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DISSERTATION

Comorbidities and stress-related hair biomarkers in bothersome
tinnitus

Komorbiditäten und stressbezogene Haar-Biomarker bei belas-
tendem Tinnitus

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LIST OF ABBREVIATIONS

ARs	Adjusted Residuals
BDNF	Brain-Derived Neurotrophic Factor
BL	Baseline
BT	Bothersome Tinnitus
CBT	Cognitive Behavioral Therapy
CI	Confidence Interval
ELISA	Enzyme-Linked Immunosorbent Assay
FU	Follow-up
HADS	Hospital Anxiety and Depression Scale
HPA	Hypothalamus–Pituitary–Adrenal Axis
MCS	Mental Component Summary
Non-BT	Non-Bothersome Tinnitus
OR	Odds Ratio
PBS	Phosphate-Buffered Saline
PCS	Physical Component Summary
PDS	Posttraumatic Diagnostic Scale
PSQ-20	Perceived Stress Questionnaire (20 item version)
PTA	Pure-Tone Audiometry
REML	Restricted Maximum Likelihood
RMSE	Root Mean Square Error
SF-12	Short Form-12 Health Survey
SOMS	Screening of Somatoform Disorders
STAI	State-Trait Anxiety Inventory
TE	Treatment End
TQ	Tinnitus Questionnaire
VI	Variable Importance

ABSTRACT

Stress-related psychological and physiological processes might be important for the manifestation and/or maintenance of bothersome tinnitus (BT). This thesis aims to 1) investigate the influence of stress-related mental comorbidities on BT and their mediation effects for hearing-related factors and physical comorbidities¹, 2) explore associations of tinnitus-related and psychological factors with two stress-related biological markers, namely cortisol and brain-derived neurotrophic factor (BDNF) measured in hair², and 3) explore the potential of these hair-biomarkers as therapeutic efficacy markers in BT³.

For the first research aim, existing survey data from the Swedish general population were analyzed¹. In the subsample of individuals with self-reported tinnitus (N=7615), logistic regression analyses were used to identify mental and physical comorbidities as well as hearing-related factors associated with BT (N=697), and logistic mediation analyses were used to identify dependencies between these influencing factors¹. Results showed a) specific hearing-related, physical, and mental influences on BT as well as mediating effects of b) hearing-related factors on the influence of mental comorbidities on BT, and c) mental comorbidities on the influences of physical comorbidities and hearing-related factors on BT¹. This suggests that mental symptoms and hearing-related factors are important treatment targets due to their direct and indirect effects on BT¹. For the other two research aims, a longitudinal study was conducted with 91 chronic tinnitus inpatients who were assessed before, directly after, and three months after a multimodal tinnitus-specific cognitive-behavioral therapy program^{2,3}. Data collection included audiometry, psychometric questionnaires, and hair sampling^{2,3}. Results showed a) a negative effect of tinnitus-related distress on hair-BDNF levels, b) a positive effect of tinnitus loudness on hair-cortisol levels, and c) a negative effect of tinnitus loudness on hair-BDNF levels². In addition, d) decreases in tinnitus-related distress and perceived stress levels after treatment were present but no changes in hair-cortisol or hair-BDNF levels³. The effects of tinnitus loudness were surprising, may have been influenced by imputation, and thus require further research². Yet the results tentatively suggest that tinnitus-related distress may negatively affect hair-BDNF levels². However, the magnitude of the observed treatment-related decrease in tinnitus-related distress may have been too limited to induce changes in hair-BDNF levels³. Further studies investigating changes in hair-BDNF levels after longer-lasting interventions with systematic control of medical confounders are recommended³.

Overall, the results suggest that the interconnectedness between stress-related mental symptoms, hearing-related factors, and other physical symptoms as well as stress-related changes in BDNF levels may play important roles in BT.

ZUSAMMENFASSUNG

Stressbedingte mentale und physiologische Prozesse könnten für die Manifestation und/oder Aufrechterhaltung von belastendem Tinnitus (BT) bedeutsam sein. Diese Arbeit untersucht 1) den Einfluss von stressbezogenen mentalen Komorbiditäten auf BT und deren Mediationseffekte für hörbezogene Faktoren und physische Komorbiditäten¹, 2) Assoziationen von tinnitusbezogenen und psychologischen Faktoren mit zwei im Haar gemessenen stressbezogenen Biomarkern, nämlich Cortisol und Brain-Derived Neurotrophic Factor (BDNF)², und 3) deren Potenzial als therapeutische Wirksamkeitsmarker bei BT³.

Für das erste Forschungsziel wurden bestehende Umfragedaten aus der schwedischen Allgemeinbevölkerung analysiert¹. In der Teilstichprobe von Personen mit selbstberichtetem Tinnitus (N=7615) wurden logistische Regressionsanalysen verwendet, um mentale und physische Komorbiditäten sowie hörbezogene Faktoren zu identifizieren, die mit BT (N=697) assoziiert sind, sowie logistische Mediationsanalysen, um Abhängigkeiten zwischen diesen Einflussfaktoren zu ermitteln¹. Die Ergebnisse zeigten a) spezifische hörbezogene, physische und mentale Einflüsse auf BT sowie Mediationseffekte von b) hörbezogenen Faktoren auf den Einfluss mentaler Komorbiditäten auf BT und c) mentalen Komorbiditäten auf die Einflüsse physischer Komorbiditäten und hörbezogener Faktoren auf BT¹. Dies legt nahe, dass mentale Symptome und hörbezogene Faktoren aufgrund ihrer direkten und indirekten Auswirkungen auf BT wichtige Behandlungsziele darstellen¹.

Für die zwei weiteren Forschungsziele wurde eine Längsschnittstudie mit 91 stationären chronischen Tinnituspatienten/-innen durchgeführt, die vor, direkt und drei Monate nach einem multimodalen tinnituspezifischen kognitiven Verhaltenstherapieprogramm mittels Audiometrie, psychometrischen Fragebögen und Haarproben untersucht wurden^{2,3}. Die Ergebnisse zeigten Effekte a) der Tinnitusbelastung auf Haar-BDNF (negativ) sowie b) der Tinnituslautstärke auf Haar-Cortisol (positiv) und c) Haar-BDNF (negativ)². Zudem zeigte sich d) eine Abnahme der Tinnitusbelastung und des Stresserlebens nach der Behandlung, aber keine Veränderungen der Haar-Biomarker-Werte³. Die Effekte der Tinnituslautstärke waren überraschend, könnten durch Imputation beeinflusst worden sein und erfordern daher weitere Forschung². Die Ergebnisse legen jedoch nahe, dass sich die Tinnitusbelastung negativ auf den Haar-BDNF-Spiegel auswirken könnte². Das Ausmaß des behandlungsbedingten Rückgangs der Tinnitusbelastung könnte aber zu gering gewesen sein, um Veränderungen im Haar-BDNF-Spiegel zu bewirken³. Weitere Studien zu Veränderungen der Haar-BDNF-Werte nach länger andauernden Interventionen mit systematischer Kontrolle medizinischer Störfaktoren werden empfohlen³.

Insgesamt legen die Ergebnisse nahe, dass die Verflechtung von stressbezogenen mentalen, hörbezogenen und anderen physischen Symptomen sowie stressbezogene Veränderungen des Haar-BDNF-Spiegels eine wichtige Rolle bei BT spielen könnten.

1. INTRODUCTION

1.1. Tinnitus and bothersome tinnitus

Tinnitus is an auditory phantom perception without a corresponding external acoustic stimulus^{4–6}. Tinnitus is heterogeneous with regard to its sound characteristics, associated conditions, and pathological causes^{4–6}. An important distinction is the psychological impact of tinnitus, i.e., whether the tinnitus sound is associated with distress^{4–6}. A recent proposition in the field states that tinnitus should be considered a disorder “*when associated with emotional distress, cognitive dysfunction, and/or autonomic arousal, leading to behavioural changes and functional disability*”^{6(p8)}. This thesis is focused on individuals to whom the latter applies, but the term bothersome tinnitus (BT) is used. Emotional and cognitive distress associated with BT can include depressed mood (frustration, despair), anxiety symptoms (fear, worry), sleep disturbance, irritation/annoyance, and concentration difficulties or other cognitive problems^{5,7,8}.

Epidemiological studies found 12-month prevalence rates of any tinnitus (lasting for more than five minutes) ranging between 6%-15%⁹ indicating that it affects a substantial part of the population. Prevalence estimates of BT vary strongly between studies¹⁰, likely due to methodological differences. In this regard, it is important to distinguish between the prevalence of BT in the population and among individuals with tinnitus, as they cannot be directly compared. In the adult population, the prevalence rate of BT has been reported to be 1.2%¹¹ or 1.3%¹²; and 3% in the elderly population¹³. Regarding the rate of BT in tinnitus sufferers, a study based on the Korea National Health and Nutrition Examination Survey showed that while 69.2% of affected individuals reported no discomfort, 27.9% reported moderate, and 3% severe tinnitus annoyance^{1,14}. Similarly, a nationally representative study from the United States found that while 31% of affected individuals were not bothered by it, 41.6% perceived tinnitus as a small, 20.2% as a moderate, and 7.2% as a big or a very big problem¹⁵. In sum, these studies indicate that tinnitus is accompanied by moderate or severe distress in around one-third of affected individuals.

1.2. Causes and risk factors

The pathophysiology of tinnitus is complex and multifactorial^{4,16–18}. Tinnitus generation seems to result from “*a complex interaction between peripheral and central mechanisms within the auditory pathway*”^{4(p4)}. In addition to auditory structures, nonauditory brain

networks are involved in the conscious perception of tinnitus, its maintenance, and associated distress^{4,17,19–22}. Tinnitus can be caused by auditory deprivation, most commonly due to sensorineural hearing loss (age-related or noise-induced), and by pathologies affecting the auditory nerve, such as vestibular schwannomas^{17,18,23}. Other factors that can lead to tinnitus include head injury/trauma^{16,18,24}, infections/inflammation in different parts of the ear^{16,18,24,25}, and ototoxic and other medications^{16,26–28}. Tinnitus is also a symptom of Meniere's disease^{16,18,24,29}. Furthermore, in a subtype of tinnitus, its perception can be generated or influenced by the somatosensory system^{30,31}.

The most clearly identified risk factor for tinnitus is hearing loss^{26,27}, and some studies indicate relationships with lifestyle factors, yet not conclusively⁹. Tinnitus prevalence seems to increase with age, while no clear association with sex has been identified⁹. For BT, sociodemographic factors such as age and education^{11,14,15,32,33}, physical symptoms such as hearing loss and somatic complaints^{14,32–37}, and mental/emotional factors^{14,32,34–38} appear to influence the level of tinnitus-related distress.

1.3. Bothersome tinnitus and comorbidities

Different physical and mental conditions can constitute risk factors or relevant associated comorbidities for tinnitus^{1,12–14,26,39}. For BT, mental comorbidities appear to be of primary importance, with anxiety⁴⁰ and depression^{41–43} being the most common¹. The lifetime prevalence of anxiety disorders in tinnitus at 45% seems to be markedly higher than in the general population⁴⁰. For comorbid depression in tinnitus, a median prevalence rate of 33% was observed across 28 studies, which is also increased compared to the general population⁴³. Different associations between mental conditions and BT are possible. Comorbid anxiety and depression can increase tinnitus severity^{40,41,44} and tinnitus may predispose to anxiety and depression^{40,42,44,45}. There is a known overlap in the involved brain networks between the conditions^{40,42}, and a shared (stress-related) vulnerability for anxiety, depression, and BT might exist^{40,42,44}.

While the presence of mental comorbidities in BT has long been known, the presence of physical comorbidities has been less frequently studied, except for the association between hearing loss and BT^{14,34,36,46,47}. Other observations include associations of hypertension and coronary heart disease³⁷, a history of cardiovascular disease^{47,48} or hyperlipidemia¹⁴ with BT. More broadly, somatization tendencies and somatic complaints have also been frequently associated with higher tinnitus-related distress^{32,33,35,36}. Overall,

hearing impairment and comorbid mental and other physical symptoms may influence tinnitus severity¹. As these factors may be interacting and mutually reinforcing³⁷, research on their interplay could advance the understanding of BT.

1.4. Treatment: cognitive-behavioral therapy

While tinnitus cannot currently be eliminated by treatment, tinnitus-related distress and associated impairment in quality of life can be effectively improved by cognitive behavioral therapy (CBT)^{3,49–51}. CBT is a psychotherapeutic approach with demonstrated high efficacy for improving various psychological problems^{3,52}. CBT for tinnitus⁵⁰ is focused on addressing maladaptive tinnitus-related emotional reactions like tinnitus-related fear^{53–55}, cognitions like dysfunctional beliefs, catastrophizing interpretations, and worry^{53,56,57}, and behavior like maladaptive coping strategies^{58–60}, which can negatively affect the quality of life of the affected individuals.

While evidence-based recommendations for CBT are strongest, a multidisciplinary CBT-based approach that includes audiological diagnostics, education, and counseling is advisable for clinical care^{49,61}. A multimodal treatment approach for chronic tinnitus with audiological and psychological elements of the Tinnitus Center of *Charité – Universitätsmedizin Berlin* was found to show positive effects on tinnitus-related distress and comorbid mental symptoms^{3,62–65}. The most current version of this treatment is a compact multimodal treatment (usually lasting five days) based on tinnitus-specific CBT³. It includes audiological elements, namely ENT diagnostics, ENT education, and auditory attention training; psychological elements, namely psychological education, counseling, tinnitus-specific CBT (individual and group) sessions, and relaxation exercises; and somatic elements, namely internal medicine diagnostics and physiotherapeutic sessions³. This treatment approach thus attempts to counteract tinnitus-related distress and associated impairments via multiple pathways.

1.5. Bothersome tinnitus and stress

Stress is a complex and multidimensional construct⁶⁶. Different conceptualizations of stress locate it in environmental stimuli, in their psychological appraisal, or in associated responses⁶⁷. Here, the focus is on psychological and physiological stress responses and their possible links to BT.

Physiologically, stimuli that are appraised as stressful/threatening lead to the activation of the sympathetic–adrenal–medullary (SAM) system and the hypothalamic–pituitary–adrenal (HPA) axis and affect the metabolic and immune system^{67,68}. These physiological changes are an adaptive response to environmental demands^{67–70}. However, repeated or prolonged stressful experiences can result in dysregulation of the physiological stress response^{67–70}. In the long-term, chronic stress levels can lead to harmful physiological imbalances, e.g., increased levels of glucocorticoids, elevated blood pressure, suppression of the thyroid axis and immune function, and neuroplasticity changes, increasing the risk for various diseases^{67–71}. Stress-induced physiological processes may also play a pathophysiological role in the development of tinnitus^{72–74}. Potential mechanisms might include the involvement of the HPA axis, SAM axis, and immune system in the generation of tinnitus via alterations in the auditory system, but these mechanisms are not clearly understood and require further evidence⁷².

From a psychological perspective, it is important to note that subjectively experienced stress levels are associated with tinnitus severity^{2,73–76}. Currently experienced stress levels were found to partially mediate the relationship between tinnitus loudness and tinnitus-related distress (in addition to mediating effects of emotional valence and arousal)^{2,77}. Moreover, chronic stress can lead to emotional exhaustion and it has been observed that emotional exhaustion explains the relationship between hearing loss and tinnitus severity^{2,76,78}. These and other findings^{14,47,79,80} highlight the importance of psychological stress responses for BT. In addition, vulnerability-stress interactions also appear important, as tinnitus-related distress likely results from interactions between pre-existing psychological vulnerability and stressful experiences^{83,84}. Furthermore, stress experiences and associated physiological alterations are known to be involved in the development of depression and anxiety disorders^{2,69,81,82}. Potentially, comorbid depression or anxiety, emotional stress, and tinnitus severity can mutually reinforce each other and establish a vicious circle⁷⁶.

1.6. Stress-related biomarkers in tinnitus/bothersome tinnitus

Cortisol is the main stress hormone in humans, secreted by the adrenal glands as part of the HPA-axis stress response^{67,71}. The HPA axis has an integrated inhibitory feedback loop, by which high cortisol levels normally downregulate HPA axis activity and terminate the stress response^{67,71}. Chronic stress can result in hyperactivity or hypoactivity of the

HPA axis^{67,71}. In depression, hyperactivity has been observed with increased cortisol levels and impaired feedback regulation of the HPA axis^{82,85}. Similarly, anxiety disorders also appear to be characterized by HPA axis hyperactivity⁸⁶.

Some studies also report HPA axis dysregulation in tinnitus patients (see also Basso et al²): compared to controls, the salivary cortisol response to an acute psychosocial stressor was found to be blunted⁸⁷, overall salivary cortisol levels were found to be lower⁸⁸, and the HPA feedback response was found to be hypersensitive (stronger and longer-lasting cortisol suppression after dexamethasone challenge)⁸⁹. Moreover, another study found negative associations between cortisol levels and tinnitus indices (loudness and frequency)⁹⁰.

Regarding BT specifically, participants with high tinnitus-related distress were found to show chronically increased salivary cortisol levels compared to participants with low tinnitus-related distress and healthy controls⁹¹. In addition, tinnitus-related distress and psychological symptoms as well as serum cortisol levels were found to decrease after CBT⁹². Moreover, tinnitus patients with high distress levels were found to show flatter cortisol awakening curves⁹³. However, other studies observed no relationship between cortisol and tinnitus-related distress⁹⁴ or daily satisfaction levels⁹⁵.

Overall, these findings indicate blunted HPA axis reactivity in tinnitus, but suggest that HPA axis dysregulation may be different in BT, with potentially increased cortisol levels in highly distressed patients. However, only a few studies have been conducted so far and more evidence is needed.

Other stress-related physiological changes may also occur in tinnitus, including alterations in immunological/inflammatory, metabolic, neurological, or oxidative parameters⁹⁶. Brain-derived neurotrophic factor (BDNF) is a neurological parameter that might be particularly relevant for hearing and tinnitus^{96–98}. BDNF is an important nerve growth factor involved in developmental processes, neurogenesis/-protection, and synaptic plasticity^{96,99,100}. BDNF expression is reduced in various neurodegenerative disorders and stress-related disorders such as depression^{2,99,101–103}.

To date, few studies have studied BDNF levels in tinnitus (see also Basso et al²). Lower serum BDNF levels were observed in tinnitus subjects compared to controls¹⁰⁴. Goto et al¹⁰⁵ found higher plasma BDNF levels in tinnitus patients with low distress levels (mild handicap) compared to patients with severe distress (severe handicap) and healthy controls. However, BDNF levels were also higher in patients with low compared to high depression levels in this study, and the association between tinnitus-related distress and

plasma BDNF was no longer significant after adjustment for depressive symptoms¹⁰⁵. This suggests that potential reductions in BDNF levels in BT might be linked to comorbid depressive symptomatology. In a therapeutic study by Xiong et al¹⁰⁶, plasma BDNF levels were increased in tinnitus patients compared to controls; and they decreased in patients with severe tinnitus after treatment. However, in this study, no direct association was found between plasma BDNF levels and tinnitus severity¹⁰⁶. Similarly, in another study¹⁰⁷, serum BDNF and tinnitus-related distress were not related. However, these studies were conducted in small sample sizes, particularly concerning the subgroup of patients with severe tinnitus (Goto et al¹⁰⁵: N=18 and Xiong et al¹⁰⁶: N=14), raising issues regarding their generalizability. Thus, the association of BDNF with tinnitus-related distress requires further investigation.

In summary, cortisol and BDNF are two stress mediators which might be relevant for BT, but the literature is not conclusive. Previous studies investigating these two biomarkers in tinnitus patients used saliva or blood sampling for their quantification². However, both cortisol and BDNF can also be measured in hair^{2,108,109}. An advantage of quantification in hair is that it provides retrospective, cumulative long-term concentrations^{2,109–112}. Hair sampling allows the direct assessment of long-term concentrations; e.g., concentrations that have accumulated over the past month can be quantified in the most recently grown 1-cm hair segment^{2,109–112}. Other advantages include non-invasiveness and less situational confounding than in saliva/blood sampling^{2,109,111,112}. Thus, investigating cortisol and BDNF levels in hair might provide new insights into their relationship with tinnitus-related distress and chronic stress-related physiological changes in BT.

1.7. Research aims

The literature indicates that stress-related psychological and physiological processes might be important factors for the manifestation and/or maintenance of BT. This thesis aims to 1) investigate the impact of stress-related mental comorbidities on BT and their mediation effects for hearing-related factors and physical comorbidities¹, 2) explore associations of tinnitus-related distress with two chronic stress-related biological markers, namely cortisol and BDNF measured in hair², and 3) explore the potential of cortisol and BDNF measured in hair as therapeutic efficacy markers³. These research aims are addressed in three publications. Publication 1 is focused on the identification of mental and physical comorbidities that are associated with BT and investigating dependencies

between mental, hearing-related and other physical factors in their influences on BT¹. The underlying hypothesis of this study was that stress-related mental comorbidities are strongly associated with tinnitus-related distress and partially explain the effects of hearing impairment and -related difficulties and other physical comorbidities on BT¹. Publication 2 examines associations of the stress-related hair-biomarkers cortisol and BDNF with tinnitus characteristics and psychological factors in chronic tinnitus patients². Due to the known connection between stress and tinnitus severity, a positive association of tinnitus-related distress with hair-cortisol concentrations as well as a negative association with hair-BDNF concentrations were expected². Lastly, publication 3 investigates treatment effects in these stress-related hair-biomarkers following multimodal tinnitus-specific CBT, to test the assumption that psychological treatment effects are paralleled by physiological changes in stress-related systems³. Specifically, a reduction in hair-cortisol and an increase in hair-BDNF levels (i.e., normalization) were expected after treatment³.

2. METHODS

2.2. Publication 1

2.2.1. LifeGene study

“LifeGene” is a prospective national cohort study in a randomly recruited sample from the Swedish general population^{1,113,114}. In publication 1¹, epidemiological data previously collected as part of the LifeGene study were analyzed^{113,114}. Of the available baseline data from the web-based LifeGene survey on health-related themes^{113,114} collected between 2009 and 2016 in 31926 participants, the subsample of 7615 participants (23.9%) with self-reported tinnitus was analyzed¹. Of these participants, 6918 reported intermittent/non-BT and 697 (9.2%) constant/BT¹ (here referred to as non-BT and BT for simplicity). The project was approved by the local ethics committee of Karolinska Institute, Stockholm (2015/2129-31/1)¹. All participants provided informed consent; parental consent was obtained for participants under 18 years of age¹. The same sample was additionally analyzed by our research group with regard to gender differences¹¹⁵.

2.2.2. Sample characteristics

Fifty-six percent of the sample were women (N=4301), and the mean age of the sample was 35.80 years (SD=12.44), ranging from 11 to 84 years¹; see Figure 1. More socio-demographic information can be found in Basso et al^{1,115}.

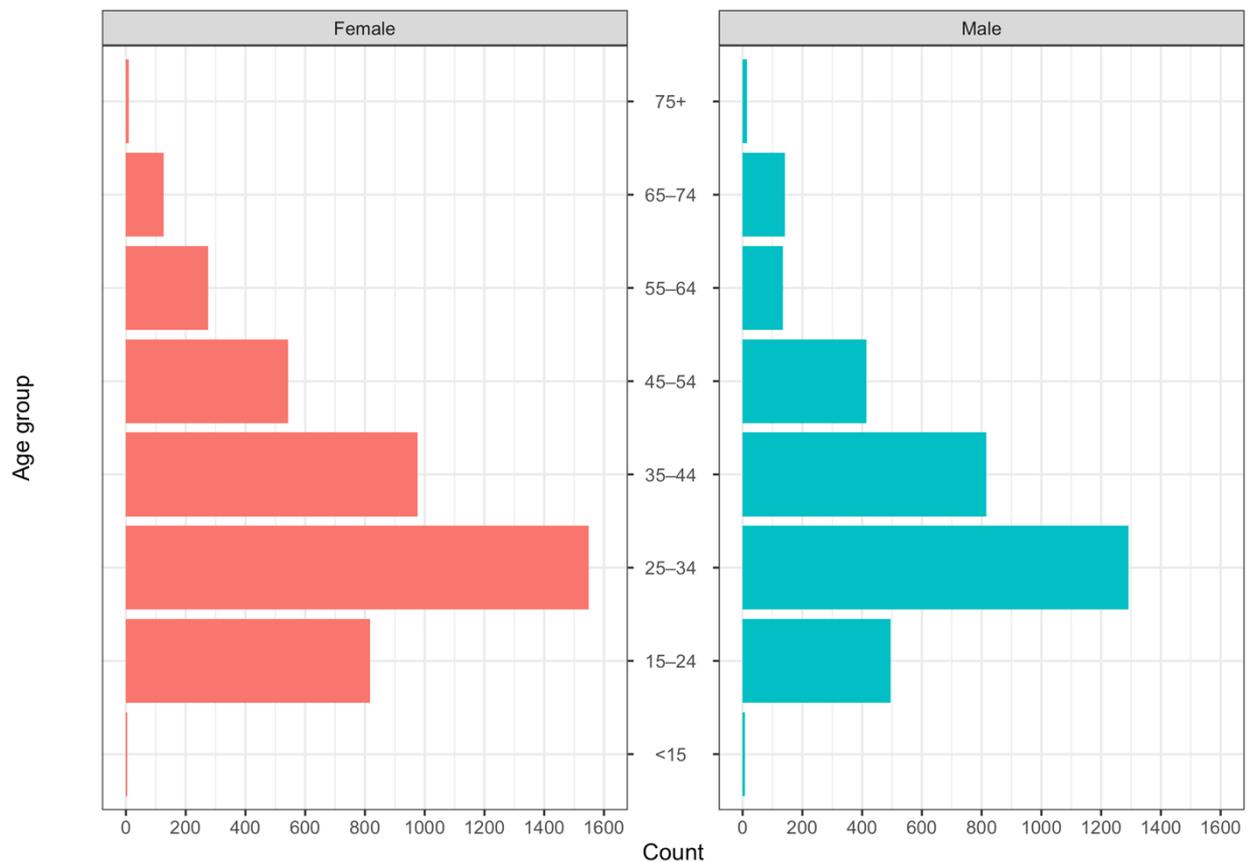


Figure 1. Age distribution of study participants (N=7615) separated by gender. All participants were sampled from the general Swedish population and reported having tinnitus. Newly created figure by the author based on the sample analyzed in Basso et al¹.

2.2.3. Data preparation

The distinction between BT and non-BT was selected as the outcome variable¹. Predictor variables were selected based on three groups: (current or previous) physical comorbidities, mental comorbidities, and hearing-related factors¹. The term “comorbidities” was chosen because BT was conceptualized as a stand-alone disorder (see Introduction). Ménière’s disease is a condition in which tinnitus can occur and therefore was included in the analysis, despite not constituting a “comorbidity”¹. Hearing-related factors included subjective hearing ability ratings categorized as “good” or (somewhat or very) “reduced”^{1(p56)}, and a mean score across five items asking about hearing-related difficulties in social situations, which was converted to the presence or absence of such difficulties¹.

2.2.4. Statistical analysis

Statistical analyses are described in detail in Basso et al¹. IBM SPSS Statistics (version 25)¹¹⁶ was used for data analysis¹. For all analyses, p -values <0.05 were considered

significant¹. First, descriptive analyses were performed comparing the frequencies of selected variables between BT and non-BT using Pearson's χ^2 test¹. Differences in frequencies were assessed using adjusted residuals (ARs); ARs of ≥ 1.96 or ≤ -1.96 were considered significant¹. Second, three logistic regression models adjusted for age and sex were calculated for the prediction of BT by physical comorbidities, mental comorbidities, and hearing-related factors¹. For all effect estimates, odds ratios (ORs) and 95% confidence intervals (CIs) were computed¹. Third, logistic mediation models^{117,118} were calculated; see Figure 2 for the conceptual models¹. Mediation analysis evaluates how much the total effect of a predictor X on an outcome Y (coefficient c) is reduced (coefficient c') when a mediator variable M is taken into account (coefficients $a \times b$)¹; see Figure 2. Dividing the mediation effect ($a \times b$) by the total effect (c) yields the percentage of the effect being mediated^{1,117,118}. The significance of mediation effects was assessed using the Sobel test (Aroian version)^{1,119}.

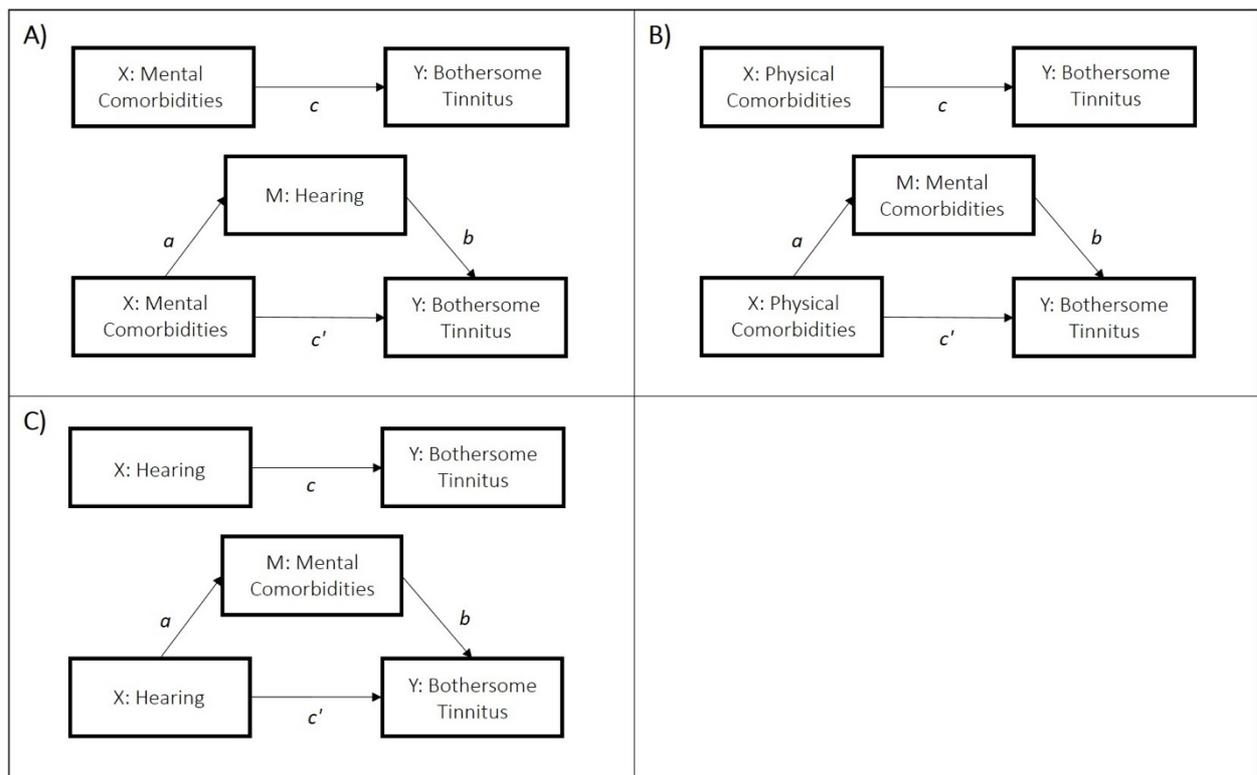


Figure 2. “Conceptual models of the performed mediation analyses. X=predictor, M=mediator, Y=outcome. Coefficient c designates the total effect of the respective X on Y (ignoring M); coefficient a is the effect of X on M , coefficient b is the effect of M on Y (controlling for X); coefficient c' designates the direct effect of X on Y when M is controlled; and $a \times b$ reflects the indirect or mediation effect.” Figure reprinted from Basso et al^{1(p58)}, with permission from Elsevier.

2.3. Publications 2 and 3

2.3.1. Study design

The second and third publication^{2,3} are based on a longitudinal clinical study conducted between December 2018 and June 2020 in a sample of 94 inpatients with chronic tinnitus (ICD-10 code H93.1). All participants attended the treatment program for chronic tinnitus offered at the Tinnitus Center of Charité – Universitätsmedizin Berlin³ (see Introduction). The mean treatment duration was 4.78 days (SD=1.10), ranging from four to nine days³. All inpatients treated at the Tinnitus Center during the recruitment period of this study were eligible for participation; inclusion and exclusion criteria are summarized in Table 1. Approximately 16% of the treated inpatients voluntarily participated in this study². In March 2020, recruitment of new participants was discontinued due to the onset of the COVID-19 pandemic². The study was carried out in accordance with the Declaration of Helsinki and approved by the ethics committee of Charité – Universitätsmedizin Berlin (No. EA1/035/16)^{2,3}. Written informed consent was provided by all participants^{2,3}.

Table 1. Inclusion and exclusion criteria for study participation

Inclusion criteria	Exclusion criteria
<i>“Diagnosis of chronic subjective tinnitus”</i>	<i>“Inability to consent due to serious mental or physical impairments”</i>
<i>“Age ≥ 18 years”</i>	<i>“Simultaneous participation in other research studies”</i>
<i>“Written informed consent”</i>	<i>“Any chemical hair treatment within 1 month prior to sampling (dying, bleaching, perming, or else)”</i>
	<i>“Hair length < 3 cm”</i>
	<i>“Hair washing or the use of hair products (hair mousse, hair gel, hair wax, hair spray) within 3 days prior to sampling”</i>
	<i>“Hair combing on the day of sampling”</i>

Note. Table reprinted from Basso et al^{2(p2)} (CC BY 4.0).

The study included a baseline (BL) measurement session before treatment, a second session directly after treatment (TE), and a 3-month follow-up session (FU)³. Data collection included hair sampling to determine biomarkers (BL and FU), psychometric questionnaires to assess psychological symptoms (all measurements), and audiometric tests to determine hearing ability and psychoacoustic tinnitus characteristics (BL). However, audiometric testing was not performed specifically for this study, but audiometric data were retrieved from outpatient records^{2,3}. These outpatient measurements preceded the BL

session by a mean of $M=70.14$ days ($SD=57.62$)². Sociodemographic, hair-related, and health-related information was additionally collected to detect potential confounding influences (BL and FU)³. An overview of the collected data is shown in Figure 3.

		Baseline	Treatment end (+5 days)	Follow-up (+3 months)
Biomarkers	• Hair-cortisol	X		X
	• Hair-BDNF	X		X
Psychometric questionnaires	• TQ: tinnitus-related distress	X	X	X
	• PSQ-20: perceived stress	X	X	X
	• HADS: anxiety and depression	X	X	X
	• SOMS: somatization	X		X
	• STAI: state anxiety	X		X
	• PDS: traumatic experiences	X		
	• SF-12: physical and mental health-related quality of life	X	X	X
Tinnitus and hearing	• Tinnitus frequency and loudness (matching data)	X		
	• Hearing threshold (audiogram)	X		
	• Tinnitus/hearing-related characteristics	X		
Covariates	• Sociodemographic information	X		X
	• Season and time of sampling	X		X
	• Hair-related criteria/hair care	X		X
	• Health-related factors/behavior	X		X

Figure 3. “Overview of all collected study variables across measurements (baseline, treatment end, and follow-up).” BDNF=Brain-Derived Neurotrophic Factor; HADS=Hospital Anxiety and Depression Scale; PDS=Posttraumatic Diagnostic Scale; PSQ-20=Perceived Stress Questionnaire (20 item version); SF-12=Short Form-12 Health Survey; SOMS=Screening of Somatoform Disorders; STAI=State-Trait Anxiety Inventory (State-Anxiety); TQ=Tinnitus Questionnaire. Figure reprinted from Basso et al^{3(p3)} (CC BY 4.0).

2.3.2. Sample characteristics

Ninety-four participants were recruited for this study^{2,3}. For cross-sectional analysis, 91 participants were included for the prediction of hair-cortisol and 87 for hair-BDNF, due to missing questionnaire data (N=1), violation of hair-related criteria (N=2), and missing hair-BDNF values (N=4)². For longitudinal analysis, complete data were available of 80 participants, due to dropouts (N=4), violation of hair-related criteria at FU (N=1), and missing biomarker values (N=6)³. Sixty-six percent of participants were female (N=60) and the mean age of the sample was M=51.5 years (SD=12; range: 19–80 years)²; see Figure 4. Most participants had normal hearing ability (62.6%, N=57)². All participants suffered from chronic tinnitus (i.e., for at least three months) and sought clinical help at the Tinnitus Center, indicating a certain level of suffering. Therefore, the sample is conceptualized here as BT, but psychometrically measured levels of tinnitus-related distress varied among participants: 39.6% (N=36) reported mild, 37.4% (N=34) moderate, 12.1% (N=11) severe, and 11.0% (N=10) very severe distress². See Basso et al² for more information.

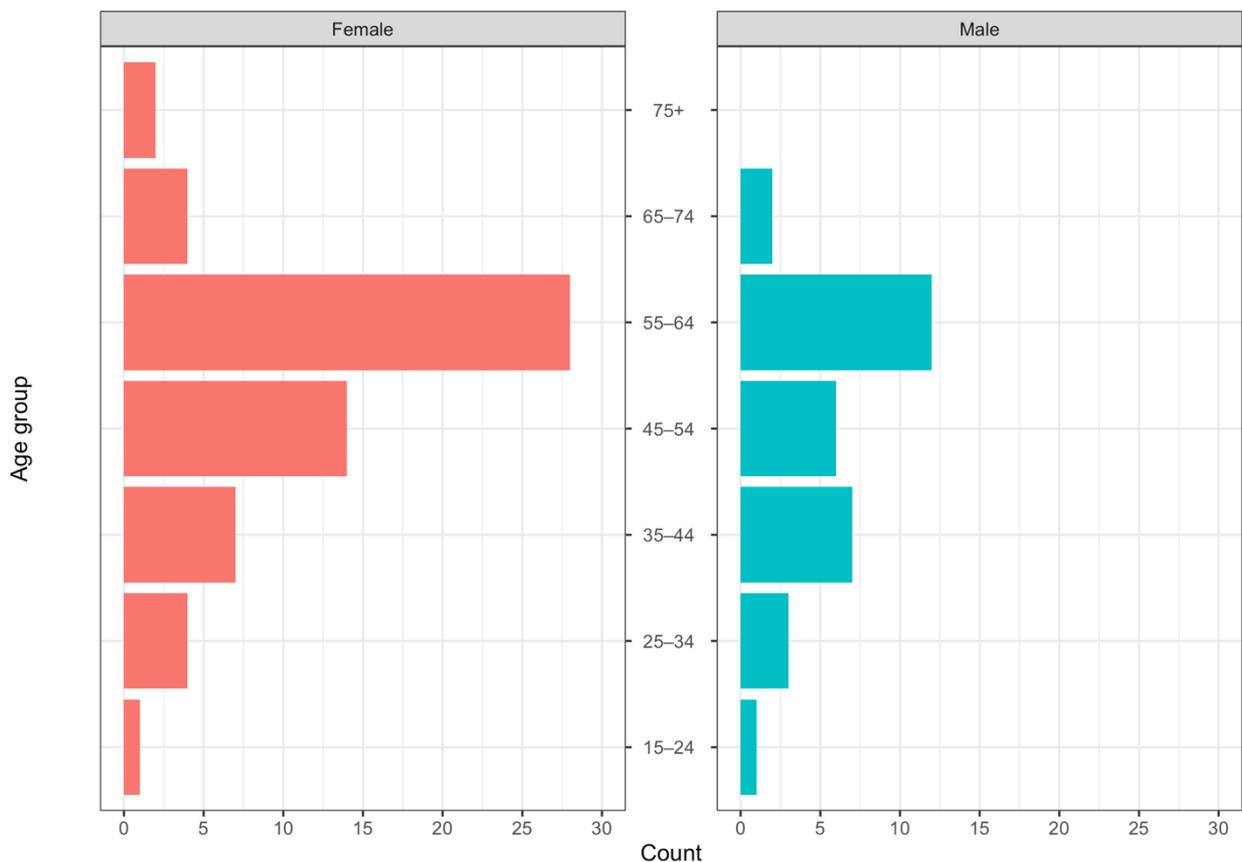


Figure 4. Age distribution of study participants (N=91) separated by gender. All participants had chronic tinnitus and sought clinical help at the Tinnitus Center. Newly created figure by the author based on the sample analyzed in Basso et al².

2.3.3. Psychometric questionnaires

The following validated psychometric questionnaires were used^{2,3}.

2.3.3.1. Tinnitus Questionnaire (TQ)

The German version of the Tinnitus Questionnaire (TQ)¹²⁰ was used to assess tinnitus-related distress^{2,3}. This instrument consists of 52 items measuring six domains of tinnitus-related distress, namely emotional distress, cognitive distress, tinnitus intrusiveness, auditory perceptual difficulties, sleep disturbances, and somatic complaints¹²⁰. Only the total score was used, which is the sum of (partially recoded) 40 items, with two items added twice¹²⁰.

2.3.3.2. Perceived Stress Questionnaire (PSQ-20)

The German version of the Perceived-Stress-Questionnaire (PSQ-20)^{121,122} was used to assess perceived stress levels^{2,3}. It consists of 20 items measuring four domains of subjectively experienced stress, namely worries, tension, (lack of) joy, and demands^{121,122}. Only the total score was used, which is the linearly transformed mean (with recoded joy items)^{121,122}.

2.3.3.3. Hospital Anxiety and Depression Scale (HADS)

The German version of the Hospital Anxiety and Depression Scale (HADS)¹²³ was used to assess anxiety and depression levels^{2,3}. It consists of 14 items assessing anxiety and depression symptoms during the past week^{123,124}. Both the anxiety and depression subscales were used, i.e., the sum scores across seven (partially recoded) items each^{123,124}.

2.3.3.4. State-Trait Anxiety Inventory (STAI)

The State-Trait Anxiety Inventory (STAI) is a questionnaire assessing state anxiety (varying emotional state) and trait anxiety (personality trait)^{125,126}. Here, the German version of the STAI¹²⁶ was used to measure state anxiety^{2,3}. The state anxiety score is calculated as the sum over all (partially recoded) 20 items¹²⁶.

2.3.3.5. Screening of Somatoform Disorders (SOMS)

The German "Screening für Somatoforme Störungen" / "Screening of Somatoform Disorders" (SOMS)¹²⁷, was used to assess somatization tendencies^{2,3}. This instrument consists of 52 items for women and 48 for men representing somatoform symptoms, defined

as physical symptoms that remain medically unexplained and cause emotional distress¹²⁷. The seven days version was used, which captures the presence of somatoform symptoms and the degree of associated suffering in the past week¹²⁷. Only the number of complaints was used.

2.3.3.6. Posttraumatic Diagnostic Scale (PDS)

The event list of the German version of the Posttraumatic Diagnostic Scale (PDS)¹²⁸ was used to assess the number of experienced traumatic events^{2,3}. It consists of 12 items representing relevant traumatic events¹²⁸. Responses indicate whether the particular event was experienced (personally or as a witness) at some point in the personal history, with one item representing an open response option¹²⁸. The number of reported traumatic experiences was used.

2.3.3.7. Short Form-12 Health Survey (SF-12)

The German version of the Short Form-12 Health Survey (SF-12) version 2^{129,130} was used to assess health-related quality of life^{2,3}. This questionnaire consists of 12 items measuring physical or mental health-related quality of life (during the past week)¹²⁹. It includes a physical component summary (PCS) and a mental component summary (MCS) based on eight scales (general health perception, physical functioning, physical role functioning, emotional role functioning, pain, mental health, vitality, and social functioning)¹²⁹. The two summary scores were calculated as T-standardized scale scores based on normative data¹³⁰.

2.3.4. Audiometric tests

Outpatient records were used to obtain audiometric data (closest recordings to BL were selected)². All tests had been performed in the audiological department of the clinic in soundproof booths. They included pure-tone audiometry (PTA) and tinnitus pitch and loudness matching². PTA included the following frequencies: 0.25, 0.5, 1, 2, 3, 4, 6, and 8 kHz². Hearing thresholds for each frequency were recorded for both ears in 5-dB intervals². These values were averaged to obtain a single parameter for the mean hearing ability². The tinnitus matching procedure determines psychoacoustic approximation measures of tinnitus pitch/frequency (Hz) and loudness (dB) and is described in detail in Basso et al². Subjects were asked to compare their tinnitus to sequentially played pure tones or narrow-band noise until a match was reached (and confirmed twice) in terms of

frequency and loudness². Because hearing thresholds were recorded to the nearest 5 dB, whereas tinnitus loudness was determined at a 1 dB level, the accuracy of the sensation level (i.e., tinnitus loudness corrected for the hearing threshold in the tinnitus frequency) was reduced². Thus, the uncorrected “absolute” tinnitus loudness was used instead². However, because it is not independent of the hearing threshold, the latter was controlled for in all analyses^{2,3}. Tinnitus matching data were missing for 25 participants².

2.3.5. Hair sample collection

Hair samples were collected mostly in the morning; median sampling times were 09:55 a.m. at BL² and 10:15 a.m. at FU³. To obtain the samples, they were “*cut with scissors from the region of the posterior vertex, as close to the scalp as possible*”^{2,3(p5)}, due to lower intra-individual variation in cortisol concentrations in this region¹³¹, and then placed in aluminum foil in plastic bags^{2,3}; see Figure 5. To avoid contamination, gloves were worn and all materials were cleaned (using 70% isopropyl alcohol) between sampling². For storage, the samples were put in a dark container and kept at room temperature until further analysis in summer/autumn 2020^{2,3}.

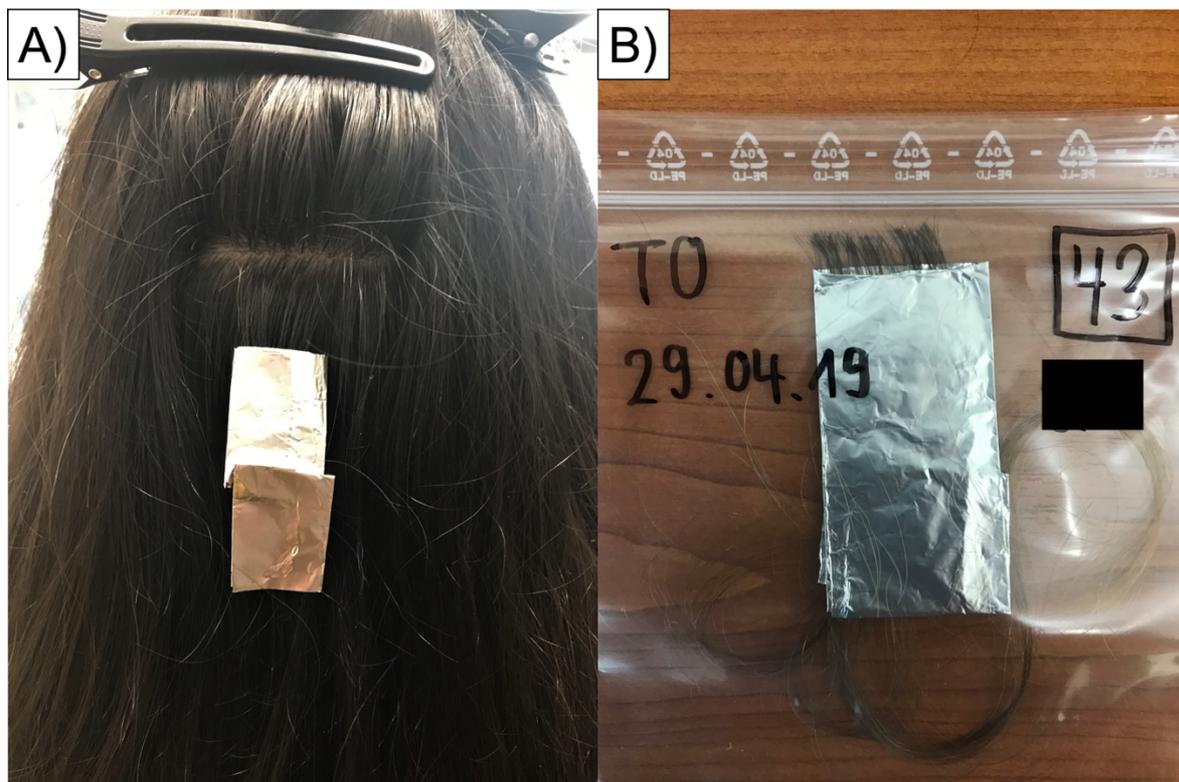


Figure 5. Illustration of hair sample collection^{2,3}. A) Hair samples were collected from the region of the posterior vertex, cut as close to the scalp as possible, and B) stored in aluminum foil in a dark container^{2,3}. Photographs were taken by the author.

2.3.6. Biomarker quantification

Samples were analyzed at the Psychoneuroimmunology Laboratory of the Justus-Liebig University Giessen (samples were kept at room temperature during relocation), approx. 1.75 to 0.5 years after collection². Samples were processed according to established laboratory protocols^{2,3,108}. Due to the average hair growth rate of approx. 1 cm per month¹³², the most recent 1-cm hair segment (proximal to the scalp) was analyzed to determine cumulative concentrations of cortisol and BDNF over the past month^{2,3}. Sample preparation included cutting the most proximal 1-cm segment, weighing (5–20 mg), freezing in liquid nitrogen for 2 min, grinding in a ball mill for 2–3 min with 7-mm-diameter metal balls at 25 Hz¹⁰⁸, and subsequent extraction procedures for cortisol and BDNF^{2,108}. Cortisol and BDNF extraction procedures are described in Harb et al¹⁰⁸. After sample preparation, dry hair extracts were resuspended using 100 µl of phosphate-buffered saline (PBS) for 10 mg pulverized hair (for cortisol, a 1:10 dilution was used; for BDNF, a 1:1000 dilution)^{3,108} and quantified using commercially available enzyme-linked immunosorbent assay (ELISA) kits^{2,3,108}. For cortisol ELISA (IBL International®, Hamburg, Germany)¹⁰⁸, a sensitivity of 0.005 µg/dl is reported (standard range: 0.15–30 ng/ml)³, and intra- and inter-assay coefficients of variation of +4.3% and +13.2%^{2,3,108}; in our study (all samples), these were +1.91% and 7.49 ± 2.81 , respectively². For BDNF ELISA (Emax® Immuno-Assay System, Promega, Madison, WI, USA)¹⁰⁸, a sensitivity of 15.6 pg/ml is reported (standard range: 0–1000 pg/ml)³, and intra- and inter-assay coefficients of variation of +3.7% and +8.5%^{2,3,108}; in our study (all samples), these were +2.73% and 5.31 ± 3.35 , respectively². All cortisol values could be detected; BDNF detection was not possible in seven cases³.

2.3.7. Statistical analysis

Cross-sectional analyses² and longitudinal analyses³ were performed in R (version 4.0.0)¹³³. For all analyses, p -values <0.05 were considered significant³.

The main cross-sectional analysis² consisted of elastic net regression which “*is a penalized linear regression method [...] that performs shrinkage of correlated predictors and automatic variable selection*”^{2(p6)}. Two elastic net regression models (using ‘caret’ and ‘glmnet’)^{134,135} with n -fold cross-validation were calculated for the prediction of hair-cortisol and hair-BDNF (35 predictors each)². The data were divided into a training dataset (70%) and a test dataset (30%)². Models were created using the training datasets

(cortisol: N=66; BDNF: N=63) and evaluated using the test datasets (cortisol: N=25; BDNF: N=24) to validate the model performance on new data². Hair-cortisol values were log-transformed before analysis due to non-normal distribution, and all numerical variables (outcome and predictor) were standardized². The standardized effect estimates were ranked (0-100) by their absolute magnitude (variable importance). In addition, missing values on tinnitus matching data (27.5%) and SF-12 scores (2.2%)² were imputed with k-nearest neighbor imputation (using 'RANN')¹³⁶.

The main longitudinal analyses³ consisted of linear mixed-effects models for the prediction of TQ, PSQ-20, hair-cortisol, and hair-BDNF (using 'lme4')¹³⁷, which were reduced by backward elimination (using 'lmerTest')¹³⁸. Model fit was based on restricted maximum likelihood (REML), the significance of fixed effects estimates was assessed using z-tests (with 'multcomp')¹³⁹, and Holm's correction¹⁴⁰ was applied to adjust for multiple testing³. The predictors included in the full and reduced linear mixed-effects models for each outcome can be found in Basso et al³. All numerical predictors were standardized³. Missing values on tinnitus matching data (26.3%), hair color (6.3%), SF-12 scores (3.8%), and hearing aid use (1.3%)³ were imputed with k-nearest neighbor imputation (using 'DMwR2')¹⁴¹.

3. RESULTS

Results are described in detail in the respective publications^{1,2,3}. Here, only key findings are summarized.

3.2. Publication 1

3.2.1. Comorbidities with associations to bothersome tinnitus (compared to non-bothersome tinnitus) identified in frequency and logistic regression analyses

First, frequencies of reported comorbidities/problems were compared between participants with BT (N=697) and non-BT (N=6918)¹. Second, these factors were analyzed in multivariate logistic regression models (adjusted for sex and age), separately for hearing-related factors, physical comorbidities, and mental comorbidities¹. All significant results are detailed in Table 2.

Participants with BT (compared to non-BT) reported higher frequencies of reduced subjective hearing ability and hearing-related difficulties in social situations¹. Both factors showed increased ORs in predicting BT (numbers in square brackets indicate 95%-CIs): reduced subjective hearing ability: OR=2.65 [2.15, 3.26], and hearing-related difficulties in social situations: OR=1.61 [1.28, 2.04]¹.

Regarding physical comorbidities, participants with BT (compared to non-BT) reported higher frequencies of chronic shoulder pain, osteoarthritis, fibromyalgia, Ménière's disease, hypertension, hyperlipidemia, cardiovascular disease, and thyroid disease¹. Of these, chronic shoulder pain: OR=1.88 [1.43, 2.47], cardiovascular disease: OR=1.49 [1.08, 2.04], thyroid disease: OR=1.48 [1.06, 2.08], and Ménière's disease: OR=3.42 [1.29, 9.05] showed increased ORs in predicting BT¹.

Regarding mental comorbidities, participants with BT (compared to non-BT) reported higher frequencies of depression, burnout, panic disorder, generalized anxiety syndrome, social anxiety, and posttraumatic stress disorder¹. Of these, depression: OR=1.25 [1.00, 1.56], generalized anxiety syndrome: OR=1.38 [1.04, 1.83], and social anxiety: OR=1.57 [1.08, 2.30] showed increased ORs in predicting BT¹.

Table 2. Frequencies of hearing-related factors, physical comorbidities, and mental comorbidities with significant differences between bothersome tinnitus and non-bothersome tinnitus (left) and significant results of logistic regression models predicting bothersome tinnitus (right).

Variable	Frequency comparison							Logistic regression analysis			
	Bothersome tinnitus (BT)		Non-bothersome tinnitus (non-BT)		ARs	Pearson's χ^2	p	β	SE β	Wald's χ^2	p
	%	N/N _{BT}	%	N/N _{non-BT}							
Reduced subjective hearing ability	63.9	432/676	31.5	2125/6754	16.9	285.11	<0.001	0.97	0.11	83.44	<0.001
Hearing-related difficulties in social situations	78.8	502/637	55.5	3185/5742	11.3	127.09	<0.001	0.48	0.12	16.25	<0.001
Chronic shoulder pain	11.5	80/695	5.3	367/6882	6.6	42.30	<0.001	0.63	0.14	20.80	<0.001
Osteoarthritis	9.4	65/695	4.7	323/6882	5.3	27.25	<0.001				
Fibromyalgia	2.3	16/695	0.8	54/6882	4.0	14.27	<0.001				
Ménière's disease	1.0	7/695	0.2	13/6882	4.0	13.10	<0.001	1.23	0.50	6.14	0.013
Hypertension	9.5	66/695	5.8	399/6886	3.9	14.39	<0.001				
Hyperlipidemia	6.3	44/695	3.1	211/6886	4.6	19.73	<0.001				
Cardiovascular disease	7.8	54/695	4.0	277/6886	4.6	20.34	<0.001	0.40	0.16	6.07	0.014
Thyroid disease	6.8	47/695	3.6	251/6886	4.0	15.43	<0.001	0.39	0.17	5.19	0.023
Depression	26.8	186/695	20.4	1405/6882	3.9	14.95	<0.001	0.22	0.22	3.91	0.048
Burnout	15.1	105/695	10.1	697/6882	4.1	16.02	<0.001				
Panic disorder	14.0	97/695	11.1	763/6882	2.3	4.89	0.027				
Generalized anxiety	14.4	100/695	10.0	688/6882	3.6	12.60	<0.001	0.32	0.14	5.04	0.025
Social anxiety	6.3	44/695	3.4	231/6882	4.0	15.13	<0.001	0.45	0.19	5.50	0.019
Posttraumatic stress disorder	2.7	19/695	1.6	107/6882	2.3	4.67	0.031				

Note. Left: Frequency comparison of problems/comorbidities between bothersome and non-bothersome tinnitus using Pearson's χ^2 tests (with continuity correction where applicable)¹. Only problems/comorbidities with significant differences are shown. Right: Significant results of age- and gender-adjusted logistic regression models for the prediction of bothersome tinnitus calculated separately for hearing-related factors, physical comorbidities, and mental comorbidities¹. ARs=Adjusted residuals. Table adapted from Basso et al^{1(pp60-62)}, with permission from Elsevier.

3.2.2. Mediating effects between hearing-related factors, physical and mental comorbidities in their association with bothersome tinnitus

Logistic mediation models were calculated to investigate mediating effects between the identified factors in their association with BT¹. These models investigated A) mediation by hearing-related factors for the association between mental comorbidities and BT, B) mediation by mental comorbidities for the association between physical comorbidities and BT, and C) mediation by mental comorbidities for the association between hearing-related factors and BT¹ (see Figure 2 for conceptual models). Overall, 15 significant mediation effects were observed: detailed results are depicted in Figure 6. For A), the strongest effect was found for hearing-related difficulties in social situations partially mediating the effect of depression on BT¹; for B), the strongest effect was found for depression partially mediating the effect of chronic shoulder pain on BT¹; for C), the strongest effect was found for social anxiety partially mediating the effect of hearing-related difficulties in social situations on BT¹.

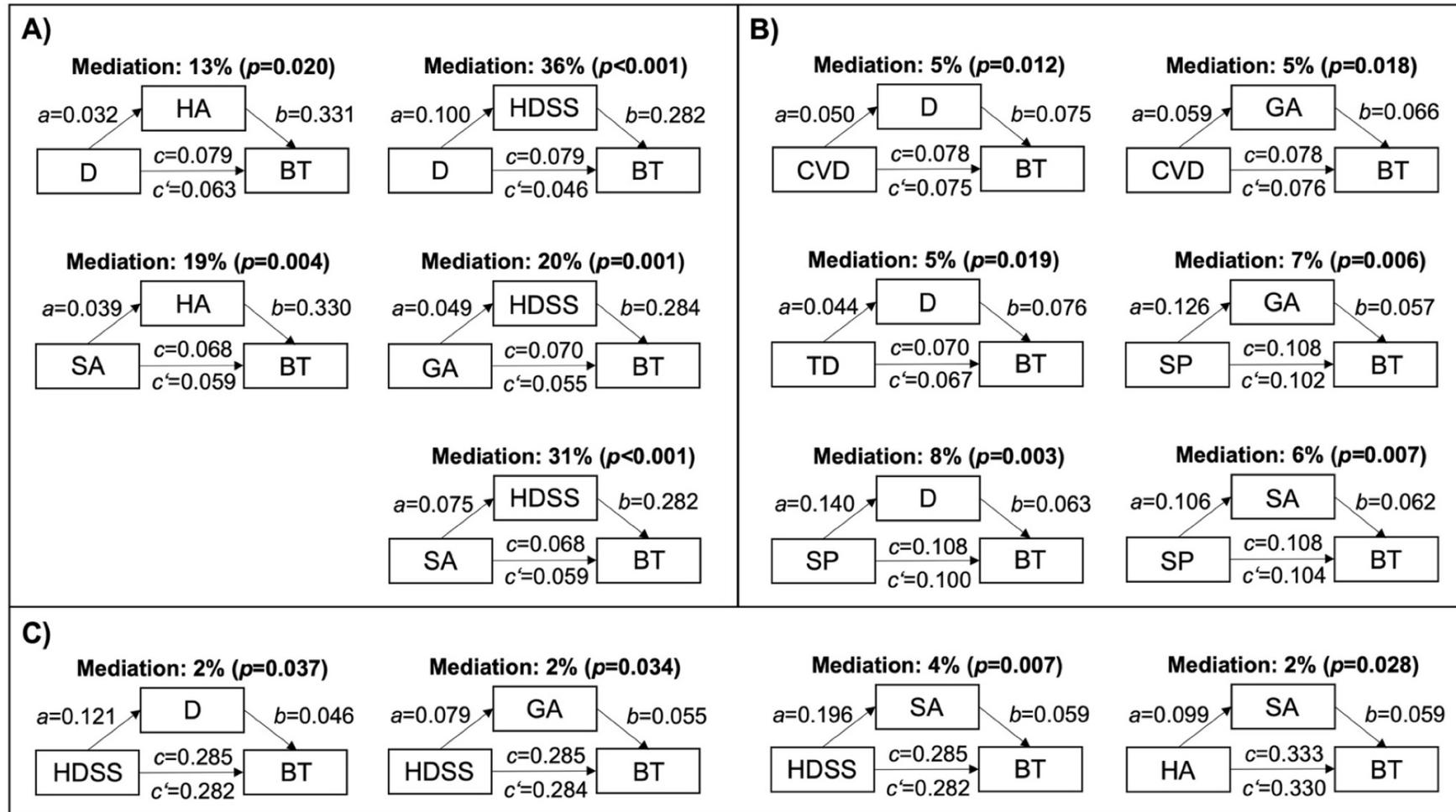


Figure 6. Significant mediation effects: A) hearing-related factors partially mediate between mental comorbidities and BT; B) mental comorbidities partially mediate between physical comorbidities and BT; C) mental comorbidities partially mediate between hearing-related factors and BT. BT=bothersome tinnitus; CVD=cardiovascular disease; D=depression; GA=generalized anxiety syndrome; HA=hearing ability (self-report); HDSS=hearing-related difficulties in social situations; SA=social anxiety; SP=shoulder pain (chronic); TD=thyroid disease. Newly created figure by the author. Data from Basso et al^{1(pp62, 63)} with permission from Elsevier.

3.3. Publication 2

3.3.1. Elastic net regression for the prediction of hair-cortisol levels

For hair-cortisol, 10% of the variance could be explained by the elastic net regression model in the test data (N=25; RMSE=1.11), and 6% of the variance in the training data (N=66; RMSE=0.91)². The most relevant finding based on the research question was the positive effect of tinnitus loudness on hair-cortisol levels, $\beta=0.089$, variable importance (VI)=55.91²; see Figure 7.

3.3.2. Elastic net regression for the prediction of hair-BDNF levels

For hair-BDNF, 28% of the variance could be explained by the elastic net regression model in the test data (N=24; RMSE=0.98), and 25% of the variance in the training data (N=63; RMSE=0.85)². The most relevant findings based on the research question were the negative effects of tinnitus loudness, $\beta=-0.247$, VI=92.22, and tinnitus-related distress, $\beta=-0.171$, VI=63.80, on hair-BDNF levels²; see Figure 8.

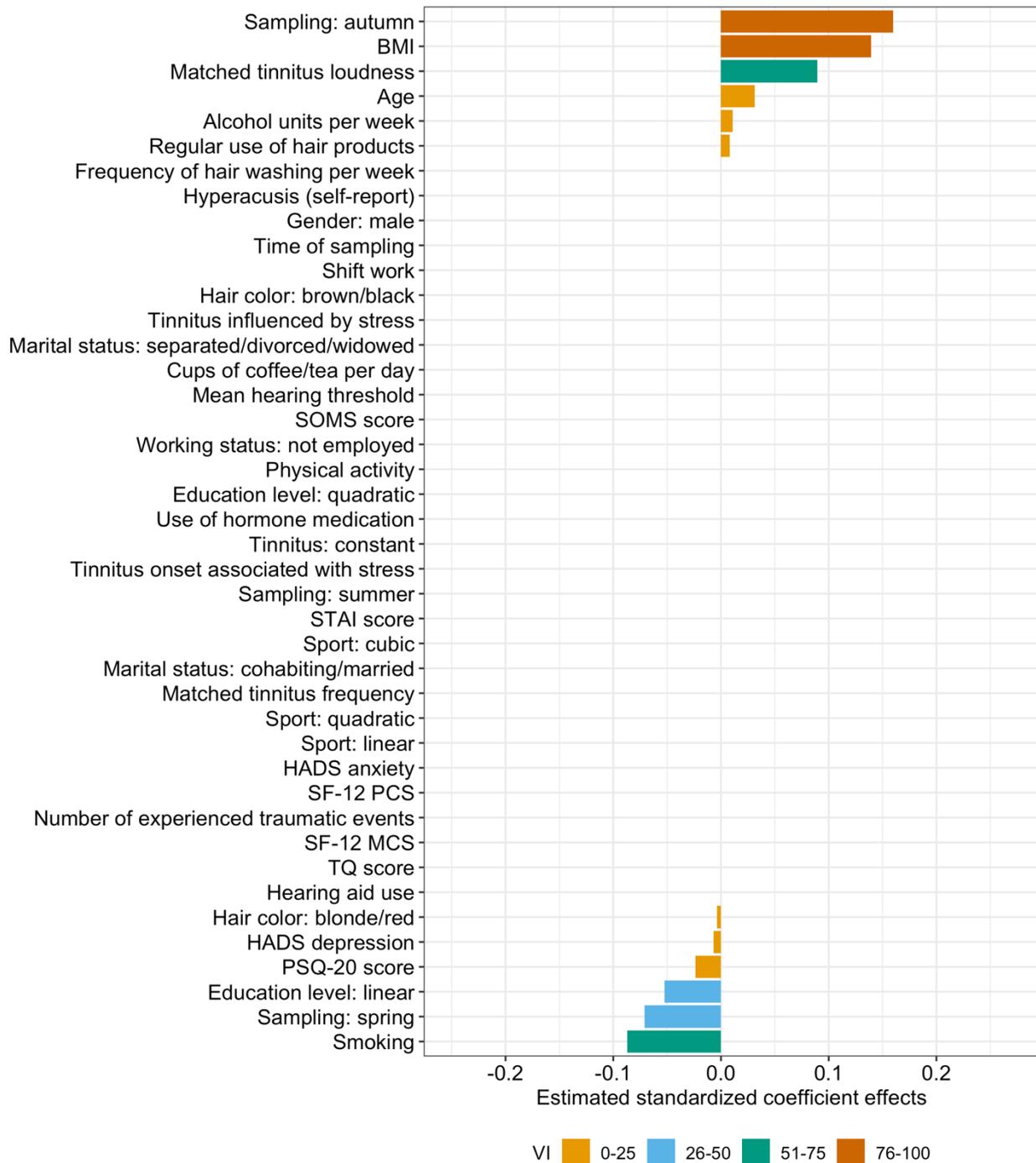


Figure 7. “Estimated standardized coefficient effects by elastic net regression with n-fold cross-validation for the prediction of hair-cortisol in chronic tinnitus patients (training data: N=66).” BMI=Body-Mass-Index; HADS=Hospital Anxiety and Depression Scale; MCS=Mental Component Summary; PCS=Physical Component Summary; PSQ-20=Perceived Stress Questionnaire (20 item version); SF-12=Short Form-12 Health Survey; SOMS=Screening of Somatoform Disorders; STAI=State-Trait Anxiety Inventory (State Anxiety); TQ=Tinnitus Questionnaire; VI=Variable Importance. Figure adapted from Basso et al^(p8) (CC BY 4.0).

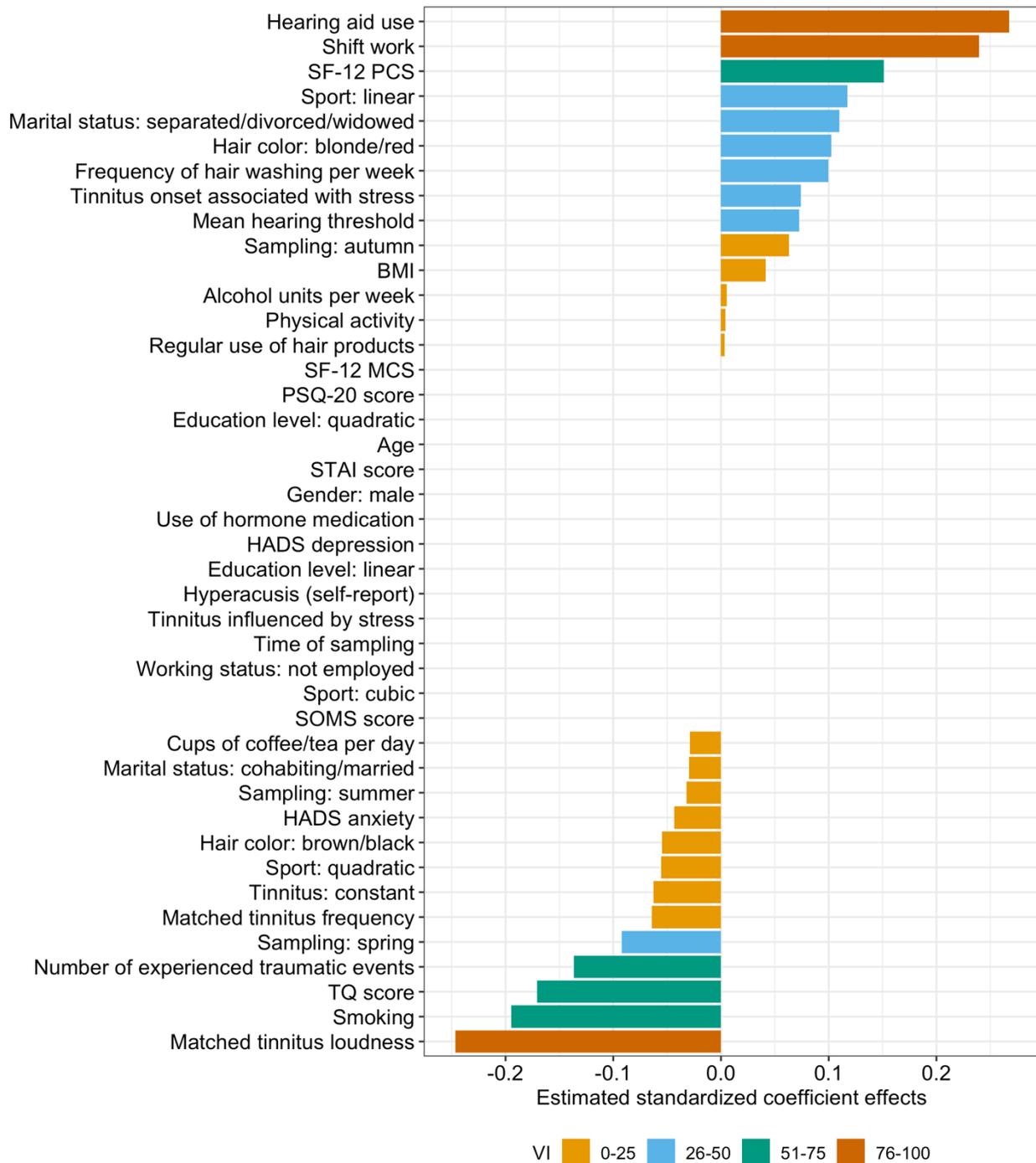


Figure 8. “Estimated coefficient effects by elastic net regression with n-fold cross-validation for the prediction of hair-BDNF in chronic tinnitus patients (training data: N=63)”. BMI=Body-Mass-Index; HADS=Hospital Anxiety and Depression Scale; MCS=Mental Component Summary; PCS=Physical Component Summary; PSQ-20=Perceived Stress Questionnaire (20 item version); SF-12=Short Form-12 Health Survey; SOMS=Screening of Somatoform Disorders; STAI=State-Trait Anxiety Inventory (State Anxiety); TQ=Tinnitus Questionnaire; VI=Variable Importance. Figure adapted from Basso et al^{2(p9)} (CC BY 4.0).

3.4. Publication 3

3.4.1. Descriptive analysis

The distribution of the outcome variables TQ, PSQ-20, hair-cortisol, and hair-BDNF from baseline to follow-up (N=80) is depicted in Figure 9.

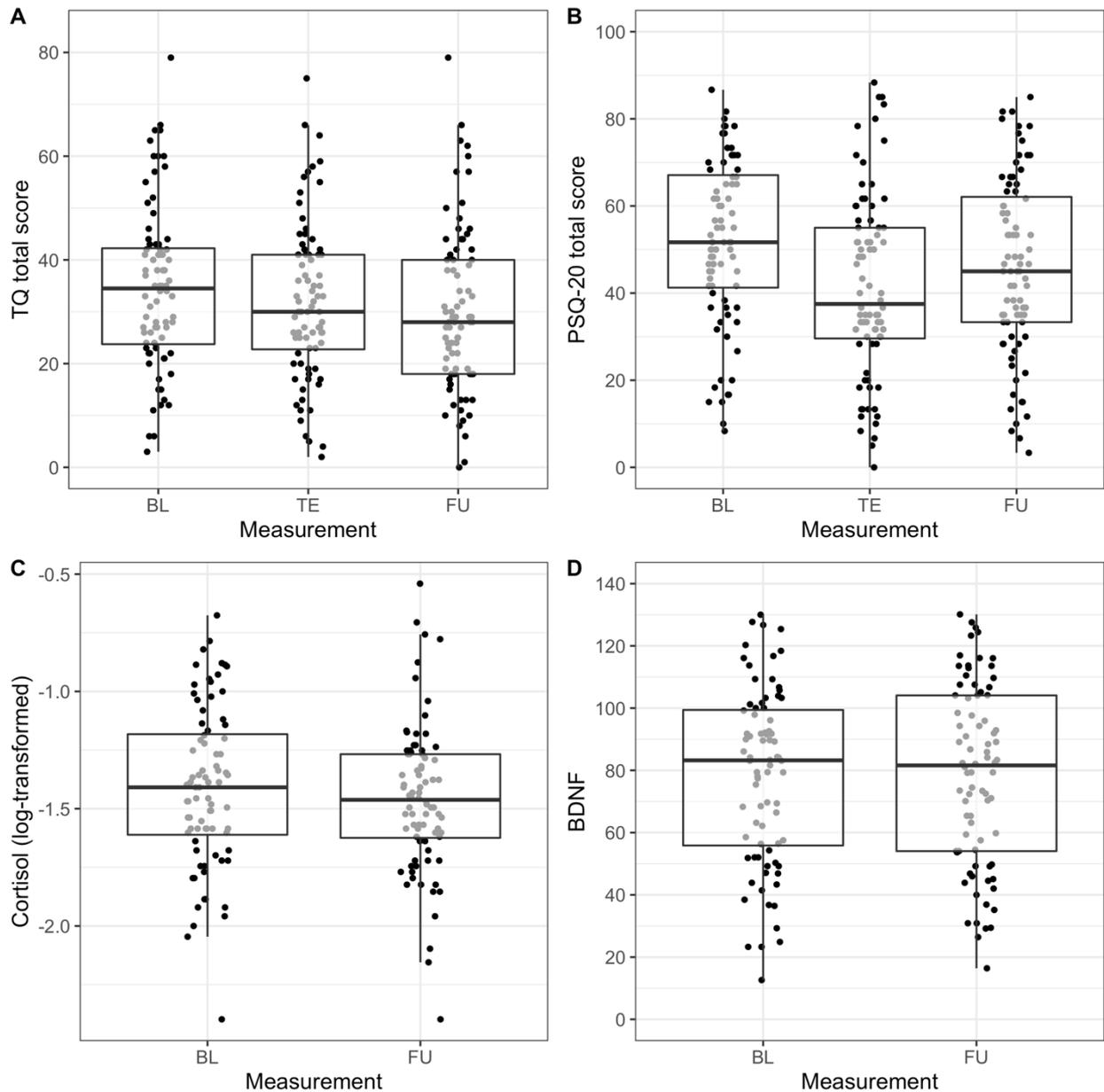


Figure 9. Distribution of the outcome variables: A) TQ total score, B) PSQ-20 total score, C) hair-cortisol levels (log-transformed), and D) hair-BDNF levels across measurements (N=80). BDNF=Brain-Derived Neurotrophic Factor; BL=Baseline; FU=Follow-Up; PSQ-20=Perceived Stress Questionnaire-20; TE=Treatment End; TQ=Tinnitus Questionnaire. Newly created figure by the author based on the sample analyzed in Basso et al³.

3.4.2. Treatment effects identified by backward reduced mixed-effects models

To investigate treatment effects, linear mixed-effects models including all selected potentially relevant predictors were calculated for TQ, PSQ-20, hair-cortisol, and hair-BDNF and reduced by stepwise backward elimination³. Significant fixed effects observed in the backward reduced models after adjustment for multiple testing using Holm's method are summarized in Table 3. Significant negative effects of "measurement" (BL, TE, FU) on TQ and PSQ-20 scores were observed, indicating a reduction in tinnitus-related distress and perceived stress levels across time³. No treatment effects were observed for hair-cortisol and hair-BDNF³. Moreover, some general associations were observed across all measurement sessions³. Separation from a partner, divorce, or widowhood and lower SF-12 PCS values at BL (i.e., lower physical health-related quality of life) were associated with higher TQ scores across all measurement sessions³. Higher HADS anxiety scores (i.e., more anxiety symptoms) and lower SF-12 MCS scores (i.e., lower mental health-related quality of life) at BL were associated with higher PSQ-20 scores across all measurement sessions³. Higher hearing thresholds (i.e., reduced hearing ability), lower perceived tinnitus loudness, and lower TQ scores at BL were associated with higher hair-BDNF levels across all measurement sessions³; see Table 3.

Table 3. Significant fixed effects identified by backward reduced mixed-effect models after p-value adjustment with Holm's method (N=80).

Predictor	β	95%-CI	z	$p_{\text{unadjusted}}$	p_{adjusted}
Tinnitus Questionnaire (TQ)					
Measurement	-2.31	-3.31, -1.31	-4.53	<0.001	<0.001
Marital status: separated/divorced/widowed (vs. single)	12.12	4.58, 19.67	3.15	0.002	0.033
SF-12 PCS baseline	-4.48	-7.42, -1.53	-2.98	0.003	0.049
Perceived Stress Questionnaire (PSQ-20)					
Measurement	-2.97	-4.90, -1.04	-3.02	0.003	0.045
HADS anxiety baseline	5.03	1.95, 8.11	3.20	0.001	0.028
SF-12 MCS baseline	-7.29	-10.83, -3.75	-4.03	<0.001	0.001
Hair-BDNF					
Mean hearing threshold	10.79	3.64, 17.93	2.96	0.003	0.049
Tinnitus loudness	-11.59	-18.98, -4.19	-3.07	0.002	0.040
TQ baseline	-9.58	-14.21, -4.96	-4.06	<0.001	0.001

Note. Only significant effects after Holm correction are reported³. BDNF=Brain-Derived Neurotrophic Factor; HADS=Hospital Anxiety and Depression Scale; MCS=Mental Component Summary; PCS=Physical Component Summary; PSQ-20=Perceived Stress Questionnaire-20; SF-12=Short Form-12 Health Survey; TQ=Tinnitus Questionnaire. Table adapted from Basso et al^{3(pp9, 11, 15)} (CC BY 4.0).

4. DISCUSSION

4.1. Summary of results

Associations with BT (compared to non-BT) were identified for reduced subjective hearing ability, hearing-related difficulties in social situations, chronic shoulder pain, cardiovascular disease, thyroid disease, Ménière's disease, depression, generalized anxiety syndrome, and social anxiety¹. Moreover, subjective hearing ability and hearing-related difficulties in social situations partially mediated the association of mental comorbidities with BT, and mental comorbidities partially mediated the associations of physical comorbidities and hearing-related factors with BT¹. Furthermore, a positive effect of tinnitus loudness on hair-cortisol levels was identified as well as negative effects of tinnitus loudness and tinnitus-related distress on hair-BDNF levels². In addition, tinnitus-related distress and perceived stress levels decreased after short-term tinnitus-specific CBT, whereas hair-cortisol or hair-BDNF levels were unaffected by the treatment³.

4.2. Hearing-related factors, physical and mental comorbidities in bothersome tinnitus

The associations between anxiety/depression and BT^{40,43} as well as hearing loss and BT^{14,34,36,46} are well-known¹. Here, additional specific effects of hearing-related difficulties in social situations were observed, suggesting that the psychological impact of hearing impairment may be particularly relevant for BT¹. Regarding physical comorbidities, cardiovascular diseases have been previously associated with BT^{1,37,47,48}. The observed association of Ménière's disease with BT is consistent with previous findings on high tinnitus severity in Ménière's disease^{1,142–144}. Associations with tinnitus have previously been observed for chronic shoulder pain^{1,145} and thyroid disease^{1,14,39} but not specifically with BT. In addition, the effects of physical comorbidities and hearing-related factors on BT were partially dependent on mental comorbidities (depression and anxiety), whereas the effects of mental comorbidities on BT were partially dependent on subjective hearing impairment and hearing-related difficulties in social situations¹. This is in line with our hypothesis that stress-related mental comorbidities play an important role in BT and partially explain the effects of hearing impairment and -related difficulties and other physical comorbidities on BT¹. These results indicate an important interplay between hearing-related factors and mental symptoms in BT¹.

Hearing impairment can be a risk factor for psychological distress¹⁴⁶ and can lead to social isolation and loneliness¹⁴⁷, which in turn are related to depression¹⁴⁸. Similarly, reduced social functioning¹⁴⁹ and a weak sense of community belonging¹⁵⁰ seem relevant for BT¹. Therefore, the strong mediation (36%) by hearing-related difficulties in social situations for the effect of depression on BT could be related to social isolation/loneliness. Given the observed interdependencies between influences of mental symptoms and hearing-related factors, our findings highlight the importance of addressing reduced hearing performance in addition to psychosocial functioning to alleviate distress in BT¹.

In shoulder pain patients, catastrophic thinking and reduced self-efficacy were found to be associated with higher levels of shoulder pain and disability¹⁵¹, suggesting an important role of psychological distress in these patients^{1,151}. Mental conditions can constitute risk factors for cardiovascular disease and seem linked to worse cardiovascular outcomes^{1,152–154}. Similarly, associations between thyroid disease and mental conditions are known^{1,155–157}. These mental-physical connections support our observation that mental symptoms are an important link between different physical symptoms/problems and their influences on tinnitus-related distress¹. In summary, the observed dependencies between the influences of hearing-related factors, physical comorbidities, and mental comorbidities indicate that BT is a complex phenomenon characterized by psychosomatic interactions¹. Further research is required for a more detailed understanding of the interplay between these factors and potential underlying mechanisms¹.

4.3. Hair-cortisol and hair-BDNF and their association with tinnitus loudness and distress

4.3.1. Negative effect of tinnitus-related distress on hair-BDNF levels

The observed negative effect of tinnitus-related distress on hair-BDNF levels was in line with our hypothesis². It suggests that similar chronic stress-related changes might be present in BT as in depression, for which reduced BDNF expression is known^{2,103}. The previously observed association between tinnitus-related distress and plasma BDNF in the study by Goto et al¹⁰⁵ was accounted for by depressive symptoms¹⁰⁵. In our analyses, on the other hand, the effect of tinnitus-related distress on hair-BDNF was independent of depressive symptoms, for which no effect was found². However, depressive symptom levels were low in our sample, so the effect of depression might be underestimated². Therefore, it is unclear whether tinnitus-related distress and depressive symptoms truly

have independent influences on hair-BDNF levels. Studies assessing hair-BDNF levels in tinnitus patients with varying levels of tinnitus-related distress and depressive symptom levels as well as healthy controls are needed to obtain a more complete picture of these relationships.

For depression, it has been hypothesized that reduced BDNF expression might be connected to (stress-related) reductions in neuroplasticity and volume reductions of the hippocampus^{2,102,103}. Consequently, similar stress-induced changes in hippocampal neurogenesis might be present in BT^{2,42}. However, findings on structural changes of the hippocampus in tinnitus are mixed^{2,158–161}. Further research on hippocampal volume changes and the influence of comorbid depression is needed for an improved understanding of stress-related physiological changes in BT.

4.3.2. No effect of tinnitus-related distress on hair-cortisol levels

For hair-cortisol, the expected positive relationship with tinnitus-related distress was not present in our data². Regarding psychological factors, only small negative effects of perceived stress and depressive symptoms on hair-cortisol levels were observed, but these were below the applied threshold for variable importance². Similarly, in longitudinal analysis, no effect on hair-cortisol levels remained significant after correction for multiple testing³. Overall, it appears that hair-cortisol levels in our study were largely unaffected by psychological symptoms². However, mean perceived stress and depression/anxiety levels were low in our sample² and higher severity of these factors might be required to detect respective associations. Possibly, low modulatory influences on hair-cortisol levels in our sample could be connected to previous observations of reduced HPA axis responsiveness in tinnitus^{2,87–89,93}. However, some previous findings suggest a positive association between tinnitus-related distress and cortisol levels^{91,92} but not all^{94,95} (see Basso et al²). Furthermore, a meta-analysis on determinants of hair-cortisol found differences in hair-cortisol levels between chronically stressed groups compared to controls (elevated levels by 22%), but no significant associations between hair-cortisol levels and self-report measures of perceived stress or depressive symptoms were identified^{2,109}. Possible explanations for this discrepancy include limitations of subjective self-report measures and low stress levels in the study samples the respective effect sizes were primarily obtained from¹⁰⁹. Thus, further research should include tinnitus patients with mild and severe tinnitus-related distress/perceived stress levels (as well as controls) to investigate the relationship between distress and hair-cortisol levels in tinnitus.

4.3.3. Positive effect of tinnitus loudness on hair-cortisol levels and negative effect on hair-BDNF levels

Tinnitus loudness was found to be positively related to hair-cortisol and negatively to hair-BDNF levels². This was surprising because the direction of these effects is consistent with the expected effects for tinnitus-related distress. Yet, in general, tinnitus loudness is only moderately correlated with tinnitus distress¹⁶², and there was no correlation between these two factors in our sample². However, tinnitus loudness can increase with stress^{75,163} and 79.1% (N=72) of our sample reported that stress influences their tinnitus perception². Nevertheless, the effects of tinnitus loudness cannot be fully attributed to stress-related mechanisms because they were observed in addition to the effects of tinnitus-related distress². However, tinnitus loudness was positively correlated with somatization in our sample². In accordance with the dependencies between influencing factors on BT¹ described above, this could indicate that stress-related psychosomatic aggravation of tinnitus loudness may have been present in some patients.

Another possible explanation is confounding by hearing, because the absolute tinnitus loudness was used, which does not account for the hearing threshold in the tinnitus frequency². However, the analyses were corrected for the mean hearing threshold; thus, the effects of tinnitus loudness were observed independent of it². For hair-BDNF, the negative effect of tinnitus loudness was found in addition to a small opposite effect of the mean hearing threshold². Furthermore, the correlation between tinnitus loudness and hair-cortisol levels remained significant when controlling for the mean hearing threshold^a. Therefore, it seems unlikely that the observed effects can be attributed to hearing impairment. Another explanation could be that the effects of tinnitus loudness, especially on hair-BDNF levels, result from tinnitus-specific mechanisms. Studies have shown that tinnitus is related to pathophysiological changes in the auditory system and limbic structures, as well as altered connectivity between these areas^{164–166}. Tinnitus is thought to be related to hyperactivity in auditory structures^{165,167} and a complex interplay of different brain networks seems involved in its perception, severity, and persistence^{17,20}. The observed negative effect of tinnitus loudness on hair-BDNF levels could potentially be related to hyperactivity-induced grey matter decreases in auditory structures^{165,166,168,169} and/or volume

^a Spearman correlation: $r=0.26$, $p=0.037$, $n=65$ (data not shown in publication).

decreases of the subcallosal area in tinnitus^{170,171}. However, findings regarding these volume changes are not consistent^{158–160,172} and can be confounded by age and hearing loss^{160,169}.

For the positive effect of tinnitus loudness on hair-cortisol, tinnitus-specific mechanisms might also be possible. In some agreement with this assumption, both tinnitus intensity and salivary cortisol levels were found to increase after noise exposure in highly distressed patients (N=10)^{2,88}. However, this study did not directly examine the relationship between tinnitus intensity and salivary cortisol, and the noise-induced increases may have been caused by independent mechanisms. Another study (N=28) found an effect of blood-cortisol on tinnitus loudness and frequency in a joint prediction model of these factors but in the opposite direction^{2,90}. However, the correlation analysis of this study only showed a significant negative relationship between cortisol and tinnitus frequency but not loudness⁹⁰. Thus, clear evidence on the relationship between cortisol and tinnitus loudness is lacking.

Another explanation might be that the effects of tinnitus loudness were overestimated in our analysis due to the imputation of missing values (N=25)². The effects of tinnitus loudness on hair-cortisol and hair-BDNF were reduced when only data without imputed values were analyzed; with variable importance values below the applied threshold². Therefore, overestimation due to imputation seems possible (see Limitations)². Thus, the observed effects of tinnitus loudness on hair-cortisol and hair-BDNF require replication.

4.4. Treatment effects in bothersome tinnitus

Based on the cross-sectional results, an increase in hair-BDNF levels after treatment was expected³. No changes in hair-cortisol or hair-BDNF were observed³. The initial reduction in perceived stress levels during treatment (by 19.9%) was greater than the reduction three months later (by 11.5%), indicating a relative increase after treatment ended³. This may indicate that the observed psychological changes induced by the multimodal tinnitus-specific CBT were too small or too short-lived to be associated with changes in hair-BDNF levels³. The literature indicates that in depression, serum BDNF levels increase after (pharmacological) antidepressant treatment^{3,103,173,174}. Such interventions usually last several weeks or months, and antidepressant treatment duration appears to correlate with changes in BDNF levels^{3,173}. Similarly, peripheral BDNF levels increased in various populations after exercise- or meditation-based mindfulness interventions lasting

between 5 and 24 weeks (with one or more weekly sessions)^{3,175}. In contrast, the mean treatment duration here was 4.78 days³. Potentially, a longer treatment duration of several weeks or an extension by weekly refresher sessions might be needed to measurably influence hair-BDNF levels³.

A recent systematic review¹⁷⁶ investigated the effect of psychotherapy on blood BDNF levels across different mental conditions. Only three out of nine studies showed an increase in BDNF levels after psychotherapy (lasting 2–24 weeks)¹⁷⁶. Therefore, no conclusion can be drawn regarding the effect of psychotherapy on BDNF levels¹⁷⁶. The factors contributing to this inconsistency — age, sex, physical activity, meditation, pharmacotherapy, nutrition, and comorbidity¹⁷⁶ — may also have been relevant to our result. In our sample, patients taking antidepressants (N=11) showed no differences in hair-BDNF levels, and no participant suffered from a comorbid neurodegenerative disease³. Yet neither influences of medication nor comorbidities on hair-BDNF levels were systematically investigated because of the high number of predictors and the large clinical heterogeneity in our sample. Effects of age, gender, and physical activity were controlled for in the analyses³, but effects of meditation and nutrition/supplements were not examined. Therefore, confounding influences on our results cannot be excluded³.

Overall, it remains unanswered whether hair-BDNF could serve as a therapeutic efficacy marker in BT³. To clarify this question, larger studies are recommended that investigate the effect of longer-lasting treatment interventions on hair-BDNF levels in BT, include a control group, and systematically control for all above-mentioned medical confounders.

4.5. Limitations

Several limitations need to be considered. In publication 1, all variables studied were assessed by self-report¹. Self-reported occurrence of diseases may be biased; however, no information on validated medical diagnoses was available¹. Similarly, psychometric questionnaires to measure tinnitus-related distress and PTA to assess hearing impairment would have been more accurate sources of information but were not available for the LifeGene cohort¹. A replication of this study using medical diagnoses, psychometric questionnaires, and PTA would be needed to investigate the possible influence of self-report bias. Furthermore, the use of a large sample (N=7615) recruited from the general population suggests reasonable generalizability¹. However, the findings may not generalize to other cultural contexts¹.

The main limitation of publication 2 is the possible distortion due to the imputation of tinnitus matching data². These data were missing for different reasons related to tinnitus characteristics². To retain as much information as possible, participants with missing tinnitus matching data were not excluded from the analysis. Moreover, matching data were not missing completely at random¹⁷⁷, as the probability, e.g., was presumably higher for intermittent than constant tinnitus. Due to these non-random aspects, excluding participants with missing matching data could have introduced bias¹⁷⁷. Imputation by the k-nearest neighbor algorithm replaces missing values with predicted values based on similarity to other observations in the dataset; for numeric variables, missing values are replaced by the mean value of the respective variable among identified similar observations^{177,178}. Since tinnitus loudness is related to the hearing threshold, the latter should have provided relevant information for k-nearest-neighbor imputation. As expected, imputation did not affect the correlation between tinnitus loudness and mean hearing threshold³, and the distribution of tinnitus loudness values was only slightly affected^b. Nevertheless, the same models without imputed matching data revealed markedly lower influences of tinnitus loudness on both estimates². Yet because of the exclusion of participants with missing values for these additional analyses, the sample sizes were reduced by 27.5%, which could limit their power. Overall, it appears possible that the effects of tinnitus loudness on hair-cortisol and hair-BDNF were overestimated due to imputation, and replication of these effects is necessary. Regarding generalizability, the probability of overfitting in our analyses appears to be low because both models were trained on a different portion of the data than that on which their performance was evaluated; and for both outcomes, model performance was similar in the training and test datasets². However, it must be noted that our sample mostly consisted of individuals with normal/mild perceived stress and psychological symptom levels². Therefore, the obtained findings might not extend to tinnitus patients with higher levels of psychological suffering. Moreover, it is unclear whether hair-cortisol and hair-BDNF levels differ between tinnitus patients and healthy subjects as no control group was included² which should be investigated further.

The lack of a control group is also an important limitation for publication 3 because the study design did not allow discrimination between treatment effects and other time-related

^b Without imputation (N=66): Min.=5.00, 1st quartile=23.5, median=34.75, mean=39.07, 3rd quartile=54.00, max=79.00. With imputation (N=91): Min.=5.00, 1st quartile=28.55, median=39.00, mean=39.97, 3rd quartile=51.50, max=79.00 (data not shown in publication).

effects³. Therefore, it cannot be excluded that the observed changes took place due to spontaneous tinnitus habituation³. However, the decrease in tinnitus-related distress by 13.3% at the 3-month follow-up was greater than the average decrease of 3% to 8% observed over 6–12 weeks in waiting-list control groups¹⁷⁹. Moreover, low statistical power could potentially explain the observed null effects for changes in hair-biomarkers³. However, for hair-BDNF, the 95%-CI of the null effect indicates reasonable accuracy in the estimation³. Nevertheless, studies with larger sample sizes are recommended. In addition, confounding influences by medical factors such as medication and comorbidities might have been present³. The observed trend for a temporal relationship between tinnitus-related distress and hair-BDNF levels was not statistically significant³ and thus should not be interpreted. Overall, caution is needed for the interpretation of the obtained findings which require independent replication. Moreover, aspects of the study design such as the follow-up period could limit the generalizability of the results³.

4.6. Implications for clinical practice

Given the observed direct and indirect influences of hearing-related factors, physical symptoms, and mental symptoms on BT, these factors should be equally considered in treatment¹. Therefore, treatment approaches for BT should be multimodal, offered in an interdisciplinary setting, and include psychotherapy, treatment of physical symptoms, and the provision of hearing aids/hearing therapy (if needed)¹. Furthermore, the multimodal CBT-based treatment intervention studied here reduced the levels of tinnitus-related distress and perceived stress³. However, there was a relative increase in perceived stress levels after completion of treatment until follow-up, suggesting that (at least for some patients) a longer treatment period or refresher sessions could be advisable³. It seems likely that such prolonged CBT-based multimodal treatment interventions could lead to more sustained psychological and possibly physiological effects³. Moreover, if the negative association between tinnitus-related distress and hair-BDNF levels is replicated by further research, this may suggest that additional interventions could be useful to counteract reduced BDNF levels in BT, such as regular physical exercise¹⁸⁰, nutritional interventions¹⁸¹, or mindfulness-based interventions¹⁷⁵. Further research is required to establish whether the therapeutic efficacy of multimodal CBT-based treatment can be increased by longer treatment duration/refresher sessions and additional lifestyle-oriented interventions targeting BDNF levels.

5. CONCLUSION

The aims of this thesis were to 1) investigate the influence of stress-related mental comorbidities on BT and their mediation effects for hearing-related factors and physical comorbidities, 2) explore associations of tinnitus-related and psychological factors with hair-cortisol and hair-BDNF, and 3) explore the potential of hair-cortisol and hair-BDNF as therapeutic efficacy markers in BT.

Publication 1 revealed dependencies of mental comorbidities, hearing-related factors, and physical comorbidities in their associations with BT¹. The results show that anxiety and depression have mediating influences on hearing-related factors and other physical symptoms, whereas hearing-related factors have mediating influences on mental symptoms in BT¹. Overall, this indicates the presence of interactions between psychological, hearing-related, musculoskeletal, cardiovascular, and endocrine factors in BT that should be investigated in more detail. Ideally, further research on this topic should aim to reduce the risk of self-report bias by drawing on other information sources, like medical records and audiometric testing. Clinically, the results emphasize that mental symptoms are relevant treatment targets in BT due to their direct and indirect effects¹. However, they should be considered in the broader context of the medical status of the affected individual, including hearing impairment and other physical symptoms, as well as interactions between these factors¹. Therefore, treatment for BT should simultaneously address hearing-related problems and comorbid physical and mental symptoms¹.

The main finding of publication 2 was a negative relationship between tinnitus-related distress and hair-BDNF levels². This is the first report of this association, as hair-BDNF levels have not been previously investigated in tinnitus patients². It suggests that a reduction in BDNF levels due to chronic stress, as in depression, may also be present in BT. Further studies are needed to confirm this finding. Subsequently, the interplay between tinnitus-related distress and depressive symptoms in their influences on hair-BDNF levels in BT as well as underlying mechanisms should be investigated further. In addition, effects of tinnitus loudness on hair-cortisol and hair-BDNF levels were observed; however, these findings were limited by possible distortions due to imputation². Ideally, future studies investigating the relationship between tinnitus-related distress/tinnitus loudness and hair-biomarkers should include larger tinnitus samples with mild and severe distress levels and control subjects.

Publication 3 was the first to examine the effects of multimodal CBT-based treatment on hair-cortisol and hair-BDNF levels in tinnitus patients³. The results indicate that the treatment was effective in reducing tinnitus-related distress and perceived stress levels but had no effect on the investigated hair-biomarkers³. The comparatively short treatment duration of approx. five days could be a possible explanation for the lack of hair-biomarker changes³. For further research on this topic, it seems advisable to take measures to control for confounding medical factors such as medication and comorbidity, to include a control group, and use a larger sample to examine treatment-related changes in hair-biomarkers in BT. Despite its exploratory nature, this study raises some interesting clinical questions for future research, namely whether providing CBT-based multimodal interventions over several weeks or extending it by refresher sessions, or including additional lifestyle-oriented interventions (targeting exercise, diet, or mindfulness) could lead to more sustained psychological treatment effects and counteract potentially decreased hair-BDNF levels in BT.

Taken together, these results indicate that influences of stress-related mental symptoms, hearing-related factors, and physical symptoms on BT appear interconnected, and tentatively suggest that BT may be characterized by stress-related reductions in hair-BDNF levels. The investigated factors and their assumed associations are summarized in Figure 10. Overall, these findings reinforce the idea that BT can be characterized as a stress-related psychosomatic disorder and emphasize the importance of a holistic perspective as well as a focus on stress-related processes in treatment.

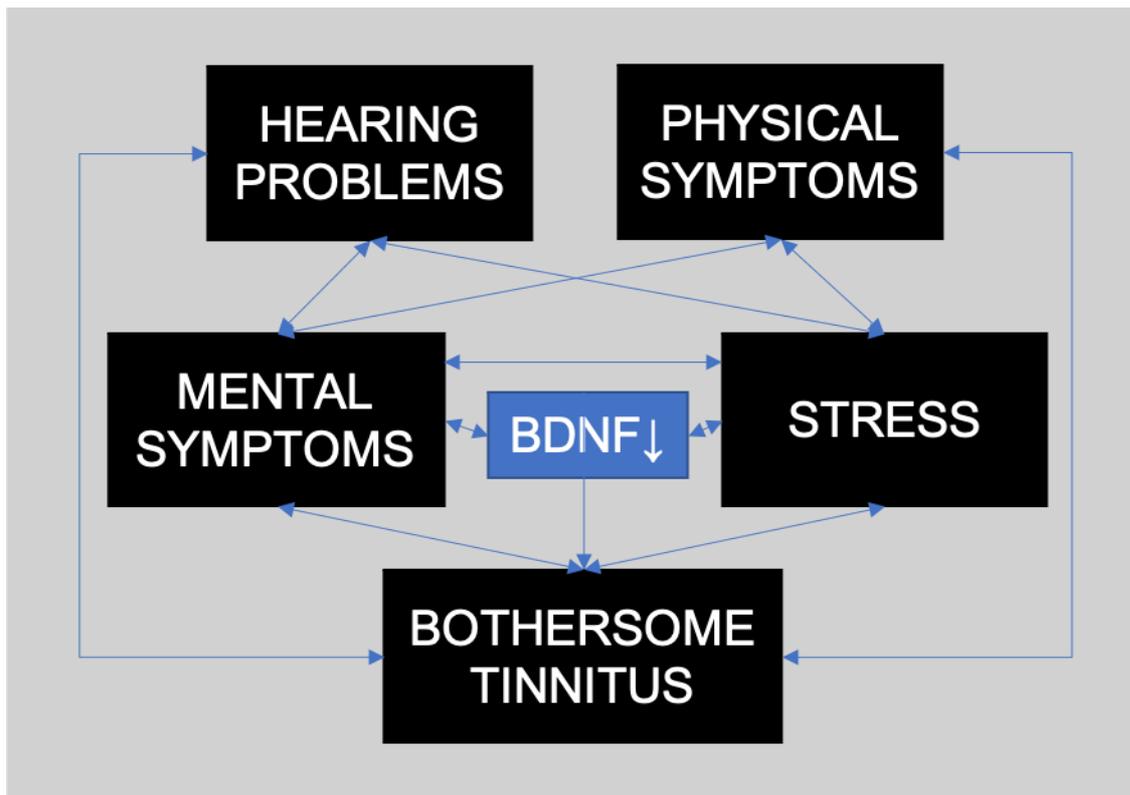


Figure 10. Illustration of the assumed interplay between hearing-related problems, physical symptoms, mental symptoms, (chronic) stress, and reduced BDNF levels in bothersome tinnitus. Newly created figure by the author.

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EIDESSTÄTLICHE VERSICHERUNG

„Ich, Laura Basso, versichere an Eides statt durch meine eigenhändige Unterschrift, dass ich die vorgelegte Dissertation mit dem Thema: *„Comorbidities and stress-related hair-biomarkers in bothersome tinnitus“* – *„Komorbiditäten und stressbezogene Haar-Biomarker bei belastendem Tinnitus“* selbstständig und ohne nicht offengelegte Hilfe Dritter verfasst und keine anderen als die angegebenen Quellen und Hilfsmittel genutzt habe.

Alle Stellen, die wörtlich oder dem Sinne nach auf Publikationen oder Vorträgen anderer Autoren/innen beruhen, sind als solche in korrekter Zitierung kenntlich gemacht. Die Abschnitte zu Methodik (insbesondere praktische Arbeiten, Laborbestimmungen, statistische Aufarbeitung) und Resultaten (insbesondere Abbildungen, Graphiken und Tabellen) werden von mir verantwortet.

Ich versichere ferner, dass ich die in Zusammenarbeit mit anderen Personen generierten Daten, Datenauswertungen und Schlussfolgerungen korrekt gekennzeichnet und meinen eigenen Beitrag sowie die Beiträge anderer Personen korrekt kenntlich gemacht habe (siehe Anteilserklärung). Texte oder Textteile, die gemeinsam mit anderen erstellt oder verwendet wurden, habe ich korrekt kenntlich gemacht.

Meine Anteile an etwaigen Publikationen zu dieser Dissertation entsprechen denen, die in der untenstehenden gemeinsamen Erklärung mit dem/der Erstbetreuer/in, angegeben sind. Für sämtliche im Rahmen der Dissertation entstandenen Publikationen wurden die Richtlinien des ICMJE (International Committee of Medical Journal Editors; www.icmje.org) zur Autorenschaft eingehalten. Ich erkläre ferner, dass ich mich zur Einhaltung der Satzung der Charité – Universitätsmedizin Berlin zur Sicherung Guter Wissenschaftlicher Praxis verpflichte.

Weiterhin versichere ich, dass ich diese Dissertation weder in gleicher noch in ähnlicher Form bereits an einer anderen Fakultät eingereicht habe.

Die Bedeutung dieser eidesstattlichen Versicherung und die strafrechtlichen Folgen einer unwahren eidesstattlichen Versicherung (§§156, 161 des Strafgesetzbuches) sind mir bekannt und bewusst.“

Datum

Unterschrift

ANTEILSERKLÄRUNG / CONTRIBUTION STATEMENT

Laura Basso had the following share in the following publications:

Publication 1: Basso L, Boecking B, Brueggemann P, Pedersen NL, Canlon B, Cederroth CR, Mazurek B. Subjective hearing ability, physical and mental comorbidities in individuals with bothersome tinnitus in a Swedish population sample. In: Schlee W, Langguth B, Kleinjung T, Vanneste S, De Ridder D, eds. *Progress in Brain Research*. Vol 260. Elsevier; 2021:51-78. doi:10.1016/bs.pbr.2020.10.001

Contribution in detail:

- Conception of the research question in combination with BM, BB, PB
- Development of the statistical analysis strategy in cooperation with BB
- Data preparation
- Conducting the statistical analysis including the creation of all figures and tables
- Interpretation of the results
- Writing of the original draft
- Revising the original draft based on feedback from all co-authors and in the peer-review process

Publication 2: Basso L, Boecking B, Neff P, Brueggemann P, Peters EMJ, Mazurek B. Hair-cortisol and hair-BDNF as biomarkers of tinnitus loudness and distress in chronic tinnitus. *Scientific Reports*. 2022;12(1):1934. doi:10.1038/s41598-022-04811-0

Contribution in detail:

- Planning of the study in cooperation with BB, BM and EMJP
- Organization, coordination, and documentation of data collection
- Study enrollment of participants, collection of hair samples and questionnaire data
- Assisting with sample preparation for biomarker quantification in the laboratory of EMJP
- Conception of the research question
- Development of the statistical analysis strategy in combination with PN

- Conducting the statistical analysis including the creation of all figures and tables
- Interpretation of the results
- Writing of the original draft
- Revising the original draft based on feedback from all co-authors and in the peer-review process

Publication 3: Basso L, Boecking B, Neff P, Brueggemann P, Mazurek B, Peters EMJ. Psychological treatment effects unrelated to hair-cortisol and hair-BDNF levels in chronic tinnitus. *Frontiers in Psychiatry*. 2022;13:764368. doi:10.3389/fpsyt.2022.764368

Contribution in detail:

- Planning of the study in cooperation with BB, BM and EMJP
- Organization, coordination, and documentation of data collection
- Study enrollment of participants, collection of hair samples and questionnaire data
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- Conception of the research question
- Development of the statistical analysis strategy in combination with PN
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- Interpretation of the results
- Writing of the original draft
- Revising the original draft based on feedback from all co-authors and in the peer-review process

Signature of the doctoral candidate

EXTRACTS FROM THE JOURNAL SUMMARY LIST AND PRINT COPIES OF THE PUBLICATIONS

Publication 1: Basso L, Boecking B, Brueggemann P, Pedersen NL, Canlon B, Cederroth CR, Mazurek B. Subjective hearing ability, physical and mental comorbidities in individuals with bothersome tinnitus in a Swedish population sample. In: Schlee W, Langguth B, Kleinjung T, Vanneste S, De Ridder D, eds. *Progress in Brain Research*. Vol 260. Elsevier; 2021:51-78. doi:10.1016/bs.pbr.2020.10.001

- Article (book chapter) submitted March 30, 2020; published February 4, 2021 in *Progress in Brain Research* (Elsevier)
- Impact Factor 2018: 2.961
- Excerpt from Journal Summary List from 2018, Category “NEUROSCIENCES”: Rank 129 of 267 journals

Journal Data Filtered By: **Selected JCR Year: 2018** Selected Editions: SCIE,SSCI
 Selected Categories: **"NEUROSCIENCES"** Selected Category Scheme: WoS
Gesamtanzahl: 267 Journale

Rank	Full Journal Title	Total Cites	Journal Impact Factor	Eigenfactor Score
1	NATURE REVIEWS NEUROSCIENCE	43,107	33.162	0.068480
2	NATURE NEUROSCIENCE	63,390	21.126	0.164700
3	ACTA NEUROPATHOLOGICA	20,206	18.174	0.041660
4	BEHAVIORAL AND BRAIN SCIENCES	9,377	17.194	0.010240
5	TRENDS IN COGNITIVE SCIENCES	27,095	16.173	0.040040
6	JOURNAL OF PINEAL RESEARCH	10,695	15.221	0.010560
7	NEURON	95,348	14.403	0.218680
8	TRENDS IN NEUROSCIENCES	20,163	12.314	0.024480
9	Annual Review of Neuroscience	14,042	12.043	0.015020
10	MOLECULAR PSYCHIATRY	20,353	11.973	0.049290
11	BRAIN	52,970	11.814	0.074030
12	BIOLOGICAL PSYCHIATRY	43,122	11.501	0.053320
13	PROGRESS IN NEUROBIOLOGY	12,929	10.658	0.013230
14	Nature Human Behaviour	1,230	10.575	0.006550
15	SLEEP MEDICINE REVIEWS	6,920	10.517	0.010920
16	ANNALS OF NEUROLOGY	37,336	9.496	0.048630
17	Molecular Neurodegeneration	4,248	8.274	0.011350
18	NEUROSCIENCE AND BIOBEHAVIORAL REVIEWS	26,724	8.002	0.051580
19	FRONTIERS IN NEUROENDOCRINOLOGY	4,196	7.852	0.005490
20	Neurology-Neuroimmunology & Neuroinflammation	1,996	7.353	0.008220
21	NEUROPSYCHOPHARMACOLOGY	25,672	7.160	0.039090

Rank	Full Journal Title	Total Cites	Journal Impact Factor	Eigenfactor Score
22	Brain Stimulation	5,457	6.919	0.014470
23	NEUROPATHOLOGY AND APPLIED NEUROBIOLOGY	3,876	6.878	0.006420
24	NEUROENDOCRINOLOGY	5,046	6.804	0.005690
25	NEUROSCIENTIST	4,986	6.791	0.008520
26	BRAIN BEHAVIOR AND IMMUNITY	14,533	6.170	0.025700
27	BRAIN PATHOLOGY	5,263	6.155	0.007880
28	Alzheimers Research & Therapy	3,160	6.142	0.010700
29	JOURNAL OF NEUROSCIENCE	175,046	6.074	0.233460
30	JOURNAL OF CEREBRAL BLOOD FLOW AND METABOLISM	19,766	6.040	0.028050
31	PAIN	38,312	6.029	0.039070
32	CURRENT OPINION IN NEUROBIOLOGY	15,090	6.014	0.033650
33	Acta Neuropathologica Communications	3,063	5.883	0.014190
34	Translational Stroke Research	1,955	5.847	0.004330
35	GLIA	14,003	5.829	0.018760
36	NEUROIMAGE	99,720	5.812	0.132720
37	NEURAL NETWORKS	13,063	5.785	0.016060
38	NEUROPSYCHOLOGY REVIEW	2,971	5.739	0.003940
39	Molecular Autism	2,107	5.712	0.008000
40	Journal of Neuroinflammation	11,767	5.700	0.023240
41	Multiple Sclerosis Journal	11,501	5.649	0.022750
42	Annual Review of Vision Science	458	5.622	0.003300
43	Neurotherapeutics	4,475	5.552	0.009060
44	Translational Neurodegeneration	810	5.534	0.002420

Rank	Full Journal Title	Total Cites	Journal Impact Factor	Eigenfactor Score
45	CEREBRAL CORTEX	30,675	5.437	0.059570
46	JOURNAL OF PAIN	10,405	5.424	0.018280
47	NEUROBIOLOGY OF DISEASE	16,363	5.160	0.026710
48	NEUROINFORMATICS	1,277	5.127	0.002920
49	JOURNAL OF PHYSIOLOGY-LONDON	52,037	4.950	0.041100
50	BIPOLAR DISORDERS	5,143	4.936	0.006760
51	Developmental Cognitive Neuroscience	2,470	4.920	0.009240
52	JOURNAL OF PSYCHIATRY & NEUROSCIENCE	3,293	4.899	0.004540
53	JOURNAL OF NEUROCHEMISTRY	35,902	4.870	0.026140
54	Dialogues in Clinical Neuroscience	3,384	4.867	0.004730
55	Annals of Clinical and Translational Neurology	1,858	4.656	0.008750
56	CURRENT OPINION IN NEUROLOGY	5,290	4.647	0.009650
57	MOLECULAR NEUROBIOLOGY	12,806	4.586	0.027560
58	SLEEP	21,434	4.571	0.024240
59	Current Neuropharmacology	3,508	4.568	0.005650
60	EXPERIMENTAL NEUROLOGY	20,500	4.562	0.023440
61	HUMAN BRAIN MAPPING	22,040	4.554	0.043230
62	Journal of Neural Engineering	7,336	4.551	0.012190
63	EUROPEAN NEUROPSYCHOPHARMACOLOGY	7,488	4.468	0.015500
64	CEPHALALGIA	9,983	4.438	0.014480
65	NEUROBIOLOGY OF AGING	22,409	4.398	0.037090
66	EUROPEAN JOURNAL OF NEUROLOGY	10,488	4.387	0.016970

Rank	Full Journal Title	Total Cites	Journal Impact Factor	Eigenfactor Score
67	NEUROPHARMACOLOGY	20,604	4.367	0.034460
68	PROGRESS IN NEURO- PSYCHOPHARMACOLOGY & BIOLOGICAL PSYCHIATRY	10,674	4.315	0.012400
69	Cognitive Computation	1,578	4.287	0.002230
70	CORTEX	10,302	4.275	0.024590
71	Neuroscience Bulletin	2,027	4.246	0.004070
72	JOURNAL OF PSYCHOPHARMACOLOGY	6,460	4.221	0.010120
73	INTERNATIONAL JOURNAL OF NEUROPSYCHOPHARMACOLOGY	6,551	4.207	0.012320
74	JOURNAL OF NEUROSCIENCE RESEARCH	12,976	4.139	0.010060
75	Molecular Brain	2,467	4.051	0.007180
76	PSYCHONEUROENDOCRINOLOGY	16,809	4.013	0.028150
77	NEUROCHEMISTRY INTERNATIONAL	8,775	3.994	0.009020
78	NUTRITIONAL NEUROSCIENCE	1,778	3.950	0.002260
79	Frontiers in Systems Neuroscience	4,801	3.928	0.015360
80	JOURNAL OF HEADACHE AND PAIN	3,308	3.918	0.007210
81	Frontiers in Cellular Neuroscience	9,711	3.900	0.035870
82	Journal of Neuroimmune Pharmacology	2,486	3.870	0.004750
83	ACS Chemical Neuroscience	5,238	3.861	0.013320
84	CELLULAR AND MOLECULAR NEUROBIOLOGY	4,488	3.811	0.005740
85	NEUROGASTROENTEROLOGY AND MOTILITY	8,314	3.803	0.014510
86	JOURNAL OF NEUROTRAUMA	14,754	3.754	0.019770
87	Fluids and Barriers of the CNS	1,127	3.727	0.002650
88	Frontiers in Molecular Neuroscience	4,752	3.720	0.014230

Rank	Full Journal Title	Total Cites	Journal Impact Factor	Eigenfactor Score
89	Journal of Parkinsons Disease	1,768	3.698	0.006340
90	CLINICAL NEUROPHYSIOLOGY	19,574	3.675	0.021420
91	Social Cognitive and Affective Neuroscience	6,966	3.662	0.020880
92	Frontiers in Neuroscience	13,198	3.648	0.043000
93	Frontiers in Aging Neuroscience	6,791	3.633	0.020910
94	Brain Structure & Function	6,077	3.622	0.019520
95	NEURAL PLASTICITY	3,691	3.591	0.010510
96	Journal of Neurodevelopmental Disorders	1,253	3.590	0.003420
97	Journal of NeuroEngineering and Rehabilitation	4,974	3.582	0.008800
98	Neurophotonics	809	3.581	0.002760
99	JOURNAL OF ALZHEIMERS DISEASE	20,383	3.517	0.041470
100	PSYCHIATRY AND CLINICAL NEUROSCIENCES	3,720	3.489	0.004230
101	JOURNAL OF NEUROPATHOLOGY AND EXPERIMENTAL NEUROLOGY	9,205	3.460	0.007510
102	JOURNAL OF SLEEP RESEARCH	5,432	3.432	0.007450
103	PSYCHOPHARMACOLOGY	23,565	3.424	0.022260
104	Current Opinion in Behavioral Sciences	1,763	3.422	0.009020
105	CEREBELLUM	2,785	3.413	0.005970
106	Current Neurology and Neuroscience Reports	3,004	3.400	0.007210
107	CNS Neuroscience & Therapeutics	2,993	3.394	0.005990
108	PSYCHOPHYSIOLOGY	14,275	3.378	0.012150
109	Cognitive Neuroscience	570	3.361	0.001630
110	NEUROTOXICITY RESEARCH	3,067	3.311	0.003750

Rank	Full Journal Title	Total Cites	Journal Impact Factor	Eigenfactor Score
111	HIPPOCAMPUS	8,733	3.267	0.013090
112	NEUROTOXICOLOGY	7,180	3.263	0.007100
113	NEUROSCIENCE	45,939	3.244	0.050820
114	JOURNAL OF COMPARATIVE NEUROLOGY	30,418	3.239	0.017320
115	Current Alzheimer Research	4,026	3.211	0.005930
116	EUROPEAN JOURNAL OF PAIN	7,263	3.188	0.011070
117	GENES BRAIN AND BEHAVIOR	3,670	3.157	0.005300
118	BRAIN TOPOGRAPHY	2,629	3.104	0.004920
119	BRAIN RESEARCH BULLETIN	9,445	3.103	0.006570
120	Frontiers in Neural Circuits	3,107	3.101	0.014190
121	JOURNAL OF THE INTERNATIONAL NEUROPSYCHOLOGICAL SOCIETY	6,773	3.098	0.007380
122	Nature and Science of Sleep	520	3.054	0.001290
123	JOURNAL OF NEUROENDOCRINOLOGY	5,826	3.040	0.005430
124	Purinergic Signalling	1,617	3.038	0.002390
125	JOURNAL OF COGNITIVE NEUROSCIENCE	16,898	3.029	0.017960
126	Cognitive Neurodynamics	914	3.021	0.001650
127	NEUROBIOLOGY OF LEARNING AND MEMORY	6,836	3.010	0.013440
128	Frontiers in Neurorobotics	609	3.000	0.001370
129	Progress in Brain Research	8,018	2.961	0.006860
130	HEARING RESEARCH	9,237	2.952	0.010490
131	BRAIN RESEARCH	53,805	2.929	0.031770
132	Frontiers in Neuroanatomy	2,971	2.923	0.010280

Subjective hearing ability, physical and mental comorbidities in individuals with bothersome tinnitus in a Swedish population sample

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Abstract

Objective: This study investigates associations of subjective hearing ability, physical comorbidities, and mental comorbidities with bothersome (vs. non-bothersome) tinnitus and mediating effects between these influences.

Methods: The Swedish LifeGene cohort was used to sample cross-sectional survey data (collected 2009–2016) of 7615 participants with tinnitus, 697 (9.2%) of whom rated their tinnitus as bothersome. Associations between bothersome tinnitus and subjective hearing ability, physical and mental comorbidities were investigated by separate age- and gender-adjusted multiple logistic regression models. Interrelationships between these associations were investigated by logistic mediation models.

Results: Compared to non-bothersome tinnitus, bothersome tinnitus was associated with higher age, reduced subjective hearing ability, hearing-related difficulties in social situations, cardiovascular disease, chronic shoulder pain, thyroid disease, Ménière's disease, depression, anxiety

syndrome, and social anxiety. Subjective hearing impairment or hearing-related difficulties mediated 13–36% of the effects of mental comorbidities on bothersome tinnitus. Depression or anxiety syndrome mediated 5–8% of most relationships between physical comorbidities and bothersome tinnitus. Depression, anxiety syndrome, or social anxiety mediated 2–4% of the effects of subjective hearing impairment or hearing-related difficulties on bothersome tinnitus.

Conclusion: Psychological factors, subjective hearing impairment, and hearing-related difficulties in social situations play key roles in predicting bothersome (vs. non-bothersome) tinnitus in a large population sample. Psychological factors contribute to explaining the impact of physical comorbidities and hearing-related effects on bothersome tinnitus. This highlights their transdiagnostic importance for aggravating varied physical symptom clusters. Interventions to improve or prevent high tinnitus burden should be interdisciplinary/multimodal and target auditory, physical, and psychological factors.

Keywords

Bothersome tinnitus, Physical comorbidity, Mental comorbidity, Hearing ability, Mediation analysis

1 Introduction

Tinnitus, commonly defined as the sensation of sound without a corresponding external acoustic source, can lead to considerable distress (Tyler and Baker, 1983) and an increased risk for suicide attempts (Lugo et al., 2019; Seo et al., 2016). Most individuals who are affected by tinnitus, however, report not to be bothered by it; e.g., in a study by Kim et al. (2015) in the South Korean population, 69.2% of subjects with tinnitus reported no tinnitus-related annoyance, 27.9% slight annoyance, and 3.0% severe annoyance. Regarding factors that distinguish between low levels of tinnitus-related distress (non-bothersome tinnitus) and high levels of tinnitus-related distress (bothersome tinnitus), influences of psychological factors such as maladaptive coping styles (Beukes et al., 2018; Budd and Pugh, 1996), cognitive factors (Caldirola et al., 2016; Lee et al., 2004; Weise et al., 2013), and stress (Baigi et al., 2011; Ciminelli et al., 2018; Kim et al., 2015) have been identified. Moreover, rates of mental comorbidities are high among individuals with tinnitus and they seem to correlate with tinnitus severity (Pinto et al., 2014). Anxiety disorders (45% lifetime prevalence; Pattyn et al., 2016) and depressive disorders (33% median prevalence; Salazar et al., 2019) are most predominant. It is also known that certain physical conditions are associated with tinnitus; see Table 1 for an overview of physical and mental comorbidities with associations to tinnitus (and potentially bothersome tinnitus) which are included in the present study.

The presence of physical symptoms can lead to psychosocial distress, and previous studies report associations between somatic complaints and tinnitus-related distress (Brueggemann et al., 2016; Hoekstra et al., 2014; Sahin et al., 2016; Stobik et al., 2005). Furthermore, there is strong evidence from research on chronic pain disorders that psychological processes can play a major role in the perception and chronification of physical symptoms (Borsook et al., 2018; Nees and Becker, 2018), and not surprisingly, tinnitus and chronic pain share many neurological similarities (Rauschecker et al., 2015).

Table 1 Selected physical and mental comorbidities with associations to tinnitus.

Physical comorbidities	References	Mental comorbidities	References
Hypertension	Figueiredo et al. (2015), Yang et al. (2015)	Burnout	Hasson et al. (2011), Hébert et al. (2012), Herr et al. (2016), Peterson et al. (2008)
Hyperlipidemia	Coelho et al. (2020), Kim et al. (2015), Lin et al. (2018), Martines et al. (2015), Shargorodsky et al. (2010)	Depression	Geocze et al. (2013), Langguth et al. (2011), Salazar et al. (2019)
Cardiovascular disease ^a	Borghi et al. (2011), Fujii et al. (2011), Lin et al. (2018), Michikawa et al. (2010), Nondahl et al. (2002), Park et al. (2014), Stobik et al. (2005)	Bipolar disease	Malakouti et al. (2011), Ramage-Morin et al. (2019)
Asthma	Fujii et al. (2011), Kim et al. (2015)	Generalized anxiety syndrome	Andersson et al. (2004), Belli et al. (2008), Holgers et al. (2005), Mathias et al. (2011), Pattyn et al. (2016), Shargorodsky et al. (2010)
Diabetes	Coelho et al. (2020), Gibrin et al. (2013), Lin et al. (2018), Martines et al. (2015), Shargorodsky et al. (2010), Shih et al. (2017), Spankovich et al. (2018)	Panic	Andersson et al. (2004), Holgers et al. (2005), Mathias et al. (2011), Pattyn et al. (2016, 2018)
Thyroid disease	Coelho et al. (2020), Kim et al. (2015), Mahafzah et al. (2018), Malik et al. (2002), Singh et al. (2019)	Agoraphobia	Andersson et al. (2004), Mathias et al. (2011), Pattyn et al. (2016)
Chronic shoulder pain	Bjorne and Agerberg (1996), Kurttila et al. (2005), Ren and Isberg (1995)	Social anxiety/phobia	Andersson et al. (2004), Belli et al. (2008), Holgers et al. (2005), Mathias et al. (2011), Pattyn et al. (2016)
Arthritis (osteoarthritis/rheumatoid arthritis) ^b	Coelho et al. (2020), Kim et al. (2015), Nondahl et al. (2011), Ramage-Morin et al. (2019), Spankovich et al. (2018)	Obsessive-compulsive disorder	Andersson et al. (2004), Brueggemann et al. (2019), Folmer et al. (2008), Holgers et al. (2005), Mathias et al. (2011)
Systemic lupus erythematosus	Coelho et al. (2020), Di Stadio and Ralli (2017)	Posttraumatic stress disorder	Fagelson, (2007), Hinton et al. (2006), Kreuzer et al. (2014)
Migraine/Headache	Guichard et al. (2016), Hwang et al. (2018), Kostev et al. (2019), Langguth et al. (2015), Lugo et al. (2020), Rhee et al. (2020), Sindhusake et al. (2003), Stohler et al. (2019)		
Ménière's disease ^c	Figueiredo et al. (2017), Kostev et al. (2019), Lin et al. (2018)		
Epilepsy	Coebergh et al. (2019), Hamed and Oseilly (2018)		
Multiple sclerosis	Coelho et al. (2020), Daugherty et al. (1983), Fischer et al. (1985), Rodriguez-Casero et al. (2005)		
Fibromyalgia	Cil et al. (2020), Iikuni et al. (2013), Stohler et al. (2019), Waylonis and Heck (1992)		

^aIn the present study, angina, myocardial infarction, and cardiac arrhythmia were grouped as cardiovascular diseases.^bIn the present study, osteoarthritis and rheumatoid arthritis were differentiated, which has not been done consistently in the literature.^cMénière's disease is not a comorbidity but was included as tinnitus can be a symptom of Ménière's disease.

Bothersome tinnitus is likely to be influenced by auditory and other physical factors as well as psychological factors. Previous studies that have investigated factors which can influence tinnitus-related distress have either looked at single factors (Ciminelli et al., 2018; Sahin et al., 2016) or multiple factors, e.g., in multivariate regression approaches (Brueggemann et al., 2016; Hoekstra et al., 2014; Kim et al., 2015), but how influences of different factors might affect each other has rarely been explored.

The current study aims not only to investigate the contributions of subjective hearing ability, physical symptoms, and mental symptoms in the prediction of bothersome (vs. non-bothersome) tinnitus in a large Swedish population sample, but also possible interrelationships between these factors by using mediation analysis. Mediation analysis is a method to assess whether the relationship between an independent variable (e.g., migraine) and a dependent variable (e.g., bothersome tinnitus) is mediated, i.e., fully or partly explained by another variable (e.g., depression). Any variable that is related to the dependent and affected by the independent variable can be a potential mediator. In mediation analysis, it is assessed via three regression equations whether the relationship between the independent and the dependent variable changes when the mediator is controlled: if the relationship is reduced, the mediator partially accounts for the relationship; if the relationship is no longer present, the mediator fully accounts for it (Baron and Kenny, 1986). For example, Probst et al. (2016) found that the relationship between tinnitus loudness and tinnitus distress is partially mediated by stress level and emotional state.

The main objective of this study is to identify physical and mental comorbidities that are related to bothersome tinnitus (compared with non-bothersome tinnitus) and to investigate mediating effects by mental comorbidities. In addition, we also include subjective hearing ability in our analyses, as hearing impairment is a well-known risk factor for tinnitus (Henry et al., 2005; Shore et al., 2016) which might potentially mediate the effects of certain comorbidities on bothersome tinnitus. On the other hand, the influence of subjective hearing ability might also be mediated by the presence of mental comorbidities. Moreover, since the risk of tinnitus seems to increase with age, and conflicting findings have been made regarding tinnitus severity and gender (McCormack et al., 2016), we include these factors as covariates in our analyses. We hypothesize that the presence of mental comorbidities is strongly linked to bothersome tinnitus and partly explains the effects of physical comorbidities and subjective hearing impairment on bothersome tinnitus.

2 Method

2.1 Study design and sample

This study used cross-sectional survey data from the LifeGene cohort, a random sample from the Swedish general population (Almqvist et al., 2011; LifeGene, 2017). Recruitment of participants for LifeGene took place via invitation letters

to randomly selected households (subjects aged 18–50 years), spontaneous online registration (for subjects aged ≥ 18 years), or invitation by other participants (with the possibility for parents to invite their children; [Almqvist et al., 2011](#); [LifeGene, 2017](#)). Other than age (invitation letters) and living in Sweden, no exclusion criteria were applied.

For this study, retrospective data of the LifeGene baseline survey (collected between 2009 and 2016) were used, which is a web-based epidemiological survey spanning different health-related themes ([LifeGene, 2017](#)). Of the $N = 31,926$ participants who completed the survey, participants without tinnitus were excluded, leading to the final sample of $N = 7615$ (23.9%) of participants who reported to have tinnitus (“Is there a constant ringing in the ears or do you have any other bothersome sound in the ears [tinnitus]?”). The dependent variable for all analyses was the rating of the tinnitus as bothersome ($N = 697$; 9.2%) or non-bothersome ($N = 6918$; 90.8%). The same sample was used in [Basso et al. \(2020\)](#). The onset of the tinnitus and the percentage of study participants in clinical care due to their tinnitus are not known from the data.

On average, participants were 35.80 years old ($SD = 12.44$, range: 11–84 years), and 56.5% ($N = 4301$) were female. Forty-three participants (0.6%) were younger than 18 years. Sample characteristics regarding marital status, education level, and employment status can be found in [Table 2](#). Informed consent was obtained from all participants (for participants < 18 years, consent was provided by the parents). In addition, the local ethics committee “*Regionala etikprövningsnämnden*” in Stockholm approved the project (2015/2129-31/1).

2.2 Variables

The LifeGene survey consists of various modules ([LifeGene, 2017](#)). All data used in this study were taken from the medical history module of the LifeGene survey (self-reported data).

2.2.1 Outcome variable

All participants who gave affirmative responses to the survey question on tinnitus (“Is there a constant ringing in the ears or do you have any other bothersome sound in the ears [tinnitus]?”) were included in the study. Response options distinguished between “sometimes, but the sound doesn’t bother me” and “all the time, the sound is very bothersome” which were classified as non-bothersome tinnitus and bothersome tinnitus, respectively.

2.2.2 Predictors

Predictors included physical and mental comorbidities and subjective hearing (subjective hearing ability and hearing-related difficulties in social situations). Physical and mental comorbidities were assessed by the question: “Which of the following diseases do you currently have or have you had?”. All comorbidities included in this study can be found in [Table 1](#). Angina, myocardial infarction, and cardiac arrhythmia

Table 2 Sample characteristics: marital status, education level, and employment status.

Variable	Percentage (%)	N
Marital status		
Cohabiting	33.4	7381
Married	25.0	2544
Single	24.0	1904
Living apart	9.5	1824
Separated/divorced	4.7	722
Widowed	0.3	359
Same-sex marriage	0.04	25
Education level		
University	61.7	3
Secondary school	24.9	7438
Primary school (9 years)	2.7	4696
Other	8.4	1896
Employment status		
Employed	53.7	205
Student	15.0	6726
Running owned or part-owned company	7.8	4090
Age pension	3.5	1140
Unemployed	3.0	592
Parental leave (for 2 months or longer)	2.2	264
Sick leave (for 2 months or longer)	0.8	225
Early retirement due to illness/disability	0.7	165
On leave	0.1	63
Housewife/-man	0.1	57
Other	1.4	10
		10
		110

were combined into cardiovascular diseases. Ménière's disease was included even though it is not strictly a comorbidity of tinnitus, but a disease which tinnitus can be part of. Regarding arthritis, the survey differentiated between osteoarthritis and rheumatoid arthritis, which has not been done consistently in the literature. In total, 15 physical and 9 mental comorbidities were analyzed.

Subjective hearing ability ("How is your hearing?") could either be rated as "good," "somewhat reduced" or "very reduced," but for our analyses, the latter two categories were combined into "reduced hearing ability". For the assessment of hearing-related difficulties in social situations, we calculated a mean score across the following items: "Do you have difficulties hearing when speaking to one person in a silent room?", "Do you have difficulties hearing when speaking to multiple people at the same time?", "Do you have difficulties hearing when

speaking to someone in city traffic?”, “Do you have difficulties hearing where different sounds come from, e.g., cars in traffic?” and “Do you have problems with your hearing and are therefore avoiding meeting people?” with the response options “yes, very difficult” (3), “sometimes, a little difficult” (2), and “no, not at all” (1). The mean score was then dichotomized into the presence or absence of hearing-related difficulties.

2.3 Statistical analysis

Statistical analyses comprised descriptive analyses, logistic regression models, and logistic mediation models and were computed using IBM SPSS Statistics (v. 25) for Windows 7. The significance level was set to $\alpha=0.05$.

2.3.1 Descriptive analyses

Pearson’s Chi-Square tests (with continuity correction where applicable) and adjusted residuals (*ARs*) were used to assess frequency differences between bothersome and non-bothersome tinnitus. Significant differences in category frequencies are present if $ARs \geq 1.96$ or ≤ -1.96 . Age was not normally distributed, but moderately right-skewed (skewness = 0.949, SE = 0.028) and heavy-tailed (kurtosis = 0.494, SE = 0.056), Kolmogorov-Smirnov test: $D(7615) = 0.11$, $P < 0.001$. Therefore, the non-parametric Mann-Whitney-*U* test was used for its comparison between non-bothersome and bothersome tinnitus.

2.3.2 Logistic regression models

Associations with bothersome (vs. non-bothersome) tinnitus were identified using separate age- and gender-adjusted multiple logistic regression models for (1) subjective hearing (subjective hearing ability and hearing-related difficulties in social situations), (2) physical comorbidities (see Table 1), and (3) mental comorbidities (see Table 1), respectively. Odds ratios (ORs) with 95%-CIs were calculated for all predictors, and Nagelkerke R^2 and effect size f (Cohen, 1992, 1988) were used for model comparison. Regarding the assumptions of logistic regression, all variance inflation factor (VIF) values were ≤ 1.4 (no multicollinearity among predictors), and the predictor age was linearly related to the log odds (Box-Tidwell approach). Concerning outliers, no cases with studentized residuals greater than 3 were present; cases with studentized residuals greater than 2 were not excluded ($N=267$ in model 1; $N=490$ in model 2; $N=490$ in model 3).

2.3.3 Logistic mediation models

Interrelationships between factors that significantly predicted bothersome (vs. non-bothersome) tinnitus in regression analyses were further analyzed in logistic mediation models, as described by Herr (2006), based on equations from Mackinnon and Dwyer (1993). Logistic mediation models analyzed: (A) if subjective hearing ability mediated the relationship between mental comorbidities and bothersome tinnitus; (B) if mental

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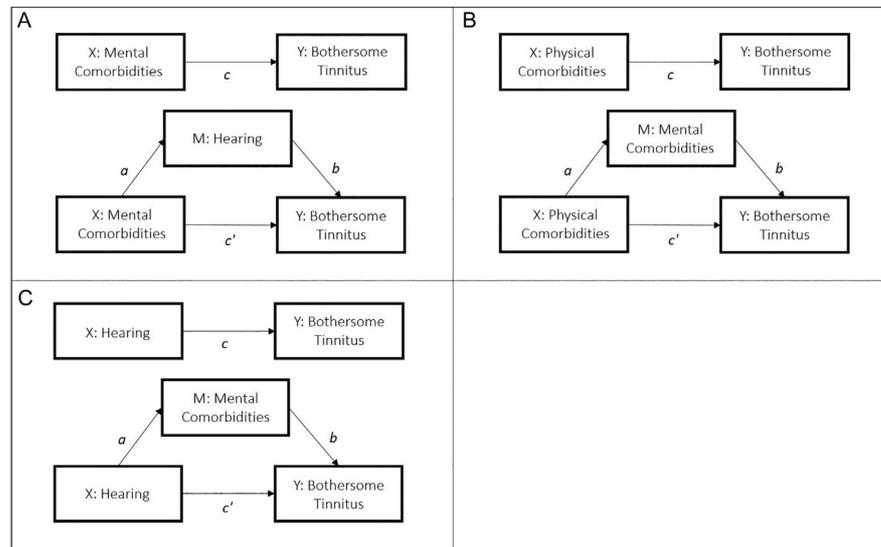


FIG. 1

Conceptual models of the performed mediation analyses. X=predictor, M=mediator, Y=outcome. Coefficient c designates the total effect of the respective X on Y (ignoring M); coefficient a is the effect of X on M, coefficient b is the effect of M on Y (controlling for X); coefficient c' designates the direct effect of X on Y when M is controlled; and $a \times b$ reflects the indirect or mediation effect.

comorbidities mediated the relationship between physical comorbidities and bothersome tinnitus; and (C) if mental comorbidities mediated the relationship between subjective hearing ability and bothersome tinnitus (see Fig. 1). The following standardized coefficients were calculated: coefficient c designates the *total effect* of the predictor variable on the outcome (ignoring the mediator); coefficient a is the effect of the predictor on the mediator; coefficient b is the effect of the mediator on the outcome (controlling for the predictor); and coefficient c' reflects the *direct effect* of the predictor on the outcome when the mediator is controlled for; see Fig. 1. The product of the coefficients a and b reflects the *mediation effect* (or *indirect effect* of the predictor on the outcome), which was divided by the total effect c to calculate the percentage of the total effect being mediated (Baron and Kenny, 1986; Herr, 2006). The Aroian version of the Sobel test (Aroian, 1947) was used to assess significance, as suggested by Baron and Kenny (1986).

Response rates were high; 99.6% (6 variables) or 99.5% (18 variables) for physical and mental comorbidities, 97.6% for subjective hearing ability, and 83.8% for hearing-related difficulties in social situations (and complete data for age and gender). Overall, 1.0% of values were missing.

3 Results

3.1 Descriptive analyses

The proportion of female participants did not differ between participants with bothersome (56.4%) and non-bothersome tinnitus (56.5%). On average, participants with non-bothersome tinnitus were 35.26 years old ($SD=12.07$, median=32), and participants with bothersome tinnitus were 41.16 years old ($SD=14.58$, median=40), $U=1,847,821$, $P<0.001$. Compared to participants with non-bothersome tinnitus, participants with bothersome tinnitus more often reported reduced subjective hearing ability ($ARs=16.9$), more hearing-related difficulties in social situations ($ARs=11.3$), higher frequencies of chronic shoulder pain ($ARs=6.6$), hypertension ($AR=3.9$), osteoarthritis ($ARs=5.3$), cardiovascular disease ($ARs=4.6$), thyroid disease ($ARs=4.0$), hyperlipidemia ($ARs=4.6$), fibromyalgia ($ARs=4.0$), Ménière's disease ($ARs=4.0$), as well as higher frequencies of depression ($ARs=3.9$), burnout ($ARs=4.1$), panic ($ARs=2.3$), anxiety syndrome ($ARs=3.6$), social anxiety ($ARs=4.0$), and posttraumatic stress disorder ($ARs=2.3$); see [Table 3](#).

3.2 Logistic regression models

The control variable age had significant influences in all three regression models (individuals with higher age had increased odds of reporting bothersome tinnitus), while gender showed no influence for the prediction of bothersome (vs. non-bothersome) tinnitus.

3.2.1 Subjective hearing

Both subjective hearing ability and hearing-related difficulties in social situations significantly predicted bothersome tinnitus (vs. non-bothersome tinnitus), $X^2(4)=309.11$, $P<0.001$, Nagelkerke $R^2=0.101$, $f=0.34$, see [Table 4](#), model 1.

3.2.2 Physical comorbidities

Of the investigated physical comorbidities, chronic shoulder pain, cardiovascular disease, thyroid disease, and Ménière's disease significantly predicted bothersome tinnitus (vs. non-bothersome tinnitus), $X^2(17)=182.12$, $P<0.001$, Nagelkerke $R^2=0.052$, $f=0.23$, see [Table 4](#), model 2.

3.2.3 Mental comorbidities

Of the investigated mental comorbidities, depression, anxiety syndrome, and social anxiety significantly predicted bothersome tinnitus (vs. non-bothersome tinnitus), $X^2(11)=166.26$, $P<0.001$, Nagelkerke $R^2=0.047$, $f=0.22$, see [Table 4](#), model 3.

3.3 Logistic mediation models

Standardized coefficients and standard errors of all significant mediation models can be found in [Table 5](#).

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Table 3 Frequencies of subjective hearing ability and hearing-related difficulties in social situations, physical and mental comorbidities in participants with non-bothersome and bothersome tinnitus.

Variable	Non-bothersome tinnitus	Bothersome tinnitus	X^2	<i>P</i>
Hearing				
Subjective hearing ability***	<i>N</i> =6754	<i>N</i> =676	285.11	<0.001
Good	68.5% (4629)	36.1% (244)		
Reduced	31.5% (2125)	63.9% (432)		
Hearing-related difficulties in social situations***	<i>N</i> =5742	<i>N</i> =637	127.09	<0.001
No	44.5% (2557)	21.2% (135)		
Yes	55.5% (3185)	78.8% (502)		
Physical comorbidities				
Migraine	<i>N</i> =6882	<i>N</i> =695		
Migraine	14.8% (1016)	16.7% (116)	1.70	0.193
Chronic shoulder pain***	5.3% (367)	11.5% (80)	42.30	<0.001
Osteoarthritis***	4.7% (323)	9.4% (65)	27.25	<0.001
Fibromyalgia***	0.8% (54)	2.3% (16)	14.27	<0.001
Epilepsy	0.7% (47)	1.3% (9)	2.44	0.118
Rheumatoid arthritis	0.6% (39)	0.7% (5)	0.06	0.808
Ménière's disease***	0.2% (13)	1.0% (7)	13.10	<0.001
Systemic lupus erythematosus	0.1% (5)	0.0% (0)	<0.00	1
Multiple sclerosis	0.1% (10)	0.0% (0)	0.21	0.647
Asthma	<i>N</i> =6886	<i>N</i> =695		
Asthma	11.2% (774)	11.9% (83)	0.24	0.621
Hypertension***	5.8% (399)	9.5% (66)	14.39	<0.001
Hyperlipidemia***	3.1% (211)	6.3% (44)	19.73	<0.001
Cardiovascular disease***	4.0% (277)	7.8% (54)	20.34	<0.001
Diabetes	0.6% (41)	0.6% (4)	<0.00	1
Thyroid disease***	3.6% (251)	6.8% (47)	15.43	<0.001
Mental comorbidities				
Depression***	<i>N</i> =6882	<i>N</i> =695		
Depression***	20.4% (1405)	26.8% (186)	14.95	<0.001
Burnout***	10.1% (697)	15.1% (105)	16.02	<0.001
Panic*	11.1% (763)	14.0% (97)	4.89	0.027
Anxiety syndrome***	10.0% (688)	14.4% (100)	12.60	<0.001
Social anxiety***	3.4% (231)	6.3% (44)	15.13	<0.001
Obsessive-compulsive disorder	2.0% (140)	2.7% (19)	1.18	0.277
Posttraumatic stress disorder*	1.6% (107)	2.7% (19)	4.67	0.031
Bipolar disease	0.8% (52)	1.0% (7)	0.24	0.622
Agoraphobia	0.6% (38)	1.0% (7)	1.51	0.219

Note. Pearson X^2 tests with continuity correction. Bold factors indicate significant differences in frequencies.

*** $P < 0.001$, ** $P < 0.01$, * $P < 0.05$.

Table 4 Logistic regression models for the prediction of bothersome tinnitus (vs. non-bothersome tinnitus): subjective hearing (model 1), mental comorbidities (model 2), and physical comorbidities (model 3).

Variable	β	SE β	Wald's X^2	P	OR	95%-CI	
Model 1 (N = 6250)							
Constant	-3.96	0.15	668.25	<0.001	0.02		
Age***	0.02	<0.01	55.41	<0.001	1.02	1.02	1.03
Gender							
Subjective hearing ability***	0.97	0.11	83.44	<0.001	2.65	2.15	3.26
Hearing-related difficulties in social situations***	0.48	0.12	16.25	<0.001	1.61	1.28	2.04
Model 2 (N = 7577)							
Constant	-3.49	0.14	609.14	<0.001	0.03		
Age***	0.03	<0.01	78.29	<0.001	1.03	1.02	1.04
Gender							
Migraine							
Asthma							
Hypertension							
Chronic shoulder pain***	0.63	0.14	20.80	<0.001	1.88	1.43	2.47
Osteoarthritis							
Cardiovascular disease*	0.40	0.16	6.07	0.014	1.49	1.08	2.04
Thyroid disease*	0.39	0.17	5.19	0.023	1.48	1.06	2.08
Hyperlipidemia							
Fibromyalgia							
Epilepsy							
Rheumatoid arthritis							
Diabetes							
Ménière's disease*	1.23	0.50	6.14	0.013	3.42	1.29	9.05
Systemic lupus erythematosus							
Multiple sclerosis							
Model 3 (N = 7577)							
Constant	-3.75	0.14	727.39	<0.001	0.02		
Age***	0.04	<0.01	141.57	<0.001	1.04	1.03	1.04
Gender							
Depression*	0.22	0.11	3.91	0.048	1.25	1.00	1.56
Burnout							
Panic							

Continued

Table 4 Logistic regression models for the prediction of bothersome tinnitus (vs. non-bothersome tinnitus): subjective hearing (model 1), mental comorbidities (model 2), and physical comorbidities (model 3).—cont'd

Variable	β	SE β	Wald's X^2	P	OR	95%-CI	
Anxiety syndrome*	0.32	0.14	5.04	0.025	1.38	1.04	1.83
Social anxiety*	0.45	0.19	5.50	0.019	1.57	1.08	2.30
Obsessive-compulsive disorder							
Posttraumatic stress disorder							
Bipolar disease							
Agoraphobia							

Note. Only significant results are displayed. OR=Odds ratio.

*** $P < 0.001$, ** $P < 0.01$, * $P < 0.05$.

3.3.1 X: Mental comorbidities, M: Subjective hearing, Y: Bothersome tinnitus (vs. non-bothersome tinnitus)

Subjective hearing ability mediated 13% of the effect of depression on bothersome tinnitus, $P = 0.020$, and 19% of the effect of social anxiety on bothersome tinnitus, $P = 0.004$. Hearing-related difficulties in social situations mediated 36% of the effect of depression, $P < 0.001$, 20% of the effect of anxiety syndrome, $P = 0.001$, and 31% of the effect of social anxiety, $P < 0.001$, on bothersome tinnitus.

3.3.2 X: Physical comorbidities, M: Mental comorbidities, Y: Bothersome tinnitus (vs. non-bothersome tinnitus)

Depression mediated 5% of the effect of cardiovascular disease, $P = 0.012$, and 5% of the effect of thyroid disease, $P = 0.019$, and 8% of the effect of chronic shoulder pain, $P = 0.003$, on bothersome tinnitus. Anxiety syndrome mediated 5% of the effect of cardiovascular disease, $P = 0.018$, and 7% of the effect of chronic shoulder pain, $P = 0.006$, on bothersome tinnitus. Social anxiety mediated 6% of the effect of chronic shoulder pain, $P = 0.007$, on bothersome tinnitus.

3.3.3 X: Subjective hearing, M: Mental comorbidities, Y: Bothersome tinnitus (vs. non-bothersome tinnitus)

Depression mediated 2% of the effect of hearing-related difficulties in social situations, $P = 0.037$, on bothersome tinnitus. Anxiety syndrome mediated 2% of the effect of hearing-related difficulties in social situations, $P = 0.034$, on bothersome tinnitus. Social anxiety mediated 2% of the effect of subjective hearing ability, $P = 0.028$, and 4% of the effect of hearing-related difficulties in social situations, $P = 0.007$, on bothersome tinnitus.

Table 5 Standardized coefficients and standard errors of significant mediation effects.

Predictor (X)	Mediator (M)	Effect of X on M		Effect of M on Y controlled for X		Effect of X on Y		Effect of X on Y controlled for M	
		a	SE	b	SE	c	SE	c'	SE
Depression	Subjective hearing ability	0.032	0.013	0.331	0.021	0.079	0.020	0.063	0.020
Social anxiety	Subjective hearing ability	0.039	0.013	0.330	0.021	0.068	0.017	0.059	0.017
Depression	Hearing-related difficulties in social situations	0.100	0.014	0.282	0.026	0.079	0.020	0.046	0.021
Anxiety syndrome	Hearing-related difficulties in social situations	0.049	0.014	0.284	0.026	0.070	0.019	0.055	0.020
Social anxiety	Hearing-related difficulties in social situations	0.075	0.016	0.282	0.026	0.068	0.017	0.059	0.018
Cardiovascular disease	Depression	0.050	0.014	0.075	0.020	0.078	0.017	0.075	0.017
Thyroid disease	Depression	0.044	0.014	0.076	0.020	0.070	0.018	0.067	0.018
Chronic shoulder pain	Depression	0.140	0.013	0.063	0.021	0.108	0.017	0.100	0.017
Cardiovascular disease	Anxiety syndrome	0.059	0.017	0.066	0.019	0.078	0.017	0.076	0.017
Chronic shoulder pain	Anxiety syndrome	0.126	0.016	0.057	0.020	0.108	0.017	0.102	0.017
Chronic shoulder pain	Social anxiety	0.106	0.025	0.062	0.017	0.108	0.017	0.104	0.017
Hearing-related difficulties in social situations	Depression	0.121	0.017	0.046	0.021	0.285	0.026	0.282	0.026
Hearing-related difficulties in social situations	Anxiety syndrome	0.079	0.023	0.055	0.020	0.285	0.026	0.284	0.026
Hearing-related difficulties in social situations	Social anxiety	0.196	0.040	0.059	0.018	0.285	0.026	0.282	0.026
Subjective hearing ability	Social anxiety	0.099	0.033	0.059	0.017	0.333	0.021	0.330	0.021

Note. X=predictor, M=mediator, Y=outcome (bothersome tinnitus).

4 Discussion

4.1 Prevalence

The prevalence of bothersome tinnitus ($N=697$) was 2.2% in the total population sample and 9.2% in the tinnitus sample. Many studies found similar prevalence rates (1.2–3%) of bothersome tinnitus in the population (Gallus et al., 2015; Michikawa et al., 2010; Nondahl et al., 2011), while others report higher rates of 5.8–7% (Park et al., 2014; Ramage-Morin et al., 2019). This variance might result from the different study populations as well as from the varying definitions of “bothersome” tinnitus: tinnitus posing a big or very big problem (Gallus et al., 2015), tinnitus interfering with concentration or sleep (Michikawa et al., 2010), tinnitus in its worst form being severe (Nondahl et al., 2011), tinnitus in daily life being annoying (irritating) or severely annoying and causing sleep problems (Park et al., 2014), or tinnitus being bothering by affecting sleep, concentration or mood (Ramage-Morin et al., 2019).

4.2 Age and gender

The prevalence of bothersome tinnitus did not differ between genders. This is in accordance with other studies that report equal rates of bothersome or frequent tinnitus in both genders (Axelsson and Ringdahl, 1989; Park et al., 2014; Shargorodsky et al., 2010). However, conflicting findings exist as well (McCormack et al., 2016). The relationship between older age and bothersome tinnitus is consistent with several other findings (Gallus et al., 2015; Kim et al., 2015; Park et al., 2014; Shargorodsky et al., 2010), but not all (Jallesi et al., 2013). In addition, we found distinct effects of both higher age and reduced subjective hearing ability on bothersome tinnitus in the regression analysis. Higher age might therefore increase the risk of bothersome tinnitus independently of age-related hearing loss—for example via age-related life changes that can negatively affect the quality of life (e.g., functional loss), which may in turn increase tinnitus-related distress (Henry et al., 2005).

4.3 Subjective hearing

Reduced hearing ability (OR = 2.65 [2.15, 3.26]) was associated with bothersome tinnitus, in accordance with other cross-sectional population studies (Kim et al., 2015; Park et al., 2014; Shargorodsky et al., 2010). In addition, we found an effect of hearing-related difficulties in social situations (OR = 1.61 [1.28, 2.04]). Of the three regression models, subjective hearing (model 1) showed the highest goodness-of-fit (Nagelkerke $R^2 = 0.101$), with a medium effect size ($f = 0.34$), in the prediction of bothersome vs. non-bothersome tinnitus. Hearing impairment may exert direct influences on tinnitus-related distress as well as indirect ones via increased psychological distress in social situations, possibly leading to impaired social functioning.

Previous research found that 45% of individuals with bothersome tinnitus report a weak sense of community belonging (Ramage-Morin et al., 2019), highlighting the importance to address social functioning in treatment interventions.

The effects of hearing-related difficulties in social situations on bothersome tinnitus were partially mediated by depression (2%), anxiety syndrome (2%), and social anxiety (4%). The latter also partially mediated the effects of subjective hearing impairment (2%). On the other hand, subjective hearing ability partially mediated the effects of depression (13%) and social anxiety (19%) on bothersome tinnitus. Moreover, hearing-related difficulties in social situations mediated the effects of depression (36%), anxiety syndrome (20%), and social anxiety (31%) on bothersome tinnitus by a large degree. These results suggest that impaired subjective hearing ability and hearing-related difficulties in social situations exert indirect effects on bothersome tinnitus through their impact on emotional factors. At the same time, mental comorbidities seem to exert indirect effects on bothersome tinnitus through their impact on subjective hearing ability and hearing-related difficulties in social situations. Hearing and emotional factors hence appear highly interconnected.

These results implicate the need for thorough distinctions between subjective and objective hearing ability. With objective hearing loss, hearing aid provision in tinnitus patients may reduce tinnitus-related distress not only through direct effects of improved hearing but also through minimizing the negative effects of reduced hearing ability on emotional wellbeing (e.g., due to social withdrawal). In contrast, subjective hearing impairment might represent a coping strategy under depressogenic strain. Moreover, emotional factors can influence the way hearing impairment is dealt with by the affected individual and may, for example, underlie the disinclination to wear hearing aids. Given the strong interrelationships between subjective hearing and mental symptoms, measures to restore hearing and psychological interventions should ideally be combined to stimulate mutual transfer effects.

4.4 Physical comorbidities

Cardiovascular disease (OR = 1.49 [1.08, 2.04]), chronic shoulder pain (OR = 1.88 [1.43, 2.47]), thyroid disease (OR = 1.48 [1.06, 2.08]), and Ménière's disease (OR = 3.42 [1.29, 9.05]) were associated with the presence of bothersome (vs. non-bothersome) tinnitus. For the physical comorbidities model (model 2), the effect size was small ($f = 0.23$).

Associations between cardiovascular diseases and tinnitus have been reported in the literature, e.g., for congestive heart failure in elderly patients (Borghi et al., 2011), or coronary artery disease in different study populations (Fujii et al., 2011; Lin et al., 2018; Michikawa et al., 2010). In line with our result, some studies found specific relationships of cardiovascular diseases with bothersome tinnitus. In a cross-sectional study, Park et al. (2014) found a strong effect of a history of cardiovascular disease for the prediction of annoying tinnitus after multivariable adjustment. Nondahl

et al. (2002) found an association between a history of cardiovascular disease and the prevalence of “significant” tinnitus (at least moderately severe and/or causing sleep problems), as well as a predictive association between higher cholesterol levels (a cardiovascular risk factor) and the 5-year incidence of “significant” tinnitus. Stobik et al. (2005) found higher rates of cardiovascular diseases among patients with severe (decompensated) tinnitus than those with mild (compensated) tinnitus. Moreover, cardiovascular disease and depression are interrelated, and evidence exists for biological and behavioral mechanisms linking both conditions (Seligman and Nemeroff, 2015). In the present study, the effects of cardiovascular disease on bothersome tinnitus were partially mediated by depression (5%) and anxiety syndrome (5%), highlighting the importance of considering psychological factors in somatic conditions.

In line with our findings, Kuttilla et al. (2005) found that shoulder pain is predictive of recurrent tinnitus. In their general population sample, 53% of individuals with recurrent tinnitus reported shoulder ache at least twice a month. Two other (relatively old) studies that report findings on shoulder pain and tinnitus cannot be interpreted clearly because of confounding issues. Bjorne and Agerberg (1996) found that patients with Ménière’s disease more often report neck or shoulder pain than control subjects, yet this difference might be attributable to other symptoms in the patient group than tinnitus. Ren and Isberg (1995) found higher frequencies of back or shoulder pain in patients with tinnitus and internal derangement of the temporomandibular joint than a control group, but in their sample, this difference might be explained by age. As we controlled for age in our analyses, our findings suggest an age-independent effect of shoulder pain. However, the presence of temporomandibular joint dysfunction was not assessed in our sample. Moreover, research on neck and shoulder pain has identified psychosocial risk factors, e.g., psychological distress (Menendez et al., 2015; Siivola et al., 2004; Skov et al., 1996), and evidence suggests positive effects of psychosocial interventions for the management of musculoskeletal pain (Babatunde et al., 2017). Thus, links between bothersome tinnitus and shoulder pain are likely to be influenced by psychological factors and our result supports this notion, as effects of chronic shoulder pain on bothersome tinnitus were partially mediated by depression (8%), anxiety syndrome (7%), and social anxiety (6%).

Previous research found an association between tinnitus and thyroid diseases (Kim et al., 2015). Furthermore, causal relationships between hypothyroidism and hearing loss are known (Anand et al., 1989; Coelho et al., 2020; Mahafzah et al., 2018; Malik et al., 2002; Sharlin et al., 2018; Uziel et al., 1985). Tinnitus was found to improve in 57% (Malik et al., 2002) or 62% (Singh et al., 2019) of patients with hypothyroidism after thyroxine substitution therapy. Moreover, thyroid function and depression are related; both hypothyroidism and hyperthyroidism can lead to depressive symptoms, and depression can also be associated with subclinical thyroid abnormalities (Hage and Azar, 2012). Consistent with these connections, we found that the effects of thyroid disease on bothersome tinnitus were partially mediated by depression (5%).

Furthermore, our findings suggest that tinnitus in individuals with Ménière's disease might be perceived as particularly bothersome. The effect of Ménière's disease was the strongest of all predictors with an OR of 3.42—indicating that the risk of bothersome compared to non-bothersome tinnitus is three times higher in individuals with Ménière's disease than in individuals without the disease. This effect is in line with a previous report of more severe tinnitus in patients with Ménière's disease compared to patients with tinnitus and noise-induced or age-related hearing loss (Stouffer and Tyler, 1990). Moreover, in a sample of patients with long-standing Ménière's disease, tinnitus was rated by 19% as their most severe symptom, and 10% reported a severe or very severe impact of tinnitus on their life (Yoshida et al., 2011). In a cross-sectional study, higher tinnitus severity was associated with advanced stages of Ménière's disease/higher levels of hearing loss (Romero Sánchez et al., 2010). The impact of tinnitus also seems to be influenced by other symptoms of Ménière's disease such as aural pressure and gait problems (Yoshida et al., 2011). Our analysis revealed no mediating effects of psychological symptoms on the relationship between Ménière's disease and bothersome tinnitus. This might suggest that the presence of other symptoms of Ménière's disease is more relevant for tinnitus severity in these patients than psychological symptoms.

In sum, cardiovascular disease, chronic shoulder pain, and thyroid disease seem not only to exert direct influences on bothersome tinnitus but also indirect ones through their associations with emotional factors.

4.5 Mental comorbidities

Depression (OR = 1.25 [1.00, 1.56]), anxiety syndrome (OR = 1.38 [1.04, 1.83]), and social anxiety (OR = 1.57 [1.08, 2.30]) were associated with the presence of bothersome (vs. non-bothersome) tinnitus. For the mental comorbidities model (model 3), the effect size was small ($f = 0.22$).

These results are consistent with a systematic review by Pinto et al. (2014) who concluded that the comorbid presence of anxiety or depression is associated with higher tinnitus severity and annoyance. The relationship between mental illness and tinnitus is bidirectional, as mental conditions may impair the stress tolerance and thus lead to higher distress in tinnitus patients; tinnitus-related distress on the other hand can lead to psychological symptoms or increase the severity of pre-existing ones (Pinto et al., 2014; Ziai et al., 2017).

Our results suggest that depression and anxiety can *aggravate* negative hearing-related effects and negative effects of physical symptoms on bothersome (vs. non-bothersome) tinnitus. These findings implicate that states of emotional distress are important treatment targets in individuals with bothersome tinnitus. The improvement of affective and anxiety symptoms by psychological treatment interventions like cognitive-behavioral therapy (CBT) is likely to exert not only direct effects on tinnitus-related distress but also indirect ones by reducing negative influences of physical symptoms, subjective hearing impairment, or hearing-related difficulties in social situations.

4.6 Clinical implications

Our findings point to the issue that the distinction between physical and mental conditions is not as clear as suggested by diagnostic classification systems, since many conditions share both physical and psychological aspects. Generally, three different relationships between chronic physical diseases and mental conditions are possible (Turner and Kelly, 2000): (1) Chronic physical diseases can lead to the manifestation of mental conditions, often depression or anxiety. (2) In individuals with pre-existing mental conditions, the development of a chronic physical disease can *aggravate* their symptoms. (3) If physical symptoms in individuals with chronic diseases worsen or new ones develop, this can constitute an expression of emotional distress (Turner and Kelly, 2000). In the clinical care of chronic tinnitus patients, these possible connections between tinnitus and mental health need to be addressed.

Furthermore, recent literature has begun to address the limitations of traditional diagnostic classification systems for mental disorders which classify psychopathology in distinct categories that are not based on evidence (Hofmann, 2014; Kotov et al., 2017). New approaches include empirically-based frameworks such as structural approaches using dimensional classification (Kotov et al., 2017), theory-based cognitive behavior classifications (Hofmann, 2014), or network approaches (Fried et al., 2017).

Dimensional classification approaches are based on the assumption that psychopathology lies on a continuum and can be described by different dimensions in a systematic hierarchy (Kotov et al., 2017; Lahey et al., 2017). It has been proposed that a hierarchical taxonomy consisting of a general psychopathology factor encompassing several dimensions/spectra (internalizing, thought disorder, disinhibited externalizing, antagonistic externalizing, detachment, and somatoform) comprised of different syndromes is suitable to characterize the majority of psychopathology (Kotov et al., 2017). In line with this approach, Ivansic et al. (2019) found that mental health in tinnitus patients can best be described by a general psychopathology factor and a somatization factor. They found that the expression of the general psychopathology factor was as high in severe tinnitus as in depressed patients, but more pronounced in mild tinnitus than in healthy controls. The somatization factor, on the other hand, was higher in both mild and severe tinnitus than in depressed patients or healthy controls (Ivansic et al., 2019).

The cognitive-behavioral approach, on which CBT is built, looks at psychopathology as complex causal networks (Hofmann, 2014). In this framework, certain triggers (moderated by attentional processes and trait cognitions) can activate maladaptive cognitive processes, which in turn lead to psychological distress manifesting as a specific interplay of subjective experiences, physiological symptoms, and behavioral responses (Hofmann, 2014). The focus of this approach lies on cognitive processes and their consequences for emotion regulation, which have proven to be important—and modifiable by CBT—for many different mental conditions (Hofmann et al., 2012; Hofmann, 2014). CBT also is known to have a positive effect on tinnitus management (Martinez-Devesa et al., 2010).

In a similar approach, the network perspective conceptualizes psychopathology as complex dynamic networks of mutually interacting symptoms (Fried et al., 2017). In this conceptualization, comorbidity between different mental conditions is thought to be explained by interactions between symptoms, in that the presence of a specific disorder can lead to the manifestation of another disorder via bridge symptoms (Fried et al., 2017). With this approach, the high comorbidity among severe tinnitus and mental disorders could potentially be explained by shared bridge symptoms (e.g., insomnia, concentration problems). Moreover, network approaches have the potential to predict transitions from a healthy network state to a disease state (Fried et al., 2017; van de Leemput et al., 2014), e.g., from mild to severe tinnitus-related distress, which has high clinical relevance.

In sum, all of these approaches appear suitable to better conceptualize tinnitus-related distress (emotions, cognitions, reactions), comorbid mental and physical symptoms, and their interrelationships than current diagnostic classification systems. In line with Stobik et al. (2005), we argue that bothersome tinnitus should be understood as a complex psychosomatic phenomenon including somatic, auditory, and psychosocial aspects, which can mutually reinforce each other. Consistent with this view, our results implicate the need for multimodal psychosomatic treatment for bothersome tinnitus in an interdisciplinary setting. Treatment-induced reductions of affective or anxiety symptoms by CBT can directly improve tinnitus-related distress as well as reduce negative effects of comorbid physical symptoms and hearing-related effects, whereas measures to restore hearing impairment have the potential to decrease *aggravated* negative effects of mental symptoms. Thus, multimodal treatment approaches combining psychological interventions, hearing aid provision, and medical treatment of comorbid physical symptoms appear to have the highest clinical potential to alleviate tinnitus-related distress.

4.7 Limitations

Limitations of this study include its cross-sectional design and the fact that all variables were measured via self-report and single-item questions. Validated information on medical diagnoses, objective data from audiometric testing, and standardized assessment of tinnitus burden via psychometric questionnaires would constitute preferable sources in terms of reliability and validity. Moreover, other psychological factors known to be related to bothersome tinnitus, e.g., coping styles or cognitive factors, could not be investigated in this study as they were not assessed by the survey. However, we expect that the inclusion of such factors would have improved the prediction of bothersome (vs. non-bothersome tinnitus), rather than changing the nature of our results. As the sample was large, heterogeneous, and, for some part, randomly recruited from the general population, selection biases do not seem likely. However, distorting influences based on self-selection by spontaneous online registration cannot be excluded. Moreover, results might not extend to other cultural contexts. Overall, the magnitude of the effects was rather small (the effect sizes of the regression models were small or medium, and the highest percentage of an effect being mediated was 36%).

4.8 Conclusion

Psychological factors and hearing-related difficulties play key roles in predicting bothersome tinnitus (vs. non-bothersome tinnitus) in a large population sample. As hypothesized, our results suggest that psychological factors partially contribute to explaining the impact of physical comorbidities and hearing-related effects on bothersome tinnitus. This highlights their transdiagnostic importance for aggravating varied physical symptom clusters and offers useful targets for psychological treatment strategies. Subjective hearing impairment and hearing-related difficulties in social situations, on the other hand, seem to partially explain the impact of mental comorbidities on bothersome tinnitus. Overall, these findings implicate the need for interdisciplinary multimodal treatment approaches for patients with bothersome tinnitus, combining psychological interventions, the provision of hearing aids, and medical treatment of comorbid physical symptoms in order to achieve the highest clinical efficacy.

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Conflict of interest

CC is supported by the UK National Institute for Health Research (NIHR) Biomedical Research Centre but the views expressed herein are his own and do not represent those of NIHR nor the UK Department of Health and Social Care. The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Data availability statement

Requests to access the datasets should be directed to Nancy Pedersen: nancy.pedersen@ki.se. Restrictions are based on the Swedish Act (2013:794) requiring that a valid ethical approval is obtained in Sweden.

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Journal Data Filtered By: **Selected JCR Year: 2020** Selected Editions: SCIE,SSCI
 Selected Categories: **"MULTIDISCIPLINARY SCIENCES"** Selected Category
 Scheme: WoS

Gesamtanzahl: 73 Journale

Rank	Full Journal Title	Total Cites	Journal Impact Factor	Eigenfactor Score
1	NATURE	915,925	49.962	1.089400
2	SCIENCE	814,971	47.728	0.895760
3	National Science Review	5,889	17.275	0.011400
4	Nature Communications	453,215	14.919	1.238540
5	Science Advances	65,205	14.136	0.218640
6	Nature Human Behaviour	5,549	13.663	0.023120
7	Science Bulletin	8,832	11.780	0.016400
8	PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA	799,058	11.205	0.806620
9	Journal of Advanced Research	5,927	10.479	0.006800
10	GigaScience	5,876	6.524	0.018630
11	Scientific Data	10,617	6.444	0.034470
12	Frontiers in Bioengineering and Biotechnology	7,470	5.890	0.011340
13	ANNALS OF THE NEW YORK ACADEMY OF SCIENCES	52,619	5.691	0.021430
14	iScience	5,235	5.458	0.012300
15	Research Synthesis Methods	3,926	5.273	0.007520
16	NPJ Microgravity	594	4.415	0.001790
17	Scientific Reports	541,615	4.379	1.232500
18	PHILOSOPHICAL TRANSACTIONS OF THE ROYAL SOCIETY A-MATHEMATICAL PHYSICAL AND ENGINEERING SCIENCES	24,950	4.226	0.025400



OPEN

Hair-cortisol and hair-BDNF as biomarkers of tinnitus loudness and distress in chronic tinnitus

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The role of stress and its neuroendocrine mediators in tinnitus is unclear. In this study, we measure cortisol as an indicator of hypothalamus–pituitary–adrenal (HPA) axis alterations and brain-derived neurotrophic factor (BDNF) as a marker of adaptive neuroplasticity in hair of chronic tinnitus patients to investigate relationships with tinnitus-related and psychological factors. Cross-sectional data from chronic tinnitus inpatients were analyzed. Data collection included hair sampling, pure tone audiometry, tinnitus pitch and loudness matching, and psychometric questionnaires. Elastic net regressions with *n*-fold cross-validation were performed for cortisol (*N* = 91) and BDNF (*N* = 87). For hair-cortisol ($R^2 = 0.10$), the strongest effects were sampling in autumn and body-mass index (BMI) (positive), followed by tinnitus loudness (positive) and smoking (negative). For hair-BDNF ($R^2 = 0.28$), the strongest effects were hearing aid use, shift work (positive), and tinnitus loudness (negative), followed by smoking, tinnitus-related distress (Tinnitus Questionnaire), number of experienced traumatic events (negative), and physical health-related quality of life (Short Form-12 Health Survey) (positive). These findings suggest that in chronic tinnitus patients, higher perceived tinnitus loudness is associated with higher hair-cortisol and lower hair-BDNF, and higher tinnitus-related distress with lower hair-BDNF. Regarding hair-BDNF, traumatic experiences appear to have additional stress-related effects, whereas hearing aid use and high physical health-related quality of life appear beneficial. Implications include the potential use of hair-cortisol and hair-BDNF as biomarkers of tinnitus loudness or distress and the need for intensive future research into chronic stress-related HPA axis and neuroplasticity alterations in chronic tinnitus.

The pathogenic mechanisms linking tinnitus and stress are still not fully understood^{1–3}. Stress can be related to the onset of tinnitus, and higher stress levels seem associated with higher perceived tinnitus severity^{1,2}. Recently, the need to distinguish between tinnitus perception (symptom) and tinnitus associated with suffering (tinnitus disorder) has been highlighted⁴. Moreover, perceived tinnitus loudness and tinnitus-related distress are two distinct phenomena⁵ that appear linked by psychological factors like tinnitus acceptance⁶ and subjective stress level⁷.

The stress response refers to an organism's reactive or anticipatory response to acute challenges⁸ and, in the short term, is an adaptive process to maintain homeostasis⁹. Chronic stress, however, can lead to maladaptation with long-term pathophysiological effects, often described by the concept of allostatic load/overload⁹. Emotional exhaustion, resulting from chronic stress, was found to mediate the relationship between hearing loss and tinnitus severity^{10,11}. Moreover, common psychological conditions in tinnitus patients, such as anxiety¹² and depression¹³, are known to be chronic stress-related^{11,14}. Overall, chronic stress is an important factor in tinnitus patients seeking clinical help.

The hypothalamus–pituitary–adrenal (HPA) axis is a primary neuroendocrine stress response system. Chronic stress can lead to a dysregulation of the HPA axis, which can manifest in altered stress response profiles to acute challenges⁸. Previous studies on HPA axis function measuring salivary cortisol in tinnitus patients reported lower overall cortisol levels¹⁵, a blunted cortisol response to an acute experimental psychosocial stressor¹⁶, increased

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Inclusion criteria	Exclusion criteria
Diagnosis of chronic subjective tinnitus	Inability to consent due to serious mental or physical impairments
Age \geq 18 years	Simultaneous participation in other research studies
Written informed consent	Hair length $<$ 3 cm
	Any chemical hair treatment within 1 month prior to sampling (dyeing, bleaching, perming, or else)
	Hair washing or the use of hair products (hair mousse, hair gel, hair wax, hair spray) within 3 days prior to sampling
	Hair combing on the day of sampling

Table 1. Inclusion and exclusion criteria.

sensitivity of the HPA axis negative feedback response found with the dexamethasone suppression test¹⁷, and a flattened cortisol awakening response in tinnitus patients with high distress¹⁸. While these studies on salivary cortisol indicate reduced responsiveness of the HPA axis in tinnitus, findings on blood-cortisol levels are conflicting, reporting no association¹⁹, negative associations with tinnitus frequency and loudness²⁰, or treatment-related decreases²¹. Overall, HPA axis alterations in tinnitus and their relationship with tinnitus-related distress remain unclear.

Saliva and blood sampling are methods for the measurement of short-term cortisol release boosts, which can be influenced by situational factors^{22,23}. By contrast, the measurement of cortisol in hair provides a reliable long-term measure of cumulative cortisol secretion, reflecting integrated HPA axis activity^{24–26}. Given the average growth rate of hair by 1 cm per month²⁷, analysis of the 1 cm hair segment most proximal to the scalp allows a retrospective estimate of cumulative cortisol production over the past month. A meta-analysis aggregating data from 66 studies found that different groups exposed to chronic stress (e.g., caregiving stress, unemployment, natural disasters) showed overall elevated hair-cortisol levels by 22% compared to controls²².

Brain-derived neurotrophic factor (BDNF) is another important stress-related biomarker and a crucial factor for neuroprotection and synaptic plasticity²⁸. Several neurodegenerative and psychiatric disorders are associated with reduced BDNF levels in both the blood and the brain^{29,30}. It is thought that acute stress increases BDNF levels, whereas chronic stress leads to a downregulation of BDNF^{31,32}. The mixed results of previous studies on BDNF levels in tinnitus patients^{33–36} might be related to situational influences on BDNF measurement in blood. BDNF concentrations can also be measured in hair, which was shown in a pilot study³⁷. Measurement of hair-BDNF may offer a new approach to clarify the long-term neuroendocrine changes in chronic tinnitus and associations of BDNF with tinnitus-related distress.

In addition to the sampling material, another important issue is the handling of confounders, as both stress-related biomarkers and tinnitus characteristics can be influenced by a multiplicity of factors. The presence of many and correlated variables is associated with variable selection problems for multivariable regression modeling, which can cause selection bias, overfitting, and replication issues³⁸. Elastic net regression is a modern penalized regression procedure that addresses these issues. It counteracts collinearity and overfitting by introducing a penalty term and selection bias by performing automatic variable selection^{38,39}.

To date, hair-cortisol and hair-BDNF have not been studied in tinnitus. This study investigates hair-cortisol and hair-BDNF in chronic tinnitus patients and their associations with tinnitus-related and psychological factors while adequately controlling for confounding influences by elastic net regression. The main aim of the present study is to identify relationships of these biomarkers with tinnitus-related distress, as this might provide instructive new insights into their potential use as therapeutic efficacy measures. Biomarker measurement in hair and the use of this state-of-the-art methodological approach represent the strengths that set our study apart from previous research. Based on assumed long-term stress-related effects in chronic tinnitus patients, our hypotheses are that increased hair-cortisol levels and decreased hair-BDNF levels are associated with higher tinnitus-related distress.

Methods

In total, 94 chronic tinnitus patients volunteered to participate in this study (approx. 16% of treated inpatients) between December 2018 and March 2020 (data collection was stopped due to the COVID-19 pandemic). Inclusion/exclusion criteria are shown in Table 1. Of the recruited patients, one was excluded due to missing data on all questionnaires (hair sample not analyzed), two patients were excluded due to the hair-related criteria, and four patients were excluded due to missing BDNF values. Thus, the final sample consisted of $N = 91$ for cortisol and $N = 87$ for BDNF analyses. All participants were European, around two-thirds of the sample were female (65.9%) and participants' age ranged from 19 to 80 years ($M = 51.5$, $SD = 12$). All participants provided written informed consent. The study was approved by the local ethic commission of the Charité – Universitätsmedizin Berlin (No. EA1/035/16) and was carried out in accordance with the Declaration of Helsinki.

Cross-sectional measurements included the collection of hair samples and the completion of psychometric questionnaires on the same day and were performed at the Tinnitus Center. In addition, audiometric data were obtained from outpatient audiometric records. On average, audiometric testing was performed 70.14 days ($SD = 57.62$) prior to the other measurements.

Hair sampling. *Sample collection.* The median sampling time was 09:55 a.m. Samples were cut from the region of the posterior vertex as close to the scalp as possible. Scissors and other materials were cleaned with 70%

isopropyl alcohol between samples. Samples were wrapped in aluminum foil and stored at room temperature in a dark container. All samples were analyzed in summer/autumn 2020 (around 1.75 to 0.5 years after collection).

Cortisol and BDNF extraction and detection. Hair sample analyses for the detection of cortisol and BDNF in the 1-cm hair segment closest to the scalp followed the previously published laboratory protocol detailed in³⁷. Briefly summarized, it included the following steps: (1) segmentation and weighting (5–20 mg), (2) pulverization using a ball mill, (3) extraction procedures for cortisol (1 ml methanol per 10 mg pulverized hair, incubation, centrifugation, drying) and BDNF (220 µl citric acid per 10 mg pulverized hair, centrifugation, lyophilization), (4) quantification using ELISA following manufacturer instructions. According to the manufacturer, the intra- and inter-assay coefficients of variation are +3.7% and +8.5% for BDNF ELISA; and +4.3% and +13.2% for cortisol ELISA, respectively. In our study, the intra- and inter-assay coefficients of variation were +2.73% and 5.31 ± 3.35 for BDNF; and +1.91% and 7.49 ± 2.81 for cortisol. All but four BDNF values were inside the detection range.

Psychometric questionnaires. German versions of the following psychometric questionnaires were used.

Tinnitus questionnaire (TQ). The Tinnitus Questionnaire⁴⁰ is an instrument assessing tinnitus-related distress. It consists of 52 questions rated on a three-point Likert scale. Only the total score (sum over 40 items, with two items added twice) was used; for sample description, it was categorized based on clinical cut-off scores⁴⁰. Cronbach's alpha = 0.94.

Perceived stress questionnaire (PSQ-20; 20 item version). The Perceived Stress Questionnaire^{41,42} measures subjectively experienced stress and consists of 20 items rated on a four-point Likert scale. In the present study, the period surveyed has been changed from last month to last week. Only the total score (linear transformed mean over all items) was used; for sample description, it was categorized based on clinical norms from healthy adults⁴². Cronbach's alpha = 0.93.

Hospital anxiety and depression scale (HADS). The Hospital Anxiety and Depression Scale^{43,44} measures levels of anxiety and depression in the past week and consists of 14 items rated on a four-point Likert scale. The sum scores for anxiety and depression were used (comprising 7 items each); for sample description, they were categorized based on clinical cut-off scores⁴³. Cronbach's alpha: anxiety = 0.79; depression = 0.81.

Screening of somatoform disorders (SOMS; 7 days version). The Screening of Somatoform Disorders ("Screening für somatoforme Störungen")⁴⁵ is an instrument for recording somatoform symptoms, i.e., medically unexplained physical symptoms affecting the subject's well-being. The questionnaire consists of a list of symptoms (52 symptoms for women; 48 for men) and respondents are asked to indicate whether they have suffered from these symptoms in the last 7 days and rate the degree of associated impairment. The number of reported symptoms was used.

State-trait anxiety inventory (STAI): state anxiety. The scale of the State-Trait Anxiety Inventory (STAI)⁴⁶ which measures the current state anxiety (form X1) was used. The scale consists of 20 items on a four-point Likert scale, which were summed to form the total score. Cronbach's alpha = 0.93.

Posttraumatic diagnostic scale (PDS): event list. The traumatic event list of the Posttraumatic Diagnostic Scale (PSD)⁴⁷ was used to assess whether respondents had experienced relevant traumatic events in their past. It consists of 12 items reflecting highly stressful or traumatic experiences. For each event, respondents indicate whether they have experienced it (personally or as a witness). The number of experienced traumatic events was used.

Short form-12 health survey (SF-12). The Short Form-12 Health Survey ("Fragebogen zum Gesundheitszustand")^{48,49}, version 2, was used for the assessment of health-related quality of life. It consists of 12 items on a three- or five-point Likert scale. T-standardized scale values were calculated for the physical component summary and mental component summary using normative data for scoring⁴⁹; for sample description, they were dichotomously categorized (using 1 SD below average as cut-off value). Cronbach's alpha: physical component summary = 0.89; mental component summary = 0.87.

Tinnitus and hearing. Pure tone audiogram. Pure tone audiometry data were collected from outpatient audiometric records. Hearing thresholds had been measured for the frequencies from 0.25 to 8 kHz and were collected in 5-decibel (dB) intervals for each ear. The average hearing threshold (dB) at all frequencies (0.25, 0.5, 1, 2, 3, 4, 6, and 8 kHz) was calculated for each ear, and further averaged across both sides (if possible). For sample description (Table 2), the mean hearing threshold was categorized by severity⁵⁰.

Tinnitus pitch and loudness matching. Along with hearing thresholds, tinnitus matching data were collected from outpatient audiometric records. Prior to tinnitus matching, patients had been asked to indicate whether the tinnitus was currently audible, its location (left, right, bilateral), sound quality (more alike to pure tone or narrow-band noise), and approximate frequency range (high, medium, low). For the matching procedure, patients had been asked to indicate when a tone corresponded to their tinnitus, first in terms of frequency and then in

Variable	Mean (SD)/percentage (N)
Biomarkers	
Hair-cortisol (µg/dl)	0.054 (0.047)
Hair-BDNF (ng/ml); N = 87	77.81 (27.56)
Sociodemographic information	
Sex	Female: 65.9% (60)
Age	51.5 (12.0)
Marital status	Single: 28.6% (26) Cohabiting or married: 53.8% (49) Separated or divorced or widowed: 17.6% (16)
Education level ^a	Low: 16.5% (15) Medium: 35.2% (32) High: 48.4% (44)
Employment	Yes: 74.7% (68)
Psychometric questionnaires	
TQ total score: tinnitus-related distress	36 (16) Mild (0–30): 39.6% (36) Moderate (31–46): 37.4% (34) Severe (47–59): 12.1% (11) Very severe (60–84): 11.0% (10)
PSQ-20 total score: perceived stress	51.2 (18.8) Normal (≤50): 49.5% (45) Mild (51–66): 27.5% (25) Moderate (67–83): 22.0% (20) Severe (≥84): 1.1% (1)
HADS: anxiety	8 (4.1) Normal (0–7): 47.3% (43) Mild (8–10): 20.9% (19) Moderate (11–14): 28.6% (26) Severe (15–21): 3.3% (3)
HADS: depression	6.1 (3.8) Normal (0–7): 60.4% (55) Mild (8–10): 27.5% (25) Moderate (11–14): 12.1% (11) Severe (15–21): 0% (0)
SOMS: somatization	9.6 (7.1)
STAI total score: state anxiety	45.2 (11.3)
PDS: number of traumatic experiences	1.7 (1.4)
SF-12: physical component summary	41.9 (10.1) Normal/average (≥40): 60.4% (55) Impairments (<40): 37.4% (34) Missing: 2.2% (2)
SF-12: mental component summary	37.5 (10.1) Normal/average (≥40): 41.8% (38) Impairments (<40): 56.0% (51) Missing: 2.2% (2)
Tinnitus and hearing	
Matched tinnitus frequency (Hz)	5386.4 (2424.3) Missing: 27.5% (25)
Matched tinnitus loudness (dB)	39.1 (19.8) Missing: 27.5% (25)
Tinnitus: course?	Intermittent: 58.2% (53) Constant: 41.8% (38)
Tinnitus: onset associated with stress?	Yes: 49.5% (45)
Tinnitus: influenced by stress?	Yes: 79.1% (72)
Hyperacusis (self-report)	Yes: 80.2% (73)
Mean hearing threshold (dB) ^b	22.7 (13.0) No impairment (≤25 dB): 62.6% (57) Mild/slight impairment (26–40 dB): 30.8% (28) Moderate impairment (41–60 dB): 4.4% (4) Severe impairment (61–80 dB): 2.2% (2) Profound impairment (≥81 dB): 0% (0)
Use of hearing aids	Yes: 17.6% (16)
Covariates	
Season of sample collection	Winter: 48.4% (44) Spring: 16.5% (15) Summer: 24.2% (22) Autumn: 11.0% (10)
Continued	

Variable	Mean (SD)/percentage (N)
Time of sample collection	10:06 a.m. (51 min)
Frequency of hair washing per week	2.8 (1.6)
Regular use of hair products	Yes: 39.6% (36)
Hair color	Grey/white: 19.8% (17) Blonde/red: 34.9% (30) Brown/black: 45.3% (39) I do not know/missing: 5.5% (5)
Smoking	Yes: 12.1% (11)
Alcohol units per week ^c	2.1 (4)
Medications: hormone supplements	Yes: 9.9% (9)
BMI ^d	25.8 (4.6)
	Underweight (< 18.50): 2.2% (2)
	Normal (18.50 – 24.99): 41.8% (38)
	Overweight (25 – 29.99): 39.6% (36)
Obese (≥ 30): 16.5% (15)	
Shift work	Yes: 16.5% (15)
Physical activity score ^e	6.3 (6.6)
Sport	Less than 1 h a week: 35.2% (32)
	Regularly, 1–2 h a week: 44.0% (40)
	Regularly, 3–4 h a week: 15.4% (14)
	Regularly, more than 4 h a week: 5.5% (5)
Cups of coffee/tea per day	2.8 (1.9)

Table 2. Sample characteristics (N = 91). *BMI* Body-Mass-Index; *HADS* Hospital Anxiety and Depression Scale; *PDS* Posttraumatic Diagnostic Scale; *PSQ-20* Perceived Stress Questionnaire (20 item version); *SF-12* Short Form-12 Health Survey; *SOMS* Screening of Somatoform Disorders; *STAI* State-Trait Anxiety Inventory (State Anxiety); *TQ* Tinnitus Questionnaire. ^aEducation levels: low = elementary, secondary, or middle school; medium = high school or completed apprenticeship; high = university. ^bMean hearing threshold across all measured frequencies. Grading of hearing thresholds:⁵⁰. ^cAlcohol units consumed per week: one unit = 0.3 l beer or 0.2 l wine or shot glass of spirits. ^dBMI classification:⁵². ^ePhysical activity score: number of days per week on which participants are physically active times the duration of the physical activity (1 = less than 10 min, 2 = 10–30 min, 3 = 30–60 min, 4 = more than 60 min).

terms of loudness. Depending on the specified frequency range (low, medium, high), three different frequencies had been initially played for comparison and were narrowed down to two different frequencies after a positive response; the final match had to be confirmed twice by the patients. Once the frequency had been identified, the loudness was adjusted in 1-dB steps starting at the hearing threshold; the final match had to be confirmed twice by the patients. Matched frequency (Hz) and loudness (dB) were averaged across both sides for bilateral tinnitus if measurements from both sides were available. The absolute matched tinnitus loudness was used as opposed to the relative sensation level (loudness above hearing threshold), as the hearing threshold was collected in 5-dB steps and tinnitus loudness in 1-dB steps, which limited the accuracy of the sensation level measure. However, the average hearing threshold was included in the analyses to control for the effect of hearing impairment on the absolute tinnitus loudness. Moreover, matched tinnitus loudness was chosen instead of subjective loudness ratings because the latter appeared to be more influenced by distress levels in our sample. For N = 25 patients, tinnitus matching was not possible either because the tinnitus was not audible at the time of measurement, its sound quality could not be captured by pure tones or narrow-band noise, or because its frequency exceeded the measurement range (> 10 kHz).

Additional tinnitus or hearing-related information. Additional information on tinnitus or hearing-related aspects were assessed by self-report: intermittent vs. constant tinnitus, tinnitus onset associated with stress, tinnitus influenced by stress, hyperacusis, and hearing aid use.

Covariates. Covariates assessed by self-report included sociodemographic information (sex, age, marital status, education level, employment), hair care (frequency of hair washing per week, regular use of hair products, natural hair color), and health-related behavior (smoking, alcohol units consumed per week, hormone medications, cortisone medications, body-mass index (BMI), shift work, physical activity, sports, and cups of coffee/tea consumed per day). The use of cortisone medication was excluded as a predictor because only N = 4 gave an affirmative answer. Other recorded covariates were season and time of sample collection.

Statistical analysis. Statistical analyses and plotting were performed using R (version 4.0.0)⁵¹. For data preparation, the package “tidyverse” was used; for correlations “Hmisc” and “corrplot”; for elastic net regression, “caret” and “glmnet”; and “RANN” for k-nearest neighbor imputation. Hair-cortisol concentrations were log-transformed to establish normal distribution.

Descriptive analyses and correlations. For sample description (Table 2), absolute numbers and frequencies are reported for categorical variables and mean values and standard deviations (SD) for numerical variables, psychometric questionnaires are categorized using the respective cut-offs, and hearing threshold and BMI values are categorized using defined WHO cut-offs^{50,52}. For data exploration, Spearman correlations were calculated for continuous variables (missing values were deleted pairwise) and depicted in a correlation plot sorted by hierarchical clustering, see Supplementary Fig. S1.

Elastic net regression. Elastic net regression is a penalized linear regression method (a generalization of ridge and lasso regression) that performs shrinkage of correlated predictors and automatic variable selection^{38,39}. Elastic net regression uses two tuning parameters: alpha (mixing parameter), ranging from 0 = ridge regression to 1 = lasso regression, and lambda (regularization parameter), which determines the overall strength of shrinkage/penalization (see glmnet⁵³ vignette).

Two elastic net regression models with hair-cortisol and hair-BDNF levels as outcome variables were calculated. Predictors included psychometric questionnaire scores, matched tinnitus loudness and frequency, hearing threshold (audiometry), and other covariates; a total of 35 predictors for each model; see Table 2. Both outcome variables and predictors were standardized for better comparability of the results. For both outcomes, normality of residuals was met (visual check and Kolmogorov–Smirnov Test) and no predictors had zero or near-zero variance. Among predictors, one correlation of $r > 0.75$ (Spearman) was present: between tinnitus loudness and mean hearing threshold, $r = 0.79$, $p < 0.001$, $N = 66$. For both elastic net regression models, the dataset was randomly divided into a training dataset consisting of 70% of the data ($N = 66$ for cortisol; $N = 63$ for BDNF) on which the models were trained and a test dataset consisting of 30% of the data ($N = 25$ for cortisol; $N = 24$ for BDNF) on which the accuracy of the model predictions was tested. The data splitting ensured similar distributions of the outcome variables in the training and test datasets. The 70% to 30% split was chosen to obtain a sample size of $N > 20$ for the test data.

N-fold cross-validation was used to tune each elastic net model across 10 different lambda values and 10 different alpha values. The optimal model for each outcome was selected by minimizing the root mean square error (RMSE). Optimal regularization parameters for the prediction of cortisol were alpha = 0.4 and lambda = 0.28671; and for BDNF, alpha = 0.2, lambda = 0.14914; see Supplementary Fig. S2 and S3. Performance metrics (RMSE and R^2) of the optimal models on the training and test datasets and estimated standardized coefficient effects are reported as results. In addition, variable importance (VI), a scaled ranking from zero to 100 based on the coefficient estimates, was used to group the effects by magnitude (in VI quartiles). Only effects with $VI \geq 50$ were considered as main findings.

Imputation of missing values. Missing values on numeric predictors (27.5% on tinnitus matching data and 2.2% on SF-12) were imputed using k-nearest neighbor imputation. This method was chosen because it can handle different types of missing data. The remaining missing values on categorical predictors were 5.5% for natural hair color. Analyses were repeated in the subsample with complete tinnitus matching data (cortisol: $N = 66$, BDNF: $N = 63$) to assess possible influences of imputation.

Results

The variables examined in this study are summarized in Fig. 1. All measurements (biomarker sampling, psychometric questionnaires, tinnitus pitch and loudness matching, pure tone audiometry, and collection of other information) were performed on the entire sample; missing values for tinnitus matching data and SF-12 were imputed (see “Methods”). Because the main aim of this study was to investigate associations of hair-cortisol and hair-BDNF with tinnitus-related and psychological factors while controlling for confounding influences, the two biomarkers were investigated as outcome variables using elastic net regression, whereas all other assessed variables were used as predictors. These analyses included $N = 91$ for hair-cortisol and $N = 87$ for hair-BDNF; results are reported below and shown in Figs. 2 and 3. In addition, the analyses were repeated in the subsample with complete tinnitus matching data ($N = 66$) to assess the influences of imputation.

Sample description. The characteristics of the sample ($N = 91$) in terms of the assessed biomarkers, sociodemographic information, psychometric questionnaires, tinnitus/hearing as well as covariates are listed in Table 2. Approximately two-thirds of the sample were female (65.9%); on average, participants were middle-aged ($M = 51.5$, $SD = 12$); and most participants were cohabiting or married (53.8%), were employed (74.7%), and had medium (35.2%) to high (48.4%) levels of education; see Table 2.

Prediction of hair-cortisol. For the prediction of hair-cortisol, the strongest predictive effects ($VI > 75$) were found for *sampling in autumn* and *BMI* (positive), followed by effects ($VI > 50$) for *matched tinnitus loudness* (positive) and *smoking* (negative). The elastic net regression model explained 6% of the variance in hair-cortisol in the training data ($RMSE = 0.91$, $R^2 = 0.06$), and 10% of the variance in the test data ($RMSE = 1.11$, $R^2 = 0.10$).

All estimated standardized coefficient effects and their grouping by VI are displayed in Fig. 2. In detail, positive associations with hair-cortisol levels were found for *sampling in autumn* ($\beta = 0.160$, $VI = 100$), *BMI* ($\beta = 0.140$, $VI = 87.24$), *matched tinnitus loudness* ($\beta = 0.089$, $VI = 55.91$), *age* ($\beta = 0.031$, $VI = 19.52$), *consumed alcohol units per week* ($\beta = 0.011$, $VI = 6.66$), and *regular use of hair products* ($\beta = 0.008$, $VI = 5.00$). Negative associations with hair-cortisol levels were found for *smoking* ($\beta = -0.087$, $VI = 54.38$), *sampling in spring* ($\beta = -0.071$, $VI = 44.28$), *education level (linear relationship)* ($\beta = -0.052$, $VI = 32.71$), *PSQ-20* ($\beta = -0.024$, $VI = 14.86$), *HADS depression* ($\beta = -0.007$, $VI = 4.29$), and *hair color: blonde/red* ($\beta = -0.004$, $VI = 2.36$). Note: The interpretation of coefficient

Biomarkers	<ul style="list-style-type: none"> • Hair-Cortisol • Hair-BDNF
Psychometric Questionnaires	<ul style="list-style-type: none"> • Tinnitus-related distress: Tinnitus Questionnaire (TQ⁴⁰) • Perceived stress: Perceived Stress Questionnaire (PSQ-20^{41,42}) • Anxiety/Depression: Hospital Anxiety and Depression Scale (HADS^{43,44}) • Somatization: Screening of Somatoform Disorders (SOMS⁴⁵) • State anxiety: State-Trait Anxiety Inventory (STAI⁴⁶) • Traumatic experiences: Posttraumatic Diagnostic Scale, Event List (PDS⁴⁷) • Physical/mental health-related quality of life: Short Form-12 Health Survey (SF-12^{48,49})
Tinnitus and Hearing	<ul style="list-style-type: none"> • Tinnitus: Pitch and loudness matching • Hearing threshold: Pure tone audiogram • Intermittent vs. constant tinnitus, stress-related tinnitus, hyperacusis, hearing aid use
Other factors	<ul style="list-style-type: none"> • Sociodemographic information: Sex, age, marital status, education level, employment • Season and time of hair sampling • Hair care: Frequency of hair washing per week, use of hair products, natural hair color • Health-related factors/behavior: Smoking, alcohol, hormone/cortisone medications, BMI, shift work, physical activity, sports, caffeine

Figure 1. Overview of included study variables. Biomarkers (cortisol and BDNF measured in hair) were investigated as outcome variables while all other variables (psychometric questionnaires, tinnitus and hearing, covariates) were used as predictors.

effects obtained from elastic net regression is not different from ordinary least square multiple regression models. As an advantage, predictor effects can be directly compared in magnitude due to standardization.

Prediction of hair-BDNF. For the prediction of hair-BDNF, the strongest effects ($VI > 75$) were found for *hearing aid use*, *shift work* (positive), and *matched tinnitus loudness* (negative); followed by ($VI > 50$) *smoking*, *TQ score*, *number of experienced traumatic events* (negative), and *SF-12 physical component summary* (positive). The elastic net regression model explained 25% of the variance in hair-BDNF in the training data ($RMSE = 0.85$, $R^2 = 0.25$), and 28% of the variance in the test data ($RMSE = 0.98$, $R^2 = 0.28$).

All estimated standardized coefficient effects and their grouping by VI are displayed in Fig. 3. In detail, positive associations with hair-BDNF levels were found for: *hearing aid use* ($\beta = 0.267$, $VI = 100$), *shift work* ($\beta = 0.240$, $VI = 89.60$), *SF-12 physical component summary* ($\beta = 0.151$, $VI = 56.48$), *sport (linear relationship)* ($\beta = 0.117$, $VI = 43.89$), *marital status: separated/divorced/widowed* ($\beta = 0.110$, $VI = 41.05$), *hair color: blonde/red* ($\beta = 0.102$, $VI = 38.28$), *frequency of hair washing per week* ($\beta = 0.100$, $VI = 37.24$), *tinnitus onset associated with stress* ($\beta = 0.074$, $VI = 27.71$), *mean hearing threshold* ($\beta = 0.073$, $VI = 27.14$), *sampling in autumn* ($\beta = 0.063$, $VI = 23.64$), *BMI* ($\beta = 0.042$, $VI = 15.59$), *alcohol units consumed per week* ($\beta = 0.005$, $VI = 1.94$), *physical activity* ($\beta = 0.004$, $VI = 1.55$), and *regular use of hair products* ($\beta = 0.003$, $VI = 1.22$). Negative associations with hair-BDNF levels were found for: *matched tinnitus loudness* ($\beta = -0.247$, $VI = 92.22$), *smoking* ($\beta = -0.195$, $VI = 72.83$), *TQ score* ($\beta = -0.171$, $VI = 63.80$), *number of experienced traumatic events (PDS)* ($\beta = -0.136$, $VI = 51.02$), *sampling in spring* ($\beta = -0.092$, $VI = 34.49$), *matched tinnitus frequency* ($\beta = -0.064$, $VI = 24.07$), *constant tinnitus* ($\beta = -0.063$, $VI = 23.42$), *sport (quadratic relationship)* ($\beta = -0.056$, $VI = 20.81$), *hair color: brown/black* ($\beta = -0.055$, $VI = 20.56$), *HADS anxiety* ($\beta = -0.043$, $VI = 16.20$), *sampling in summer* ($\beta = -0.032$, $VI = 11.97$), *marital status: cohabiting/married* ($\beta = -0.029$, $VI = 11.02$), and *cups of coffee/tea per day* ($\beta = -0.029$, $VI = 10.86$).

Models without imputation of tinnitus matching data. Additional analyses in the subsample with complete tinnitus matching data ($N = 66$), showed that without imputed matching data, tinnitus loudness was

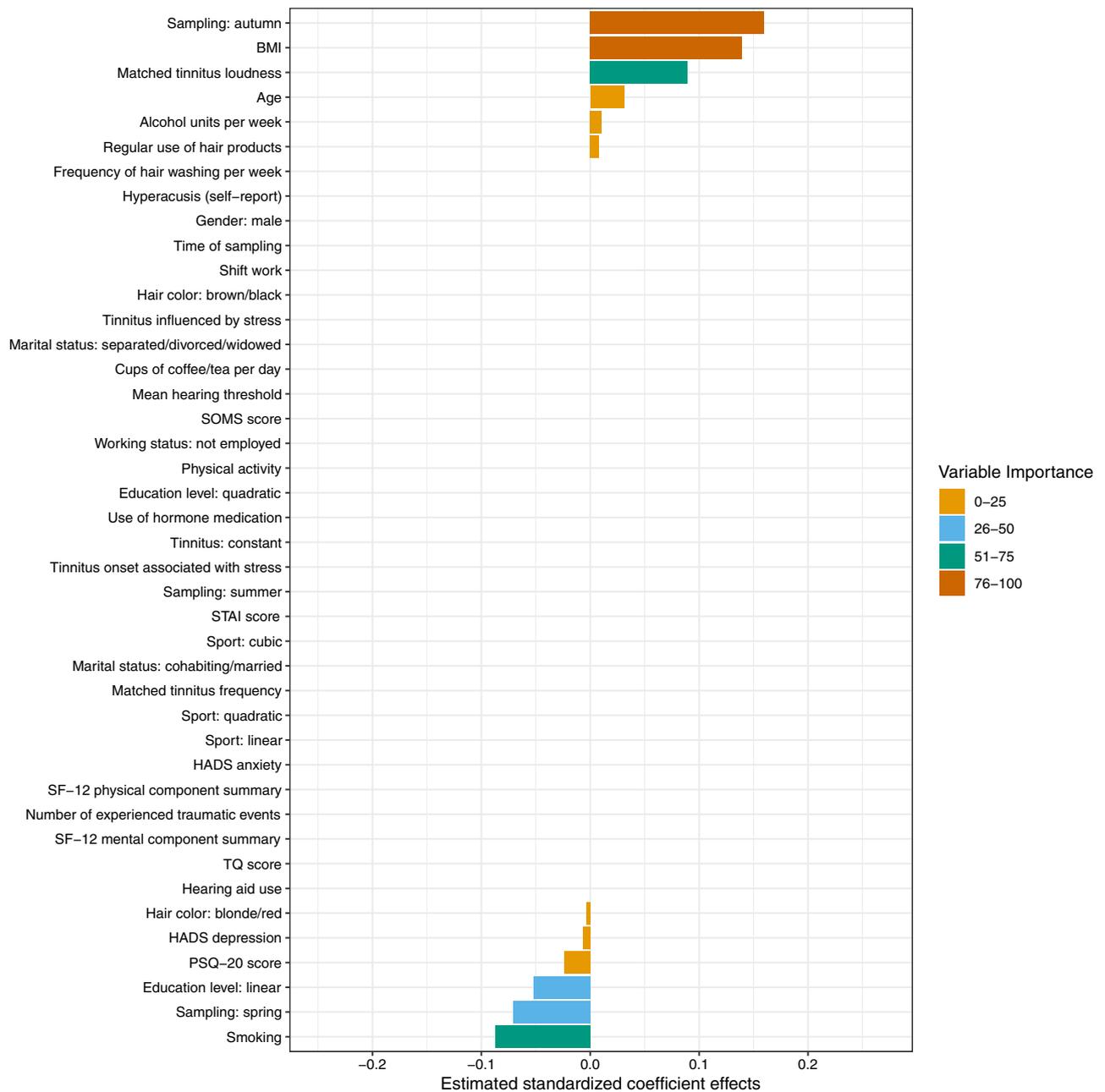


Figure 2. Estimated standardized coefficient effects by elastic net regression with n-fold cross-validation for the prediction of hair-cortisol in chronic tinnitus patients (training data: $N = 66$). *BMI* Body-Mass-Index; *HADS* Hospital Anxiety and Depression Scale; *PSQ-20* Perceived Stress Questionnaire (20 item version); *SF-12* Short Form-12 Health Survey; *SOMS* Screening of Somatoform Disorders; *STAI* State-Trait Anxiety Inventory (State Anxiety); *TQ* Tinnitus Questionnaire.

still identified as a predictor of hair-cortisol ($\beta = 0.041$, $VI = 26.18$) as well as of hair-BDNF ($\beta = -0.005$, $VI = 3.11$), but with lower variable importance.

Discussion

This study was the first to analyze hair-cortisol and hair-BDNF levels in chronic tinnitus patients. We assessed their associations with tinnitus matching data, tinnitus-related distress, psychometric measures, and hearing threshold while controlling for potential confounders by using elastic net regression. Our results show that in chronic tinnitus patients, tinnitus loudness is associated with both increased hair-cortisol and decreased hair-BDNF levels, and tinnitus-related distress is associated with decreased hair-BDNF levels. This suggests that loud and distressing chronic tinnitus is linked to substantial long-term alterations of HPA axis function and adaptive neuroplasticity. Additional findings for hair-cortisol were positive effects of sampling in autumn and BMI, and

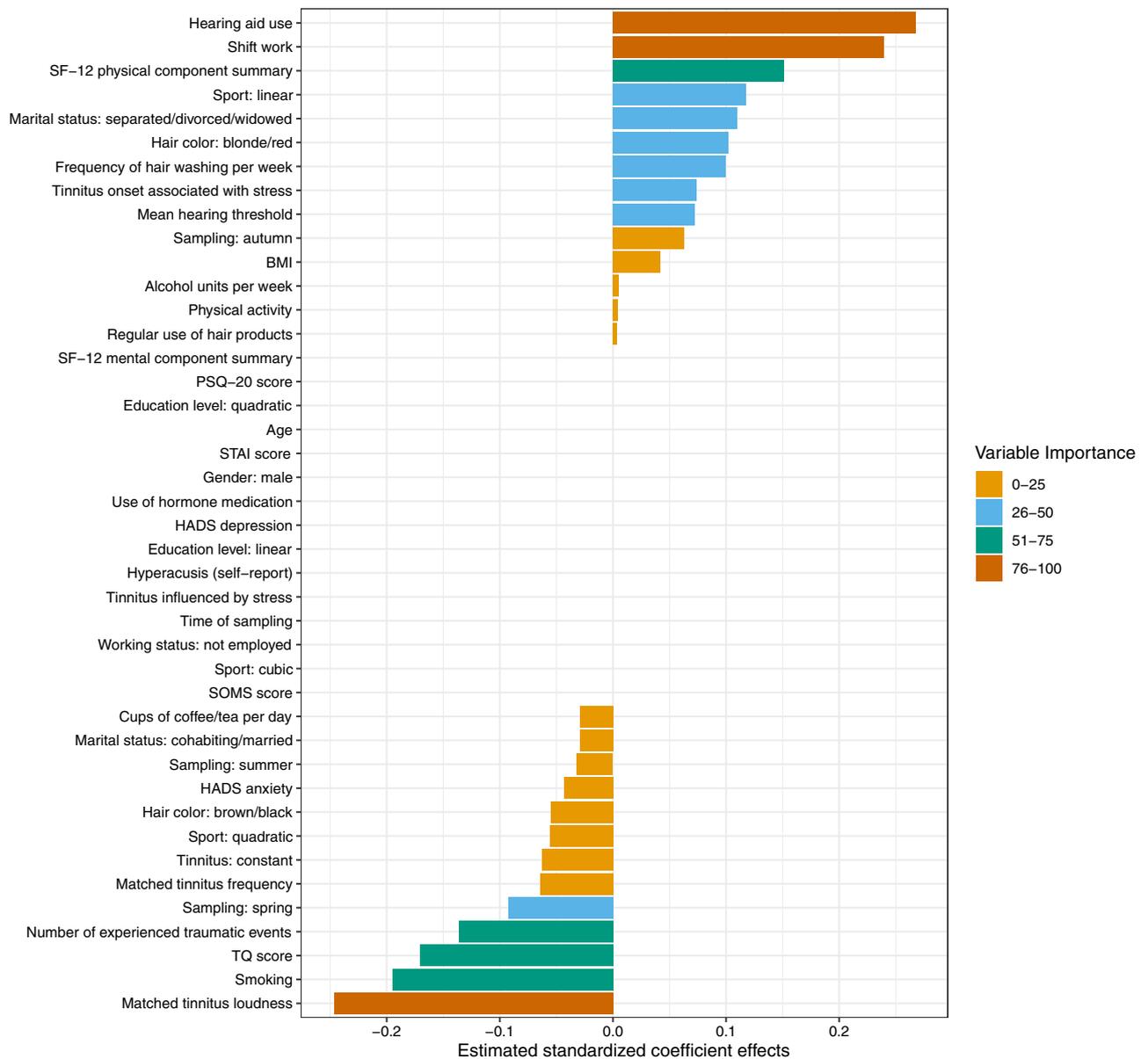


Figure 3. Estimated coefficient effects by elastic net regression with n-fold cross-validation for the prediction of hair-BDNF in chronic tinnitus patients (training data: N = 63). *BMI* Body-Mass-Index; *HADS* Hospital Anxiety and Depression Scale; *PSQ-20* Perceived Stress Questionnaire (20 item version); *SF-12* Short Form-12 Health Survey; *SOMS* Screening of Somatoform Disorders, *STAI* State-Trait Anxiety Inventory (State Anxiety); *TQ* Tinnitus Questionnaire.

a negative effect of smoking; for hair-BDNF, positive effects of hearing aid use, shift work, and higher physical health-related quality of life, and negative effects of smoking and previous traumatic experiences.

Hair-cortisol: effect of tinnitus loudness. The finding that patients with higher perceived tinnitus loudness have higher hair-cortisol levels suggests that these individuals may show substantial HPA axis dysregulation, comparable to long-term effects reported in other chronic stress-exposed groups²². This complements previous studies analyzing salivary cortisol in tinnitus patients, which indicate a tendency for decreased HPA axis responsiveness^{16–18}. In addition to increases in salivary cortisol and subjective stress levels after noise exposure,¹⁵ found that patients with high tinnitus-related distress show an increase in tinnitus intensity, indirectly providing support for our finding. Regarding the conflicting findings on blood-cortisol levels in tinnitus, our result is consistent with the negative association of loudness reported by²⁰, although in contrast, we did not observe any effect of tinnitus frequency. However, an important difference is that our result reflects long-term cortisol accumulation. Furthermore, no effect of the hearing threshold was identified, suggesting a hearing-independent effect of tinnitus loudness, apart from the limiting fact of generally normal hearing in our sample (63%).

Moreover, we did not find the expected relationship between tinnitus-related distress and hair-cortisol levels. This lack of association is consistent with findings on plasma cortisol in tinnitus by¹⁹. However, overall evidence regarding the relationship between hair-cortisol levels and subjective measures of perceived stress is inconsistent²². Mostly normal or mild perceived stress levels (77%) as well as predominantly normal depression (60%) and anxiety levels (47%) in our sample might explain the absence of psychological associations. Explained variance of hair-cortisol levels in the test data was comparatively low (10%), which may further indicate low modulatory influences on the HPA axis in our sample. Further indication for this assumption is the lack of an association between hair-cortisol and hair-BDNF levels, contrary to the observed negative association in the pilot hair-BDNF study in healthy stressed academics³⁷.

Hair-cortisol: effect of season, BMI, and smoking. Many confounding factors of hair-cortisol levels have been identified in the literature^{54,55}. In our study, samples collected in autumn showed higher, and samples collected in spring showed lower hair-cortisol levels than samples collected in winter (reference category). This indicates seasonal variations in hair-cortisol concentrations, in line with previous studies^{54–56}, yet the sample size was relatively small for both seasons (autumn: $N = 10$; spring: $N = 15$). In line with our result, a positive correlation between hair-cortisol and BMI is known from the literature^{22,23} and consistent with HPA axis dysregulation in obesity⁵⁷. Two review articles concluded the absence of an association between smoking and hair-cortisol levels^{22,23}, contrary to our negative result. The small smoking subsample size ($N = 11$) may have influenced this result. Overall, these findings highlight the importance to include confounding factors in hair-cortisol analysis.

Hair-BDNF: effect of tinnitus-related distress and traumatic experiences. As expected, higher tinnitus-related distress was related to lower hair-BDNF, consistent with findings by³⁴, where highly distressed tinnitus patients had lower serum BDNF levels than patients with mild distress. However, they found no difference between the high distress and the control group. Other previous studies measuring blood-BDNF in tinnitus did not find an association between tinnitus distress and BDNF levels in plasma³⁶ or serum^{33,35}. Our finding, however, should be more reflective of long-term effects than results from previous blood-BDNF measurements and is consistent with the expected negative effect of chronic stress on BDNF expression. This suggests hair-BDNF might be a useful biomarker to assess the clinical efficacy of treatments targeting tinnitus-related distress.

BDNF measured in hair may originate from blood circulation and from follicular epithelial cells³⁷. However, the relative contribution of these mechanisms is unclear. For cortisol, the main mechanism is considered to be incorporation into growing hair cells by diffusion from the bloodstream^{24,26}, and a recent study demonstrated, in agreement, that hair-cortisol represents circulating cortisol⁵⁸. BDNF is widely expressed in the brain, especially in the hippocampus³⁰, and 70–80% of circulating peripheral BDNF was found to originate from the brain⁵⁹. Moreover, blood BDNF levels are positively correlated with hippocampal BDNF levels in animals⁶⁰.

Further, animal studies showed that chronic stress leads to decreased BDNF (mRNA or protein) expression in the hippocampus^{61–63} as well as reduced volume of the hippocampus^{64–66}. Depression is likewise associated with reduced BDNF in the periphery (serum:^{67,68}) and reduced hippocampal volume⁶⁹. Additionally, postmortem brain-tissue analysis showed reduced BDNF levels in the hippocampus of suicide subjects^{70,71}.

Regarding tinnitus, both volume reductions^{72,73} and increases⁷⁴ of the hippocampus have been reported, which were, however, unrelated to tinnitus duration and severity^{73,74}. Conversely, ⁷⁵ found a negative correlation between tinnitus distress and the left hippocampal surface. For a better understanding of neurobiological changes in chronic tinnitus, further studies on the associations of neuroplasticity changes, especially of the hippocampus, with tinnitus-related distress and hair-BDNF levels are needed. Findings on severity-dependent short-term memory and learning performance reductions in tinnitus⁷⁶ are in line with the hypothesis of stress-related hippocampal neuroplasticity impairment in severe tinnitus.

BDNF has a complex role within the fear response circuitry⁷⁷. Initially elevated serum BDNF levels in PTSD patients might be followed by long-term reduction⁷⁸, consistent with our observed negative effect of traumatic experiences. Evidence further suggests that the BDNF Val66Met polymorphism, associated with deficient activity-dependent BDNF release⁷⁹, might modulate the sensitivity to stress and trauma⁷⁷. A recent study found that in tinnitus patients, the BDNF Val66Met polymorphism is associated with higher stress levels, higher levels of tinnitus-related distress, and activation/connectivity changes within a general distress network⁸⁰. Whether our observed effects of tinnitus-related distress and traumatic experiences on hair-BDNF levels are influenced by the Val66Met polymorphism could be an interesting future research question.

Hair-BDNF: effect of tinnitus loudness and hearing aid use. In contrast to the negative effect found for tinnitus loudness on hair-BDNF, previous blood-BDNF studies in tinnitus patients found no association between tinnitus loudness (tinnitus matching or visual analog scale) and serum or plasma BDNF levels^{33,35,36}. We assume this discrepancy can be attributed to long-term effects only captured by BDNF measurement in hair. However, imputation of tinnitus matching data may potentially have led to an overestimation of the effect, which was considerably smaller in the subsample with complete matching data.

Evidence indicates that hearing aid use leads to neuroplasticity changes in the brain⁸¹. Thus, our finding might potentially suggest that hearing aid use counteracts detrimental chronic stress-related neuroplasticity effects in severe chronic tinnitus. However, as the number of hearing aid users was relatively small ($N = 16$) and we additionally observed a small positive effect of the mean hearing threshold, no conclusions can be drawn and further investigation in a larger-scale study is clearly needed.

Hair-BDNF: effects of self-reported physical health-related quality of life, shift work, and smoking. Results of a meta-analysis indicate that regular exercise leads to subtle increases in peripheral

BDNF levels⁸². In agreement, we found a positive effect of self-reported physical health-related quality of life and a small positive effect of sports activity on hair-BDNF levels, supporting the association between BDNF and physical health. However, despite a negative correlation between hair-BDNF and somatization, consistent with³⁷, there was no effect of somatization when controlling for other influencing factors in the elastic net regression model. Other findings included effects of smoking and shift work but given the small number of smokers (N=11) and shift workers (N=15) and respective reliability issues, further research on these relationships is needed.

Limitations. This study has some limitations. First, model performance for predicting hair-BDNF levels was higher ($R^2=0.28$) than for hair-cortisol ($R^2=0.10$). Since the models were tested on a different part of the data than on which they were built, performance estimates should be relatively robust. Therefore, it may be that hair-cortisol levels were generally more stable than hair-BDNF levels or influenced by other, unmeasured factors. However, generally normal/mild perceived stress and psychological symptom levels in our sample might have influenced this result. Second, some findings were based on small subsample sizes (seasonal effects, smoking, hearing aid use, shift work) and thus might have limited validity. Third, tinnitus matching data were collected from audiometric records, with an average time difference of 1.83 months ($SD=1.85$) to the other measurements, which might have influenced the results. In addition, for $N=25$ (27.5%) tinnitus matching could not be performed, and these missing values were imputed using k-nearest neighbor imputation. In models without imputation of tinnitus matching data, tinnitus loudness was still identified as a predictor for both hair-cortisol and hair-BDNF, but the effects were smaller, especially for hair-BDNF. Together with the lack of a correlation between hair-BDNF and tinnitus loudness, the validity of the effect of tinnitus loudness on hair-BDNF may be limited. Fourth, we did not include a control group in the present study as it did not reflect the research aim; therefore, no information is available on whether hair-cortisol and hair-BDNF levels were altered in our chronic tinnitus sample compared to healthy individuals. Based on our results, we expect higher hair-cortisol and lower hair-BDNF levels in patients with loud and distressing chronic tinnitus than healthy controls, but this assumption remains to be tested. Lastly, the investigated clinical sample was heterogeneous, and we observed a small predictive effect of constant vs. intermittent tinnitus on hair-BDNF levels. Accordingly, the observed effects may be particularly relevant for the subgroup of individuals with constant tinnitus, and future research might aim to investigate respective differences.

Conclusions

In summary, we found that in chronic tinnitus patients, higher tinnitus loudness is associated with higher hair-cortisol and lower hair-BDNF levels, whereas higher levels of tinnitus-related distress are additionally associated with lower hair-BDNF levels. Effects were stronger for hair-BDNF than for hair-cortisol. Chronic tinnitus might be related to long-term changes in cortisol and BDNF expression, the strength of which may be moderated by perceived tinnitus loudness. High tinnitus-related distress and traumatic experiences appear to have additional detrimental effects on BDNF expression, whereas hearing aid use and high physical health-related quality of life appear beneficial. Results further highlight the importance of assessing confounders, like season, BMI, smoking, or shift work. The main implications of our findings are that cortisol levels measured in hair could serve as a biomarker of tinnitus loudness, whereas hair-BDNF levels might function as a presumably more sensitive biomarker of psychological or psychosomatic tinnitus-related distress in chronic tinnitus patients which could potentially be used to assess clinical treatment efficacy.

Data availability

The datasets generated and analysed during the current study are available from the corresponding author on reasonable request.

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Author contributions

L.B.: Project administration; Investigation; Formal analysis; Visualization; Writing—Original draft preparation. B.B.: Supervision; Writing—Reviewing and Editing. P.N.: Methodology; Supervision; Writing—Reviewing and Editing. P.B.: Writing—Reviewing and Editing. E.M.J.P.: Conceptualization; Resources; Project administration;

Writing–Reviewing and Editing, B.M.: Funding acquisition; Conceptualization; Resources; Project administration; Supervision; Writing–Reviewing and Editing.

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Rank	Full Journal Title	Total Cites	Journal Impact Factor	Eigenfactor Score
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9	BRITISH JOURNAL OF PSYCHIATRY	30,003	9.319	0.019160
10	SCHIZOPHRENIA BULLETIN	21,642	9.306	0.023290
11	JOURNAL OF CHILD PSYCHOLOGY AND PSYCHIATRY	25,273	8.982	0.021190
12	JOURNAL OF THE AMERICAN ACADEMY OF CHILD AND ADOLESCENT PSYCHIATRY	25,046	8.829	0.017190
13	Evidence-Based Mental Health	1,201	8.141	0.003220
14	NEUROPSYCHOPHARMACOLOGY	30,856	7.853	0.034600
15	PSYCHOLOGICAL MEDICINE	34,876	7.723	0.038850
16	BRAIN BEHAVIOR AND IMMUNITY	24,161	7.217	0.026930
17	Clinical Psychological Science	3,811	7.169	0.010420
18	Epidemiology and Psychiatric Sciences	2,571	6.892	0.005580
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31	EUROPEAN ARCHIVES OF PSYCHIATRY AND CLINICAL NEUROSCIENCE	5,451	5.270	0.005150
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34	INTERNATIONAL JOURNAL OF NEUROPSYCHOPHARMACOLOGY	7,865	5.176	0.008440
35	PROGRESS IN NEURO- PSYCHOPHARMACOLOGY & BIOLOGICAL PSYCHIATRY	13,777	5.067	0.013440
36	Therapeutic Advances in Psychopharmacology	961	5.000	0.001570
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50	JOURNAL OF CLINICAL PSYCHIATRY	21,978	4.384	0.015000
51	CANADIAN JOURNAL OF PSYCHIATRY-REVUE CANADIENNE DE PSYCHIATRIE	8,554	4.356	0.008550
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55	PSYCHOSOMATIC MEDICINE	14,749	4.312	0.008850
56	Frontiers in Psychiatry	13,383	4.157	0.027120
57	JOURNAL OF PSYCHOPHARMACOLOGY	8,158	4.153	0.010010
58	WORLD JOURNAL OF BIOLOGICAL PSYCHIATRY	3,122	4.132	0.003160
59	AMERICAN JOURNAL OF GERIATRIC PSYCHIATRY	9,399	4.105	0.008920
60	Journal of Eating Disorders	1,364	4.049	0.002940
61	INTERNATIONAL JOURNAL OF METHODS IN PSYCHIATRIC RESEARCH	4,339	4.035	0.003080
62	PSYCHOLOGY AND PSYCHOTHERAPY-THEORY RESEARCH AND PRACTICE	1,904	3.915	0.001770
63	INTERNATIONAL PSYCHOGERIATRICS	9,732	3.878	0.010150
64	International Journal of Mental Health and Addiction	3,487	3.836	0.003280



Psychological Treatment Effects Unrelated to Hair-Cortisol and Hair-BDNF Levels in Chronic Tinnitus

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Background: Currently, there are no objective markers to measure treatment efficacy in chronic (distressing) tinnitus. This study explores whether stress-related biomarkers cortisol and brain-derived neurotrophic factor (BDNF) measured in hair samples of chronic tinnitus patients change after compact multimodal tinnitus-specific cognitive behavioral therapy.

Methods: In this longitudinal study, hair-cortisol and hair-BDNF levels, self-reported tinnitus-related distress (Tinnitus Questionnaire; TQ), and perceived stress (Perceived Stress Questionnaire; PSQ-20) were assessed before and 3 months after 5 days of treatment in $N = 80$ chronic tinnitus patients. Linear mixed-effects models with backward elimination were used to assess treatment-induced changes, and a cross-lagged panel model (structural equation model) was used for additional exploratory analysis of the temporal associations between TQ and hair-BDNF.

Results: At follow-up, a reduction in TQ ($p < 0.001$) and PSQ-20 scores ($p = 0.045$) was observed, which was not influenced by baseline hair-cortisol or hair-BDNF levels. No changes in biomarker levels were observed after treatment. The exploratory analysis tentatively suggests that a directional effect of baseline TQ scores on hair-BDNF levels at follow-up (trend; $p = 0.070$) was more likely than the opposite directional effect of baseline hair-BDNF levels on TQ scores at follow-up (n.s.).

Discussion: While the treatment effectively reduced tinnitus-related distress and perceived stress in chronic tinnitus patients, this effect was not mirrored in biological changes. However, the lack of changes in hair-cortisol and hair-BDNF levels might have been influenced by the treatment duration, follow-up interval, or confounding medical factors, and therefore must be interpreted with caution. The relationship between tinnitus-related distress and hair-BDNF levels should be explored further to obtain a better understanding of stress-related effects in chronic tinnitus.

Keywords: chronic tinnitus, stress, treatment, cognitive behavioral therapy (CBT), biomarker, cortisol, brain-derived neurotrophic factor (BDNF)

INTRODUCTION

Tinnitus is the subjective perception of a sound in absence of an external source. Chronic tinnitus is a frequent phenomenon with prevalence estimates in adults ranging up to 15% (1). In many affected individuals, tinnitus leads to considerable distress; constituting a big or very big problem for 7% and a moderate problem for 20% (2). Tinnitus associated with suffering can be conceptualized as “tinnitus disorder” (3) and is known to be influenced by personal vulnerability-stress interactions (4).

Currently, no existing treatment option can eliminate the tinnitus percept. However, the negative impact of tinnitus on the quality of life (QoL) in tinnitus patients can be reduced by cognitive behavioral therapy (5, 6). Cognitive behavioral therapy is a widely studied, evidence-based therapeutic approach that can be used for the treatment of various mental health problems (7). In the clinical care of tinnitus patients, cognitive behavioral therapy is focused on addressing dysfunctional cognitions, behaviors, and emotions related to tinnitus (which negatively affect the QoL) through cognitive restructuring and behavioral modification (5, 8). Because of the complex and multifactorial etiology and maintenance of chronic tinnitus, cognitive behavioral therapy-based multidisciplinary treatment approaches are recommended (9, 10). Multidisciplinary interventions for chronic tinnitus with cognitive behavioral therapy elements were found to be effective and have stable long-term effects (11–14).

At present, treatment efficacy can only be assessed by subjective measures; commonly, psychometric questionnaires are used (15, 16). Objective measures of treatment efficacy, e.g., biomarkers that are sensitive to distress-related treatment responses in individuals suffering from chronic tinnitus, would be highly useful, as they could provide objective criteria for the evaluation and comparison of treatment approaches.

Stress-related biomarkers such as cortisol are traditionally mainly quantified in biological fluids (saliva, blood, or urine) but can also be measured in hair. Hair sampling has the advantage of being non-invasive, less influenced by situational factors, and allowing direct measurement of long-term concentrations (cumulative concentrations over one or several months) without requiring repeated sampling (17).

Hair-cortisol is an established stress-related measure of cumulative cortisol secretion (18). However, the results of individual studies on its association with self-reported levels of perceived stress are not always conclusive (18).

Brain-derived neurotrophic factor (BDNF) is another stress-related marker that can be measured in hair (19). Among the important functions of BDNF is its involvement in neuroprotection and synaptic plasticity (20). Animal research has shown that BDNF expression is strongly affected by stress (21, 22). Moreover, peripheral BDNF levels appear to be decreased in stress-related mood disorders (23–25) and reduced BDNF expression may be involved in their pathogenesis (22). Peripheral BDNF levels have been shown to increase after antidepressant treatment in patients with major depressive disorder (23, 24, 26) and after mindfulness-based interventions in different study populations (27).

We previously investigated cross-sectional relationships between tinnitus loudness and distress with hair-cortisol and hair-BDNF in a sample of chronic tinnitus patients and observed a negative association between tinnitus-related distress and hair-BDNF (28), suggesting that hair-BDNF might be treatment-sensitive to psychological interventions in chronic tinnitus. The objective of the present longitudinal analysis of the same sample is to investigate treatment-induced changes in hair-cortisol and hair-BDNF levels to explore, for the first time, their potential as biomarkers of treatment efficacy.

This study has four research questions. (1) Whether tinnitus-related distress and perceived stress are reduced after compact multimodal tinnitus-specific cognitive behavioral therapy; which we expect to find based on previous studies that used a similar treatment approach (11–14). (2) Whether hair-cortisol or hair-BDNF levels show measurable and meaningful changes after the intervention. Based on our previous cross-sectional findings (28), suggesting that hair-cortisol is relatively independent of psychological factors in chronic tinnitus, no directional hypothesis was specified for hair-cortisol. However, based on the observed association with tinnitus-related distress, we expect hair-BDNF levels to increase in parallel with treatment-induced reductions in tinnitus-related distress. (3) Furthermore, we aim to identify which factors (sociodemographic, psychological, biological, tinnitus-/hearing-related, lifestyle, or hair-related) influence the outcome variables and respective treatment effects (questions 1 and 2). Linear mixed-effects models with backward elimination for each outcome will be used to address these research questions. (4) Based on the obtained results, an additional exploratory research question is to further investigate the temporal relationships between identified associated psychological and biological factors. Cross-lagged panel analysis will be used to assess such temporal relationships, accounting for the stability of the investigated factors over time.

MATERIALS AND METHODS

Study Design and Sample

Between December 2018 and March 2020, 94 chronic tinnitus inpatients volunteered to participate in this study, which consisted of three measurements: (1) before and (2) directly after 5 days of compact multimodal tinnitus-specific cognitive behavioral therapy, which is the current standard clinical treatment for chronic tinnitus offered at the Tinnitus Center of Charité – Universitätsmedizin Berlin, and (3) a 3-month follow-up measurement (lasting until June 2020). Baseline data of the present study ($N = 91$ for hair-cortisol, $N = 87$ for hair-BDNF) have been previously analyzed in cross-section (28).

The baseline measurement included the collection of hair samples and psychometric questionnaires (day of treatment begin); additionally, pure tone audiograms and tinnitus matching data were collected from audiometric records (most recent measurement before treatment begin; $M = 73.8$ days prior, $SD = 59.41$). The second measurement, performed approx. 5 days later (directly after treatment end), only included

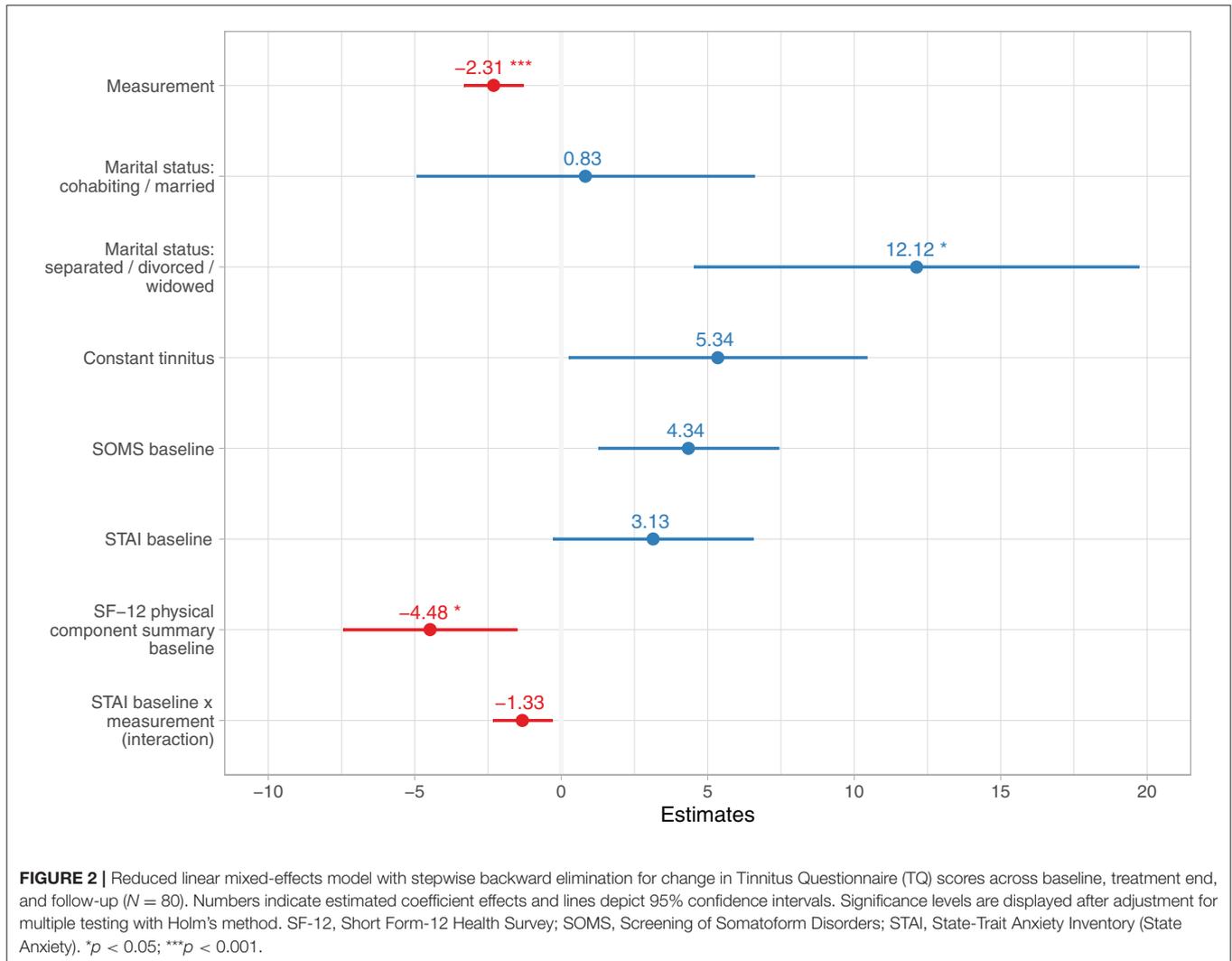
		Baseline	Treatment end (+5 days)	Follow-up (+3 months)
Biomarkers	• Hair-cortisol	X		X
	• Hair-BDNF	X		X
Psychometric questionnaires	• TQ: tinnitus-related distress	X	X	X
	• PSQ-20: perceived stress	X	X	X
	• HADS: anxiety and depression	X	X	X
	• SOMS: somatization	X		X
	• STAI: state anxiety	X		X
	• PDS: traumatic experiences	X		
	• SF-12: physical and mental health-related quality of life	X	X	X
Tinnitus and hearing	• Tinnitus frequency and loudness (matching data)	X		
	• Hearing threshold (audiogram)	X		
	• Tinnitus/hearing-related characteristics	X		
Covariates	• Sociodemographic information	X		X
	• Season and time of sampling	X		X
	• Hair-related criteria/hair care	X		X
	• Health-related factors/behavior	X		X

FIGURE 1 | Overview of all collected study variables across measurements (baseline, treatment end, and follow-up). BDNF, Brain-Derived Neurotrophic Factor; HADS, Hospital Anxiety and Depression Scale; PDS, Posttraumatic Diagnostic Scale; PSQ-20, Perceived Stress Questionnaire (20 item version); SF-12, Short Form-12 Health Survey; SOMS, Screening of Somatoform Disorders; STAI, State-Trait Anxiety Inventory (State Anxiety); TQ, Tinnitus Questionnaire.

psychometric questionnaires. The third measurement performed approx. 3 months later (M = 93.81 days, SD = 11.94), included hair sample collection and psychometric questionnaires. All collected variables are summarized in **Figure 1**. Primary outcomes were tinnitus-related distress, perceived stress, hair-cortisol, and hair-BDNF.

Inclusion criteria were “diagnosis of chronic subjective tinnitus”, “age ≥ 18 years”, and “written informed consent”

(28). Exclusion criteria were “inability to consent due to serious mental or physical impairments”, “simultaneous participation in other research studies”, “hair length < 3 cm”, “any chemical hair treatment within 1 month prior to sampling (dyeing, bleaching, perming, or else)”, “hair washing or the use of hair products (hair mousse, hair gel, hair wax, hair spray) within 3 days prior to sampling”, and “hair combing on the day of sampling” (28).



One patient was excluded due to missing questionnaire data at baseline, three patients were excluded due to hair-related criteria (at baseline or follow-up), four patients did not complete the follow-up measurement (due to the associated effort), and six patients were excluded due to incomplete biomarker measures. The final sample size was $N = 80$. The remaining missing values, mostly of tinnitus matching data, were imputed (see Section Linear Mixed-Effects Models).

All participants were European; on average, 50.96 years old ($SD = 11.72$), and 66.25% ($N = 53$) were female. The study was approved by the local ethic commission of Charité – Universitätsmedizin Berlin (No. EA1/035/16) and all participants provided written informed consent.

Compact Multimodal Tinnitus-Specific Cognitive Behavioral Therapy

The treatment took place over 4.78 days on average ($SD = 1.10$, range: 4–9), had a tinnitus-specific cognitive behavioral therapy focus (individual and group treatment sessions), and

included the following other modalities: education, counseling, otorhinolaryngological and general medical diagnostics, auditory attention training, relaxation, and physiotherapeutic sessions.

Psychometric Questionnaires and Covariates

The following psychometric questionnaires were used (German versions):

- *Tinnitus Questionnaire* (TQ) (29).
- *Perceived Stress Questionnaire* (PSQ-20; 20 item version) (30, 31).
- *Hospital Anxiety and Depression Scale* (HADS) (32, 33).
- *Screening of Somatoform Disorders* (SOMS; 7 days version) (34).
- *State-Trait Anxiety Inventory* (STAI) – *State Anxiety* (35).
- *Posttraumatic Diagnostic Scale* (PDS) – *Event List* (36).
- *Short Form-12 Health Survey* (SF-12; version 2) (37, 38).

Covariates included sociodemographic information, information on hair care, and health-related behavior [see (28)].

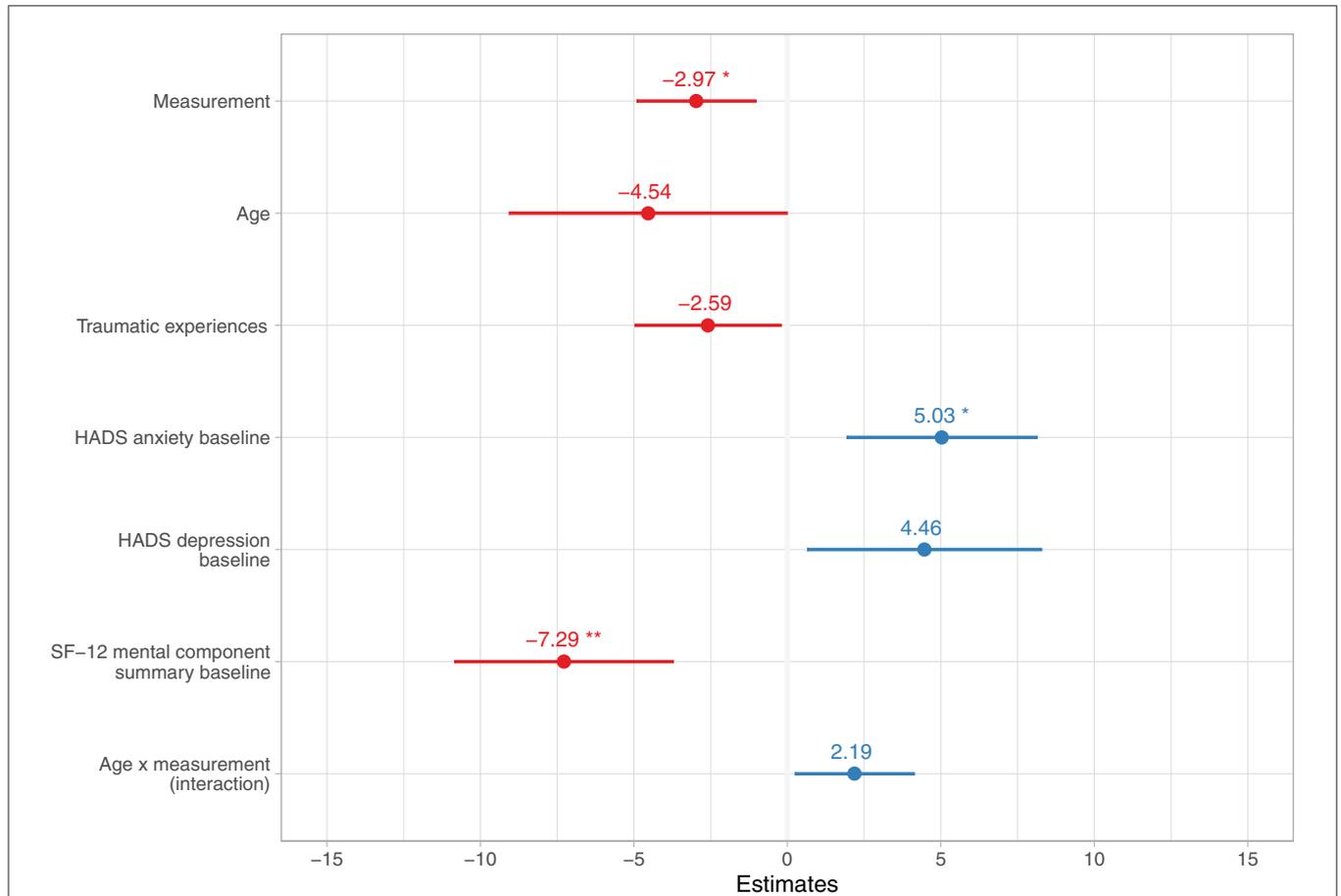


FIGURE 3 | Reduced linear mixed-effects model with stepwise backward elimination for change in Perceived Stress Questionnaire (PSQ-20) scores across baseline, treatment end, and follow-up ($N = 80$). Numbers indicate estimated coefficient effects and lines depict 95% confidence intervals. Significance levels are displayed after adjustment for multiple testing with Holm's method. HADS, Hospital Anxiety and Depression Scale, SF-12, Short Form-12 Health Survey. * $p < 0.05$; ** $p < 0.01$.

Audiometry (Hearing Threshold and Tinnitus Pitch and Loudness Matching)

The mean hearing threshold at the frequencies 0.25, 0.5, 1, 2, 3, 4, 6, and 8 kHz measured by pure tone audiogram was calculated and averaged across ears if possible. The matched tinnitus frequency (Hz) and absolute loudness (dB) were averaged for bilateral tinnitus. Tinnitus pitch and loudness matching could not be performed in 21 patients [see (28)].

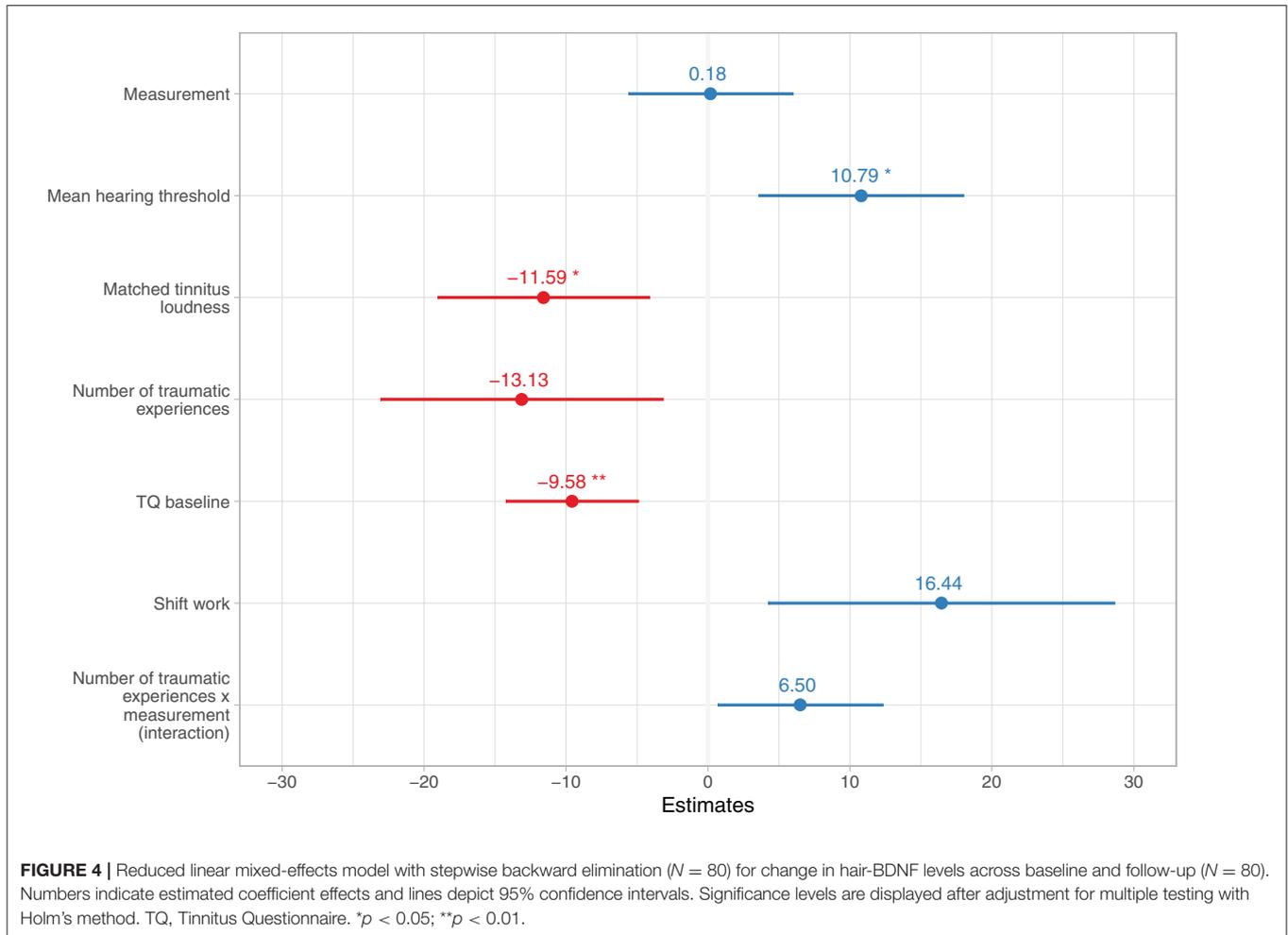
Hair Sampling

Hair samples were cut with scissors from the region of the posterior vertex, as close to the scalp as possible. The median sampling time was 09:55 a.m. at baseline and 10:15 a.m. at follow-up. Samples were stored (in a dark container at room temperature) until analysis in summer/autumn 2020. The most proximal 1-cm hair segment was analyzed, one month prior to sampling. Cortisol and BDNF quantification was performed using commercial kits and followed the previously described laboratory protocol (19). According to the manufacturer, the sensitivity of the cortisol ELISA is 0.005 $\mu\text{g/dl}$ (standard range

0.15–30 ng/ml) and of the BDNF ELISA 15.6 pg/ml (standard range 0–1000 pg/ml; BDNF measurements were performed in a dilution of 1:1000). The intra- and inter-assay coefficients of variation as stated by the manufacturer are +4.3 and +13.2% for cortisol ELISA, and +3.7 and +8.5% for BDNF ELISA, respectively. In our study, the intra- and inter-assay coefficients of variation were +1.91% and 7.49 ± 2.81 for cortisol, and +2.73% and 5.31 ± 3.35 for BDNF. All but seven BDNF values were within the detection range.

Statistical Analysis

Analyses were performed using R (version 4.0.0) (39). Hair-cortisol values were log-transformed to establish normal distribution. For descriptive analyses, biomarker values between participants using antidepressant medication and the rest of the sample were compared using two-sample t -tests. To address research questions 1–3, linear mixed-effects models were calculated for TQ, PSQ-20, hair-cortisol, and hair-BDNF as outcome variables, and these models were reduced by backward elimination to identify relevant predictors. For research question



4, cross-lagged panel analysis was used. The following packages were used for linear mixed-effects models: “lme4” for model building; “lmerTest” for backward elimination; “multcomp” for significance testing; “MuMIn” for estimates of marginal and conditional R^2 , “sjPlot” for fixed effects plots; “glmmTMB” for diagnostic plots. For imputation of missing values “DMwR2” was used and for the cross-lagged panel analysis the packages “lavaan” and “semPlot”. The significance threshold was set to $p < 0.05$.

Linear Mixed-Effects Models

Numeric predictors were centered and scaled. Missing values were imputed with k -nearest neighbor imputation (see below).

Model Building and Selection

First, the “full” model was estimated including all predictors of interest and their respective interaction terms with “measurement” (baseline, treatment end, and follow-up for psychometric questionnaires; baseline and follow-up for biomarkers) as fixed effects. For TQ and PSQ-20, selected predictors included sociodemographic factors, tinnitus- and hearing-related factors, psychometric factors, and biomarker scores at baseline, as well as interaction terms of all these baseline factors with the measurement variable. For

hair-cortisol and hair-BDNF, selected predictors included sociodemographic factors, tinnitus- and hearing-related factors, tinnitus matching (loudness/frequency), psychometric factors and covariates, either at baseline or both measurements, as well as interaction terms of all baseline factors with the measurement variable; for time-varying covariates, no interaction terms were included. Covariates for the biomarker models were selected based on cross-sectional results (28).

Second, the random-effects structure was determined by comparing random intercept models with random intercept and slope models. For the prediction of TQ scores, no significant difference was present between the random intercept and random intercept and slope models, $\chi^2_{(2)} = 1.20$, $p = 0.549$. For the other outcomes, the comparison was not possible due to singular fit (PSQ-20) or an insufficient number of observations (hair-cortisol and hair-BDNF) for estimation of the respective random intercept and slope models. Consequently, for all outcomes, the more parsimonious random intercept model was chosen. Lastly, automated backward elimination was performed to obtain the final reduced model.

Models were fitted using restricted maximum likelihood (REML) (40). For significance testing, z -tests were used

TABLE 1 | Summary statistics of numeric variables (N = 80).

Variable	Baseline				Treatment end				Follow-up			
	Mean	SD	Min	Max	Mean	SD	Min	Max	Mean	SD	Min	Max
TQ total score: tinnitus-related distress	34.70	15.61	3	79	31.88	15.11	2	75	30.08	15.74	0	79
PSQ-20 total score: perceived stress	51.79	19.10	8.33	86.67	41.48	21.07	0	88.33	45.85	20.42	3.33	85
Cortisol µg/dl	0.052	0.042	0.004	0.211					0.046	0.045	0.004	0.288
BDNF ng/ml	78.35	28.08	12.62	130.03					78.53	28.89	16.40	130.13
Age	50.96	11.72	19	75								
Mean hearing threshold (dB)	21.64	12.77	4.69	71.56								
Matched tinnitus frequency (Hz) (N = 59)	5,491.53	2,422.49	250	10,000								
Matched tinnitus loudness (dB) (N = 59)	37.99	20.06	5	79								
HADS: anxiety	7.90	4.13	0	18	7.19	4.07	0	17	6.85	3.78	0	16
HADS: depression	5.97	3.94	0	14	5.42	3.87	0	13	6.16	4.19	0	16
SF-12: physical component summary (N = 79/78/80)	42.08	10.24	16.05	59.08	44.51	9.56	18.76	59.08	43.20	9.58	18.75	59.08
SF-12: mental component summary (N = 79/78/80)	37.75	10.63	16.05	57.53	43.70	10.03	19.16	59.22	39.57	9.85	17.63	57.53
SOMS: somatization	9.22	7.06	0	29					9.20	6.44	0	24
STAI total score: state anxiety	44.42	11.25	26	75					41.23	11.30	23	70
PDS: number of traumatic experiences	1.68	1.34	0	5								
Frequency of hair washing per week	2.91	1.66	1	8								
Alcohol units per week ^a	2.12	4.02	0	21					2.65	3.24	0	18
BMI	25.75	4.55	17.62	41.38					25.42	4.18	17.93	37.13
Physical activity score ^b	6.04	5.95	0	24					6.26	5.52	0	28
Cups of coffee/tea per day	2.84	1.90	0	9					2.60	1.86	0	8

^a Alcohol units consumed per week: one unit = 0.33 (beer or 0.2 l wine or shot glass of spirits). ^b Physical activity score: number of days per week on which participants are physically active times the duration of the physical activity (1 = less than 10 min., 2 = 10–30 min., 3 = 30–60 min., 4 = more than 60 min). BDNF: Brain-Derived Neurotrophic Factor; BMI: Body Mass Index; HADS: Hospital Anxiety and Depression Scale; PDS: Posttraumatic Diagnostic Scale; PSQ-20: Perceived Stress Questionnaire (20 item version); SF-12, Short Form-12 Health Survey; SOMS, Screening of Somatoform Disorders; STAI, State-Trait Anxiety Inventory (State Anxiety); TQ, Tinnitus Questionnaire.

(41); *p*-values were adjusted for multiple testing (see below). Model equations, model fit, fixed effects estimates with 95% confidence intervals, and random effect variance of the full and reduced models for each outcome can be found in **Tables 3–6**. Fixed effects estimates with 95% confidence intervals of the reduced models are displayed in **Figures 2–4** and test statistics of significant effects after adjustment are reported. Diagnostic plots for each outcome can be found in the **Supplementary Figures 1–4**.

Imputation

Imputation of missing values was performed before model building using k-nearest neighbor imputation. The following missing values were imputed: *N* = 21 for tinnitus loudness and frequency, *N* = 5 for hair color, *N* = 3 for SF-12, and *N* = 1 for hearing aid use. The high correlation of tinnitus loudness with mean hearing threshold was preserved after imputation (without imputation: Spearman *r* = 0.798, *p* < 0.001, with imputation: *r* = 0.803, *p* < 0.001), see **Supplementary Figure 5**.

Adjustment for Multiple Testing

All *p*-values of the fixed effects of all four reduced models (28 effects in total) were adjusted for multiple testing using Holm's method (42) (using "p.adjust"), as this method is more powerful than Bonferroni correction (43).

Exploratory Analysis: Cross-Lagged Panel Model

As an exploratory analysis (research question 4) based on the obtained results, a cross-lagged panel model was calculated to investigate temporal relations between tinnitus-related distress and hair-BDNF levels in structural equation modeling framework using maximum likelihood estimation with robust standard errors. Previously identified influencing factors on TQ and BDNF levels from the reduced linear mixed-effects models were included as control variables. Standardized estimates (based on latent variable variance), standard errors, and *p*-values are reported. Due to the exploratory nature of this analysis, *p*-values were not adjusted.

RESULTS

Sample Description

Sample characteristics across measurements are summarized in **Table 1** (numeric variables) and **Table 2** (categorical variables). Musculoskeletal symptoms like muscular imbalance (*N* = 46, 58.23%), segmental joint dysfunction (*N* = 46, 58.23%), chronic cervical syndrome (*N* = 44, 55.70%), craniomandibular/temporomandibular dysfunction (*N* = 31, 39.24%), and bruxism (*N* = 35, 44.30%), were common comorbidities in the sample (*N* = 79). None of the participants suffered from endocrine conditions with altered cortisol production (Cushing syndrome or Addison's disease) or from neurodegenerative diseases associated with changes in cortisol and BDNF levels like Alzheimer's disease, Parkinson's disease, or Huntington's disease (44, 45). Past substance abuse was reported by *N* = 2 participants (2.53%). Eleven patients

TABLE 2 | Summary statistics of categorical variables (*N* = 80).

Variable	Baseline		Follow-up	
	N	%	N	%
Sex: female	53	66.25		
Education level ^a				
Low	13	16.25		
Medium	29	36.25		
High	38	47.50		
Marital status				
Single	22	27.50	20	25
Cohabiting / married	44	55	45	56.25
Separated / divorced / widowed	14	17.50	15	18.75
Employment: yes	62	77.50	58	72.50
Tinnitus type				
Intermittent	49	61.25	43	53.75
Constant	31	38.75	37	46.25
Tinnitus onset associated with stress: yes	43	53.75		
Tinnitus influenced by stress: yes	64	80	77	96.25
Hyperacusis (self-report): yes	62	77.50	68	85
Use of hearing aids: yes	14	17.50	18	22.50
Missing			1	1.25
Season of sample collection				
Winter	40	50	11	13.75
Spring	12	15	40	50
Summer	18	22.50	15	18.75
Autumn	10	12.50	14	17.50
Regular use of hair products: yes	28	35		
Hair color				
Gray / white	15	18.75		
Blonde / red	27	33.75		
Brown / black	33	41.25		
I don't know / missing	5	6.25		
Smoking: yes	10	12.50	11	13.75
Shift work: yes	14	17.50		
Sport				
Less than 1 h a week	29	36.25	24	30
Regularly, 1–2 h a week	34	42.50	30	37.50
Regularly, 3–4 h a week	14	17.50	20	25
Regularly, more than 4 h a week	3	3.75	6	7.50

^aEducation levels: low = elementary, secondary or middle school; medium = high school or completed apprenticeship; high = university.

(14.10%) were using antidepressants; their baseline hair-BDNF (*M* = 69.01, *SD* = 27.93 vs. *M* = 79.61, *SD* = 28.40; $t_{(76)} = -1.15$, *p* = 0.254) and (log-transformed) hair-cortisol values (*M* = -1.23, *SD* = 0.27 vs. *M* = -1.44, *SD* = 0.35; $t_{(76)} = 1.93$, *p* = 0.057) did not significantly differ from the rest of the sample (*N* = 67).

Linear Mixed-Effects Models

Tinnitus Questionnaire (TQ): Reduction Across Baseline, Treatment End, and Follow-Up

To investigate the change in tinnitus-related distress as measured by the TQ (research question 1) and relevant modulating

TABLE 3 | Full and backward reduced linear mixed-effects models for change in Tinnitus Questionnaire (TQ) scores across baseline, treatment end, and follow-up ($N = 80$).

	Full model	Backward reduced model
	Fixed effects estimates (95% confidence intervals)	
Measurement	-2.58 (-6.85, 1.68)	-2.31*** (-3.31, -1.31)
Sex: male (vs. female)	-1.17 (-9.30, 6.96)	
Age	-0.07 (-5.28, 5.14)	
Cohabiting / married (vs. single)	0.59 (-9.76, 10.95)	0.83 (-4.90, 6.56)
Separated / divorced / widowed (vs. single)	9.77 (-3.48, 23.02)	12.12* (4.58, 19.67)
Education: linear	-1.80 (-9.72, 6.13)	
Education: quadratic	-1.06 (-7.81, 5.69)	
Employment: no (vs. yes)	5.36 (-4.29, 15.02)	
Mean hearing threshold	-1.29 (-6.81, 4.23)	
Tinnitus onset associated with stress: yes (vs. no)	3.90 (-4.01, 11.80)	
Constant tinnitus (vs. intermittent)	7.11 (-0.64, 14.87)	5.34 (0.29, 10.40)
Tinnitus influenced by stress: yes (vs. no)	-1.50 (-11.83, 8.84)	
Hearing aids: yes (vs. no)	1.36 (-11.53, 14.24)	
Hyperacusis: yes (vs. no)	-6.08 (-16.48, 4.33)	
Number of traumatic experiences	-1.42 (-5.65, 2.82)	
SOMS baseline	1.05 (-4.17, 6.27)	4.34 (1.29, 7.40)
STAI baseline	2.19 (-3.86, 8.24)	3.13 (-0.26, 6.53)
PSQ-20 baseline	1.66 (-5.89, 9.21)	
HADS anxiety baseline	0.64 (-5.71, 7.00)	
HADS depression baseline	3.90 (-3.00, 10.79)	
SF-12 physical component summary baseline	-4.88 (-10.79, 1.03)	-4.48* (-7.42, -1.53)
SF-12 mental component summary baseline	2.99 (-4.78, 10.75)	
Hair-cortisol baseline	0.86 (-2.96, 4.69)	
Hair-BDNF baseline	-3.26 (-7.43, 0.91)	
Measurement × sex	0.20 (-2.39, 2.78)	
Measurement × age	0.79 (-0.87, 2.45)	
Measurement × cohabiting / married	-0.23 (-3.53, 3.06)	
Measurement × separated / divorced / widowed	0.25 (-3.96, 4.47)	
Measurement × education (linear)	-0.41 (-2.93, 2.11)	
Measurement × education (quadratic)	0.25 (-1.90, 2.39)	
Measurement × no employment	-1.28 (-4.35, 1.80)	
Measurement × mean hearing threshold	0.44 (-1.32, 2.19)	
Measurement × tinnitus onset associated with stress	-0.76 (-3.28, 1.75)	
Measurement × constant tinnitus	-0.83 (-3.30, 1.64)	
Measurement × tinnitus influenced by stress	-0.73 (-4.02, 2.56)	
Measurement × hearing aids	0.05 (-4.05, 4.15)	
Measurement × hyperacusis	2.55 (-0.76, 5.86)	
Measurement × number of traumatic experiences	0.36 (-0.99, 1.71)	
Measurement × SOMS baseline	1.05 (-0.61, 2.71)	
Measurement × STAI baseline	-1.46 (-3.39, 0.46)	-1.33 (-2.33, -0.32)
Measurement × PSQ-20 baseline	0.03 (-2.38, 2.43)	
Measurement × HADS anxiety baseline	-0.45 (-2.47, 1.58)	
Measurement × HADS depression baseline	-0.70 (-2.90, 1.49)	
Measurement × SF-12 physical component summary baseline	1.28 (-0.60, 3.16)	
Measurement × SF-12 mental component summary baseline	-1.49 (-3.96, 0.98)	
Measurement × hair-cortisol baseline	0.08 (-1.13, 1.30)	
Measurement × hair-BDNF Baseline	0.43 (-0.90, 1.75)	
Constant	35.17*** (21.77, 48.58)	32.19*** (27.06, 37.33)
	Random effects variance (SD)	
Subject (random intercept)	117.06 (10.82)	104.28 (10.21)

(Continued)

TABLE 3 | Continued

	Full model	Backward reduced model
	Model fit	
Log-likelihood	-797.65	-858.22
Aikake information criterion	1,695.31	1,738.44
Bayesian information criterion	1,869.34	1,776.73
Marginal R ²	0.43	0.42
Conditional R ²	0.84	0.83

Linear mixed model fit by REML; z-tests were used to test fixed effects estimates; significance levels are displayed after adjustment for multiple testing with Holm's method; significant effects in the reduced model are printed in bold. Observations = 240. **Model equations:** Full model: "TQ ~ measurement + sex × measurement + age × measurement + marital status × measurement + education × measurement + employment × measurement + mean hearing threshold × measurement + tinnitus onset associated with stress × measurement + tinnitus type × measurement + tinnitus influenced by stress × measurement + hearing aids × measurement + hyperacusis × measurement + number of traumatic experiences × measurement + SOMS baseline × measurement + STAI baseline × measurement + PSQ-20 baseline × measurement + HADS anxiety baseline × measurement + HADS depression baseline × measurement + SF-12 physical component summary baseline × measurement + SF-12 mental component summary baseline × measurement + hair-cortisol baseline × measurement + hair-BDNF baseline × measurement + (1 | subject)". Reduced model: "TQ ~ measurement + marital status + tinnitus type + SOMS baseline + STAI baseline + SF-12 physical component summary baseline + STAI baseline × measurement + (1 | subject)". BDNF, Brain-Derived Neurotrophic Factor; HADS, Hospital Anxiety and Depression Scale; PDS, Posttraumatic Diagnostic Scale; PSQ-20, Perceived Stress Questionnaire (20 item version); SF-12, Short Form-12 Health Survey; SOMS, Screening of Somatoform Disorders; STAI, State-Trait Anxiety Inventory (State Anxiety); TQ, Tinnitus Questionnaire. * $p < 0.05$; *** $p < 0.001$.

influences (research question 3), two linear mixed-effects models were calculated, the first including all potentially relevant factors (full model) and the second after excluding non-significant factors by backward elimination (reduced model); the results of both models can be found in **Table 3**. The following significant fixed effects estimates were identified in the reduced model after adjustment for multiple testing (see **Figure 2**): A reduction in TQ scores across measurements, $\beta = -2.31 [-3.31, -1.31]$, $z = -4.53$, $p_{\text{unadjusted}} < 0.001$, $p_{\text{adjusted}} < 0.001$, generally higher TQ scores in separated, divorced, or widowed patients, $\beta = 12.12 [4.58, 19.67]$, $z = 3.15$, $p_{\text{unadjusted}} = 0.002$, $p_{\text{adjusted}} = 0.033$, and generally lower TQ scores in patients with higher SF-12 physical component summary baseline scores, i.e., higher physical health-related QoL, $\beta = -4.48 [-7.42, -1.53]$, $z = -2.98$, $p_{\text{unadjusted}} = 0.003$, $p_{\text{adjusted}} = 0.049$.

Perceived Stress Questionnaire (PSQ-20): Reduction Across Baseline, Treatment End, and Follow-Up

To investigate the change in perceived stress levels as measured by the PSQ-20 (research question 1) and relevant modulating influences (research question 3), two linear mixed-effects models were calculated, the first including all potentially relevant factors (full model) and the second after excluding non-significant factors by backward elimination (reduced model); the results of both models can be found in **Table 4**. The following significant fixed effects estimates were identified in the reduced model after adjustment for multiple testing (see **Figure 3**): A reduction in PSQ scores across measurements, $\beta = -2.97 [-4.90, -1.04]$, $z = -3.02$, $p_{\text{unadjusted}} = 0.003$, $p_{\text{adjusted}} = 0.045$, generally higher PSQ scores in patients with higher HADS anxiety baseline scores, $\beta = 5.03 [1.95, 8.11]$, $z = 3.20$, $p_{\text{unadjusted}} = 0.001$, $p_{\text{adjusted}} = 0.028$, and generally lower PSQ scores in patients with higher SF-12 mental component summary baseline scores, i.e., higher mental health-related QoL, $\beta = -7.29 [-10.83, -3.75]$, $z = -4.03$, $p_{\text{unadjusted}} < 0.001$, $p_{\text{adjusted}} = 0.001$.

Hair-Cortisol: No Change Across Baseline and Follow-Up

To investigate the change in hair-cortisol levels (research question 2) and relevant modulating influences (research question 3), two linear mixed-effects models were calculated, the first including all potentially relevant factors (full model) and the second after excluding non-significant factors by backward elimination (reduced model); the results of both models can be found in **Table 5**. After adjustment for multiple testing, no effect in the reduced model remained significant.

Hair-BDNF: No Change Across Baseline and Follow-Up

To investigate the change in hair-BDNF levels (research question 2) and relevant modulating influences (research question 3), two linear mixed-effects models were calculated, the first including all potentially relevant factors (full model) and the second after excluding non-significant factors by backward elimination (reduced model); the results of both models can be found in **Table 6**. The following significant fixed effects estimates were identified in the reduced model after adjustment for multiple testing (see **Figure 4**): Generally higher hair-BDNF levels in patients with a higher mean hearing threshold, $\beta = 10.79 [3.64, 17.93]$, $z = 2.96$, $p_{\text{unadjusted}} = 0.003$, $p_{\text{adjusted}} = 0.049$, generally lower hair-BDNF levels in patients with higher tinnitus loudness, $\beta = -11.59 [-18.98, -4.19]$, $z = -3.07$, $p_{\text{unadjusted}} = 0.002$, $p_{\text{adjusted}} = 0.040$, and generally lower hair-BDNF levels in patients with higher TQ baseline scores, $\beta = -9.58 [-14.21, -4.96]$, $z = -4.06$, $p_{\text{unadjusted}} < 0.001$, $p_{\text{adjusted}} = 0.001$. No significant change in hair-BDNF levels across measurements was present.

Exploratory Analysis: Cross-Lagged Panel Model

For research question 4, based on the linear mixed-effects model results indicating an effect of TQ baseline scores on hair-BDNF levels across measurements, a cross-lagged panel model in a

TABLE 4 | Full and backward reduced linear mixed-effects models for change in Perceived Stress Questionnaire (PSQ-20) scores across baseline, treatment end, and follow-up ($N = 80$).

	Full model	Backward reduced model
	Fixed effects estimates (95% confidence intervals)	
Measurement	−2.76 (−10.94, 5.42)	−2.97* (−4.90, −1.04)
Sex: male (vs. female)	−5.01 (−16.24, 6.23)	
Age	−3.93 (−11.28, 3.41)	−4.54 (−9.05, −0.04)
Cohabiting / married (vs. single)	1.29 (−13.25, 15.84)	
Separated / divorced / widowed (vs. single)	−2.25 (−21.79, 17.29)	
Education: linear	−1.81 (−13.02, 9.41)	
Education: quadratic	−2.05 (−11.63, 7.54)	
Employment: no (vs. yes)	−4.20 (−18.02, 9.62)	
Mean hearing threshold	0.97 (−6.86, 8.80)	
Tinnitus onset associated with stress: yes (vs. no)	0.02 (−11.26, 11.30)	
Constant tinnitus (vs. intermittent)	5.00 (−6.29, 16.29)	
Tinnitus influenced by stress: yes (vs. no)	2.59 (−12.08, 17.25)	
Hearing aids: yes (vs. no)	−5.46 (−23.62, 12.71)	
Hyperacusis: yes (vs. no)	−1.60 (−16.33, 13.14)	
Number of traumatic experiences	−2.77 (−8.68, 3.14)	−2.59 (−4.96, −0.22)
SOMS baseline	−4.44 (−11.59, 2.72)	
STAI baseline	0.90 (−7.66, 9.46)	
TQ baseline	0.74 (−6.23, 7.72)	
HADS anxiety baseline	5.41 (−3.02, 13.83)	5.03* (1.95, 8.11)
HADS depression baseline	8.41 (−0.82, 17.65)	4.46 (0.67, 8.25)
SF-12 physical component summary baseline	−1.70 (−10.20, 6.81)	
SF-12 mental component summary baseline	−7.76 (−17.87, 2.35)	−7.29** (−10.83, −3.75)
Hair-cortisol baseline	−0.34 (−5.67, 4.99)	
Hair-BDNF baseline	0.48 (−5.63, 6.58)	
Measurement × sex	0.67 (−4.15, 5.50)	
Measurement × age	1.64 (−1.51, 4.79)	2.19 (0.26, 4.12)
Measurement × cohabiting / married	0.34 (−5.91, 6.59)	
Measurement × separated / divorced / widowed	−0.34 (−8.73, 8.05)	
Measurement × education (linear)	1.93 (−2.88, 6.75)	
Measurement × education (quadratic)	0.86 (−3.26, 4.97)	
Measurement × no employment	1.99 (−3.95, 7.92)	
Measurement × mean hearing threshold	−0.43 (−3.79, 2.93)	
Measurement × tinnitus onset associated with stress	0.91 (−3.93, 5.76)	
Measurement × constant tinnitus	−2.64 (−7.49, 2.21)	
Measurement × tinnitus influenced by stress	−4.17 (−10.47, 2.13)	
Measurement × hearing aids	2.16 (−5.64, 9.96)	
Measurement × hyperacusis	2.69 (−3.64, 9.02)	
Measurement × number of traumatic experiences	−0.03 (−2.57, 2.50)	
Measurement × SOMS baseline	1.46 (−1.62, 4.53)	
Measurement × STAI baseline	0.56 (−3.11, 4.24)	
Measurement × TQ baseline	1.14 (−1.86, 4.13)	
Measurement × HADS anxiety baseline	−1.19 (−4.80, 2.43)	
Measurement × HADS depression baseline	−2.19 (−6.15, 1.78)	
Measurement × SF-12 physical component summary baseline	1.11 (−2.54, 4.76)	
Measurement × SF-12 mental component summary baseline	−0.06 (−4.41, 4.28)	
Measurement × hair-cortisol baseline	−0.34 (−2.63, 1.94)	
Measurement × hair-BDNF baseline	−0.10 (−2.72, 2.52)	
Constant	53.13*** (34.09, 72.18)	52.31*** (47.84, 56.78)
	Random effects variance (SD)	
Subject (random intercept)	62.31 (7.89)	55.26 (7.43)

(Continued)

TABLE 4 | Continued

	Full model	Backward reduced model
	Model fit	
Log-likelihood	-883.36	-961.61
Aikake information criterion	1,866.73	1,943.22
Bayesian information criterion	2,040.76	1,978.03
Marginal R ²	0.52	0.51
Conditional R ²	0.65	0.64

Linear mixed model fit by REML; z-tests were used to test fixed effects estimates; significance levels are displayed after adjustment for multiple testing with Holm's method; significant effects in the reduced model are printed in bold. Observations = 240. **Model equations:** Full model: "PSQ-20 ~ measurement + sex × measurement + age × measurement + marital status × measurement + education × measurement + employment × measurement + mean hearing threshold × measurement + tinnitus onset associated with stress × measurement + tinnitus type × measurement + tinnitus influenced by stress × measurement + hearing aids × measurement + hyperacusis × measurement + number of traumatic experiences × measurement + SOMS baseline × measurement + STAI baseline × measurement + TQ baseline × measurement + HADS anxiety baseline × measurement + HADS depression baseline × measurement + SF-12 physical component summary baseline × measurement + SF-12 mental component summary baseline × measurement + hair-cortisol baseline × measurement + hair-BDNF baseline × measurement + (1 | subject)". Reduced model: "PSQ-20 ~ measurement + age + traumatic experiences + HADS anxiety baseline + HADS depression baseline + SF-12 mental component summary baseline + age × measurement + (1 | subject)". BDNF, Brain-Derived Neurotrophic Factor; HADS, Hospital Anxiety and Depression Scale; PDS, Posttraumatic Diagnostic Scale; PSQ-20, Perceived Stress Questionnaire (20 item version); SF-12, Short Form-12 Health Survey; SOMS, Screening of Somatoform Disorders; STAI, State-Trait Anxiety Inventory (State Anxiety); TQ, Tinnitus Questionnaire. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$.

structural equation modeling framework was calculated. This model investigates the temporal relationships between TQ scores and hair-BDNF values while accounting for their stability over time and controlling for other identified influencing factors (see Figure 5).

Both TQ scores, $\beta = 0.716$, $SE = 0.074$, $p < 0.001$, and hair-BDNF values, $\beta = 0.431$, $SE = 0.119$, $p < 0.001$, were stable over the investigated 3-month period; with higher stability of TQ scores. The two measures showed significantly negative covariance at baseline, $\psi = -175.630$, $SE = 45.969$, $p < 0.001$, but not at follow-up, $\psi = 12.762$, $SE = 15.589$, $p = 0.413$. There was a trend toward statistical significance for the effect of TQ scores at baseline to predict hair-BDNF at follow-up, $\beta = -0.341$, $SE = 0.188$, $p = 0.070$, while the opposite cross-lagged path (of hair-BDNF at baseline to predict TQ scores at follow-up) was non-significant, $\beta = -0.015$, $SE = 0.037$, $p = 0.682$. Approximately 62% of the variance in TQ scores at follow-up ($R^2 = 0.621$), and approximately 36% of the variance in hair-BDNF values at follow-up ($R^2 = 0.355$), was accounted for by the model.

DISCUSSION

In summary, we found that the compact multimodal tinnitus-specific cognitive behavioral therapy effectively reduced tinnitus-related distress and perceived stress levels, in line with our hypothesis (research question 1). However, hair-cortisol and hair-BDNF levels did not reflect these improvements, contrary to our expectations (research question 2). Furthermore, the magnitude of the therapeutic effects was not influenced by the investigated factors (sociodemographic, tinnitus-/hearing-related, psychological, or biological) (research question 3), but some general associations (across all measurements) were identified. Separated, divorced, or widowed patients showed generally higher levels of tinnitus-related distress, which were, in turn, related to lower physical health-related quality of life

(QoL). Higher perceived stress levels, on the other hand, were associated with higher anxiety and lower mental health-related QoL. Neither baseline hair-cortisol nor hair-BDNF levels were associated with psychological treatment outcomes, indicating that these biomarkers had no predictive clinical value in the present study. For hair-cortisol, no predictive influences were identified; for hair-BDNF, general associations with tinnitus-related distress, tinnitus loudness, and hearing threshold were found. The exploratory cross-lagged panel analysis (research question 4) tentatively suggests that the possibility of a time-lagged effect of tinnitus-related distress affecting hair-BDNF levels is more likely than the opposite effect. However, this effect was only observed as an uncorrected trend ($p = 0.070$).

A possible explanation for the absence of changes in hair-cortisol and hair-BDNF levels in the present study might be the relatively short treatment duration and follow-up period. The cognitive behavioral therapy-based multimodal treatment, which constitutes the current standard clinical treatment for chronic tinnitus offered at the Tinnitus Center (Charité – Universitätsmedizin Berlin), resulted in measurable reductions in tinnitus-related distress (-13.3%) and perceived stress (-11.5%) three months later. However, these reductions may not have been substantial enough to induce biological changes, or a longer period might have been needed to detect such changes. Regarding cortisol, Li et al. (46) examined the effects of a treatment intervention that combined cognitive behavioral therapy with masking therapy and sound treatment and lasted six months. In addition to a decrease in tinnitus-related distress, they found a decrease in serum cortisol levels in chronic tinnitus patients, suggesting that a longer treatment duration may be necessary to measurably affect the hypothalamic–pituitary–adrenal (HPA) axis function. Moreover, findings on the association between hair-cortisol and measures of perceived stress are inconsistent (18) and previous studies examining the effects of psychological interventions aimed at stress reduction on hair-cortisol levels in different highly stressed study populations made diverging findings. While similar to our results, some found decreases in

TABLE 5 | Full and backward reduced linear mixed-effects models for change in hair-cortisol levels across baseline and follow-up ($N = 80$).

	Full model	Backward reduced model
	Fixed effects estimates (95% confidence intervals)	
Measurement	-0.14 (-0.39, 0.10)	
Sex: male (vs. female)	0.02 (-0.31, 0.34)	
Age	0.13 (-0.06, 0.32)	
Cohabiting / married (vs. single)	-0.04 (-0.23, 0.16)	
Separated / divorced / widowed (vs. single)	-0.05 (-0.31, 0.20)	
Education: linear	-0.11 (-0.41, 0.20)	
Education: quadratic	-0.01 (-0.25, 0.24)	
Employment: no (vs. yes)	0.08 (-0.10, 0.26)	
Mean hearing threshold	-0.16 (-0.41, 0.09)	
Matched tinnitus frequency	0.01 (-0.15, 0.18)	
Matched tinnitus loudness	0.08 (-0.16, 0.32)	
Tinnitus onset associated with stress: yes (vs. no)	-0.16 (-0.46, 0.15)	
Constant tinnitus (vs. intermittent)	0.04 (-0.08, 0.16)	
Tinnitus influenced by stress: yes (vs. no)	0.14 (-0.03, 0.32)	
Hearing aids: yes (vs. no)	0.004 (-0.19, 0.19)	
Hyperacusis: yes (vs. no)	0.09 (-0.06, 0.24)	
Number of traumatic experiences	0.05 (-0.11, 0.21)	
SOMS baseline	-0.02 (-0.20, 0.17)	
STAI baseline	0.21 (-0.02, 0.44)	
TQ baseline	-0.06 (-0.24, 0.13)	
PSQ-20 baseline	-0.13 (-0.41, 0.15)	
HADS anxiety baseline	-0.11 (-0.34, 0.13)	
HADS depression baseline	-0.10 (-0.35, 0.15)	-0.12 (-0.21, -0.02)
SF-12 physical component summary baseline	-0.05 (-0.26, 0.16)	
SF-12 mental component summary baseline	-0.12 (-0.38, 0.14)	-0.11 (-0.20, -0.01)
Sampling: spring (vs. winter)	0.05 (-0.08, 0.18)	
Sampling: summer (vs. winter)	0.17 (0.02, 0.31)	
Sampling: autumn (vs. winter)	0.06 (-0.10, 0.23)	
BMI	0.03 (-0.04, 0.10)	
Alcohol units per week	-0.02 (-0.09, 0.05)	
Regular use of hair products	-0.03 (-0.32, 0.26)	
Smoking: yes (vs. no)	-0.28 (-0.54, -0.01)	
Hair color: blonde / red (vs. gray / white)	0.10 (-0.31, 0.52)	
Hair color: brown / black (vs. gray / white)	-0.09 (-0.50, 0.32)	
Measurement × sex	0.10 (-0.08, 0.28)	
Measurement × age	-0.08 (-0.19, 0.02)	
Measurement × education (linear)	-0.06 (-0.23, 0.11)	
Measurement × education (quadratic)	0.04 (-0.10, 0.17)	
Measurement × mean hearing threshold	0.02 (-0.11, 0.15)	
Measurement × matched tinnitus frequency	-0.01 (-0.10, 0.09)	
Measurement × matched tinnitus loudness	0.03 (-0.10, 0.17)	
Measurement × tinnitus onset associated with stress	0.06 (-0.11, 0.23)	
Measurement × number of traumatic experiences	-0.03 (-0.11, 0.06)	
Measurement × SOMS baseline	-0.03 (-0.13, 0.07)	
Measurement × STAI baseline	-0.10 (-0.22, 0.03)	
Measurement × TQ baseline	0.09 (-0.01, 0.18)	
Measurement × PSQ-20 baseline	0.04 (-0.11, 0.20)	
Measurement × HADS anxiety baseline	0.06 (-0.07, 0.19)	
Measurement × HADS depression baseline	-0.03 (-0.17, 0.11)	
Measurement × SF-12 physical component summary baseline	0.08 (-0.04, 0.19)	

(Continued)

TABLE 5 | Continued

	Full model	Backward reduced model
Measurement × SF-12 mental component summary baseline	−0.02 (−0.17, 0.12)	
Measurement × regular use of hair products	0.03 (−0.13, 0.19)	
Measurement × hair color: blonde / red (vs. gray / white)	−0.06 (−0.29, 0.16)	
Measurement × hair color: brown / black (vs. gray / white)	0.06 (−0.17, 0.28)	
Constant	−1.42*** (−1.89, −0.96)	−1.44*** (−1.50, −1.37)
	Random effects variance (SD)	
Subject (random intercept)	0.06 (0.25)	0.06 (0.24)
	Model fit	
Log-likelihood	−111.82	−36.20
Aikake information criterion	337.64	82.39
Bayesian information criterion	512.92	97.77
Marginal R ²	0.27	0.06
Conditional R ²	0.69	0.59

Linear mixed model fit by REML; z-tests were used to test fixed effects estimates; significance levels are displayed after adjustment for multiple testing with Holm's method. Observations = 160. **Model equations:** Full model: "Hair-cortisol ~ measurement + sex × measurement + age × measurement + marital status + education × measurement + employment + mean hearing threshold × measurement + matched tinnitus frequency × measurement + matched tinnitus loudness × measurement + tinnitus onset associated with stress × measurement + tinnitus type + tinnitus influenced by stress + hearing aids + hyperacusis + number of traumatic experiences × measurement + SOMS baseline × measurement + STAI baseline × measurement + TQ baseline × measurement + PSQ-20 baseline × measurement + HADS anxiety baseline × measurement + HADS depression baseline × measurement + SF-12 physical component summary baseline × measurement + SF-12 mental component summary baseline × measurement + season of sample collection + BMI + alcohol units per week + regular use of hair products × measurement + smoking + hair color × measurement + (1 | subject)." Reduced model: "Hair-cortisol ~ HADS depression baseline + SF-12 mental component summary baseline + (1 | subject)". BDNF, Brain-Derived Neurotrophic Factor; BMI, Body Mass Index; HADS, Hospital Anxiety and Depression Scale; PDS, Posttraumatic Diagnostic Scale; PSQ-20, Perceived Stress Questionnaire (20 item version); SF-12, Short Form-12 Health Survey; SOMS, Screening of Somatoform Disorders; STAI, State-Trait Anxiety Inventory (State Anxiety); TQ, Tinnitus Questionnaire. ***p < 0.001.

perceived stress levels that were not accompanied by changes in hair-cortisol levels (47, 48), others observed reductions in hair-cortisol levels following the treatment intervention (49, 50). More research is needed to explore the relationship of hair-cortisol with stress reduction by psychological treatment interventions in different highly stressed groups and to disentangle methodological and treatment-related influences.

Measurement of BDNF in hair is a relatively new method first used in a pilot study by Harb et al. (19). In this study, it was shown that BDNF can be measured in hair samples using a commercially available BDNF assay, that hair-BDNF negatively correlates with hair-cortisol, is associated with hair-biology measures indicative of stress-induced dyshomeostasis, and is a stable measure across independent samples. While immunohistology of human hair follicles confirms BDNF incorporation into hair (51), additional validation studies for the quantification of hair-BDNF are needed. However, the good intra- and inter-assay coefficients of variation observed here indicate a sound methodological approach.

Although we observed no treatment effect for hair-BDNF, general associations of baseline tinnitus-related distress, tinnitus loudness, and hearing threshold with hair-BDNF levels at both measurements were found, extending our cross-sectional findings (baseline measurements) in the same sample (28). Louder tinnitus was related to lower hair-BDNF and higher hearing thresholds to higher hair-BDNF levels at baseline and follow-up. However, the previously observed positive cross-sectional association between hearing aid use and hair-BDNF levels (at baseline) was not observed here, possibly due to the higher number of hair samples included in the present

longitudinal analysis. While the negative effect of tinnitus loudness might reflect detrimental distress-related influences on neuroplasticity, the positive effect of mean hearing threshold was surprising. However, the relationship between hearing loss and neuroplasticity is complex. Neuroanatomical studies found that hearing loss in older adults is associated with volume decreases of the primary auditory cortex (52, 53). However, in middle-aged hearing-impaired subjects, volume increases in the auditory association cortex (Brodmann area 22) have been observed (54), as well as volume increases of the angular gyrus (55); both findings are likely indicative of compensatory mechanisms (54, 55). As most of our participants were middle-aged and had mostly no-to-mild hearing impairment, the observed association might potentially be related to compensatory neuroplasticity alterations in certain brain regions and associated increased BDNF levels. However, this explanation is entirely speculative and requires further investigation.

Regarding BDNF measured in blood, evidence shows that serum/plasma BDNF levels increase in response to antidepressant treatment in patients with major depressive disorder (24, 26, 56). The magnitude of the respective change in BDNF levels appears to be positively related to treatment duration (24). Similarly, peripheral BDNF levels were found to increase after several weeks of mindfulness-based interventions (27). Compared with the literature, it seems likely that the treatment duration of 5 days in the present study, even though leading to relevant psychological changes, may have been too short to induce BDNF changes. Moreover, in contrast to our results, Xiong et al. (57) observed a decrease in plasma BDNF

TABLE 6 | Full and backward reduced linear mixed-effects models for change in hair-BDNF levels across baseline and follow-up ($N = 80$).

	Full model	Backward reduced model
	Fixed effects estimates (95% confidence intervals)	
Measurement	2.60 (−19.37, 24.58)	0.18 (−5.57, 5.93)
Sex: male (vs. female)	−11.60 (−40.72, 17.53)	
Age	−0.59 (−17.57, 16.39)	
Cohabiting / married (vs. single)	−3.88 (−21.91, 14.16)	
Separated / divorced / widowed (vs. single)	4.24 (−19.59, 28.07)	
Education: linear	−5.05 (−32.09, 21.98)	
Education: quadratic	−6.17 (−29.04, 16.69)	
Employment: no (vs. yes)	6.89 (−8.63, 22.40)	
Mean hearing threshold	−11.28 (−33.08, 10.53)	10.79* (3.64, 17.93)
Matched tinnitus frequency	−8.16 (−23.02, 6.70)	
Matched tinnitus loudness	12.07 (−10.12, 34.26)	−11.59* (−18.98, −4.19)
Tinnitus onset associated with stress: yes (vs. no)	4.18 (−22.82, 31.19)	
Constant tinnitus (vs. intermittent)	−7.00 (−17.32, 3.31)	
Tinnitus influenced by stress: yes (vs. no)	−3.15 (−18.55, 12.26)	
Hearing aids: yes (vs. no)	11.74 (−5.05, 28.53)	
Hyperacusis: yes (vs. no)	−5.74 (−19.22, 7.74)	
Number of traumatic experiences	−18.61 (−32.45, −4.77)	−13.13 (−23.00, −3.26)
SOMS baseline	1.38 (−15.57, 18.33)	
STAI baseline	6.22 (−13.86, 26.29)	
TQ baseline	−12.20 (−28.20, 3.80)	−9.58** (−14.21, −4.96)
PSQ-20 baseline	9.44 (−15.02, 33.90)	
HADS anxiety baseline	−11.30 (−32.34, 9.74)	
HADS depression baseline	10.71 (−10.99, 32.41)	
SF-12 physical component summary baseline	−4.52 (−23.24, 14.19)	
SF-12 mental component summary baseline	13.84 (−9.17, 36.84)	
Shift work: yes (vs. no)	43.59 (6.29, 80.88)	16.44 (4.35, 28.53)
Sport: linear	9.22 (−8.38, 26.82)	
Sport: quadratic	7.51 (−4.26, 19.29)	
Sport: cubic	3.56 (−5.82, 12.94)	
Hair color: blonde / red (vs. gray / white)	−7.55 (−44.32, 29.23)	
Hair color: brown / black (vs. gray / white)	−5.39 (−42.42, 31.64)	
Frequency of hair washing per week	3.90 (−9.28, 17.09)	
Sampling: spring (vs. winter)	−4.76 (−16.33, 6.80)	
Sampling: summer (vs. winter)	−3.81 (−16.38, 8.76)	
Sampling: autumn (vs. winter)	−9.57 (−24.30, 5.17)	
BMI	−1.40 (−7.27, 4.47)	
Alcohol units per week	4.94 (−1.38, 11.26)	
Physical activity score	−0.73 (−6.61, 5.15)	
Regular use of hair products	12.83 (−12.41, 38.06)	
Smoking: yes (vs. no)	−13.27 (−36.00, 9.46)	
Cups of coffee / tea per day	−0.35 (−7.60, 6.90)	
Measurement × sex	4.48 (−11.84, 20.79)	
Measurement × age	−0.36 (−10.36, 9.63)	
Measurement × education (linear)	0.80 (−14.60, 16.19)	
Measurement × education (quadratic)	5.37 (−7.55, 18.30)	
Measurement × mean hearing threshold	11.18 (−0.60, 22.95)	
Measurement × matched tinnitus frequency	7.12 (−1.71, 15.96)	
Measurement × matched tinnitus loudness	−12.58 (−24.90, −0.27)	
Measurement × tinnitus onset associated with stress	1.23 (−14.11, 16.56)	
Measurement × number of traumatic experiences	10.53 (2.32, 18.73)	6.50 (0.73, 12.26)

(Continued)

TABLE 6 | Continued

	Full model	Backward reduced model
Measurement × SOMS baseline	-2.70 (-11.90, 6.50)	
Measurement × STAI baseline	-1.60 (-13.11, 9.91)	
Measurement × TQ baseline	4.55 (-4.26, 13.35)	
Measurement × PSQ-20 baseline	-6.99 (-21.06, 7.08)	
Measurement × HADS anxiety baseline	5.77 (-6.26, 17.80)	
Measurement × HADS depression baseline	-7.89 (-20.01, 4.22)	
Measurement × SF-12 physical component summary baseline	3.06 (-7.24, 13.35)	
Measurement × SF-12 mental component summary baseline	-8.19 (-21.45, 5.06)	
Measurement × shift work	-20.80 (-41.04, -0.57)	
Measurement × hair color: blonde / red (vs. gray / white)	5.10 (-15.58, 25.77)	
Measurement × hair color: brown / black (vs. gray / white)	1.29 (-19.00, 21.58)	
Measurement × frequency of hair washing per week	-2.76 (-10.09, 4.56)	
Measurement × regular use of hair products	-5.59 (-20.06, 8.88)	
Constant	87.56*** (45.29, 129.84)	75.29*** (65.34, 85.25)
Random effects variance (SD)		
Subject (random intercept)	364.91 (19.10)	251.76 (15.87)
Model fit		
Log-likelihood	-548.79	-710.35
Aikake information criterion	1,229.59	1,440.70
Bayesian information criterion	1,432.55	1,471.45
Marginal R ²	0.36	0.29
Conditional R ²	0.69	0.59

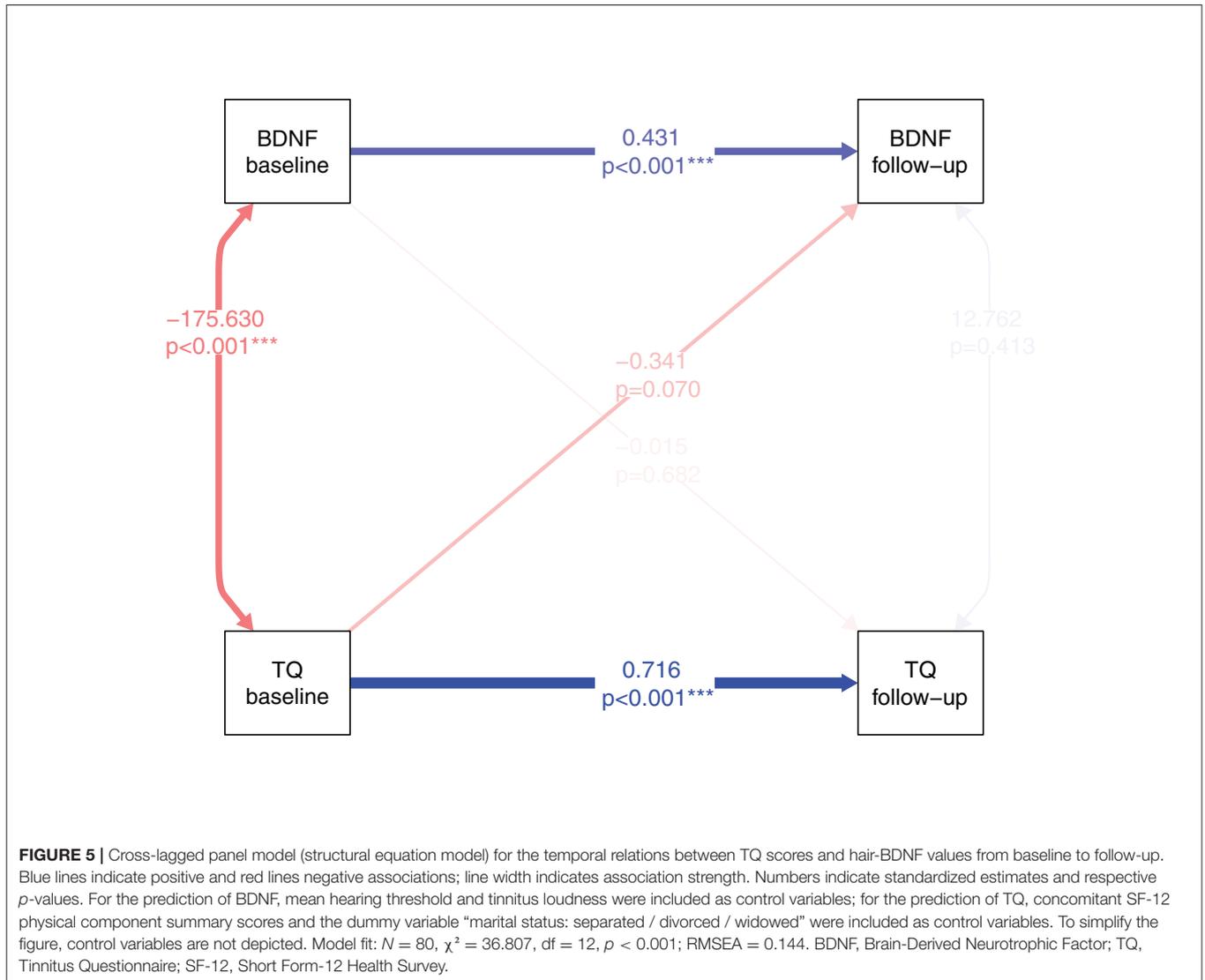
Linear mixed model fit by REML; z-tests were used to test fixed effects estimates; significance levels are displayed after adjustment for multiple testing with Holm's method; significant effects in the reduced model are printed in bold. Observations = 160. **Model equations:** Full model: "BDNF ~ measurement + sex × measurement + age × measurement + marital status + education × measurement + employment + mean hearing threshold × measurement + matched tinnitus frequency × measurement + matched tinnitus loudness × measurement + tinnitus onset associated with stress × measurement + tinnitus type + tinnitus influenced by stress + hearing aids + hyperacusis + number of traumatic experiences × measurement + SOMS baseline × measurement + STAI baseline × measurement + TQ baseline × measurement + PSQ-20 baseline × measurement + HADS anxiety baseline × measurement + HADS depression baseline × measurement + SF-12 physical component summary baseline × measurement + SF-12 mental component summary baseline × measurement + shift work × measurement + sport + hair color × measurement + hair washing frequency × measurement + season of sample collection + BMI + alcohol units per week + regular use of hair products × measurement + smoking + cups of coffee/tea per day + (1 | subject)." Reduced model: "BDNF ~ measurement + mean hearing threshold + matched tinnitus loudness + number of traumatic experiences + TQ baseline + shift work + number of traumatic experiences × measurement + (1 | subject)". BDNF, Brain-Derived Neurotrophic Factor; BMI, Body Mass Index; HADS, Hospital Anxiety and Depression Scale; PDS, Posttraumatic Diagnostic Scale; PSQ-20, Perceived Stress Questionnaire (20 item version); SF-12, Short Form-12 Health Survey; SOMS, Screening of Somatoform Disorders; STAI, State-Trait Anxiety Inventory (State Anxiety); TQ, Tinnitus Questionnaire. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$.

levels in patients with severe tinnitus three months after tinnitus retraining therapy (counseling and sound therapy). However, they found no correlation between plasma BDNF and tinnitus severity or loudness, which is also contrary to our results. Differences between Xiong et al. (57) and the present study include sample characteristics ($N = 14$ with severe tinnitus vs. $N = 80$ with predominantly moderate tinnitus), treatment approach (3-month tinnitus retraining therapy vs. 5-day compact multimodal tinnitus-specific cognitive behavioral therapy), sampling type (blood vs. hair), and methodological differences, all of which may have influenced the conflicting results.

Despite the absence of treatment-induced changes in hair-BDNF levels, our exploratory results tentatively suggest the possibility of a time-lagged effect of tinnitus-related distress (at baseline) affecting hair-BDNF levels (at follow-up). While this trend needs to be tested in larger-scale studies, it may further indicate that more substantial treatment-induced changes in tinnitus-related distress may be necessary to elicit measurable changes in hair-BDNF levels. Overall, further research is needed

for a better understanding of the relationship between tinnitus-related distress and hair-BDNF levels.

In addition to treatment duration and follow-up period, other factors might have influenced the observed lack of changes in hair-cortisol and hair-BDNF values. Even though many covariates with potential associations to the investigated biomarkers were included (sociodemographic, psychological, tinnitus-/hearing-related, lifestyle, and hair-related), not all potentially confounding factors could be controlled for, e.g., medical comorbidities and medication. However, none of the participants suffered from endocrine diseases with altered cortisol production or neurodegenerative diseases (Alzheimer's, Parkinson's, or Huntington's disease) with known changes in cortisol and BDNF levels (44, 45). Moreover, confounding influences of antidepressant medication appear unlikely, as biomarker levels did not significantly differ between participants taking antidepressants and those not taking antidepressants (although there was a trend observed for hair-cortisol). However, influences of



other medical comorbidities or medications might have been present.

Musculoskeletal symptoms (muscular imbalance, segmental joint dysfunction, chronic cervical syndrome, craniomandibular/temporomandibular dysfunction, and bruxism) were common in our sample (39–58%). While we did not specifically assess the presence of somatosensory tinnitus; i.e., tinnitus which is influenced by somatosensory afference from the cervical spine or temporomandibular area (58), the relatively high frequency of the reported musculoskeletal symptoms suggests that for a subgroup in our sample, somatosensory influences on tinnitus might have been present. Regarding somatosensory tinnitus, cervical muscle tension, particularly in upper posterior muscle groups, might in some cases have a pathophysiological role in tinnitus – likely in combination with stress (59).

While physical and mental symptoms appear generally interlinked in bothersome tinnitus (60), the interplay

between stress, muscle tension, and tinnitus burden appears especially important for tinnitus with somatosensory influences. The multimodal treatment in this study also included physiotherapeutic elements. Therefore, beneficial treatment effects on the described musculoskeletal symptoms might have been present, although we did not investigate them. Consequently, in the subgroup of patients with somatosensory tinnitus, the treatment might have contributed to the improvement of tinnitus-related distress via reducing muscular tension. Overall, further research is needed for a better understanding of stress-related pathophysiological and therapeutic effects in chronic tinnitus.

Limitations

There are several limitations to this study. First, as no control group was included, the observed treatment effects cannot be clearly distinguished from other time effects, e.g.,

natural habituation over time. Moreover, no information was collected regarding more long-term effects after the 3-month follow-up measurement. In addition, the significance level was adjusted for multiple testing for the main analysis; however, the exploratory cross-lagged panel analysis faces potential validity limitations. Aspects of the treatment delivery and study design may have influenced the results and thus limit their generalizability. Insufficient power in our study might be an explanation for the lack of treatment effects in the assessed hair-biomarkers. However, the width of the confidence interval around the null effect of change in hair-BDNF levels was similar to that of the observed significant effects on hair-BDNF levels (reduced model), thus suggesting reasonable accuracy in the estimation. For hair-cortisol, on the other hand, the measurement variable was not selected to be included in the reduced model, and no significant effects were observed, which might indicate greater uncertainty in the estimation. Additional explanations for the lack of treatment effects might be potential confounding influences, e.g., by medical comorbidities or medication. Overall, the non-significant biomarker results need to be interpreted with caution. In addition, some follow-up measurements were performed during the beginning of the COVID-19 pandemic in Germany ($N = 9$ after March 2020), which might have affected the stress level of these participants.

CONCLUSION

Three months after compact multimodal tinnitus-specific cognitive behavioral therapy lasting for 5 days, reductions in tinnitus-related distress and perceived stress were observed. This suggests that the treatment (consisting of cognitive behavioral therapy, education, counseling, otorhinolaryngological and general medical diagnostics, auditory attention training, relaxation, and physiotherapeutic sessions) was successful in reducing tinnitus burden beyond the clinical setting in patients' daily lives. Generally, higher tinnitus-related distress was related to being separated, divorced, or widowed and to lower physical health-related QoL; higher perceived stress was related to higher anxiety levels and lower mental health-related QoL. No change occurred in hair-cortisol and hair-BDNF levels and no predictive influence of baseline biomarker scores on psychometric treatment outcomes was present. For hair-cortisol, no influencing factor could be identified; for hair-BDNF, relationships with hearing threshold, tinnitus loudness, and tinnitus-related distress appear relevant. In addition, the exploratory analysis provided tentative and limited evidence of a time-lagged effect of tinnitus-related distress (at baseline) on hair-BDNF levels (at follow-up). Possible explanations for the lack of treatment effects in hair-biomarkers are the short treatment duration (5 days) and follow-up interval (12 weeks) and potential confounding by medical factors. Further studies are needed to investigate treatment-induced changes in hair-biomarkers in chronic tinnitus, especially hair-BDNF, to obtain a better understanding of stress-related effects in chronic tinnitus.

DATA AVAILABILITY STATEMENT

The datasets presented in this article are not readily available because no consent of the participants to publish their data was obtained. Requests to access the datasets should be directed to Birgit Mazurek (birgit.mazurek@charite.de).

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the local ethic commission of Charité – Universitätsmedizin Berlin (No. EA1/035/16). The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

LB: project administration, investigation, formal analysis, visualization, and writing—original draft preparation. BB: supervision and writing—reviewing and editing. PN: methodology, supervision, and writing—reviewing and editing. PB: writing—reviewing and editing. BM: conceptualization, funding acquisition, project administration, resources, supervision, and writing—reviewing and editing. EP: conceptualization, resources, project administration, and writing—reviewing and editing.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fpsy.2022.764368/full#supplementary-material>

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Aust S, Gärtner M, **Basso L**, Otte C, Wingefeld K, Chae WR, Heuser-Collier I, Regen F, Cosma NC, van Hall F, Grimm S, Bajbouj M. Anxiety during ketamine infusions is associated with negative treatment responses in major depressive disorder. *European Neuropsychopharmacology*. 2019;29(4):529-538. doi:10.1016/j.euroneuro.2019.02.005

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2020

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