Fig2h.

Supplementary Figure S6: The percentage of cells with cytoplasmic AHRWT or AHRmut for hundred randomly chosen cells according to our classification in Fig S2 and in Fig2h.

Cytoplasmic AHR or AHR^{mut}

Supplementary Figure S5: Representative images of MCF7ΔAHR cells transfected with EYFP-AHRmut in the basal state.

AHR^{1st.NLS}

 AHR^{K37D}

 AHR^{R13D}

AHR^{2nd.NLS}

AHR^{K14D}

AHR^{H39D}

AHRR15D

AHRR40D

 AHR^{R16D}

AHRR42D

AHR^{K17D}

Supplementary Figure S7: Calculation of the slope of nuclear transition after treatment. The representative example demonstrates the increase of relative nucleus intensity after cells treatment with 10 µM indirubin (IND) for 15 minutes. Fifteen snapshots of the cell are taken in ratio of one image per minute after treatment.

Supplementary Figure S8: Mean of time-lapse measurements after incubating cells transfected with AHRWT or AHRmut with 200 nM LMB for 30 min.

Supplementary Figure S9: Changes in the relative nuclear intensity after import inhibitors treatment. MCF7ΔAHR cells were transfected with EYFP-AHR^{WT} and treated with importazole (IPZ) or ivermectin (IVM) for 90 min at concentrations of 10 µM and 17.5 µM, respectively. Changes in relative nuclear intensity of around 50 cells after 90 min of treatment are represented.

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