Arabic Scribal Practices in the 3rd-4th/9th-10th Centuries: Normative Sources and Manuscript Evidence

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Declaration of Independent work:

I hereby declare that this dissertation was written and prepared by me independently. Furthermore, no sources and aids other than those indicated have been used. Intellectual property of other authors has been marked accordingly. I also declare that I have not submitted the dissertation in this or any other form to any other institution as a dissertation.

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Note on transliteration and dates

Table of transliteration

ç	,	ض	d
1	ā	ط	ţ
·	b	ظ	Ż
ت	t	ع	6
ث	th	غ	gh
T	j	ف	f
ح	h	ق	q
خ	kh	ك	k
7	d	J	1
خ	dh	م	m
J	r	ن	n
j	Z	ھـ	h
س	S	ة	ah /at
ش	sh	و	ū, w
ص	Ş	ي/ي	ī, y

Note on Dates

The dates and the centuries are given according to both the Hijrī and the Gregorian calendar.

Abstract (English)

It is incumbent on scholars of Arabic studies and Islamic studies who deal with manuscripts to understand pre-modern Arabic scribal practices. This thesis aims to improve our understanding of two aspects of Arabic scribal practices from the third/ninth-fourth/tenth centuries: the paratexts of manuscripts and the elements that help establish clarity and correctness. The study of the paratexts includes the title page, the introductory section, and the colophon. Regarding elements that help establish clarity and correctness, this thesis pays attention to the use of diacritical points and vowels, the cancellation of dittographies, the insertion of omissions, and the methods of preventing and correcting text mistakes. This thesis also analyzes the collation process and how it is marked in the manuscripts. The methodology of this study is to synthesize the normative sources that discuss these elements of scribal practice and then use the findings of this analysis on a selection of manuscripts.

Abstract (German)

Wer sich mit den Handschriften befasst, muss die vormoderne arabische Schreibpraxis verstehen. Diese Arbeit zielt darauf ab, unser Verständnis von zwei Aspekten der arabischen Schreibpraxis zu verbessern: den Paratexten der Manuskripte und den Elementen, welche einen klaren und korrekten Text garantieren sollen. Jahrhunderte ermöglichen. Die Analyse der Paratexte umfasst das Titelblatt, den Einleitungsteil und den Kolophon. Im Bezug auf die Etablierung eines klaren und korrekten Textes befasst sich die Untersuchung mit der Traditionen der bestimmten Konstruktionen, wie z.B. der Genitivkonstruktion.

Die Forschung umfasst auch eine Analyse des Kollationierungsprozesses und seiner Markierungen, der diakritischen Punkten und Vokalzeichen, der Aufhebung von Dittographien, dem Einfügen von Auslassungen und der Methoden zur Vermeidung und Korrektur von Textfehlern.

Die Methode dieser Studie besteht darin, die normativen Quellen, die über die Schreibpraxis sprechen, und die Ergebnisse der Analyse der tatsächlichen Manuskripte in einem vergleichenden Sinne zu synthetisieren, um die Aspekte der untersuchten Schreibpraxis besser zu verstehen. Die normativen Quellen informieren uns jedoch über einige Elemente nicht genau; daher ist in diesen Fällen die intensive Analyse der handschriftlichen Dokumente der einzige Weg, solche Elemente zu verstehen.

Abbreviations

Az = al-Maktabah al-Azhariyyah

BA = Baladiyyat al-Iskandariyyah

BNF = Bibliothèque nationale de France

BDK = Beyazıt Devlet Kütüphanesi

Ch. B. = Chester Beatty

DK = Dār al-Kutub al-Miṣriyyah

Fazil= Fazil Ahmed Paşa

GAS = Geschichte des arabischen Schrifttums

HAWT = *History of the Arabic written Tradition*

IUL. Ar. = Istanbul University Library Arabic

Lal. = Laleli

LSCM = The Library of Saint Catherine's Monastery

MAW = Maktabat al-Asad al-Waṭaniyyah

MDSK Ar. = Maktabat Dayr Sant Katrin Arabic Manuscripts

MI = Maktabat al-Iskandariyyah

MMA = Ma'had al-Makhtūtāt al-'Arabiyyah

MMMI = al-Maktabah al-Markaziyyah li-l-Makhṭūṭāt al-Islāmiyyah

MRT = Maktabat Rifā'ah al-Ṭahṭāwī

Qar. = Maktabat al-Qarawiyyīn

Reis = Reisulkuttab

Saib = Ismail Saib

SBB = Staatsbibliothek zu Berlin

Şehid = Şehid Ali Paşa

SL = Süleymaniye Yazma Eser Kütüphanesi

UL = Universiteitsbibliotheek Leiden

Vat. Ar. = Biblioteca Apostolica Vaticana Arabic

Vel. Ef. = Veliyeddin Efendi

1. Introduction

Despite a great dependence upon the oral transmission of knowledge, Arabic-Islamic civilization, particularly at its early time, is a "civilization of the written word." As early as the third/ninth century, the manuscript book became the predominant medium of knowledge transmission.² Hence, extant Arabic manuscripts are the testimonies of this civilization and one of the main primary sources of research in Arabic and Islamic studies.

The third/ninth and fourth/tenth centuries witnessed a flourishment in Arabic-Islamic written knowledge production. Since the second/eighth century, numerous factors motivated book production, including the widespread use of paper,³ the translation of foreign books into Arabic,⁴ and the development of various fields of scholarship. Moreover, writing books in various fields started in the second/eighth century and continued to the third/ninth and the fourth/tenth centuries and well beyond.⁵ Furthermore, this was a time of significant development in scholarship for the four *Sunnī madhhabs*.⁶ At the beginning of the second half of the second/eighth century, foreign texts, such as the Greek scientific ones, were rendered into Arabic. It was also the period that witnessed the appearance of eminent belles-lettres.⁷ Many manuscripts from this period are extant and found in various modern-day libraries worldwide.⁸

Thus, the extant manuscripts of the third/ninth and fourth/tenth centuries are valuable. These manuscripts are the "vehicles of thought" of an important period of Arabic civilization. Therefore, the scholars of the Arabic and Islamic studies who focus on the third/ninth and

¹ Rosenthal, *The Technique*, 6. On being a written and oral civilization, see Schoeler, *The Oral and the Written*; *Genesis*; Gruendler, *Book Culture Before Print*; "Aspects of craft"; *The Rise*.

² On the spread of the Arabic book in the third/ninth century, see Gruendler, *The Rise*.

³ On the introduction and the widespread of paper in the Islamic world, see Bloom, *Paper Before Print*, 42-89. For more literature on paper issues, see Gruendler, *The Rise*, 177-8, note 47.

⁴ On the translation movement in general, see Gutas, *Greek Thought*; Saliba, *Islamic science*, see particularly pp. 2-129.

⁵ On the book production's circumstances in this period, see Gruendler, *The Rise* (more on the third/ninth century); al-Ḥalwajī, *al-Makhṭūṭ al-'Arabī* (on both the third/ninth and fourth/tenth centuries).

⁶ On the developing of the *Sunnī* legal schools, see Melchert, *The Formation*. On the formation of the Shāfi'ī school in particular, see El Shamsy, "From Tradition to Law."

⁷ On this in general, see Ashtiany et. al., *Abbasid Belles-Lettres*.

⁸ To get an idea about the spread of the Arabic manuscripts until the fifth/eleventh century in the libraries of the world, see 'Awwād, *Aqdam*, 77-239.

⁹ Gacek, Vademecum, X.

fourth/tenth centuries, use manuscripts that date back to these centuries. Consequently, the analysis of the codicological aspects that facilitates the use of these manuscripts is essential.

So far, third/ninth-fourth/tenth-century manuscripts have received little scholarly attention concerning their scribal practices. Studying scribal practices includes analyzing the paratexts, the page layout, the elements of clarity and correctness of text, such as the insertions of omissions, and the script. Covering all these elements requires more than one study. The present contribution is dedicated to two important aspects of scribal practice: the paratexts and the elements of clarity and correctness are essential to everyone who deals with manuscripts. The paratexts inform the reader of details such as: what a particular text is, who the author, is how the text is transmitted from the author, who the copyist of a book is, when, where and for whom a particular text was copied, and the book's theme and methodology. The elements of clarity and correctness are simply the aspects of a manuscript that establish clarity and correctness. Thus, it is essential that a manuscript reader is aware of them. For example, the manuscript user needs to know whether a manuscript is collated or not, and hence if it is reliable or not. Recognising the occurrence of the collation process, requires a familiarity with collation symbols and statements. Moreover, the reader has to know the process of cancelling text to prevent confusion between a cancelled text and an uncancelled one.

The current study is limited to non- Qur'ānic manuscripts. Qurānic manuscripts have already received much scholarly attention. In addition, scribal practices in the Qur'ānic manuscripts are different from those in non- Qur'ānic manuscripts. This contribution is important for our understanding of the history of the Arabic book. This study broadens our knowledge of book writing in the early period. In my research, I analyze the presentation of written material to the reader focusing on two elements that support this presentation, the paratexts and the elements of clarity and correctness.

To what extent can we understand scribal practices of non-Qur'ānic manuscripts from the normative sources and through an investigation of manuscripts? That is the question that this dissertation attempts to answer. Thus, the current study is divided into two main sections. The first

¹⁰ On paratexts, see section 3.

¹¹ See for example, Whelan, "The Writing of the Word of God"; Dutton, "Red Dots, Part 1, ""Red Dots, Part 2"; Déroche, Abbasid Tradition"; Witkam, "Twenty-Nine Rules."

section deals with the elements of the paratext. This section discusses the "liminal devices" or "paratextual elements" "that mediate the relation between the text and the reader" in the front and end positions of the manuscript. 12 These paratextual elements are the title page, the introductory section (including the *basmalah*, the *isnād*, and the preface), and the colophon. The second section focusses on the elements that relate to clarity and correctness. Here I focus on the elements that help establish a clear and correct text. These elements aim at preventing confusion (*mā yamna 'u al-ilbās/al-iltibās*, "which prevents the confusion"). 13 These include keeping the words of particular constructions in one single line, the collation, providing diacritical points to letters and distinguishing the unpointed letters, vocalization, the cancellation of dittographies, the insertion of omitted elements, and the measures utilized in avoiding or correcting erroneous parts of the text. The discussion is based upon a comparative analysis of the normative sources and the main corpus's manuscript specimens. The study begins with an introduction and presentation of the corpus used in this study. Finally, a conclusion of the whole thesis is given at the end.

Considering the aim of the current study, previous studies that overlap with it are categorized and reviewed under the following broad categories: studies dealing with the normative sources, studies on the history of the early Arabic book, and studies referring to the scribal elements under examination.

For the studies dealing with the normative source(s), an essential contribution is Franz Rosenthal's translation and commentary of the tenth/sixteenth manual of al-'Almawī, al-Mu'īd, which covers many of the scribal elements discussed in our study, but as practiced in tenth/sixteenth century. Another contribution is Adam Gacek's work which presents elements of the copying tradition by pre-modern scholars in the fields of 'ulūm al-ḥadīth and adab al-'ālim wa-l-muta'allim.¹¹ In this study, Gacek covers various sources from the fourth/tenth century up until the tenth/sixteenth century. He attempts to collect, organize, and scrutinize elements of Arabic scribal practices of codices as depicted in a range of normative sources until the tenth/sixteenth century. Gacek mainly relies on al-Ghazzī's manual al-Durr al-naḍīd. However,

¹² Macksey, "Foreword," XI- XII.

¹³ The function of "preventing confusion" is mentioned explicitly in al-Qāḍī 'Iyāḍ, *al-Ilmā* ', 164; Ibn al-Ṣalāḥ.

^{&#}x27;Ulūm al-hadīth, 196.

¹⁴ Gaceck, "Technical Practices."

the focus of Gacek's paper is broader than the focus of this current study. Furthermore, Gacek only discusses the scribal traditions through the sources.

Regarding previous works on the history of the Arabic book, some works are dedicated to the early history of the Arabic book. The studies of Schoeler and Gruendler (2012, 2016, 2020) shed light on the history of Arabic knowledge transmission and book production until the third/ninth century. While both Schoeler and Gruendler depend on the narrative sources, Gruendler (2020) also analyzes a corpus of third/ninth-century manuscripts. In addition, we have al-Ḥalwajī's work (2011) which focuses on the history of scribal practices of the Arabic manuscripts up until the fourth/tenth century. Besides studying the narrative sources, he also analyzes a corpus of specimens, primarily from the Egyptian National Library. The present research complements these previous studies with its investigation on practical aspects of the scribal traditions. It seeks to give broader insights into Arabic book history in the early centuries relating to the practical aspects of the writing process.

Concerning works that refer to the scribal elements under examination, some of the studies mentioned above also deal with these issues. These works give separate but brief treatments of some of these scribal elements. Research relevant to the present dissertation is reviewed according to each element under discussion.

1.1. Studies on the elements of the paratext

Little attention has generally been given to the title page as a unit of the manuscript. Şeşen (1997) wrote about the significance of the title page as a source that provides us with much information about the manuscript. He gave examples of title pages that go back to different centuries, among them the fourth/tenth-century MS Fazil 1507 and MS Fazil 1508, both of which are under examination in the present research. However, Şeşen's work does not offer an intensive analysis

¹⁵ Schoeler, The Oral and the Written; Genesis; Gruendler, Book Culture, Aspects of Craft, The Rise.

¹⁶ Gruendler, The Rise.

¹⁷ Al-Ḥalwajī, *al-Makhtūt al-'Arabī*. This book was originally a PhD thesis (1967): al-Ḥalwajī, "al-Makhtūt al-'Arabī mundhu ilā ākhir al-qarn al-rābi' al-Ḥijrī," then abridged in an article (1967): al-Ḥalwajī, "al-Kitāb al-'Arabī al-makhtūt fī nash'atihi." It was previously published as a monograph: Jiddah, Maktabat Miṣbāḥ, 1989. Hereafter I quote the latest edition: Cairo: al-Dār al-Miṣriyyah al-Lubnāniyyah, 2011.

¹⁸ Şeşen, "Ahamiyyat şafhat al-'unwān."

¹⁹ But Şeşen refers to these volumes as Köprülü 1507 and Köprülü 1508, see Şeşen, "Ahamiyyat şafhat al-'unwān," 180, 185, 188, 193.

of the title pages but rather presents preliminary notes on them.²⁰ The title page is also not discussed in al-Halwajī's study, mentioned above. In his work he argues that Arabic copyists did not execute title pages at the outset of the Arabic book production.²¹

The title itself was been the focus of independent studies from those previously mentioned. Arḥīlah (2015) wrote a book dealing with the Arabic book's title from different perspectives. ²² He begins by discussing the concept of the 'unwān (the title/address) in Arabic-Islamic culture. ²³ He then discusses the significance, indication, function, and formulation of Arabic book titles in general.²⁴ Identifying the title to catalogue the manuscripts, and text criticism are also discussed in a general sense in Arhīlah's book.²⁵

The structure of Arabic titles has also been the focus of attention in western scholarship. Ambros analyzed titles which contain one or more noun phrases and were linked with the conjunction wa (and). These titles often included a prepositional phrase. ²⁶ Unlike the present study, Ambros limited his research to the fifth/eleventh and twelfth/the eighteenth century since rhyming titles only became popular from the fourth/tenth century.²⁷ Ambros examines the "lexicon and the syntax" of 1690 titles listed in Brockelmann's GAL.²⁸ In his survey, Ambros observed that titles tend to be short.²⁹ He also argues that Arabic titles tended to adopt a formula which is made up of two noun phrases: the first intended to motivate a positive attitude to the reader, and the second plays the role of a subtitle.³⁰ According to Ambros, the titles in this form frequently include certain keywords to create a positive impression; the most frequent words being durr (pearl) and tuhfah (gem).³¹ Ambros limits himself to titles in the form of rhymed prose, i.e., titles that include at least one rhyme, so-called "saj' titles." He does not take into account other variant titles, such as the

²⁰ See section 2.2.2.6 below.

²¹ Al-Halwajī, *al-Makhtūt al-'Arabī*, 157. On al-Halwajī's view, see section 3.1.6.

²² Arḥīlah, *al-'Unwān*.

²³ Arḥīlah, *al- 'Unwān*, 5-43.

²⁴ Arhīlah, *al-'Unwān*, 45-88.

²⁵ Arḥīlah, *al-'Unwān*, for cataloguing, see 89-97, for text criticism, see 99-193.

²⁶ EI², s. v. "Unwān"; Ambros, "Beobachtungen," 13.

²⁷ Ambros, "Beobachtungen," 15.

²⁸ Ambros, "Beobachtungen," 14. In my research I use the English version of GAL (Brockelmann, Geschichte der arabischen Literatur, 2 vols. and 3 suppl. vols. Leiden: Brill, 1996), abbreviated HAWT.

²⁹ EI², s. v. "Unwān."

³⁰ Ambros, "Beobachtungen," 13-57. Ambros wrote a helpful short article summarizing the main findings of this study in EI. s. v. "Unwān."

³¹ Ambros, "Beobachtungen," 29.

³² Ambros, "Beobachtungen," 14-16.

Manāqib al-Imān al-Shāfi'ī ("The Virtues of al-Imam al-Shāfi'ī").³³ These kinds of titles are a prominent object of analysis in the current study.

Relying on Brockelmann without working on the actual manuscripts can be problematic in dating such titles. The ascription of a given title to a particular century needs to be assessed not only by tracing the title in a dated manuscript but also by exploring if the title was written at the time the manuscript was copied. This cannot be achieved by solely depending on collected titles from GAL. The present study takes a step towards accomplishing what Ambros's important work lacks, but by focussing on third/ninth -fourth/ninth-tenth century titles. The titles are studied directly from the actual manuscripts.

Ambros deals with the titles on their own as a literary text which aims at "making the title memorable" and "generating a positive impression among the readers" about a particular work.³⁴ Similar to Ambros's work, González has also contributed to the structure of the Arabic book title.³⁵ He analyzes a hundred Arabic book titles to determine the traditional structure of Arabic book titles.³⁶ He establishes a division between the titles that directly state the book's subject "without a search for stylistic resources, namely the titles without ornament" and the titles that are embellished with ornamentation.³⁷ Like Ambros, his focus on the embellished titles is restricted to titles in *saj* '.³⁸

The title has also been given attention in the field of Arabic literary studies. Unlike the contribution by Muḥammad 'Uways (1988), which is focused on the title of the Arabic *qaṣīdah* (poem),³⁹ the present research deals with the title, not as a literary text, but as a codicological element of the manuscript which facilitates the use of the book. Ḥamadāwī (1997) has looked at the title in Arabic literature through the approach of semiotics.⁴⁰ However, he mainly relies on Genette's *Paratexts*.

³³ Ambros, "Beobachtungen," 14-15.

³⁴ Ambros, "Beobachtungen," 14.

³⁵ González, "La Estructura del Título."

³⁶ González, "La Estructura del Título," 181.

³⁷ González, "La Estructura del Título," 181.

³⁸ González, "La Estructura del Título," 181-2.

³⁹ 'Uways, al- 'Unwān fī al-adab al- 'Arabī.

⁴⁰ Jamīl Ḥamadāwī, "al-Sīmiyūtīgā wa-l-'Anwanah."

The preface section as a codicological part of manuscripts has been given little attention. Gacek (2009) discusses the preface in Arabic manuscripts but without a focus on a particular period. Al-Ḥalwajī (2011) also analyzes the introductory section, but not in detail. Other studies have also focussed on the introductions of the Arabic book in a general sense but without focusing on the actual manuscripts. These are the works of Freimark (1967), al-'Amad (1987), and Arḥīlah (2017).

The *isnād* as a chain of transmitters given before the *matn* ("text") of a prophetic tradition or a historical report has received much scholarly attention. In this regard, over the twentieth century, theories about the origin and chronology of the *isnād* have been prominent. Here, mention should be made of Schacht (1949),⁴⁶ Sezgin (1984),⁴⁷ Horovitz (2004),⁴⁸ and Robson (2004).⁴⁹ Al-A'zamī (1977) has also discussed the origin and use of the *isnād* in *ḥadīth*.⁵⁰ These theories about the *isnād*'s origin were recently reviewed by Pavlovitch (2018).⁵¹ Furthermore, Gruendler (2020) has broached upon the *isnād* as being a part of the prophetic traditions in *ḥadīth* books or of reports in books of history until the fourth/tenth century.⁵²

Few studies have showed an interest with the practice of the *isnād* of a whole book. Gacek (1989) briefly discusses the writing of the *isnād* in the introductory section of manuscripts from normative sources in the fourth/tenth century up until the tenth/sixteenth century.⁵³ He also includes a lemma on the *isnād* of a book in the manuscripts with an example from the seventh/thirteenth century (2009).⁵⁴ Furthermore, Witkam (2011) has focused on the "high" and the "low" *isnāds*, as was theorized in *hadāth* terminology, and in particular by Ibn al-Ṣalāḥ (d.

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⁴¹ Gacek, Vademecum, 200-3.

⁴² Al-Ḥalwajī, al-Makhtūt al- 'Arabī, 157-9.

⁴³ Freimark, "Das Vorwort."

⁴⁴ al-'Amad, *Muqawwimāt*.

⁴⁵ Arḥīlah, *Hājis al-ibdā* '.

⁴⁶ Schacht, "A Revaluation of Islamic Traditions."

⁴⁷ Sezgin, "Ahamiyyat al-isnād."

⁴⁸ Horovitz, "The Antiquity and the Origin of the *Isnād*;" "Further on the Origin of the *isnād*."

⁴⁹ Robson, "The *Isnād* in Muslim Tradition."

⁵⁰ Al-A'zamī, Studies in Hadīth, 32-45.

⁵¹ Pavlovitch, "The Origin of the *Isnād*."

⁵² Gruendler, *The Rise*, 28-9.

⁵³ Gacek, "Technical practices," 53.

⁵⁴ Gacek, Vademecum, 20-22.

643/1245). Witkam discusses the *isnād* written on the title page of a sixth/twelfth-century manuscript.⁵⁵

The colophon has been broadly dealt with. Şeşen (1997) analyzes the development of the colophon from its inception in correspondence through its development with a sample of Qur'ānic and non-Qur'ānic manuscripts until the tenth/sixteenth century. Similarly, Quiring-Zoche (2013) analyzes a broad range of colophons from the third/ninth century up until the fourteenth/twentieth century. The writer of this present thesis has also written on this topic (2021), she focussing on the colophon from the early period up until the beginning of printed books in the Arabic-Islamic world.

Other studies have focussed on colophons of a specific library. Troupeau (1997) analyzes a corpus of Christian-Arabic manuscripts from the Bibliothèque nationale de France.⁵⁹ John O. Hunwick (2002) has published two articles. In the first, he analyzes a tenth/sixteenth-century colophon from the Kattānī collection.⁶⁰ In the second, he studies tenth/sixteenth-century colophons of *al-Muḥkam* of Ibn Sīdah.⁶¹ Similar to the present study, al-Ḥalwajī (2011) has also examined colophons up until the fourth/tenth century, which he terms as the *nihāyat al-makhṭūṭ* (literally, "the end of the manuscript").⁶²

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⁵⁵ Witkam, "High and low."

⁵⁶ Şeşen, "Esquisse."

⁵⁷ Quiring-Zoche, "The Colophon."

⁵⁸ Elseadawy, *Ḥard al-matn*.

⁵⁹ Troupeau, "Les Colophons."

⁶⁰ Hunwick, "West African Arabic Manuscript Colophons: I: Askiya Muḥammad Bāni's Copy of Risāla of Ibn Abī Zayd."

⁶¹ Hunwick, John O. "West African Arabic Manuscript Colophons: II: A Sixteenth-Century Timbuktu Copy of the *Muhkam* of Ibn Sīda."

⁶² Al-Ḥalwajī, al-Makhtūt al- 'Arabī, 173-4.

1.2. Studies on the elements of clarity and correctness

As for keeping particular constructions together, such as the genitive construction containing the name of Allāh, the earliest scholar to discuss it was Rosenthal (1947), with his translation of al-'Almawī's section on it.⁶³ Then, Gacek (1989, 2009) discussed this issue.⁶⁴ However both Gacek and Rosenthal discuss the practice in relation to a period later than the period of focus in the present thesis.

The collation and its remarks and symbols are also discussed in some studies. Rosenthal (1947) (based on al-'Almawī's *al-Mu'īd*) and Gacek (1989) base their research purely on normative sources from periods after the fourth/tenth century.⁶⁵ On the other hand, al-Mashūkhī (1994) and Gacek (2007, 2009) rely intensively on the manuscript evidence from the period after the fourth/tenth century when discussing the collation.⁶⁶

Studies have also been carried out on the diacritical points of letters (the *naqt*). Abbott (1939) analyzes diacritical points from the outset of the North Arabic script through to its development in early Qur'ānic manuscripts.⁶⁷ Rosenthal (1947) and Gacek (1989), based on a more comprehensive range of normative sources, also discuss the *naqt*, but not in detail.⁶⁸ In addition, Grohmann (1971) and Endress (1982) briefly discuss diacritics in early writings on papyrus and in inscriptions.⁶⁹ Déroche (1992) and Gruendler (1993) discussed the *naqt* in their palaeographical analyses of early Arabic scripts.

François Déroche et al. (2006) briefly deal with the *naqt* but with a specific focus on Qur'ānic manuscripts.⁷⁰ Furthermore, Kaplony (2008) has published a study of the *naqt* on a corpus from the first/seventh century.⁷¹ Gacek (2009) has written a lemma where he addresses the topic in general. He also gives an example of an eighth/fourteenth-century non-Qur'ānic manuscript that contains partially dotted text.⁷²

⁶³ Rosenthal, *The Technique*, 14 (al-'Almawī, al-Mu'īd, 134-5).

⁶⁴ Gacek, "Technical Practices," 55; Vademecum, 146.

⁶⁵ Rosenthal, The Technique, 14-5; Gacek, "Technical Practices," 56-7.

⁶⁶ Gacek, "Taxonomy," 218-9; Gacek, Vademecum, 65-68; al-Mashūkhī, Anmāt, 47-59.

⁶⁷ Abbott, *The Rise*.

⁶⁸ Rosenthal, *The Technique*, 14-5; Gacek, "Technical Practices," 57.

⁶⁹ Grohmann, Arabische Paläographie II. Teil, 41-2; Endress, "Die arabische Schrift," 174-6.

⁷⁰ Déroche et al., *Islamic Codicology*, 220-1.

⁷¹ Kaplony, "What Are Those Few Dots."

⁷² Gacek, *Vademecum*, 144-5.

Distinguishing the unpointed letters (*ihmāl*) has been given little attention. Grohmann (1971) and Endress (1982) briefly discuss the development of the *ihmāl* sign in the Arabic script.⁷³ In a survey of scribal practices presented in the normative sources that range from the fourth/tenth to tenth/sixteenth century, Gacek (1989) lists some *ihmāl* signs.⁷⁴ He (2009) then further wrote a lemma in his *Vademecum* on the topic, pointing out some *ihmāl* signs in a twelfth/eighteenth-century manuscript. François Déroche et al. (2006) briefly discuss the *ihmāl* by examples from actual manuscripts.⁷⁵ Finally, Witkam (2015) has written a paper analyzing the *ihmāl* in normative sources and in manuscripts but without a temporal focus.⁷⁶

Vocalization in the non-Qur'ānic manuscripts has received less attention than in the Qur'ānic manuscripts. Abbott (1939) dealt with vocalization while discussing the development of early Qurānic manuscripts. Furthermore, Rosenthal (1947), following al-'Almawī, and Gacek (1989) scan a more comprehensive range of normative sources that provide knowledge about vocalization, but both studies do not go into much detail. Grohmann (1971), focusing on papyri and inscriptions, and Endress (1982), focusing on normative and historical sources, briefly discuss the Arabic script's vocalization signs. François Déroche et al. (2006) also analyze the vocalization in Qur'ānic manuscripts. He does however also provide one non-Qur'ānic example. Furthermore, Gacek (2009) has written a lemma on vocalization in Arabic in general. In contrast to these works, the present research analyzes vocalization in the third/ninth and fourth/tenth-century non-Qur'ānic manuscripts based on both the normative and manuscript evidence.

Analyzing the cancellation of dittographies has received little attention. Rosenthal (1947), following al-'Almawī, and Gacek (1989) scan a comprehensive range of normative sources, and study cancellation.⁸²Al-Mashūkhī (1994) discusses cancellation primarily from manuscript evidence in the ninth/fifteenth century.⁸³ Gacek (2007, 2009), has also published some work on

⁷³ Grohmann, Arabische Paläographie II. Teil, 42-6; Endress, "Die arabische Schrift," 176.

⁷⁴ Gacek, "Technical Practices," 57.

⁷⁵ Déroche et al., *Islamic Codicology*, 221-2.

⁷⁶ Gacek, Vademecum, 286; Witkam, "The Neglect Neglected."

⁷⁷ Abbott, *The Rise*, in particular 39.

⁷⁸ Rosenthal, *The Technique*, 14-5; Gacek, "Technical Practices," 57.

⁷⁹ Grohmann, Arabische Paläographie II. Teil, 46-8; Endress, "Die arabische Schrift," 178-81.

⁸⁰ Déroche et al., *Islamic Codicology*, 222-4. The non-Qur'ānic example is MS Vel. Ef. 3139 which is analyzed in the current thesis as well, See section 2.2.1.2 below.

⁸¹ Gacek, Vademecum, 288-90.

⁸² Rosenthal, The Technique, 15-6 (al-'Almawī, al-Mu'īd, 137-8).

⁸³ Al-Mashūkhī, *Anmāt*, 65-8.

cancellation from manuscript evidence.⁸⁴ We also have al-Ḥalwajī (2011) who analyzes cancellation in Arabic manuscripts up till the fourth/tenth century. He bases his study on normative sources as well as manuscripts from the Egyptian National Library. However, his study is not conducted in a detailed or systematic way.⁸⁵

Like the cancellation, studies on the insertion of omission in Arabic manuscripts are based either on normative sources or on manuscript evidence. Gacek (1989) analyzes a comprehensive range of normative sources and discusses the insertion of the omitted elements. Furthermore, al-Mashūkhī (1994), in a study on ninth/fifteenth-century manuscripts, based mainly on the manuscript evidence, provides a treatment on the insertion of omitted elements. Again, Gacek (2007, 2009) analyzes the insertion of omitted elements based on some manuscripts dated after the fourth/tenth century. Al-Ḥalwajī (2011) analyzes the cancellation of Arabic manuscripts up until the fourth/tenth century based on certain normative sources and manuscripts from the Egyptian National Library.

Like the two previous elements, studies on measures undertaken to correct mistakes and prevent misinterpretation in Arabic manuscripts focus either on the normative sources or on manuscript evidence. Rosenthal (1947), following al-'Almawī, and Gacek (1989) analyzes some of these measures from on a comprehensive range of normative sources. ⁹⁰ In al-Mashūkhī's (1994) study of ninth/fifteenth-century manuscripts, he also discusses some of the measures used in correcting mistakes and preventing misinterpretation which he encountered in his corpus. ⁹¹ Again, Gacek (2007, 2009) also analyzes some measures from manuscripts after the fourth/tenth century. ⁹² Finally, al-Ḥalwajī (2011) also briefly discussed this issue by looking at Arabic manuscripts up until the fourth/tenth century, alongside other elements from normative sources. However, this is not in any detailed or systematic way as is the case with the present research. ⁹³

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⁸⁴ Gacek, "Taxonomy," 244; Vademecum, 48.

⁸⁵ Al-Ḥalwajī, *al-Makhṭūṭ al-'Arabī*, 168-70.

⁸⁶ Gacek, "Technical practices," 57-9. The insertion of omitted elements was not dealt with in al-'Almawī, *al-Mu'īd*, and consequently not in Rosenthal, *The Technique*.

⁸⁷ Al-Mashūkhī, *Anmāt*, 69-70.

⁸⁸ Gacek, "Taxonomy," 223-4; *Vademecum*, 170-1.

⁸⁹ Al-Ḥalwajī, *al-Makhtūṭ al-'Arabī*, 170, 172-3.

⁹⁰ Rosenthal, *The Technique*, 15; Gacek, "Technical Practices," 57-8.

⁹¹ Al-Mashūkhī, Anmāt, 70-3.

⁹² Gacek, "Taxonomy," 219, 225-7; Vademecum, 266, 80, 81.

⁹³ Al-Ḥalwajī, *al-Makhtūt al-'Arabī*, 168-9, 171-2.

The present study aims to improve our understanding of the paratexts and the elements of clarity and correctness in early Arabic books by investigating both the normative sources and the manuscript evidence, and whenever possible, in a comparative sense. So far, no sustained study has tried to bring together manuscripts and the rules that can be extracted from normative sources. In doing this, this thesis shows how this approach may offer us a different picture from the one we have based on only one of these two sources. The research undertaken on scribal traditions involves a three-stage approach. The first stage involves an extensive investigation of the relevant normative sources, i.e., instructions and anecdotes about writing Arabic texts in the manuscript age. The second stage involves a meticulous examination of the manuscript evidence. The research findings from the normative sources and the manuscript evidence are then systematically compared in the final stage. In other words, the "narrative/normative-sources approach" and "the corpus- approach" are combined in the present thesis. This three-stage approach is intended to lead to a better understanding of Arabic scribal practices in the period under discussion.

2. Corpus

The sources of this study are manuscripts and normative sources that contain information on writing practices. The manuscript corpus is limited to the third/ninth and the fourth/tenth centuries. Thus, the focus on the normative sources will also be from this period. The reason for the focus on these centuries is because this period witnessed a flourishment in book production. As mentioned,, certain factors motivated book writing, such as the wide use of paper and the development of various fields of scholarship like the Arabic language. Other factors that led to an increase in book production were the translation of Greek knowledge into Arabic and the appearance of belles-lettres. Extant manuscripts which are testimonies of this information revolution in third/ninth and the fourth/tenth centuries deserve scholarly treatment.

2.1. Normative sources

These sources provide norms and instructions on scribal practice. From the third/ninth century onwards we can start to identify treatises that deal with correspondence, i. e. *adab al-kātib* ("rules

⁹⁴ On the normative sources, see section 2.1 below.

⁹⁵ On the development of these two approaches, see Hirschler, *Monument*, 5-8.

of conduct of the scribes"). From the fourth/tenth century, we can extract information on writing practices from manuals of $had\bar{\imath}th$ terminology and adab al-' $\bar{a}lim$ wa-l-muta'allim ("rules of conduct for the scholar and the student"). In these sources, "the attitude of the scribe came to be governed by a well-defined set of rules, the $\bar{a}d\bar{a}b$, or religious etiquette." However, more importantly, these sources provide crucial information on some of the practical aspects of writing. The current study focuses on information relating to the practical information of writing which can help us understand scribal practices. The normative sources are investigated as material for "primitive codicology." Then the knowledge elicited from these sources is combined with a thorough investigation into the manuscript evidence.

As mentioned, our focus on the normative sources will also be from this period of the study, i. e. third/ninth and the fourth/tenth centuries. However, some sources written after the fourth/tenth century are also employed. The reason for this is given under the review of these sources below.

2.1.1. Adab al-kātib sources

Bureaucracy and administration have existed since the very early Islamic state. The writing of letters and documents was carried out by state-appointed secretaries (*kuttāb*).³ The *kuttāb* had to set rules and instructions while carrying out such writing. As a result, *adab al-kātib* came to be a literary genre.⁴ The manuals of *adab al-kātib* can be traced back as early as the third/ninth century. However, they reached a degree of comprehensiveness and intensity in the ninth/fourteenth century. The manual *Şubḥ al-a'shā* by al-Qalqashandī being representative of this.⁵ Although the manuals of *adab al-kātib* are material for scholars of administrative texts, they are considered in this thesis for several reasons. These secretaries were also copyists of the manuscript codices from the very outset of writing in Islam.⁶ Hence aspects of writing are shared by the scribal practices of both codices and documents. For instance, adding diacritical marks and the *ihmāl* (unpointing) signs concerns both the of writing administrative documents and codices. Writing the *'unwān* (the

¹ Gacek, Vademecum, 236.

² Gacek, *Vademecum*, 204-7.

³ For the *kuttāb*, see EI², s.v. "Kātib"; Schoeler, *The Genesis*, 56-60.

⁴ For a list of the pre-modern authors of *adab al-kātib*, see 'Abd al-Wahhāb, "Muqaddimah," 8-15; For diplomatic and writing letters, see EI², s. v. "Diplomatic"; "Inshā"; "Kātib"; Khan, "The Literary and Social Role of the Arab Amanuenses"; Sadan, "Nouveau documents sur scribes et copistes"; For a presentation of the domain of *adab al-kātib* and a special focus on the organization and the structure of *Ṣubḥ al-a'shā*, see Van Berkel, "The Attitude Towards Knowledge."

⁵ Van Berkel, "the Attitude Towards Knowledge," 159-68; 'Abd al-Wahhāb, "Muqaddimah."

⁶ Gacek, 'Technical Practices,' 51; "Scribes, Copyists," 704; Vademecum, 238.

address) and the explicit in letters is similar, to some extent, to the writing of the title page⁷ and colophon in codices.⁸ Therefore, manuals on *adab al-kātib* are extremely helpful in my investigation of scribal practices of the non-Qur'ānic codices. I have selected works that are the most pertinent in helping us improve our understanding of scribal practice of codices. In addition, I attempted to select works written by authors who lived in the third/ninth and the fourth/tenth centuries. These books are presented as follows.

Al-Ayyām wa-l-layālī wa-l-shuhūr ("The Days, the Nights, and the Months") by the Kūfan grammarian al-Farrā' (d. 207/822)⁹ is a lexicon on the terms of dating in Arabic. Al-Ayyām is not a complete adab al-kātib treatise. However, it deals with a subject that is also dealt with in adab al-kātib treatises, namely dating. The knowledge of expressing the date provided by al-Farrā' is considered when discussing the date as a part of the colophon.¹⁰

One of the *adab al-kātib* sources is *Kitāb al-Kuttāb wa-ṣifat al-dawāt wa-l-qalam wa-taṣrīfuhā* ("The Book of Scribes and the Description of the Inkwell, the Pen, and Their Use")¹¹ by 'Abd Allāh al-Baghdādī (d. after 255/869). The author was a grammarian and a teacher from Baghdād. He is then reported to have moved to Egypt and taught there.¹² The book is on the terminology of writing and its tools, but what concerns us is that he briefly discusses the *basmalah*, *ammā ba'd* ("to proceed"), and the 'unwān ("the address/title"), ¹³ which are elements of the paratexts of the manuscripts.

Another *adab al-kātib* source is the book entitled *Adab al-kātib* ("Rules of Conduct of the Scribe") by Ibn Qutaybah (d. 270/884 or 276/889), ¹⁴ who was a Persian polymath and served as a

⁷ See section 3.1 below.

⁸ See section 3.3 below.

⁹ On al-Farra, see El², s. v. "al-Farra"; HAWT, vol. 1: 103, suppl. vol. 1: 174; GAS, 9: 131-4.

¹⁰ See section 3.3 below.

¹¹ 'Abd Allāh al-Baghdādī, "Kitāb al-Kuttāb," ed. Nājī; French edition: al-Baghdādī, "Le ""Livre des Secrétaires" de 'Abd Allah al-Bagdadi", ed. Sourdel. I use the edition of Nājī. It is more accessible for me to use than the French edition.

¹² On 'Abd Allāh al-Baghdādī, see HAWT, suppl. vol. 1: 184; al-Şafadī, Nukat al-himyān, 182; al-Suyūṭī, Bughyah, 2: 49.

^{13 &#}x27;Abd Allāh al-Baghdādī, "Kitāb al-Kuttāb," the basmalah, ammā ba 'd: 50, the 'unwān: 54-5.

The 'unwān is discussed under section 3.1 below; the basmalah is discussed under section 3.2.1 below; ammā ba'd is discussed under section 3.2.3.1.2 below.

¹⁴ The sources hesitate between two dates for Ibn Qutaybah's death, 270/884 and 276/889, see Lecomte, *Ibn Qutayba*, 35-9.

judge and vizier for the Abbasid dynasty.¹⁵ His work deals with grammatical and philological issues that concern the scribe. It has a preface that includes general advice about the rules of conduct and the knowledge required for those who wish to pursue a career as a state secretary.¹⁶ As the book's title indicates, it contains useful information on scribal practice that is to be discussed in the present research. However, it does not discuss any of the practical issues that are dealt with in the current thesis.

Furthermore, an epistle is also ascribed to Ibn Qutaybah entitled $Ris\bar{a}lat\ al$ -Khatt wa-l-qalam ("The Epistle of Calligraphy and Pen"). Like $Kit\bar{a}b\ al$ - $Kutt\bar{a}b\ wa$ - $sifat\ al$ - $daw\bar{a}t$, this epistle is based on the terminologies related to writing. It treats the terms such as the matt ("the elongation") and the ' $unw\bar{a}n$. 20

Al-Risālah al-'Adhrā' ("The Virgin Epistle") by Abū al-Yusr Ibrāhīm ibn Muḥammad al-Shaybānī (d. 298/911) includes instructions on writing prose, and in particular, writing correspondence. It was written for Ibrāhīm Ibn al-Mudabbir (d. 279/892-3).²¹ In an earlier edition, al-Risālah al-'Adhrā' was ascribed to Ibrāhīm Ibn al-Mudabbir, as the editor confused the person al-Risālah al-'Adhrā' is written for with the author.²² Al-Shaybānī, the actual author of al-Risālah al-'Adhrā', was a man of letters and poet from Baghdād. He moved to Kairouan where he worked as the head of Dīwān al-Inshā' ("the Office of Writing") first for the Aghlabid dynasty, and then for the Fāṭimid dynasty. His work al-Risālah al-'Adhrā' is therefore particularly useful since it includes knowledge in the field of writing based on the author's own experience, and not merely on theoretical knowledge. What concerns us is his discussion on writing the beginning of letters,

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¹⁵ On Ibn Qutaybah, see EI², s. v. "Ibn Kutayba"; GAS, 3: 376-7; Lecomte, *Ibn Qutayba*; Ṣaqr, "Muqaddimah," in *Ta'wīl Mushkil al-Qur'ān*, ed. Ṣaqr, 2-76; Kunitzsch, "Ibn Qutayba." On Ibn Qutaybah as a popularizer, see Montgomery, "Of Models and Amanuenses," 36-40; Gruendler, "Aspects of Craft in the Arabic Book Revolution," 57-60.

¹⁶ In the edition by al-Dālī and the edition by Fāghūr, the title of this book is *Adab al-kātib*, but MS Lal. 1905, fol. 1r, a fourth/tenth-century copy of this work, indicates that the title is *Kitāb al-Kuttāb*, see section 2.2.2.18 below.

¹⁷ I use the edition by al-Dāmin: Ibn Qutaybah, *Risālat al-Khaṭṭ wa-l-qalam*, ed. al-Dāmin.

¹⁸ See 2.1.12 above.

¹⁹ The elongation is executed when writing, for instance, the *basmalah*, see section 3.2.1. The elongation is used also when highlighting text, of its occurrence in the manuscripts, see layout under 2.3 below.

²⁰ Ibn Qutaybah, *Risālat al-Khaṭṭ wa-l-qalam*, the *maṭṭ*: 24, the '*unwān*: 27.

²¹ Al-Shaybānī, *al-Risālah al-'Adhrā'*, ed. 'Abd al-Wahhāb. On al-Shaybānī, see al-Ziriklī, *al-A'lām*, 1: 60; Kaḥḥālah, *Mu'jam al-mu'allifīn*, 1: 64; 'Abd al-Wahhāb, "Muqaddimah," in al-Shaybānī, *al-Risālah al-'Adhrā'*, 6-8. On Ibn al-Mudabbir, see EI², s. v. "Ibn al-Mudabbir"; HAWT, suppl. vol. 1: 148.

²² Ibrāhīm Ibn al-Mudabbir, *al-Risālah al-'Adhrā'*, ed. Mubārak (Cairo, Maṭba'at Dār al-Kutub al-Miṣriyyah, 1931). For a list of editions of *al-Risālah al-'Adhrā'*, see 'Abd al-Wahhāb, "Muqaddimah," in al-Shaybānī, *al-Risālah al-'Adhrā'*, 18-22.

i. e. the ' $unw\bar{a}n$.²³ In this part, he explains the method of writing the epistle's address and its etiquette. This is particularly useful for our discussion on manuscript titles. Al-Shaybānī also discusses the practice of writing an epistle's opening and recommends that scribes aim for $bar\bar{a}$ 'at al-istihlāl ("a skillful opening").²⁴ He also discusses other scribal elements under discussion in the present thesis including, pointing (naqt),²⁵ vocalization (shakl),²⁶ and writing the date.²⁷

Ibn al-Sarrāj's *Risālat al-Naqt wa-l-shakl* ("The Epistle of Providing the Diacritical Pointing and Vocalization")²⁸ is, as its title and introduction show, dedicated to "the subject of the diacritical pointing and vocalization."²⁹ Abū Bakr Muḥammad Ibn al-Sarrāj (d. 316/929) was a grammarian from Baghdad.³⁰ The first part of the book is on pointing and distinguishing the unpointed letters. Ibn al-Sarrāj begins by explaining how pointing in the Arabic alphabet is due to the use of the same grapheme for different letters.³¹ He then introduces a detailed presentation of the Arabic alphabet showing the pointing of the pointed letters, and how the unpointed letters (*al-hurūf al-muhmalah*) are distinguished from the pointed ones.³² The second part is on vocalization, in which he discusses the vocalization of *al-dafātir* ("notebooks") and *al-maṣāḥif* (the Qur'ānic manuscripts),³³ What is relevant to the current study is the vocalization of *al-dafātir*.³⁴ This work is intensively relied upon in the current thesis, in particular when discussing the pointing and distinguishing of the unpointed letters,³⁵ and vocalization.³⁶

The book *Ṣinā 'at al-kuttāb* ("The Craft of the Scribes") discusses the kinds of knowledge a state scribe would need to do their job. It was composed by the Egyptian philologist Abū Ja 'far Ibn al-Naḥḥās (d. 338/950), who, besides being a grammarian, made important contributions in

²³ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 41-4; see section 3.1 below.

²⁴ Al -Shaybānī, *al-Risālah al-'adhrā'*, 48-9. On the concept of the skillful opening, see EI², s. v. "Ibtidā'"; see section 3.2.3.1 below.

²⁵ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 52; see sections 4.2.1.1 below.

²⁶ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 52; see sections 4.2.2 below.

²⁷ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 53-4; see section 3.3.6 below.

²⁸ Ibn al-Sarrāj. "Risālah," ed. Mustafīd. This critical edition includes, besides the edited Arabic text, the reproduction of the manuscript and a Persian translation (Based on this edition, Witkam translated into English the part on the *naqt* and *ihmāl* of this work, see Witkam, "The Neglect Neglected," 391-5).

²⁹ Ibn al-Sarrāj. "Risālat al-Naqt wa-l-shakl," 8-9.

³⁰ On Ibn al-Sarrāj see EI², s. v. "Ibn al-Sarrādj"; HAWT, vol. 1: 100, suppl. vol. 1: 170; GAS, 9: 82-5.

³¹ Ibn al-Sarrāj. "Risālah," 8-10.

³² Ibn al-Sarrāj. "Risālah," 8-19.

³³ Ibn al-Sarrāj. "Risālah," 18-9.

³⁴ Ibn al-Sarrāj. "Risālah," 18-29.

³⁵ See section 4.2.1 below.

³⁶ See section 4.2.2 below.

Islamic studies, and particularly Qur'ānic studies.³⁷ What concerns us in his book is his discussion of the *basmalah*.³⁸ He also discusses some of the terminology around the days of the week in Arabic³⁹ and the months of the *Hijrī* year.⁴⁰ He explains how to express the day and year when writing the date.⁴¹ His discussion on the term the 'unwān, and his discussion on the methodological developments of writing the 'unwān in correspondence, is essential to our discussion on manuscript titles.⁴² Abū Ja'far al-Naḥḥās also discusses the concept of husn taqdīr al-kitāb ("the well-estimation of writing"),⁴³ which deals with the aesthetic aspect of writing. He makes suggestions such as keeping the words of a particular constructions, such as the genitive construction, together.⁴⁴ Information such as this is particularly useful when discussing cases of improper splitting of constructions in the manuscripts. He also discusses vocalization⁴⁵ and the writing of ammā ba'd ("to proceed."), ⁴⁶ which are also of interest to the present dissertation.

Al-Kuttāb⁴⁷ by Ibn Durustawayh (d. 346/958) is an *adab al-kātib* manual.⁴⁸ Al-Kuttāb contains knowledge for scribes of the state, but what concerns us are particular chapters, such as chapter 8, where Ibn Durustawayh discusses letters that receive points and the method of marking unpointed letters (*al-ḥurūf al-muhmalah*).⁴⁹ Also relevant is chapter 9, where he discusses the signs of vocalization. Furthermore, chapter 12 discusses the writing of the *basmalah*⁵⁰ and *ammā ba 'd*.⁵¹ Like al-Sūlī and Ibn al-Nahhās, in chapter 12, Ibn Durustawayh, also gives information on writing

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³⁷ On al-Naḥḥās, see EI², s. v. "Ibn al-Naḥḥās"; HAWT, vol. 1: 120-1; Sup. 1: 198; GAS, 9: 207-9; al-Dhahabī, *Siyar*, 15: 401.

³⁸ Al-Naḥḥās, Ṣinā 'at al-kuttāb, 63-6.

³⁹ Al-Naḥḥās, *Ṣinā 'at al-kuttāb*, 77-81.

⁴⁰ Al-Naḥḥās, *Ṣinā 'at al-kuttāb*, 81- 6.

⁴¹ Al-Nahhās, Sinā 'at al-kuttāb, 137-40.

⁴² Al-Naḥḥās, Şinā 'at al-kuttāb, 112-115, 172-6.

⁴³ Al-Naḥḥās, Ṣinā 'at al-kuttāb, 116-7. According to Ibn Durustawayh, exercising ḥusn al-taqdīr includes the proper shaping of letters and the alignment of the lines, see Ibn Durustawayh, al-Kuttāb, 73-4.

⁴⁴ Al-Naḥḥās, Şinā 'at al-kuttāb, 116-7.

⁴⁵ Al-Naḥḥās, Şinā 'at al-kuttāb, 154.

⁴⁶ Al-Naḥḥās, Şinā 'at al-kuttāb, 176.

⁴⁷ I use the edition of Cheikho.

⁴⁸ On Ibn Durustawayh, see EI², s. v. "'Ibn Durustawayh"; GAS, 9: 96-8; HAWT, vol. 1: 100, suppl. vol. 1: 170; Ibn al-Nadīm, *al-Fihrist*, ed. Tajaddud, 68-9, ed. Sayyid, vol. 1, part 1: 185-7; Ibn al-Anbārī, *Nuzhah*, 213-4; Ibn Khallikān, *Wafayāt*, 3: 44-5; al-Suyūṭī, *Bughyah*, 2: 36.

⁴⁹ Ibn Durustawayh, Kitāb al-Kuttāb, 51-4.

⁵⁰ Ibn Durustawayh, *Kitāb al-Kuttāb*, 74-6.

⁵¹ Ibn Durustawayh, *Kitāb al-Kuttāb*, 76-7.

the date. 52 Like the *adab al-kātib* books mentioned above, Ibn Durustawayh also shows an interest in the 'unwān of the letters. 53

Adab al-kuttāb ("Rules of Conduct of the Scribes") is a treatise of adab al-kātib by Abū Bakr al-Ṣūlī (d. 355/947). Al-Ṣūlī was a scholar, tutor, courtier for the Abbasid dynasty, and a bibliophile.⁵⁴ Like Ibn al-Naḥḥās's work, this book deals with the knowledge needed for a kātib. Furthermore, like al-Naḥḥās, al-Ṣūlī also deals with the writing the basmalah⁵⁵, and ammā ba'd.⁵⁶ Moreover, he discusses the writing of the beginning of letters.⁵⁷ Al-Ṣūlī also presents anecdotes about diacritical points and vocalization.⁵⁸ As usual in adab al-kātib</sup> works, al-Ṣūlī also discusses the 'unwān.⁵⁹ Furthermore, like al-Naḥḥās, he gives information about a date's component, such as the day, month, and year.⁶⁰

Another important *adab al-kātib* book is *Mawādd al-bayān* ("The Substances of Clear Exposition")⁶¹ by 'Alī Ibn Khalaf al-Kātib (fl. 437/1046-7) who was a *kātib* for the Fāṭimids in Egypt;⁶² thus, his manual is likely to have been based on experience in writing administrative texts. Despite being a fifth/eleventh *adab al-kātib* manual, *Mawādd al-bayān* helps study some issues of the scribal practices of the third/ninth and the fourth/tenth centuries. I find the information presented in the *Mawādd al-bayān* beneficial to our understanding of issues such as the '*anwanah* ("writing address/title")⁶³ and the improper splitting of particular constructions in the manuscripts.⁶⁴ In addition, Ibn Khalaf al-Kātib gives details about *husn al-tadbīr fī qaṭ 'al-kalām wa-waṣlihi fī awākhir al-suṭūr wa-awā 'ilihā* ("the proper organization when splitting and connecting the text at the beginnings and endings of lines"),⁶⁵ which is relevant to discussing the

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⁵² Ibn Durustawayh, *Kitāb al-Kuttāb*, 77-91.

⁵³ Ibn Durustawayh, *Kitāb al-Kuttāb*, 96-7.

⁵⁴ On him, see GAS, 1: 330-1; EI², s. v. "al-Ṣūlī"; EAL. s. v. "al-Ṣūlī"; see the contributions of Osti, for instance, Osti, "Al-Ṣūlī and the Caliph: Norms, Practices and Frames," 167-80.

⁵⁵ Al-Ṣūlī, *Adab al-kuttāb*, 31-6.

⁵⁶ Al-Ṣūlī, *Adab al-kuttāb*, 36-9.

 $^{^{57}}$ Al-Ṣūlī, $Adab\ al\text{-}kutt\bar{a}b$, 39-41.

⁵⁸ Al-Ṣūlī, *Adab al-kuttāb*, 57-61.

⁵⁹ Al-Şūlī, *Adab al-kuttāb*, 143-7.

⁶⁰ Al-Ṣūlī, *Adab al-kuttāb*, 178-86.

⁶¹ The translation of the title is taken from EI³, s. v. "Alī b. Khalaf al-Kātib."

⁶² On Ibn Khalaf al-Kātib and his book, see EI², s.v. "Ibn <u>Kh</u>alaf"; EI³, s. v. "'Alī b. Khalaf al-Kātib"; Saleh, "Une Source"; al-Dāmin, "Muqaddimah," in Ibn Khalaf al-Kātib, *Mawādd al-bayān*.

⁶³ See section 3.1 below.

⁶⁴ See section 4.1 below.

⁶⁵ Ibn Khalaf al-Kātib, Mawādd al-bayān, 321.

improper splitting of particular constructions in the manuscripts. Moreover, as usual in *adab al-kātib* literature, Ibn Khalaf al-Kātib treats writing the introduction of letters, including the formulas of the *basmalah*, " $unw\bar{a}n$, and $amm\bar{a}$ ba 'd. Furthermore, he addresses the definition of the ' $unw\bar{a}n$ of the letters and the method of writing it. He also explains the method of writing the date in the letters. He also explains the method of writing the date in the letters.

2.1.2. *Ḥadīth* terminology

There is a debate on precisely when *ḥadīth* came to be written. Putting aside such questions, what is pertinent for our purpose is the fact that dealing with written prophetic traditions required a set of rules. Consequently, many treatises were composed which lay out the rules of studying prophetic traditions. Some books in this field discuss issues around the actual writing of the text. Although these rules were created for *ḥadīth* manuscripts, they were also applied to manuscript codices in other disciplines such as grammar and jurisprudence. These rules continued to be practiced throughout the manuscript age, even as late as the twentieth century. This can be clearly observed in the actual manuscripts, as will be demonstrated in the following chapters of this thesis.

To the best of my knowledge, al-Rāmahurmuzī (d. 360/971)⁷³ is the earliest author who composed a manual on $had\bar{\imath}th$ terminology containing practical knowledge on writing. The title of this work was *al-Muḥaddith al-fāṣil bayna al-rāwī wa-l-wā'ī* ("The Transmitter of the Prophetic Traditions distinguishing between the Narrator and the Recipient"). Al-Rāmahurmuzī did not only contribute in the field of $had\bar{\imath}th$ terminology but also to literature, especially poetry. He was

⁶⁶ See section 4.1 below.

⁶⁷ Ibn Khalaf al-Kātib, Mawādd al-bayān, 327.

⁶⁸ Ibn Khalaf al-Kātib, Mawādd al-bayān, 330-3.

⁶⁹ Ibn Khalaf al-Kātib, Mawādd al-bayān, 337-9.

⁷⁰ EI², s.v. "Hadīth"; Schoeler, The Oral and the Written, 111-141.

⁷¹ On collecting *ḥadīth* and establishing the *ḥadīth* terminology field, see Ṣiddīqī, *Ḥadīth Literature*; Juynboll, *Muslim Tradition*, particularly 9-76.

⁷² Gacek, "Technical Practices," 51.

⁷³ On al-Rāmahurmuzī, see GAS, 1: 193-4; EI², s. v. "al-Rāmahurmuzī"; Ibn al-Nadīm, *al-Fihrist*, ed. Tajaddud, 172, ed. Sayyid, vol. 1, part 3: 478; al-Tha'ālibī, *Yatīmat al-dahr*, 3: 490-5.

⁷⁴ I use the critical edition of al-Khatīb.

⁷⁵ Ibn al-Nadīm, *al-Fihrist*, ed. Tajaddud, 172, ed. Sayyid, vol. 1, part 3: 478; al-Tha'ālibī, *Yatīmat al-dahr*, 3: 490-5.

also a judge and was associated with some of the most important statesmen of his time, such as Ibn al-'Amīd,⁷⁶ with whom al-Rāmahurmuzī also shared an interest in literature.⁷⁷

As he was alive in the third/ninth century, ⁷⁸ al-Rāmahurmuzī's manual is useful for our understanding of scribal practice in the third/ninth century. More significantly, due to the fact he lived most of his life in the fourth/tenth century, his book is an excellent source for understanding scribal practices in that period. The rules of the scribal practices in any given century are not likely to have changed drastically in the beginning of the next century. Therefore, manuals from the fourth/tenth century are still valid, to a certain extent, in understanding scribal practices from the previous century.

Al-Muḥaddith al-fāṣil contains many details on the issues of ḥadīth transmission, but what concerns us more specifically are the anecdotes al-Rāmahurmuzī gives on drawing the text divider or al-dā'irah bayna al-ḥadīthayn ("the circle between two hadiths"). Fequally pertinent are the sections where he speaks about the techniques of deletion (including al-ḥakk, "rubbing out", and striking through, darb), the insertion of omissions in the margins (al-takhrīj 'alā al-ḥawāshī), and other issues such as when he indicates which word is to be deleted when dittography occurs. Furthermore, he also pays attention to the naqt and the shakl. Finally, tabwīb (chapter division) is also mentioned in the book which is also of relevance for our purposes.

Al-Ilmā' ilā ma'rifat uṣūl al-riwāyah wa-taqyīd al-samā' ("The Indication of the Knowledge of the Fundamentals of Transmission and of Recording the Audition")⁸⁵ by the Maghribī ḥadīth scholar al-Qāḍī 'Iyāḍ (476/1088-544/1149) is the earliest Maghribī work on ḥadīth terminology.⁸⁶ Despite being a sixth/twelfth-century book, al-Ilmā' is still a valuable source for studying third/ninth and fourth/tenth-century scribal practices. It includes details that do not occur in the

⁷⁶ On him, see EI², s. v. "Ibn al-'Amīd."

⁷⁷ Ibn al-Nadīm, *al-Fihrist*, ed. Tajaddud, 172, ed. Sayyid, vol. 1, part 3: 478; al-Thaʻālibī, *Yatīmat al-dahr*, 3: 490-5.

⁷⁸ EI², s. v. "al-Rāmahurmuzī"; al-Khaṭīb, "Tarjamat al-Muṣannif," in al-Rāmahurmuzī, al-*Muḥaddith al-fāṣil*, 9-35.

⁷⁹ al-Rāmahurmuzī, *al-Muḥaddith al-fāṣil*, 606.

⁸⁰ al-Rāmahurmuzī, *al-Muhaddith al-fāṣil*, 606.

⁸¹ al-Rāmahurmuzī, al-Muhaddith al-fāsil, 606-7.

⁸² al-Rāmahurmuzī, al-Muhaddith al-fāsil, 607.

⁸³ Al-Rāmahurmuzī, al-Muhaddith al-fāṣil, 608-9.

⁸⁴ Al-Rāmahurmuzī, al-Muḥaddith al-fāṣil, 609.

⁸⁵ I use the edition of Saqr which is based on three manuscripts.

 $^{^{86}}$ On al-Qāḍī 'Iyāḍ, see EI², s. v. "'Iyāḍ b. Mūsā"; HAWT, vol. 1: 396-7, suppl. vol. 1: 650-2; Ṣaqr, "'Iyāḍ al-Muḥaddith," in al-Qāḍī 'Iyāḍ, $al\text{-}Ilm\bar{a}$ ', 3-31.

earlier sources. Furthermore, we can actually trace some of the practices $al\text{-}Ilm\bar{a}$ 'states in earlier manuscripts. Therefore, it improves our understanding of various elements related to clarity and correctness in third/ninth-fourth/tenth-century manuscripts, especially the cancellation,⁸⁷ the insertion of the omitted elements,⁸⁸ and the measures of correcting mistakes and preventing misinterpretation.⁸⁹

In his book, al-Qāḍī 'Iyāḍ relies on earlier ḥadīth scholars such as al-Rāmahurmuzī and al-Khaṭīb al-Baghdādī⁹⁰ but also comments and provides new details. In contrast to al-Rāmahurmuzī's *al-Muḥaddith*, which depends on anecdotes of various issues, al-Qāḍī 'Iyāḍ states the rule in his own words before recounting any anecdotes that supports this rule.

Al-Ilmā' provides essential details that do not appear in al-Muḥaddith al-fāṣil. For example, for the ihmāl, al-Qāḍī 'Iyāḍ notes that in the Eastern Islamic world and al-Andalus, the ihmāl mark consisted of writing a miniature version of the unpointed letter underneath it. Moreover, he writes with regards to words that are unclear, that that scribe should rewrite this word in the margin, providing that version with vocalization, naqt or ihmāl. Concerning collation, al-Qāḍī 'Iyāḍ mentions two styles. First, the copyist collates with another person; thus, one reads from a Vorlage (the model in Gacek's terms), and the other looks at and corrects the new copy. Interestingly, in this style, the "collation is a combination of hearing and reading, i.e. a written-oral practice." The second style is that the copyist does the collation himself without the help of another. Al-Qāḍī 'Iyāḍ emphasizes that any new copy of a book should be collated. A person should not trust any copying, even if it be from the most excellent copyist. Neither should one completely trust a copy done for oneself, because everyone is prone to losing focus and making mistakes. For the insertion of omissions, al-Qāḍī 'Iyāḍ disapproves of al-Rāmahurmuzī's method and suggests another practice. It is this practice which is identified in the manuscripts under examination.

⁸⁷ See section 4.4 below.

⁸⁸ See section 4.5 below.

⁸⁹ See section 4.6 below.

⁹⁰ On him, see 2.1.3 below.

⁹¹ Al-Qādī 'Iyād, al-Ilmā', 157.

⁹² Al-Qādī 'Iyād, *al-Ilmā*', 157.

⁹³ The *Vorlage* in this context is the manuscript from which another manuscript is copied, see Gaceck, *Vademecum*, 65, 128, 170, 208, 215.

⁹⁴ A comment by prof. Beatrice Gruendler on the first complete draft of the current thesis.

⁹⁵ Al-Qādī 'Iyād, al-Ilmā', 159.

 $^{^{96}}$ Al-Qādī 'Iyād, $al\text{-}Ilm\bar{a}$ ', 159-60.

⁹⁷ See section 4.5 below.

also provides essential details about the organization of insertions in the margins which are not laid out in *al-Muḥaddith al-fāṣil*. Again, to a certain extent, it is his suggestions that are found in the manuscripts under examination. 98

Al-Ilmā' states certain measures to be undertaken in correcting mistakes and preventing misinterpretation which can be traced to the manuscript specimens. However, these measures are not discussed in the sources prior to the sixth/twelfth century. Similarly, the methods of cancelling an extra part of the text written by mistake are discussed more extensively by al-Qāḍī 'Iyāḍ when compared to al-Rāmahurmuzī's al-Muḥaddith al-fāṣil. Al-Qāḍī 'Iyāḍ's discussion is more useful to us in our examination of cancellation in the actual manuscripts.

2.1.3. Adab al-'ālim wa-l-muta'allim

This genre provides knowledge that helps students, particularly students of *ḥadīth*, in their learning process. In books of this genre, we encounter instructions and narratives about writing and copying books. Such information is useful in helping us understand many of the elements of the scribal practice under consideration.

As far as I know, the earliest manual of *adab al-'ālim wa-l-muta'allim* is the fifth/tenth-century *al-Jāmi' fi akhlāq al-rāwī wa-ādāb al-sāmi'* ("The Compendium on the Ethics of the Transmitter and Rules of Conduct of the Listener") by the Baghdadi *ḥadīth* scholar and historian al-Khāṭīb al-Baghdādī (d. 463/1071). The book is dedicated to the ethics and etiquettes required, according to al-Khaṭīb, for a scholar of *ḥadīth*. As for the organization of the book, al-Khaṭīb gathers the anecdotes of a specific theme under a note that summarizes the general theme or a specific piece of advice.

What concerns us is the chapter on the *adab* of writing *ḥadīth*, which contains useful anecdotes and instructions on points under discussion in this thesis.¹⁰² Essential details on writing

⁹⁸ Al-Qādī 'Iyād, al-Ilmā', 162-4; see section 4.5 below.

⁹⁹ Al-Qādī 'Iyād, *al-Ilmā*', 165-9; see section 4.6 below.

¹⁰⁰ Al-Qādī 'Iyād, *al-Ilmā*', 170-3; see section 4.4 below.

¹⁰¹ On al-Khaṭīb al-Baghdādī, see EI², s.v. "al-Khaṭīb al-Baghdādī"; HAWT, vol. 1: 348, suppl. vol. 1: 580-2; al-

^{&#}x27;Ushsh, al-Khaţīb al-Baghdādī; al-Ṭaḥḥān, al-Khaţīb al-Baghdādī.

¹⁰² al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 259-270.

the *basmalah* are presented in different anecdotes. 103 Al-Khaṭīb also instructs students on the method of writing the $isn\bar{a}d^{104}$ and the certificate of audition. 105 He also gives two anecdotes about the improper splitting of particular constructions. 106 Vocalization and the dotting of names of the transmitters also receives attention in this chapter. 107

Furthermore, al-Khaṭīb emphasizes the importance of drawing a text divider in the form of a circle at the end of each <code>hadīth</code>. Later, that circle is provided with a dot, or a stroke, to indicate the text before the circle is collated. The collation of a new manuscript with its exemplar is also given attention. In his <code>hadīth</code> terminology manual <code>al-Kifāyah</code> fī <code>ma'rifat</code> uṣūl <code>al-riwāyah</code> ("Sufficience in Knowing the Fundamentals of <code>[hadīth]</code> Transmission"), al-Khaṭīb mentions further anecdotes on the importance of collation aside from what he mentions in his <code>Jāmi'.110</code> Under the collation theme, the following issues are also dealt with: the pointing, the vocalization, It he cancellation of the dittographies, It and the omissions' insertion.

Despite being a fifth/eleventh-century source, *al-Jāmi* 'is used in the present investigation for third/ninth and fourth/tenth century scribal practices. This is because Al-Khaṭīb al-Baghdādī was aware of these earlier scribal practices as he was dealing with manuscripts that were copied at an earlier time. He explicitly discusses scribal practices of manuscripts written by scholars who lived before the fifth/eleventh century. For instance, he mentions that he saw manuscripts written by the hand of 'Abd Allāh ibn Aḥmad ibn Ḥanbal (213/828- 290/309), the son of the eponymous founder of the *Hanbalī* school.¹¹⁴

Many practices before the fifth/eleventh century are supposed to have continued in the fifth/eleventh century. For example, al-Khaṭīb transmits from earlier scholars about earlier practices without making any additional comments. However, we can understand a change in

¹⁰³ al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 263-8.

¹⁰⁴ al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 268.

¹⁰⁵ al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 268-9.

¹⁰⁶ al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 268.

¹⁰⁷ al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 268-270.

¹⁰⁸ al-Khatīb al-Baghdādī, *al-Jāmi* '. 1: 272-4.

al-Khatīb al-Baghdādī, *al-Jāmi*, 1: 275-6.

al-Khatīb al-Baghdādī, *al-Kifāyah*, 2: 104-8.

al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 276-7.

¹¹² al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 276-80.

¹¹³ al-Khatīb al-Baghdādī, *al-Jāmi*, 1: 279-80.

¹¹⁴ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 270, 273. On 'Abd Allāh ibn Aḥmad ibn Ḥanbal, see al-Khaṭīb al-Baghdādī, *Tārīkh*, 11: 116-7; Ibn Abī Ya'lā, *Ṭabaqāt*, 2: 5-50.

practice in places where al-Khaṭīb does actually make a comment. This will be further elucidated when discussing elements of scribal practices in the course of this thesis.

The Andalusī scholar, Ibn 'Abd al-Barr (d. 463/1070),¹¹⁵ a contemporary of al-Khaṭīb, wrote on the same subject in his book *Jāmi' bayān al-'ilm wa-faḍlihi wa-mā yanbaghī fī riwāyatihi wa-ḥamlih* ("Compendium Exposing the Nature of Knowledge and its Immense Merit and What Is Required in Transmitting and Conveying It")¹¹⁶ which is limited in its relevance to the present study. However, what concerns us in this book is his knowledge of collation, which does not contain anything new from what is mentioned by al-Rāmahurmuzī or al-Khāṭīb, except the anecdote of Ma'mar ibn Rāshid (d. 154/770),¹¹⁷ who believed that even if the collation were exercised a hundred times, a book would still include mistakes (Ibn 'Abd al-Barr, Jāmi' bayān, 338). That anecdote stresses the significance of the collation and explains that leaving it will result in a text full of mistakes.

2.2. The manuscript specimens

Third/ninth and fourth/tenth-century manuscripts are generally sparse around the world. For my research, I limited my archival work to the libraries of Cairo, Alexandria, and Istanbul. I also accessed digital copies and microfilm copies of manuscripts. In the interest of feasibility and practicality, I rely on a core corpus of 23 manuscripts. I present the items of the core corpus chronologically below. I provide a synopsis for each item that includes the shelf mark, title, author, how and in which form (original, digital copy, or microfilm) the item was accessed, how the manuscript was dated, and other relevant information. Additionally, I chart the specimens in a table that summarizes the data (see appendix 1). The table provides a short description of the manuscripts regarding any corrections and notes, the layout, and the script. However, aside from the core corpus, I also refer to other specimens not included in the core corpus (see appendix 2). I do this when it is necessary to expand my examination to get a broader picture of certain areas in my research, especially with phenomena that are not well covered by the core corpus, such as the title page, which is missing from many of the core corpus manuscripts. Illustrations of these are

¹¹⁵ On him, see EI², s.v. "Ibn 'Abd al-Barr"; HAWT, vol. 1: 394-5, suppl. vol. 1: 648-9.

¹¹⁶ The translation is taken from Abbas [sic], *al-Ittibaa* '[sic], 145, footnote 2.

¹¹⁷ On him, see GAS, 1: 290. EI³, s. v. "Ma'mar b. Rāshid."

then provided as well. I selected the specimens in my corpus primarily based on the date given in the manuscript and not stylistic criteria.

2.2.1. Third/ninth-century manuscripts

2.2.1.1. MS DK 41 Uṣūl Fiqh

This is a manuscript of *al-Risālah* ("The Epistle") by Muḥammad ibn Idrīs al-Shāfi'ī (d. 204/820), the eponymous founder of the *Shāfi* 'ī school of law.¹¹⁸ The manuscript was formerly preserved in the Egyptian National Library under the shelf mark 41 Uṣūl Fiqh but is now unfortunately lost. Hence, I could get only use a digital copy in my research. This codex can be dated to the third/ninth century, based on the date given in the *ijāzat naskh* ("the copying permission") at the end. It must have been written before the last day of Dhū al-Qa'dah in the year 265/24 July 879.¹¹⁹ This certificate shows that the copyist is al-Rabī' ibn Sulaymān, a direct student of the author.¹²⁰ The manuscript is written in an early *naskh* script.¹²¹

The many certificates provided by different hands, at the beginning of the manuscript, show that many pre-modern users used the manuscript. These various users corrected and inserted omissions and the expression *balagha* ("he reached") in different places in the manuscript. When *balagha* is written by a hand that is different from the rest of the manuscript, it is likely a reading mark, unlike *balagha* written by the same hand of the manuscript, which is likely a collation mark written by the scribe. However, these additional notes sometimes make it challenging to find when a particular correction or insertion was made. The comments of Aḥmad Shākir in his critical edition of the work were an essential guide in distinguishing between the corrections and the notes of al-Rabī', from those of later users of the manuscript. 123

As far as I know, the earliest modern scholar who dealt with this manuscript was Moritz (1905) in his album on Arabic paleography. However, he wrongly dates it to the fourth/tenth century. 124

¹¹⁸ On al-Shāfi'ī and his school of law, see HAWT, vol. 1: 163-6, see GAS, 1: 484-502; Ali, *Imām Shafi'ī*; Lowry, "Introduction," xviii-xx; El Shamsy, "From Tradition to law."

¹¹⁹ MS DK 41 Uṣūl Fiqh, fol. 75r; see illus. 38.

¹²⁰ MS DK 41 Uṣūl Fiqh, fol. 75r. On al-Rabī' ibn Sulaymān as a direct student and a transmitter of al-Shāfi'ī, see Shākir, "Muqaddimah," in al-Shāfi'ī, *al-Risālah*, 12, 17-23; GAS, 1:488, 494; Lowry, "Introduction," xx.

¹²¹ On naskh script, see Gacek, *Vademecum*, 162-5.

¹²² See section 4.3.

¹²³ Al-Shāfi'ī, al-Risālah, ed. Shākir.

¹²⁴ Moritz, Arabic Palaeography, 117-8.

This manuscript is also listed in biographical works.¹²⁵ Shākir's (1940) edition of *al-Risālah* was based on this manuscript.¹²⁶ Furthermore, Khadduri (1961) has published an English translation of *al-Risālah* in which he mentions the present manuscript and discusses its dating. However, he does not reach a definitive conclusion.¹²⁷ Lowry has also made an edition of the work with an English translation.¹²⁸ Sayyid (1996, 1997) mentions this manuscript in his book about Dār al-Kutub and in another book on Arabic manuscript studies.¹²⁹ Al-Ḥalwajī (2011), in his research on the history of the Arabic book in the first four Hijrī centuries, analyzes this manuscript in the codicological part of his study.¹³⁰ Recently, Gruendler (2020) also discusses the manuscript in her study of Arabic book history in the third/ninth century.¹³¹

2.2.1.2. MS Vel. Ef. 3139

This is a manuscript of *al-Ma'thūr fīmā ittafaqa lafzuhu wa-ikhtalafa ma'nāhu* ("The Transmitted [book] on Homonyms"), a dictionary on polysemic words by the poet and the secretary of the Ṭāhirids, Abū al-'Amaythal 'Abd Allāh ibn Khulayd (d. 240/854).¹³² I attempted to access the original at Beyazıt Devlet Kütüphanesi (Beyazıt State Library) in Istanbul, but the manuscript was in restoration, ¹³³ so I used a digital copy.¹³⁴ This codex can be dated to the third/ninth century, based on the date of copying given in its colophon, in Rabī' al-Ākhar in the year of 280 [June-July 893].¹³⁵ According to the colophon, the copyist is one Abū al-Jahm.¹³⁶

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¹²⁵ 'Awwād, *Aqdam*, 137; Déroche, "Les manuscrits arabes datés," 346; al-Hay'ah, *Nawādir*, 78-9; Zaydān, *al-Nusakh al-alfiyyah fī maktabāt al-'ālam*, 102; Sayyid, *al-Makhṭūṭāt al-alfiyyah fī Dār*, 134-5.

¹²⁶ Al-Shāfi'ī, *al-Risālah*, ed. Shākir.

¹²⁷ Al-Shāfi'ī. *Islamic Jurisprudence Shāfi'ī's Risāla*, trans. Khadduri, on the date see the introduction, 48-51.

¹²⁸ Al-Shāfi'ī, *al-Risālah*, ed. Shākir. Lowry did not base his edition on manuscripts, but on printed editions, amongst them Shākir's edition, which was taken as a basis, see al-Shāfi'ī, *The Epistle on Legal Theory*, ed. and trans. Lowry, esp. xxx-xxxiii.

¹²⁹ Sayyid, *Dār*, 31, Sayyid, *al-Kitāb*, 2: 566, 578.

¹³⁰ Al-Ḥalwajī, *al-Makhṭūṭ al- 'Arabī*, 157, 160-1, 169-72, 174, 176, 178-9.

¹³¹ Gruendler, *The Rise*, 13, 124, 126-7.

¹³² On Abū al-'Amaythal and his book, see EI², s.v. "Abu'l-'Amaythal"; GAS, 8: 189-90.

¹³³ During my archival work journey October-November 2019.

¹³⁴ Thanks to prof. Gruendler for sharing her copy. A digital copy is also available through the local database of Süleymaniye Library in Istanbul.

¹³⁵ MS Vel. Ef. 3139, fol. 33v; See illus. 45.

¹³⁶ MS Vel. Ef. 3139, fol. 33v; See illus. 45. As only the copyist's *kunya* is given, it is difficult to identify him.

Some bibliographical works list this manuscript,¹³⁷ and editors use this manuscript.¹³⁸ Şeşen mentions it in his orthographical and palaeographical study of four early manuscripts and cites its colophon in his study on the colophon's history.¹³⁹ Furthermore, Sayyid mentions it in his book on the Arabic codicology.¹⁴⁰ Déroche et al. (2006) mention this manuscript.¹⁴¹ Recently, Gruendler (2020) has examined this manuscript in her research on Arabic book history in the third/ninth century.¹⁴²

2.2.1.3. MS MMMI 44, part 1 and 3

This manuscript contains two parts of the *Ikhtilāf 'ulamā' al-amṣār* ("The Disagreement among the Scholars of the Capital Cities"), composed by Muḥammad ibn Jarīr al-Ṭabarī (d. 310/923). ¹⁴³ The manuscript is preserved under the shelf mark 44 in al-Maktabah al-Markaziyyah li-l-Makhṭūṭāt al-Islāmiyyah (The Central Library of the Islamic Manuscripts), which is affiliated with Wizārat al-Awqāf (The Ministry of Endowments), Cairo, Egypt. My analysis is based on a digital copy since I could not access the original manuscript when I visited the library. ¹⁴⁴

These parts can be dated to the third/the (beginning of) fourth century based on a reading certificate found in three places in the manuscript. These reading notes show that the volume was read to its author in 294/906. The copyist's name is not given at any place in the manuscript.

A user of the manuscript at al-Azhar Library noted on a *tayyārah* (slip of paper), ¹⁴⁶ that "he has seen in Egypt parts of the [book entitled] *Ikhtilāf 'Ulamā' al-Amṣār* composed by Abū Ja'far Muḥammad ibn Jarīr al-Ṭabarī...written in an old script in the lifetime of the author and it was read to him. [This reading to the author] was heard by Abū Bakr Muḥammad ibn al-Ḥasan al-

¹³⁷ 'Awwād, *Aqdam*, 137, 199; GAS, 8: 189-90; Déroche, "Les Manuscrits arabes datés," 348; Şeşen, *Mukhtārāt*, 238; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 99; Şeşen, "al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah," 150, 153-4.

¹³⁸ Abū al-'Amaythal, *Kitāb al-Ma'thūr*, ed. Krenkow; ed. 'Atṭā.

¹³⁹ Şeşen, "Les caractéristiques," 45, Fig. 1, A, pl. IV, A; Şeşen, "Esquisse," 193-4.

¹⁴⁰ Sayyid, *al-Kitāb*, 2: 401, 566.

¹⁴¹ Déroche et al., *Islamic Codicology*, 221, 224.

¹⁴² Gruendler, *The Rise*, 13-4, 22, 46-7, 133, 178-9.

¹⁴³ On him, see EI², s. v. "Al-Ṭabarī, Abū Ja'far Muḥammad b. Jarīr b. Yazīd."; HAWT, vol. 1:128-9; GAS, 1: 323-8.

¹⁴⁴ I tried the first time on March 2019 and the second time on September 2019. The manager of the library promised to grant me access to the manuscript, but urged me to carry out some complicated procedures including ridiculous security issues which are still in process.

¹⁴⁵ MS MMMI 44 part 1, fol. 1r and v (in the outer margin), part 3, fol. v (in the outer margin).

¹⁴⁶ On the term *tayyārah*, see AMT, 95.

'Allāf and the reading of it [the book] was to him [the author] in the year 294/[906]. He [the author] did not mention Aḥmad ibn Ḥanbal but referred extensively to al-Shāfī'ī, Abū Ḥanīfah, and [his students] Abū Yūsuf and Muḥammad." ¹⁴⁷ This user wrote his note on 23 Ṣafar 1273/23 October 1856. ¹⁴⁸ Ṣāliḥ al-Azharī argues that the parts mentioned in this note are the parts 1 and 3 of MMMI 44, which are the parts under discussion. ¹⁴⁹

These MMMI parts seem to have been part of a larger work that has another title, *Ikhtilāf al-Fuqahā'*, by al-Ṭabarī (thus, we have two different titles for the same book) of which Dār al-Kuttub preserves a fragment. ¹⁵⁰ I examined the original copy of this Dar al-Kutub fragment, but it is without a date, and I could not date it. Moritz dates it to the fourth/tenth century on stylistic criteria. ¹⁵¹ Dār al-Kutub's part was also used in some critical editions. ¹⁵²

2.2.2. The fourth/tenth-century manuscripts

2.2.2.1. MS AZ, 'Āmm 9028, Khass 926 Ḥadīth

This is a fragment of *Gharīb al-ḥadīth* ("The Rare Vocabulary of Ḥadīth") by Abū 'Ubayd al-Qāsim ibn Sallām (d. 224/838).¹⁵³ According to the colophon, it is dated to al-Muḥarram 311/April-May 923.¹⁵⁴ The colophon shows that the copyist is Abū al-Khaṭṭāb al- Ḥusayn ibn 'Umar al-'Aydī.¹⁵⁵ My analysis is based on an examination of the original copy.¹⁵⁶

¹⁴⁷ MS AZ 'Āmm10638, Khāss 57 Figh Hanbalī, fol. 132r.

¹⁴⁸ MS AZ 'Āmm 10638, Khāṣṣ 57 Figh Ḥanbalī, fol. 132r.

¹⁴⁹ Al-Azharī, *al-Taqyīdāt*, 53-6.

¹⁵⁰ MS DK 645 Fiqh Ḥanafī, mentioned by Moritz, *Arabic Palaeography*, 123-5; listed in Zaydān, "al-Nusakh alalfiyyah fī maktabāt al-'ālam," 101.

¹⁵¹ Moritz, Arabic Palaeography, 123-5.

¹⁵² Al-Ṭabarī, *Kitāb Iḫtelāf* [sic] *al-fuqahā'*, part. ed. Friedrich Kern (Cairo: Maṭba't al-Mawsū'āt wa-l-Taraqqī, 1902), has not been accessible to me but see EI², s. v. "Al-Ṭabarī, Abū Ja'far Muḥammad b. Jarīr b. Yazīd. In 1933, Schacht published another fragment, see Schacht, *Das Konstantinopler Fragment*. In 1999, Dār al-Kutub al-'Ilmiyyah published the Dār al-Kutub's fragment by an anonymous editor, see al-Ṭabarī, *Ikhtilāf al-fuqahā'* (Beirut:

Dār al-Kutub al-'Ilmiyyah, 1999).

153 On him, see EI², s. v. "Abū 'Ubayd al-Kāsim b. Sallām"; HAWT, 1:92-4; GAS, 1: 48; 8: 81-7; Gruendler, *The*

On him, see El², s. v. "Abu 'Ubayd al-Kasim b. Sallam"; HAW1, 1:92-4; GAS, 1: 48; 8: 81-7; Gruendler, *The Rise*, 66-76.

¹⁵⁴ MS AZ 9028 'Āmm 926 Khāṣṣ, fol. 146r.

¹⁵⁵ MS AZ 9028 'Āmm 926 Khāṣṣ, fol. 146r. I was not able to identify Abū al-Khaṭṭāb al-Ḥusayn ibn 'Umar al-'Aydī, but seems to have been a scholar of *hadīth*, see section 3.3.2.

¹⁵⁶ For a third/ninth-century manuscript of the same work, see MS UL Or. 298, illus. 3.10 and 3.43.

2.2.2.2. MS DK 19598 Bā'

This is a manuscript of *Ma'rifat al-majrūḥīn min al-muḥaddithīn* ("The Knowledge of the Impugned Transmitters of Prophetic Traditions") by Ibn Ḥibbān (d. 354/965).¹⁵⁷ This manuscript is analyzed based on both its original and a digital copy. According to the colophon, the manuscript is dated to Sha'bān 324/May-June 936.¹⁵⁸ The name of the copyist is not given in the colophon.¹⁵⁹

At the beginning of my examination, I doubted this dating because the manuscript shows rubrication using red ink.¹⁶⁰ However, this is also attested in other manuscripts dated to the fourth/tenth century.¹⁶¹ Thus, the red ink's rubrication attested in some Umayyad Qurānic manuscripts seems to have also been used in fourth/tenth century non-Qur'ānic manuscripts.¹⁶²

This manuscript is listed in some biographical works.¹⁶³ In a critical edition, Zayid (1992) relies on this manuscript.¹⁶⁴ In his Ph.D. thesis on Ibn Ḥibbān, Bin Muhammad Yusoff mentions this manuscript.¹⁶⁵

2.2.2.3. MS Car. Ef. 1508

This is a manuscript of *Kitāb al-Madkhal ilā 'ilm aḥkām al-nujūm* ("The Book of the Introduction to the Science of the Decrees of the Stars") by Abū Ma'shar al-Balkhī (d. 272/886).¹⁶⁶ I was not allowed to inspect its original, so I used a digital copy. According to the colophon, it is dated to Ṣafar 327 /November-December 938.¹⁶⁷ The copyist, as mentioned in the colophon, is Isḥāq ibn Muḥammad ibn Ya'qūb ibn Isḥāq.¹⁶⁸

¹⁵⁷ On him, see EI², s. v. "Ibn Ḥibbān"; HAWT, 1:148-9; GAS, 1: 189-91; Bin Muhammad Yusoff, "Ibn Ḥibbān"; Bin Muhammad Yusoff, "The Mashāhīr"; Bin Muhammad Yusoff, "Ḥadīth Scholar."

¹⁵⁸ MS DK 19598 Bā', fol. 183v.

¹⁵⁹ MS DK 19598 Bā', fol. 183v.

¹⁶⁰ On rubrication, see Gacek, *Vademecum*, 227-9.

¹⁶¹ For other manuscripts, see MS Ch. B. Ar. 3051, fol. 105v; MS IUL Ar. 1434; MS Şehid 1842.

¹⁶² On Rubrication with red ink in some Umayyad Qur'ānic MSS, see Déroche, "New Evidence," 634.

¹⁶³ GAS, 1: 190; 'Awwād, Aqdam, 222; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 105.

¹⁶⁴ Ibn Hibbān, Kitāb al-Majrūhīn min al-muhaddithīn wa-l-du 'afā' wa-l-matrūkīn.

¹⁶⁵ Bin Muhammad Yusoff, "Ibn Ḥibbān," 133.

¹⁶⁶ On Abū Ma'shar, see EI², s.v. "Abū Ma'shar Dja'far ibn Muḥammad ibn 'Umar al-Balkhī"; HAWT, Vol. 1: 218-9, GAS, 7: 139-151; Salība, *Islamic Science*, 35-141.

¹⁶⁷ MS Car. Ef. 1508, fol. 244v.

¹⁶⁸ I was not able to identify him.

This manuscript has received the attention of many scholars. Some bibliographical works list it.¹⁶⁹ Furthermore, Sezgin et al. (1985) published it in facsimile.¹⁷⁰ Şeşen (1989) has analyzed this manuscript in his study of the orthography and palaeography of four specimens from the fourth/tenth century.¹⁷¹ Moreover, many studies on the history of Astrology mention this manuscript: Saliba (1992) in his investigation on the role astrologers played in medieval Muslim societies.¹⁷² Hübner (1994, 1996),¹⁷³ Burnett (2002)¹⁷⁴ and Thomann (2008) also use this manuscript in their research on horoscope diagrams.¹⁷⁵ Additionally, Déroche et al. (2006) mention this manuscript.¹⁷⁶ Unlike earlier editions,¹⁷⁷ the edition of Yamamoto and Burnett (2019) is based on this manuscript alongside other manuscripts.¹⁷⁸ Recently, Thomann (2020) also mentions this manuscript in his article on the "tables of contents, chapter headings and the hierarchical text structures in fourth/tenth-century scientific books."¹⁷⁹

2.2.2.4. MS Şehid 2552

This is a manuscript of *Kitāb Daqā'iq al-taṣrīf* ("Details of Morphology") by al-Qāsim Muhammad Ibn Sa'īd al-Mu'addib (fl. 338/949).¹⁸⁰ I was not granted access to its original, so I used a digital copy. It is likely an autograph. The manuscript does not begin with an expression that introduces the book to the readers, such as *qāla* Abū al-Qāsim or the like. The copyist usually gives such expressions. Instead, the manuscript starts directly with an introductory section in which the author speaks.¹⁸¹ Furthermore, the colophon underscores that it is the author who wrote the book.¹⁸² The colophon shows that it was finished in *ṣabīḥat* ("the morning of") the Thursday, 9 Dhū al-Hijjah 338/30 May 950.¹⁸³

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¹⁶⁹ HAWT, supp. vol. 1: 402; Krause, "Stambuler", 450; GAS, 7: 141; Zaydān, "*al-Nusakh al-alfiyyah fī maktabāt al-'ālam*," 99; Şeşen, "*al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah*," 150, 155.

¹⁷⁰ Abū Ma'shar, *The Great*.

¹⁷¹ Şeşen, "Les Caractéristiques," 45-6.

¹⁷² Saliba, "The Role," 45.

¹⁷³ Hübner, "Teukros," 50, "Nereide," 121.

¹⁷⁴ Burnett, "The Certitude," 207.

¹⁷⁵ Thomann, "Chapter five," 108-9.

¹⁷⁶ Déroche et al., *Islamic Codicology*, 221.

¹⁷⁷ On a survey of earlier editions, see Yamamoto and Burnett, *The Great*, 5-7; Savage-Smith, "Keiji Yamamoto," 521-2.

¹⁷⁸ Yamamoto and Burnett, *The Great*, 30.

¹⁷⁹ Thomann, "From Serial Access to Random Access," 212, 224.

¹⁸⁰ On him, see GAS, 9: 190. On his book *Daqā'iq*, see Baalbaki, "Unfamiliar."

¹⁸¹ MS Sehid 2552, fol. 3r.

¹⁸² See section 3.5.9.3 below.

¹⁸³ MS Şehid 2552, fol. 146v.

This manuscript is listed in some bibliographical works.¹⁸⁴ Baalbaki (2006) discusses this manuscript in his study on morphological terminology.¹⁸⁵ Furthermore, the critical edition of al-Dāmin (2004) is based on this manuscript.¹⁸⁶

2.2.2.5. MS DK 852 Tawhīd

This is a manuscript of *Kitāb al-Intiṣār wa-l-radd 'alā Ibn al-Rāwandī al-mulḥid mā qaṣada bihi min al-kadhib 'alā al-Muslimīn wa-l-ṭa 'n 'alayhim* ("The Book of the Triumph and the Refutation of Ibn al-Rāwandī the Heretic Concerning the Lies He Aimed at Muslims and Attacking Them") by Abū al-Ḥusayn 'Abd al-Raḥīm ibn Muḥammad al-Khayyāṭ (d. ca. 300/913). It is a refutation (*radd*) to the discourse of Ibn al-Rāwandī regarding the Mu'tazilites. ¹⁸⁷ I was granted access to the original. According to its colophon, ¹⁸⁸ it was completed in Jumādā I 347/ [April-May 976]. Besides being an early manuscript, there are other reasons for the significance of this copy. It is the only known manuscript of *al-Intiṣār*. ¹⁸⁹

Sezgin (1967) lists this manuscript. ¹⁹⁰ Nyberg (1925) has published a critical edition of the text, based on the manuscript. ¹⁹¹ In addition, in his study of the *Fadīḥat al-Mu'tazilah*, al-A'sam (1975-1977) uses this manuscript. ¹⁹² Al-Ḥalwajī (2011) deals with it in his book on Arabic manuscript history. ¹⁹³ Larsson (2018) wrote a paper on Nyberg's work on this manuscript. ¹⁹⁴ Finally, it should be mentioned that Weaver is currently preparing an edition and English translation of *al-Intiṣār* that will be published soon. ¹⁹⁵

¹⁸⁴ GAS, 9: 190; Şeşen, *Mukhtārāt*, 666; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 94; Şeşen, "al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah," 150, 155-6.

¹⁸⁵ Baalbaki, "Unfamiliar," esp. 21-2.

¹⁸⁶ Al-Mu'addib, *Daqā'iq*, ed. al-Dāmin, esp. 21, 23. This edition is a revised version of an earlier edition of the book by al-Qaysī, al-Dāmin and Tural (1987), see al-Mu'addib, *Daqā'iq*, ed. al-Dāmin and Tural.

¹⁸⁷ On al-Khayyāt, see EI², s. v. "al-Khayyāt;" HAWT, supp. vol. 1: 343-4; GAS, 1: 621. Van Ess relied on al-Khayyāt's *al-Intiṣār* in many places in his *Theology and society*. On Ibn al-Rāwandī, see EI², s. v. "Ibn al-Rāwandī or al-Rēwendī." . On Ibn al-Rāwandī's argumentation with Mu'tazilites and al-Khayyāt's refutation to his views, see Van Ess, *Theology and Society*, vol. 4: 333-92.

¹⁸⁸ MS Dār al-Kutub 852 Tawḥīd, fol. 62v.

¹⁸⁹ Nyberg, "Muqaddimat al-nāshir," 14.

¹⁹⁰ GAS, 1: 621.

¹⁹¹ Al-Khayyāṭ al-Muʿtazilī, *Kitāb al-Intiṣār*, ed. Nyberg (translated into French: *Al-Khayyāṭ al-Muʿtazilī*, *Kitāb al-Intisār*, *Le Livre du triomphe*, trans. Albert).

¹⁹² Al-A'sam, *Ibn Ar-riwandi's Kitāb*, see in particular, 65, 67, 70-5. Many thanks to James Weaver for drawing my attention and sharing his copy of this study.

¹⁹³ Al-Ḥalwajī, *al-Makhṭūt al-'Arabī*, 156-7.

¹⁹⁴ Larsson, "H. S. Nyberg's Encounter." Many thanks to James Weaver for drawing my attention to this article.

¹⁹⁵ James Weaver, email, through personal correspondence, February 25, 2021.

2.2.2.6. MS Fazil 1507, 1508

These two volumes are manuscripts that contain four parts of the *Muqtaḍab fī al-naḥw* ("The Epitome on Grammar")¹⁹⁶ by al-Mubarrad (d. 285/898).¹⁹⁷ I was granted limited access to the original, so my analysis is mainly based on a digital copy.

As noted on the title page, Abū Sa'īd al-Sīrāfī (d. 368/979) emended and corrected the book. ¹⁹⁸ The title page also shows that the manuscript was written for Abū al-Ḥasan Muḥammad ibn al-Ḥusayn al-'Alawī. ¹⁹⁹ According to the colophon, the manuscript is dated 347/358-9. ²⁰⁰ The copyist, as given in the colophon, is Muhalhil ibn Aḥmad. ²⁰¹

Some bibliographical works list these volumes.²⁰² Ritter (1953) discusses this manuscript in his article on autographs in Istanbul's libraries.²⁰³ Ramaḍān 'Abd al-Tawwāb (1985) also mentions this manuscript.²⁰⁴ Furthermore, in a study on the orthography and the palaeography of four specimens from the fourth/tenth century, Şeşen (1989) discusses this manuscript.²⁰⁵ Muḥammad 'Abd al-Khāliq 'Uḍaymah (1994) has published a critical edition of *al-Muqtaḍab* based on the Egyptian National Library's scanned copy of this manuscript.²⁰⁶ Additionally, Sayyid mentions this manuscript in his study on the history of the Arabic manuscript (1997),²⁰⁷ and Şeşen (1997) has studied its colophon in his study on the colophon's history.²⁰⁸

¹⁹⁶ Following Ritter, "Autographs," 67, I rendered *al-Muatadab* to "The Improvised."

¹⁹⁷ On al-Mubarrad, see EI², s. v. "al-Mubarrad"; HAWT, 1:95-6, suppl. vol. 1: 163-4; GAS, 9:78-80. On al-Mubarrad and his book *al-Muqtaḍab*, see Ritter, "Autographs", 66-8; 'Abd al-Qādir, "al-Muqtaḍab. Dirāsah wataḥlīl."

¹⁹⁸ On al-Sīrāfī, see EI², s. v. "al-Sīrāfī"; HAWT, vol. 1: 100-1, vol. 2: 170-1; GAS, 9: 98-101.

¹⁹⁹ I was not able to identify him.

²⁰⁰ MS Fazil 1507, fol. 143v, 311r; MS Fazil 1508, fol. 171r.

²⁰¹ MS Fazil 1507, fol. 143v; MS Fazil 1508, fol. 171r. On Muhalhil, see al-Dhahabī, *Tārīkh*, 8:173.

²⁰² Rescher, O. "Mitteilungen aus Stambuler Bibliotheken. I," 197-207; HAWT, suppl. vol. 1: 164; Şeşen, *Fihrist*, 2:172-3; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 100; Sayyid, "al-Makhṭūṭāt al-alfiyyah fī Dār," 133; Şeşen, "al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah," 150, 156-7.

²⁰³ Ritter, "Autographs," 67-8, plate III.

²⁰⁴ Al-Mubarrad, *al-Balāghah*, ed. 'Abd al-Tawwāb, see the introduction, p. 86.

²⁰⁵ Şeşen, "Les Caractéristiques," 46, pl. IV, B.

²⁰⁶ Al-Mubarrad, al-Muatadab, ed. 'Udaymah, see in particular pp. 77-78 from the introduction.

²⁰⁷ MS 1507 mentioned in Sayyid, *al-Kitāb*, 2: 572, 579, 583; MS Fazil 1507 mentioned in Sayyid, *al-Kitāb*, 2: 402.

²⁰⁸ Şeşen, "Esquisse," 194-5.

2.2.2.7. MS DK 149 Nahw

I base my research on the original copy of this manuscript. As mentioned in the reading statement found at the end, the manuscript is dated before Ṣafar 351/March-April 962.²⁰⁹ According to its colophon, it is the book of *Mā yanṣarifu wa-mā lā yanṣarifu* ("Triptotically and Diptotically Inflected Nouns").²¹⁰ The book is, according to its transmission chain, authored by Ibrāhīm ibn al-Sarī al-Zajjāj (d. 311/923).²¹¹ Other sources also ascribe the book to al-Zajjāj.²¹² The copyist's name is not given at any place in the manuscript.

Some bibliographical works list this manuscript.²¹³ Moreover, some scholars of palaeography and codicology have used it: Moritz (1905),²¹⁴ Sayyid (1997),²¹⁵ and al-Ḥalwajī (2011).²¹⁶ Qurā'ah (1971) has published a critical edition based on this manuscript.²¹⁷

2.2.2.8. MS DK 139 Nahw, part 3

This volume includes the third part of *Kitāb Sībawayh* ("The Book of Sībawayh") composed by 'Amr ibn 'Uthmān Sībawayh.²¹⁸ This is the third part of a larger manuscript consisting of three parts. The first two parts of the manuscript are preserved under the same shelf mark in Dār al-Kutub al-Miṣriyyah in one volume: MS DK 139 Naḥw, parts 1 and 2. However, these parts are not dated and written in an entirely different script, hence it is not considered for this study. I was granted access to its original. The manuscript is in terrible condition. Many pages are repaired, which affects the text. In its colophon, the manuscript is dated 351/962-3.²¹⁹ The copyist, according

²⁰⁹ MS DK 149 Nahw, fol. 99r.

²¹⁰ MS DK 149 Nahw, fol. 99r.

²¹¹ MS DK 149 Naḥw, fol. 2r. On al-Zajjāj, see EI², s. v. "al-Zadjdjādj"; HAWT, 1:98, suppl. vol. 1: 165; GAS, 9: 81-2. He is also the author of *Ma* 'ānī al-Qur'ān, extant in an early manuscript as well (MS Fazil 43, see 2.2.2.17 below).

²¹² Ibn al-Nadīm, *al-Fihrist*, ed. Tajaddud, 66, ed. Sayyid, vol. 1, part 2: 178; Yāqūt al-Ḥamawī, *Mu'jam al-udabā'*, 1: 63; al-Qiftī, *Inbāh al-Ruwāh*, 1:200.

²¹³ HAWT, suppl. vol. 1: 65; GAS, 9: 82; 'Awwād, Aqdam, 146; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 103; Sayyid, "al-Makhṭūṭāt al-alfiyyah fī Dār," 136.

²¹⁴ Moritz, *Arabic Palaeography*, pl. 122.

²¹⁵ Sayyid, *al-Kitāb*, 2: 405, 567.

²¹⁶ Al-Ḥalwajī, *al-Makhtūṭ al-'Arabī*, 165. It was mentioned entitled: *Sirr al-Naḥw*.

²¹⁷ Al-Zajjāj, *Mā Yansarifu*, ed. Qurā'ah, see esp. the introduction, pp. 30-8.

²¹⁸ On him see, EI², s. v. "Sībawayhi;" HAWT, 1:87-8, suppl. vol. 1: 155-6; GAS, 9: 51. On his *Kitāb*, in particular, see Humbert, *Les voies*; Bernards, *Changing Traditions*, 3-18; Druel, "The Kitāb."

²¹⁹ MS DK, 139 Nahw, part 3, fol. 120r.

to the colophon, is Ismā'īl ibn Aḥmad ibn Khalaf al-Qaṣṣār, who is most likely a scholar of Arabic grammar.²²⁰

The first volume of the manuscript was used by Hartwig Derenbourg (1881),²²¹ the book's earliest editor. However, he did not use the second volume, including part 3, which I examine in this thesis. Later, 'Abd al-Salām Hārūn (1988-1996) partially used this part of the manuscript in his edition.²²²

This manuscript is listed in some bibliographical works.²²³ Studies that treat the manuscript include, Moritz (1905),²²⁴ Humbert (1995),²²⁵ Sayyid (1997).²²⁶ Recently, Druel (2020) also mentions it in his paper on Sībawayh's *Kitāb*.²²⁷

2.2.2.9. MS Fazil 1541

This is a manuscript of the *Kitāb al-Jamharah* ("The Book of the Multitude"), the monumental Arabic dictionary by Ibn Durayd (321/933-4).²²⁸ I could not get access to its original, so I used a digital copy. In the colophon, it is dated to the year 353/[964-5].²²⁹ The copyist's name is not given.

This manuscript is listed in some biographical works.²³⁰ However, both of the critical editions of Zayn al-'Ābidīn al-Mūsawī and Fritz Krenkow (1925/6-1932/3),²³¹ and Ba'labakī (1987),²³² did not take this manuscript into consideration.

²²⁰ On him, see 3.3.2.

²²¹ Sībawayh, *Kitāb Sībawayh* = *Le livre de Sîbawaihi*, ed. Derenbourg, vol. 1, see introduction, XVII-XVIII.

²²² Sībawayh, *al-Kitāb. Kitāb Sībawayh*, ed. Hārūn, see introduction, vol. 1, p. 58.

²²³ GAS, 9: 55; 'Awwād, Aqdam, 189; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 104; Sayyid, "al-Makhṭūṭāt al-alfiyyah fī Dār," 136.

²²⁴ Moritz, Arabic Palaeography, 121.

²²⁵ Humbert, Les Voies, 203-206.

²²⁶ Sayyid, *al-Kitāb*, 2: 567.

²²⁷ Druel, "The Kitāb," 203.

²²⁸ On him, see EI², s. v. "Ibn Durayd;" HAWT, 1:99-100, suppl. vol. 1: 167-8; GAS, 8: 101-5. On his work *al-Jamharah* in particular, see Krenkow, "The Beginnings," 261-8; Baalbaki, *The Arabic Lexicographical Tradition*, 338-47.

²²⁹ MS Fazil 1541, fol. 376r.

²³⁰ HAWT, 1: 100; GAS, 8: 102; Şeşen, *Fihrist*, 2:188-9; Zaydān, "*al-Nusakh al-alfiyyah fī maktabāt al-'ālam*," 93; Şeşen, "al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah," 157-8.

²³¹ Ibn Durayd, *Kitāb Jamharat*.

²³² Ibn Durayd, *Kitāb Jamharat*.

2.2.2.10. MS BA 233

This manuscript is a fragment of the *Tafsīr* of Isḥāq ibn Ibrāhīm ibn Ismā'īl Abū Muḥammad al-Qāḍī al-Bustī (d. 307/919-20).²³³ It is preserved at the Library of Alexandria, Egypt, under the shelf mark 233. Prior to that, it was kept at Baladiyyat al-Iskandariyyah.²³⁴ I refer to it as MS Baladiyyat al-Iskandariyyah 233. I was not granted access to the original copy. Thus, I work with the digital copy.

In its colophon, the manuscript is dated to Ṣafar 358/December 968-January 969.²³⁵ The copyist, as the colophon shows, is Khalaf ibn Ḥakam.²³⁶ However, an examination of the digital copy shows there to be two hands responsible for the manuscript.²³⁷

This manuscript is listed in a few bibliographical works.²³⁸ Two parts of *Tafsīr al-Bustī* ("Commentary of al-Bustī") are edited based on this manuscript by al-'Umarī (1992)²³⁹ and Shaykh 'Alī (1995).²⁴⁰ Zaydān (2006) mentions it in his survey of millenary manuscripts (*al-makhṭūṭāt al-alfiyyah*)²⁴¹ in the Library of Alexandria.²⁴²

Zaydān incorrectly catalogued it as part 13 of the Ṣaḥāḥ Muslim.²⁴³ It is clear that Zaydān only relied on the title page's information, which does not belong to the manuscript, but was glued to the beginning of the text block by mistake. If he had read through the manuscript, he would have recognized that the manuscript is not a ḥadāth collection but a book of Qur'ānic exegesis. Later, a user of Alexandria Library by the name of Akram notified Zaydān that the manuscript could not

²³³ On his bio-bibliography with a special attention to his book of *Tafsīr*, see Alī, *Tafsīr*, PhD diss., 11-50. He was also mentioned in Ibn Ḥibbān, *al-Thiqāt*, 8: 122.

²³⁴ Zaydān, al-Nusakh al-Alfiyyah fī Maktabat al-Iskandariyyah, 341.

²³⁵ MS BA 233, fol. 233r.

²³⁶ MS BA 233, fol. 233r. On Khalaf ibn Ḥakam, see 3.3.9.3.

²³⁷ See section 3.3.9.3.

²³⁸ 'Awwād, *Aqdam*, 165: listed it as part 13 of *Ṣaḥīḥ Muslim*; Zaydān, *Nawādir*, namūdhaj raqam 20; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 102.

²³⁹ Al-'Umarī, "*Tafsīr Abī Muhammad Ishāq ibn Ibrāhīm al-Bustī*," see in particular, pp. 60-1.

²⁴⁰ Shaykh Alī, "Tafsīr Ishāq ibn Ibrāhīm al-Bustī," see particularly, al-mabhath al-thālith, pp. 47-54.

²⁴¹ The millenary manuscripts are the manuscripts which were copied before 1000 years or more. On this term, see Zaydān, "*Mafhūm al-makhtūṭah al-alfiyyah*," 7-18.

²⁴² Zaydān, al-Nusakh al-alfiyyah fī Maktabat al-Iskandariyyah, 343-6.

²⁴³ Zaydān, *Nawādir*, no. 20.

be a part of the Ṣaḥīḥ Muslim as he noticed that the manuscript includes transmitters who never transmitted hadīth from Muslim.²⁴⁴

Zaydān then argued that the manuscript is a part of *Tafsīr al-Bustī* based on the name of the one who dictated it (*al-mumlī*) given at the beginning of the book: Abū Muḥammad Isḥāq ibn Ibrāhīm Ismā'īl ibn Ibrāhīm preceded by the expression *ḥaddathanā* ("he transmitted to us").²⁴⁵ He bases his argument on the *Muʻjam al-buldān* ("The Glossary of the Countries"), where the name of Isḥāq ibn Ibrāhīm ibn Ismā'īl Abū Muḥammad al-Qāḍī al-Bustī (d. 307/919) is mentioned.²⁴⁶ His identification of Abū Muḥammad – the one dictating the work – with the person mentioned in *Muʻjam al-buldān* remains uncertain as there is no indication in *Muʻjam al-buldān* that Isḥāq ibn Ibrāhīm ibn Ismā'īl Abū Muḥammad al-Qāḍī al-Bustī also wrote a commentary on the Qur'ān or that he is a Qur'ān commentator.²⁴⁷ This fragment of *Tafsīr* can be attributed to Isḥāq ibn Ibrāhīm ibn Ismā'īl Abū Muḥammad al-Qāḍī al-Bustī only based on the version of his name in another *isnād* in which his name including the *nisbah* al-Bustī is given (see illus. 2.3).²⁴⁸

2.2.2.11. MS Reis 904²⁴⁹

That is a manuscript of the *Marāthī wa-ash'ār fī ghayr dhālika wa-akhbār wa-lughah* ("Dirges and Poems on Other Themes, Accounts, and Lexicon"), transmitted from Ibn al-'Abbās al-Yazīdī (d. 310/922).²⁵⁰ I was not granted access to its original, so I used a digital copy. As the colophon indicates, this manuscript's copying was completed in Ramaḍān 370/March-April 981.²⁵¹ Once I had seen this manuscript, I realized that its script is very similar to that of the Qur'ān copied by Ibn al-Bawwāb, which is preserved in Chester Beatty Library.²⁵² This particular manuscript was written by Muḥammad ibn Asad ibn 'Alī al-Qāri'(d. 410/1019),²⁵³ as we can learn from a note on

²⁴⁴ Zaydān, *al-Nusakh al-alfiyyah fī Maktabat al-Iskandariyyah*, 343-5. On Muslim, see EI², s. v. "Muslim b. al-Hadjdjāj"; HAWT, vol. 1: 144-5, suppl. vol. 1: 263-5; GAS, 1:136-43.

²⁴⁵ Zaydān, *al-Nusakh al-alfiyyah fī Maktabat al-Iskandariyyah*, 344- 6; MS BA 233, fol. 1v.

²⁴⁶ Zaydān, *al-Nusakh al-alfiyyah fī Maktabat al-Iskandariyyah*, 345-346; Yāqūt al-Ḥamawī, *Muʻjam al-Buldān*, 1: 415.

²⁴⁷ Zaydān, *al-Nusakh al-alfiyyah fī Maktabat al-Iskandariyyah*, 345-346; Yāqūt al-Ḥamawī, *Muʻjam al-buldān*, 1: 415.

²⁴⁸ MS BA 233, fol. 15r. I have noticed this *isnād* thanks to Shaykh Alī, *Tafsīr*, PhD diss., 89, transmission 231.

²⁴⁹ Some sources referred to it as 'Āšir Efendi 904, e. g. Brockelmann gives its shelf mark as 'Āšir Ef. 904, see HAWT, suppl. vol. 1: 165.

²⁵⁰ On him, see EI², s. v. "al-Yazīdī;" see under the 2. the descendants; HAWT, suppl. vol. 1: 165; GAS, 2: 84. ²⁵¹ MS Reis 904, fol. 96v.

²⁵² Ibn al-Bawwāb's Qur'ānic manuscript: MS Ch. B. Is 1431. On Ibn al-Bawwāb and his manuscript, see Rice, *The unique*. On the script of Ibn al-Bawwāb's manuscript, see in particular, p. 3, 11-13; EI², s. v. "Ibn al-Bawwāb." ²⁵³ On al-Qāri', see al-Khaṭīb al-Baghdādī, *Tārīkh*, 2: 430; Rice, *The unique*, 7.

the title page.²⁵⁴ Al-Qāri' was the teacher of Ibn al-Bawwāb, which explains why the hand is similar to the hand of Ibn al-Bawwāb.²⁵⁵

The manuscript is listed in some bibliographical works.²⁵⁶ A critical edition was published in 1948 based on this manuscript entitled *Kitāb al-Amālī 'an Abī 'Abd Allāh al-Yazīdī al-mutawaffā 350 H* ("The Book of the Dictations from Abū 'Abd Allāh... died 350H"),²⁵⁷ which is different from the title given on the title page of the current manuscript.²⁵⁸ Helmut Ritter (1952) has written a short review about this edition.²⁵⁹ Two modern scholars also mention this manuscript in their works. Ayman Fu'ād Sayyid mentions it in his study on the history of Arabic manuscripts.²⁶⁰ Ghānim (2006) wrote an article on it focusing on the paratexts, the organization of the book, and the manuscript notes.²⁶¹

2.2.2.12. MS Fazil 948²⁶²

This manuscript contains three texts by Thābit ibn Qurrah (d. 288/901). ²⁶³ The titles of these texts are *Kitāb Abī al-Ḥasan Thābit ibn Qurrah fī ālāt al-sā ʿāt allatī tusammā rukhāmāt* ("The Book of Abū al-Ḥasan Thābit ibn Qurrah Timekeeping Machines that Are Called Sundials"), ²⁶⁴ 'Amal shakl mujassam dhī arba 'a 'ashrata qā 'idah fī kurah ma 'lūmah ("The Construction of a Solid Figure with Fourteen Faces Inscribed into a Given Sphere"), ²⁶⁵ Qawluh fī īḍāḥ al-wajh alladhī dhakara Baṭlaymūs anna bi-hi istakhraja man taqaddamahu masīrāt al-qamar al-dawriyyah wa-

²⁵⁴ MS Reis 904, fol. 1r.

²⁵⁵ There is a note on the title page highlights this fact, see MS Reis 904, fol. 1r.

²⁵⁶ HAWT, suppl. vol. 1: 165; GAS, 284; Sayyid, "*al-Makhṭūṭāt al-alfiyyah fī Dār*," 133; Şeşen, "al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah," 151, 161.

²⁵⁷ Al-Yazīdī, *Kitāb al-Amālī*, ed. al-Ḥaḍramī and Krenkow, see particularly, pp. 45. This work seems similar to a collection of Marāthī by al-Khansā' collected by al-Mubarrad, see Seeger, "Mubarrad's Version of Two Poems by al-Khansā." The thesis and the book by Muḥammad al-Ṭarīfī (*Marāthī wa-ash'ār wa-akhbār 'an Abī 'Abd Allāh Ibn al-'Abbās al-Yazīdī*, Diploma diss., Kuliyyat al-Ādāb wa-l-'Ulūm al-Insāniyyah bi-Fās, 1985 and al-Yazīdī, *al-Marāthī. Marāthī wa-ash'ār fī ghayr dhālika wa-akhbār wa-lughah*, ed. Ṭarīfī; intro. 'Azzah Ḥasan, Damascus: Wizārat al-Thaqāfah, 1991) have not been accessible to me (but see EI², s. v. "al-Yazīdī"; Ma'had al-Makhṭūṭāt al-'Arabiyyah, *Akhbār al-Turāth al-'Arabī*, 25 [May-June 1986]: 21).

²⁵⁸ Ahmad Ghānim discussed the changing of the title of the manuscript in the critical edition, see Ghanim, "*Marāthī wa-ash'ār*," 326-8.

²⁵⁹ Ritter, "Kitāb al-Amālī."

²⁶⁰ Sayyid, *al-Kitāb*, 2: 403, 572.

²⁶¹ Ghanim, "Marāthī wa-ash'ār," 315-34.

²⁶² The shelf mark of this manuscript is given in the sources as Köprülü 948.

²⁶³ On him, see EI², s. v. "Thābit b. Kurra;" HAWT, vol. 1: 210-2, suppl. vol. 1: 389-91; GAS, 6: 163-70.

²⁶⁴ MS Fazil 948, fol. 1r.

²⁶⁵ MS Fazil 948, fol. 1r. The translation is taken from Hisarligil and Hisarligil. "The Geometry of Cuboctahedra," 127.

hiya al-mustawiyah ("His Utterance about the Explanation of the Way that Ptolemy mentioned That by It His Predecessor Worked out the Regular Cycles of the Moon and They Are Similar"). ²⁶⁶ I was not granted access to its original. In its colophon, the manuscript is dated to Dhū al-Ḥijjah 370/June-July 981. ²⁶⁷ The copyist, as stated in the colophon, is Ibrāhīm ibn Hilāl ibn Ibrāhīm ibn Hārūn al-Ṣābi' al-Ḥarrānī (d. 384/994). ²⁶⁸

Some bibliographical works refer to the manuscript.²⁶⁹ Bessel-Hagen and Spies (1931) present the texts included in this manuscript from a photocopy of this manuscript brought by Helmut Ritter to the Staatsbibliothek zu Berlin (preserved under Simulate Orient. 19) from the original in Istanbul.²⁷⁰ Garbers (1936) has edited and translated into German the text of Thābit ibn Qurrah on ālāt al-sā'āt ("the timekeeping machines"), based on the current manuscript.²⁷¹ This text in this manuscript is on folios 1v-45v of the manuscript. Furthermore, in a study on the orthography and the paleography of four specimens from the fourth/tenth century, Şeşen (1989) also discusses this manuscript.²⁷² What is more, Şeşen (1997) includes its colophon in his study on the colophon's history.²⁷³ Finally, Rashid (2009) and Assela (2009) mention this manuscript in their articles on Thābit ibn Qurrah.²⁷⁴

2.2.2.13. MS IUL. Ar. 1434

This is a manuscript of the second volume of the *Dīwān al-adab* ("The Diwan of Literature") by Isḥāq ibn Ibrāhīm al-Fārābī (d. 350/961).²⁷⁵ I was granted limited access to its original. Its

²⁶⁶ MS Fazil 948, fol. 1r.

²⁶⁷ MS Fazil 948, fol. 45v, 58v.

²⁶⁸ MS Fazil 948, fol. 45v, 58v. On Ibrāhīm ibn Hārūn, see HAWT, 1: 83-4; EI², s. v. "Hilāl b. al-Muḥassin b. Ibrāhīm al-Ṣābi'"; al-Tha'ālibī, *Yatīmat al-dahr*, 2: 287-368.

²⁶⁹ GAS, 6: 187; 'Awwād, *Aqdam*, 171, 183; Şeşen, *Fihris*, 1: 481-2; Morelon, "Majmū' al-makhṭūṭāt," 179, 184, 188-192; Morelon, "Le Corpus," 124-6, 128-30; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 96, 98, 100, 104; Şeşen, "al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah," 150, 159-60.

²⁷⁰ Bessel-Hagen and Spies. "Tābit b. Qurra's Abhandlung," on bringing a photocopy to Berlin, see p. 218.

²⁷¹ <u>T</u>ābit b. Qurra, *Ein Werk*.

²⁷² Sesen, "Les Caractéristiques," 46, pl. VI, A-C.

²⁷³ Seşen, "Esquisse," 195-6.

²⁷⁴ Rashid, "From Harrān to Baghdad," 23; Asselah, "Construction d'une polyèdre," 317.

²⁷⁵ On al-Fārābī, see EI², s. v. "al-Fārābī, Abū Ibrāhīm Isḥāk b. Ibrāhīm;" HAWT, vol. 1: 115-6, suppl. vol. 1: 192-3; GAS, 8: 197-9. On al-Fārābī as a lexicographer, see Kraemer, J. "Studien zur altarabischen Lexikographie," 212; Kelly, "A Closer Look."

colophon shows that it was copied in Ṣafar 372/July-August 982.²⁷⁶ The copyist, according to the colophon, is Abū Naṣr Muḥammad ibn Muḥammad ibn Aḥmad al-Bāqillānī.²⁷⁷

The manuscript is listed in some bibliographical works. What (1974-1979) has published a critical edition of the $D\bar{\imath}w\bar{a}n$ al-adab. This has been further revised by An $\bar{\imath}$ s with the addition of other manuscripts alongside it. Kelly (1979-80) unaware of the present manuscript and the existence of others, erroneously lists MS Pococke 227 in the Bodleian Library as a single copy. Selfontial copy.

2.2.2.14. MS Lal. 1728

That manuscript includes *al-Juz' al-thālith/al-rābi' min shi'r Abī al-'Abbās 'Abd Allāh ibn Muḥammad Ibn al-Mu'tazz* ("The Third and Fourth Parts of The Poetry of...Ibn al-Mu'tazz [(d. 296/998)]"), who was besides being poet, also a prince.²⁸¹ The diwan was collected by his friend Abū Bakr al-Ṣūlī, the author of *Adab al-kuttāb*.²⁸² I was not granted access to its original, so I looked at a digital copy. Its colophon shows that it was copied in Dhū al-Qa'dah 372/April-May 983.²⁸³ The colophon also states that the copyist is one 'Abd al-Malik ibn 'Abd al-'Azīz ibn Muhammad.²⁸⁴

Some bibliographical works list this manuscript.²⁸⁵ Based on this manuscript, Lewin (1945-1950) has published a critical edition for parts 3 and 4 of Ibn al-Mu'tazz's poetry.²⁸⁶

²⁷⁶ MS IUL Arabic 1434, fol. 178r.

²⁷⁷ I was not able to identify him, but he seems to have been a professional copyist as the layout and script of this manuscript show.

²⁷⁸ GAS, 8: 198; Zaydān, "*al-Nusakh al-alfiyyah fī maktabāt al-'ālam*," 94; Şeşen, "al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah," 168.

²⁷⁹ Al-Fārābī, *Dīwān al-adab*, ed. 'Umar, rev. Anīs, see his mention of this manuscript in the introduction, p. 58.

²⁸⁰ Kelly, "A Closer look," 498.

²⁸¹ On him, see EI², s. v. "Ibn al-Mu'tazz;" HAWT, vol. 1: 71, suppl. vol. 1: 126-8; GAS, 2: 569-71.

²⁸² See section 2.1.1.8 above.

²⁸³ MS Lal. 1728, fol. 202v.

²⁸⁴ MS Lal. 1728, fol. 202v. I was not able to identify him.

²⁸⁵ HAWT, vol. 1: suppl. vol. 1: 127; Rescher, "Mitteilungen aus Stambuler Bibliotheken. II," 515; GAS, 2: 570; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 94; Şeşen, "al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah," 150, 161-2.

²⁸⁶ Ibn al-Mu'tazz. *Al-Juz' al-Thālith*, ed. Lewin, see his mention of this manuscript on pp. رحي: Ibn al-Mu'tazz. *Al-Juz' al-Rābi'*, ed. Lewin, see his mention of this manuscript on pp. هـ بـ عالم المعادية .

Blachère has written a review on the German-English edition of this diwan.²⁸⁷ Recently, Bauden (2020) has also mentioned this manuscript in his article on libraries in Islam.²⁸⁸

2.2.2.15. MS Şehid 27

This manuscript includes parts 21-33 of the *Kitāb al-Ḥujjah li-l-a'immah al-sab'ah min qurrā' al-amṣār* ("The Book of Evidence for The Seven Most Eminent [Qur'ān] Readers of the Capital Cities") by al-Ḥasan ibn Aḥmad ibn 'Abd al-Ghaffār al-Fārisī al-Naḥwī (d. 377/987).²⁸⁹ I was not granted access to its original, so I worked with a digital copy. Its colophon shows that it was copied in Sha'bān 374/December 984-January 985.²⁹⁰ The copyist, as stated in the colophon, is al-'Abbās ibn Aḥmad ibn Mūsā ibn Abī Mawwās al-Kātib (d. 401/1010-11).²⁹¹ Besides being a *ḥadīth* scholar, Aḥmad ibn Mūsā ibn Abī Mawwās al-Kātib was also a state secretary and a copyist of books.²⁹²

This copy is important, as it was written during the lifetime of the author. However, it has not received much attention from modern scholars. Two bibliographical works mentioned the manuscript.²⁹³ However, the critical edition of Qahwajī and Juwayjānī (1984), revised by al-Daqqāq, do not take this manuscript into account.²⁹⁴

2.2.2.16. MS DK 663 Tafsīr

This is a manuscript of *Mushkil al-Qur'ān* ("Difficulties in the Qur'ān") by Ibn Qutaybah (d. 276/889), the author of *Kitāb al-Kuttāb*.²⁹⁵ I was granted access to its original. Its colophon shows that it was copied in Rabī' II 379/July-August 989.²⁹⁶ As mentioned in the colophon, the copyist is one Muḥammad ibn Aḥmad ibn Yaḥyā.²⁹⁷

²⁸⁷ Blachère, "Ibn al-Mu'tazz."

²⁸⁸ Bauden, "Bibliothèques en Islam", 16.

²⁸⁹ On him, see HAWT, vol. 1: 101-2, suppl. vol. 1: 171-2; GAS, 9: 101-10.

²⁹⁰ MS Şehid 27, fol. 30r, 60r, 90r, 120r, 180r, 210r, 240r, 270r, 300r, 330r, 360r.

²⁹¹ MS Şehid 27, fol. 30r, 60r, 90r, 120r, 180r, 210r, 240r, 270r, 300r, 330r, 360r; On Ahmad ibn Mūsā ibn Abī Mawwās al-Kātib and other copyists identified, see section 3.3.2.

²⁹² On Aḥmad ibn Mūsā ibn Abī Mawwās al-Kātib and other copyists identified, see section 3.3.2.

²⁹³ HAWT, suppl. vol. 1: 172; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 94.

²⁹⁴ Al-Fārisī, *al-Hujjah*.

²⁹⁵ MS Lal. 1905, see section 2.2.2.18. On the book al-Mushkil, see Lecomte, *Ibn Qutayba*, 276-301; Samad, *Ibn Qutaybah's contribution*.

²⁹⁶ MS DK 663 Tafsīr, p. 165.

²⁹⁷ I was not able to identify him.

The manuscript is listed in some bibliographical works.²⁹⁸ Ṣaqr (1973) has published a critical edition of the book based on this manuscript and two others.²⁹⁹ Al-Ḥalwajī (2011) has analyzed it in his research on Arabic manuscript history up until the fourth/tenth century.³⁰⁰ Furthermore, Samad (2011) mentions this manuscript in his thesis on *Mushkil al-Qur'ān* when discussing the manuscripts used in Ṣaqr's edition.³⁰¹

2.2.2.17. MS Fazil 43

This is a manuscript of part 2 of *Ma'ānī al-Qur'ān* ("Meanings of the Qur'ān"), by the author of the work contained in MS DK 149 Naḥw, mentioned above, Ibrāhīm ibn al-Sarī al-Zajjāj (d. 311/923).³⁰² I was granted limited access to the original, so I relied on its digital copy for my research.³⁰³ Its colophon shows that it was copied in Jumādā I 395/March-April 1005.³⁰⁴ Unfortunately, the copyist's name is not mentioned in the colophon.

Some bibliographical works list this manuscript.³⁰⁵ Shalabī (1988) has made an edition of the *Ma'ānī al-Qur'ān* based on this manuscript along with others.³⁰⁶ Şeşen (1997) studies its colophon in his research on the colophon's history.³⁰⁷ Quiring-Zoche (2013) also quotes and analyzes this manuscript's colophon from Şeşen (1997) it in her study.³⁰⁸

2.2.2.18. MS Lal. 1905

This is a manuscript of *Kitāb al-Kuttāb* ("The Book of the Scribes"), also known as *Adab-al-Kātib* ("The Rules of Conduct of the Scribe")³⁰⁹ by Ibn Qutaybah (d. 276/889), the author of *Mushkil al-Qur'ān* mentioned above (section 2.2.2.16). I was not granted access to its original. Its colophon shows that it was copied in Jumādā I 396/March-April 1006.³¹⁰ The copyist is al-'Abbās ibn

²⁹⁸ 'Awwād, *Aqdam*, 102, 215; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 102, 104; Sayyid, "al-Makhṭūṭāt al-alfiyyah fī Dār," 136; al-Hay'ah, *Nawādir*, 82-3.

²⁹⁹ Ibn Qutaybah. *Ta'wīl*, ed. Sagr, see his mention of the manuscript in the introduction, p. 86.

³⁰⁰ Al-Ḥalwajī, *al-Makhṭūṭ al-'Arabī*, 156-7, 158, 170, 173.

³⁰¹ Samad, *Ibn Qutaybah's contribution*, 1.

³⁰² On al-Zajjāj, see EI², s. v. "al-Zadjdjādj"; HAWT, 1:98, supp. vol. 1: 165; GAS, 8: 99-101; 9: 81-2.

³⁰³ I was allowed to look at the manuscript for only 15 minutes.

³⁰⁴ MS Fazil 43, fol. 335r.

³⁰⁵ Şeşen, Fihrist, 1: 45-6. Zaydān, al-Nusakh al-alfiyyah fī maktabāt al-'ālam, 100; Şeşen, al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah, 169-70.

³⁰⁶ Al-Zajjāj, *Ma'ānī*, ed. Shalabī, see his mention of this manuscript in the introduction, 1: 7.

³⁰⁷ Şeşen, "Esquisse," 195, 197.

³⁰⁸ Quiring-Zoche, "The Colophon," 51-3.

³⁰⁹ see section 2.1.1.

³¹⁰ MS Lal. 1905, fol. 314r.

Aḥmad ibn Mūsā ibn Abī Mawwās al-Kātib (d. 401/1010-11),³¹¹ who is also the copyist of MS Şehid 27 (section 2.2.2.15).

Some bibliographical works list this manuscript.³¹² However, Gruenert (1900) does not consider this manuscript in his edition of the text.³¹³ Al-Dālī (1981), who relied on Gruenert's edition to produce a new edition, also does not use this manuscript.³¹⁴ Bonebakker (1960) uses this manuscript for his work on manuscripts of three *adab al-kātib* books.³¹⁵ Finally, Bonmariage (2016) mentions this manuscript in his collection of ownership statements.³¹⁶

2.2.2.19. MS MRT 37³¹⁷

This is a manuscript of *Sharḥ Faṣīh Tha'lab* ("Commentary of The Eloquent of Tha'lab") by Abū Manṣūr Muḥammad ibn 'Alī al-Jabbān (fl. 416/1025). I was granted access to its original, and I was allowed to take some photos with my camera. Its colophon shows that this manuscript was copied in 398/1007-8. As stated in the colophon, the copyist is one Muḥammad ibn Aḥmad al-Ṭālibānī. Its colophon was copied in 398/1007-8.

Examining the original copy, it seems that there are different hands responsible for writing the manuscript. The hand of a few pages is different from the rest of the manuscript pages (see illus. 2.4).³²² Perhaps these pages had gone missing and then written and added later by someone who imitated the original hand.

³¹¹ MS Lal. 1905, fol. 314r; MS Şehid 27, fol. 30r, 60r, 90r, 120r, 180r, 210r, 240r, 270r, 300r, 330r, 360r; On Abū Mawwās al-Kātib, see al-Khatīb al-Baghdādī, *Tārīkh Baghdād*, 14: 56-7.

Rescher, Oskar. "Über arabische Manuskripte der Lālelī-Moschee," 102; Zaydān, "al-Nusakh al-alfiyyah fī maktabāt al-'ālam," 93; Şeşen, "al-Makhṭūṭāt al-alfiyyah fī al-maktabāt al-Turkiyyah," 170-1.

³¹³ Ibn Qutaybah, *Ibn Kutaiba's adab al-kātib*.

³¹⁴ Ibn Qutaybah, *Adab al-kātib*, ed. al-Dālī, on the benefit of al-Dālī from Gruenert's edition, see the introduction, 3 mīm-4 mīm.

³¹⁵ Bonebakker, "Notes," 160-1.

³¹⁶ Bonmariage, "Ottoman Manuscripts Owners."

³¹⁷ The shelf mark in GAS, 8: 229 is Sohag, Lugha 37.

³¹⁸ On him, see GAS, 8: 228-9.

³¹⁹ Many thanks to my friend, the $Soh\bar{a}g\bar{\imath}$ journalist Mutafa Dunqul who introduced me to Mr. Khalaf, the librarian who facilitated my access to the manuscript.

³²⁰ MS MRT 37 Lughah, fol. 242r.

³²¹ MS MRT 37 Lughah, fol. 242r. I was not able to identify al-Ṭālibānī, but he seems to have been a professional copyist as the script shows.

³²² The folios in different hand are 3r-6v.

This manuscript is listed in two bibliographical works.³²³ Al-Qazzāz wrote his master thesis in Bagdād on *Sharḥ Faṣīh Tha 'lab* in 1974.³²⁴ Subsequently, Qazzāz also published a critical edition of the *Sharḥ Faṣīh Tha 'lab* in 1991, in which he relied on the current manuscript along with others.³²⁵

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³²³ GAS, 8: 229; 'Awwād, *Aqdam*, 156.

³²⁴ Al-Marzūk, "Al-Lughah," 264.

³²⁵ Abū Manṣūr Ibn al-Jabbān. *Sharḥ al-Faṣīḥ*, ed. al-Qazzāz, on treating the present manuscript, see pp. 74-9.

3. Paratexts¹

This chapter discusses the "liminal devices" or "paratextual elements" "that mediate the relation between the text and the reader" in the front and end positions of the manuscript.² These paratextual elements in the examined manuscripts are the title page, the introductory section (including the *basmalah*, the *isnād* of the book, and the preface), and the colophon. This discussion relies on a thorough examination of both the normative sources and manuscripts. The examination of manuscript evidence only covers paratextual elements that are likely to have been composed in the third/ninth and fourth/tenth centuries. Paratextual elements that seem to have been composed later (for example, elements that are composed in a different hand from the main text), are not scrutinized.

3.1. Title page

3.1.1. *al-'Anwanah*, title page, *al-zahriyyah*

The normative sources do not discuss the title page. However, in modern Arabic codicology, the title page is designated, and with various terms. For instance, it is called the *zahriyyah* or *zahr*.³ It is likely that both terms link to the definition of the 'unwān in adab al-kātib as mā zahara, as will be explained below. The title page is also termed *ṣafḥat al-'unwān* ⁴ or *ṣafḥat al-ghulāf* in the modern Arabic codicology.⁵

Adab al-kātib treatises are mainly concerned with writing correspondence (such as through letters) and documents.⁶ These treatises speak about the linguistic meaning of the 'unwān, in the sense of a recipient's address, and its various elements. The address introduces the letter and includes the sender and the addressee: min fulān ilā fulān ("from so to so").⁷ The "titular situation

¹ Genette, *Paratexts*.

² Macksey, "Foreword," XI- XII.

³ For the terms *zahriyyah* or *zahr* see, EI², s. v. "'Unwān"; Şeşen, "Ahamiyyat safhat al-'unwān," 179, Sayyid, *al-Kitāb al-'Arabī al-makhtūt*, 1: 2.

⁴ Al-Ḥalwajī, *al-Makhṭūṭ al-'Arabī*, 157; al-Sāmirā'ī, *'Ilm al-iktināh*, 205-10; al-Nashshār, *Fī al-Makhṭūṭāt al-'Arabiyyah*, 23-5.

⁵ Sayyid, al-Kitāb al-'Arabī al-makhṭūṭ, 1: 2.

⁶ On the genre including a basic list of its works, see EI², s. v. "Kātib."

⁷ On this, EI², s. v. "Unwān", "Diplomatic"; Cook, *Early*, 53 (Cook calls the 'unwān "the proem", or praescriptino); AMT, 103.

of communication"⁸ in the context of correspondence has two elements: the sender and the addressee. In Jakobson's model, ¹⁰ a message is delivered through the title, i.e. an indication of the theme, the sender (the author or the copyist), the addressee (the readers, the patron, or the copyist himself). The third/ninth-century *adab al-kātib* sources define the '*unwān* in this sense. According to an epistle attributed to Ibn Qutaybah (d. 276/889)¹¹ and another to Ibn 'Abd al-'Azīz al-Baghdādī (d. after 255/869),¹² the title is an *athar* (the sign/mark/clue). Thus, the address is a mark that indicates a message. The title of a codex acts similarly. 'Abbās Arḥīlah defines the book's title as a 'mark' using the Arabic terms '*alāmah*, *simah*, and *mu'ashshir*. We can see that in the field of Arabic literature, the title is also dealt with as a mark. Furthermore, al-Shaybānī (d. 298/911), ¹⁶ in *al-Risālah al-'Adhrā'*, refers to the '*unwān* incidentally while discussing the sealing of the letters. However, he does not deal with it independently. ¹⁷

The *adab al-kātib* treatises in the fourth/tenth century, besides providing a definition of the 'unwān, also discuss its place and its formulation. For example, Ibn Durustawayh (d. 346/958)¹⁸ writes a chapter entitled: "The mention of the address and its interpretation." In this chapter, he describes the 'unwān as mā zahara ("what appears"). ¹⁹ This definition probably draws upon the lexical meaning of the root '-n-w, which means "appearing." ²⁰ Ibn Durustawayh illustrates that a letter's address is to be placed in its outer part, at the beginning ('alā zāhirihi wa-awwalihi) to

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⁸ Genette, *Paratexts*, 73.

⁹ On diplomatic and writing the epistles, see EI², s. v. "Diplomatic," "Inshā'," "Kātib"; Muid, "The Literary and social Role of the Arab Amanuenses"; Sadan, "Nouveau documents sur scribes et copistes."

¹⁰ Jakobson, "Linguistics and Poetics," 66, see also Genette, *Paratexts*, 73.

¹¹ On Ibn Qutaybah, see footnote 110 above.

¹² For him, see HAWT, suppl. vol. 1: 184; al-Şafadī, Nukat al-himyān, 182; al-Suyūṭī, Bughyah, 2: 49.

¹³ Pseudo-Ibn Qutaybah, *Risālat al-Khaṭṭ wa-l-qalam*, 27; Ibn 'Abd al-'Azīz al-Baghdādī, "*al-Kuttāb wa-ṣifat al-dawāh*," 54-5. Al-Ṣūlī mentioned the same meaning in the next century (al-Ṣūlī, *Adab al-kuttāb*, 143). He also transmitted this meaning from Abū Dhakwān, who, in turn, transmitted from al-Tanūkhī, see al-Ṣūlī, *Adab al-kuttāb*, 147. Ibn Khalaf al-Kātib, in the 5th/11th century, stated that "the title is like the sign" (*al-'unwān ka-l-'alāmah*), see Ibn Khalaf al-Kātib, *Mawādd al-bayān*, 330. On the meaning of the '*unwān* as a mark/trace/sign is used, see Lane, *Arabic English lexicon*, 5: 2179; EI², s. v. "'Unwān."

¹⁴ Arḥīlah, al- '*Unwān*, 5.

¹⁵ See for instance: Jamīl Hamadāwī, "al-Sīmiyūtīgā wa-l-'anwanah."

¹⁶ On him, see al-Ziriklī, *al-A 'lām*, 1: 60; Kaḥḥālah, *Mu 'jam al-mu 'allifīn*, 1: 64.

¹⁷ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 55. *Al-Risālah al-'Adhrā'* is attributed to Ibn al-Mudabbir in the edition of Zakī Mubārak.

¹⁸ On Ibn Durustawayh, see footnote 143 above.

¹⁹ Ibn Durustawayh, *al-Kuttāb*, 96. Later, in the fifth/eleventh century, Ibn Khalaf al-Kātib put it: *khaṭṭ zāhir 'alā al-kitāb* ("a distinct writing on the top of the message"), see Ibn Khalaf al-Kātib, *Mawādd al-bayān*, 330.

²⁰ al-Zamakhsharī, *Asās*, 2: 145; Arḥīlah, *al-'Unwān*, 17-8.

explain who the letter is from and who it is for.²¹ Similarly, the title page comes before the book's composition to show the text's sender (the author) and addressee (the readership). A title that indicates the subject determines the readership and those who are interested in a particular field. However, it should be stated that a title is not always indicative of the contents of a book. Examples of this includes *al-Risālah*²² and *Kitāb Sībawayh*.²³

The title page is often the first folio. 24 Thus, when a manuscripts reader begins reading, the title page appears (this is $m\bar{a}$ zahara, as shown above). Designating the title page with zahriyyah or zahr in modern Arabic scholarship is likely to be an extension of the above-mentioned linguistic definition used of title - ' $al\bar{a}$ $z\bar{a}hirihi$, $m\bar{a}$ zahara. The title page of non-Qur'ānic manuscripts contain the proper title, which sometimes signals the content, in the sense of the title as a 'mark' mentioned above. The title page often entails the author's name (from whom), and sometimes shows for whom the manuscript was copied (for a patron, or for the copyist himself). Hence, the title page fulfils the address's function, in other words to identify the sender and addressee.

Al-Ṣūlī points out that "declaration" is a function of the 'unwān, by which the writer declares the matter of the message (a'lana bihi amr al-kitāb). Similarly, the title page of a book 'declares' some kind of knowledge about the book. 27

With regards to the writing of the 'unwān, al-Ṣūlī reports that the scribes of letters used to write the basmalah as a part of the 'unwān but that this tradition ended.²⁸ We can see this from manuscript codices as well; the basmalah does not occur on any title pages under scrutiny. Instead, the basmalah only appears in the introductory section, as will be elaborated upon below (see section 3.2.1).

²¹ Ibn Durustawayh, *al-Kuttāb*, 96. For more details about which comes first "to whom" or "from whom" in the title of a given letter, see Ibn Durustawayh, *al-Kuttāb*, 96-7 and al-Ṣūlī, *Adab al-kuttāb*, 144-7.

²² For the title on title pages of *al-Risālah*, see MS DK 41 Uṣūl fiqh, fol. 6r, 30r, 52r, and the title is also given in the copying permission as *Kitāb al-Risālah*, see fol. 75v.

²³ MS DK 139 Nahw, fol. 1r, 120r.

²⁴ See section 3.1.2 below.

²⁵ See section 3.1.11.3, note (4); section 3.1.11.6, note (3).

²⁶ Al-Sūlī, *Adab al-kuttāb*, 143.

²⁷ For the analysis of title page examples, see section 3.1.4 below.

²⁸ Al-Ṣūlī, *Adab al-kuttāb*, 144.

In the fifth/eleventh century, Ibn Khalaf al-Kātib 29 gave a more comprehensive definition for the 'unwān:

The address is like the sign. It indicates the writer from the addressee. The main purpose is to state the names of the sender and the addressee in order to prevent the letter from being anonymous.³⁰

This comprehensive definition includes (besides the characteristics of the 'unwān that were mentioned earlier), the function of clarifying the message's purpose; without it, we do not know the direction of the message, nor from whom the message is from and to whom it is for. This function is fulfilled in non-Qur'ānic manuscripts by the information on the title page. If the book's title page is not provided, the book will become anonymous, unless a perusal of the entire manuscript reveals the book's identity.

The above-presented theoretical data from the *adab al-kātib* treatises on the components of the 'unwān can be seen in extant early letters. For example, Qurrah's epistles dated to the first/seventh century. They open with 'unwān's such as: hādhā kitāb min Qurrah ibn Sharīk li-ahl Hurūs Abayarmayūtus ("this is An Epistle from Qurrah… to the People of Hurūs…"),³¹ and hādhā kitāb min Qurrah ibn Sharīk li-ahl Shubrā Ājiyyah Bi[nūt]iyyah ("this is an Epistle from Qurrah… to the People of Shubrā …").³² The theoretical details of the 'unwān discussed above also appear in practice in MS Vat. Ar. 13.³³ This manuscript contains the Gospels and St. Paul's Epistles. However, the manuscript is not dated in any place. Scholars who have dealt with the manuscript have suggested various dates for its composition. Graf dates it to the third/ninth century,³⁴ after

²⁹ On Ibn Khalaf al-Kātib, see EI², s.v. "Ibn Khalaf"; Saleh, "Une Source de Qalqašandī."

³⁰ Ibn Khalaf al-Kātib, *Mawādd al-bayān*, 330.

³¹ MS DK Inv. No. 334; Grohmann, Arabic Papyri in the Egyptian Library, 3: 54-5.

³² MS DK Inv. No. 663; Grohmann, Arabic Papyri in the Egyptian Library, 3: 50-2.

³³ Available at: digi.vatlib.it/view/MSS_Vat.ar.13, [accessed May 4, 2020]. Many thanks to Prof. Gruendler for drawing my attention to this manuscript.

³⁴ GCAL, I: 147, 150; Monferrer-Sala, "The Pauline Epistle," 341; Monferrer-Sala, "An Early Fragmentary Christian Palestinian Rendition of the Gospels," 70.

originally dating it to the second/eighth century.³⁵ Its dating to the third/ninth century is also agreed upon by others.³⁶ However, some scholars such as Kashouh, even date it to the first/seventh century.³⁷ The epistles of St. Paul contain an *'unwān* in the beginning, just like the pattern mentioned in *adab al-kātib* treatises.³⁸ For instance, the title: *al-Risālah min Būlus al-Rasūl ilā Rūmiyyah* (the Epistle from Paul the Apostle to the Romans), explicitly includes the sender (St. Paul) and the addressee (the Romans).³⁹

The sources on *adab al-'ālim wa-l-muta'allim* and *ḥadīth* terminology up until the fifth/eleventh century are silent on the title page and the title itself. Later, Ibn Jamā'ah (d. 733/1333)⁴⁰ discusses writing the title on the bottom of the leaves's edge to facilitate recognition when stacked with other books.⁴¹ This practice is only first mentioned in the eighth/fourteenth century with its first source being Ibn Jamā'ah's *Tadhkirah*. I could not trace this practice in any of the actual manuscripts from the third/ninth and fourth/tenth centuries and it only seems to have been initiated after the fourth/tenth century.

Considering the above findings, we can think of the 'unwān as follows: its practice in letters is equivalent to the title page in the manuscripts. In letters and documents, the unwān includes the sender and the addressee. This is like the title page in codices, which include the author's/copyist's name ("from whom") and the title (explains "to whom"). It should be noted that the "to whom" may also be the patron in certain cases, as will be explained below.

In simple terms, a non-Qur'ānic manuscript title is a name given to a book and there are various terms used to designate this name. One of those is the 'unwān.⁴² Using the term the 'unwān for

³⁵ Graf. *Die Christlich-Arabische Literatur bis zur Frankish Zeit*, 10; Monferrer-Sala, "The Pauline Epistle," 341; Monferrer-Sala, "An Early Fragmentary Christian Palestinian Rendition of the Gospels," 69.

³⁶ Monferrer-Sala, "The Pauline Epistle," 341.

³⁷ Kashouh, *The Arabic Versions of the Gospels*,153-171, 147-9.

³⁸ For all titles of St. Paul's Epistles, see MS Vat. Ar. 13, fol. 87v, 103r, 121r, 131r, 141r, 149v, 153r, 155v, 160v, 164r, 166r, 167r.

³⁹ MS Vat. Ar. 13, fol. 87v.

⁴⁰ On him, see HAWT, vol. 2: 74-5; Khalaf, al-Qāḍī Badr al-Dīn ibn Jamā 'ah; al-Subkī, Ṭabaqāt al-Shāfî 'iyyah, 9: 139-46; al-Barzālī, Mashyakhat qāḍī al-quḍāh Shaykh al-Islām Abī 'Abd Allāh Muḥammad ibn Ibrāhīm Ibn Jamā 'ah; al-Ṣafadī, al-Wāfī, 2: 15-17.

⁴¹ Ibn Jamā'ah, *Tadhkirah*, 127.

⁴² EI², s. v. "Unwān." The term 'unwān is also used to designate the illuminated upper margin of the first leaf's verso in the text block, is also termed frontispiece or headpiece in English, see EI², s. v. "Unwān"; Gacek, *Vademecum*, 37, 110-11; Déroche et. al., *Islamic Codicology*, 225. Moreover, The term 'unwān is used as a synonym to the *sarlawḥa* to denote 'the illuminated title piece or headpiece' provided at the 'opening text page,' i. e. the verso of the first folio (see The Grove Encyclopedia of Islamic Art and Architecture, II: 189, III: 127). However, Akimushkin and Ivanov

both the book's title and the letter's address is likely because of the similarity of both of their functions as explained previously.

Another term for the book title is *al-tarjamah*.⁴³ The use of this term can be traced to the fourth/tenth century, and more specifically to al-Washshā' (d. 325/937).⁴⁴ In a chapter on flowers, he refers to the title of his book: *Wa-tarjamtuhu bi-kitāb al-'Aqd* ("and I entitled it the "Book of the contract").⁴⁵ The verb *tarjama* is a synonym of *'anwana*, i.e., to entitle. Later, Ibn Jamā'ah (d. 733/1333), al-'Almawī (d. 981/1573), and al-Ghazzī (d. 984/1577) use the term *al-tarjamah* for the title of a book.⁴⁶ Rosenthal translates the word *tarjamah* in the text of al-'Almawī as "chapter heading," which is one meaning of the word. However, in this context it should rather be "book title." The term *tarjamah* occurs in the text of al-'Almawī as a synonym of *ism al-kitāb*, which also means the book title.⁴⁸ As a result of Rosenthal's translation,⁴⁹ it was deduced by mistake that al-'Almawī recommended devising a table of contents at the beginning of books.⁵⁰

In the following section, specific elements of the title page are considered, such as the title itself and the author's name. The title is discussed in detail, elaborating on the place where the title occurs, not only on the title page, but also other places in the manuscript. In addition, the script used for writing the title page is examined. The structure of the title is also discussed. Furthermore, I discuss the author's name when it occurs both in the title page and other places in the manuscript. The author's name is usually preceded by terms such as $ta'l\bar{t}f$ (composition) and $tasn\bar{t}f$ (compilation) which are analyzed. The discussion of the title page ends with remarks on exceptions to these previous points.

use the term 'unwān for the illumination provided to the upper half of the fol. 1v., and <code>sarlawḥa</code> for illumination applied for the whole page (fol. 1r.) or even stretched to include the opposite page (fol. 2r.) (see Akimushkin and A.A. Ivanov, "The art of illumination," 36-7). Different form B.W. Robinson who employs the term 'unwān for an illuminated single (fol. 1v) or for double-pages (fol. 1v and fol. 2r.) at the beginning of a fine manuscript, and <code>sarlawḥa</code> for only the illuminated upper part, see Robinson, <code>Islamic painting</code>, 277; EI², s. v. "Unwān."

⁴³ Al-Najdī, Manhaj al-baḥth al-adabī 'ind al-'Arab, 76-7; Gacek, The Arabic Manuscript Tradition, 17.

According to Ibn Shīth al-Qurashī (d. 577/1181), the term *tarjamah* can also mean the sender in the *'unwān* of messages which was placed above the *basmalah*, see Ibn Shīth al-Qurashī, *Ma'ālim*, 32; AMT, 17. For more meanings of *al-tarjamah* including chapter headings, see AMT, 17.

⁴⁴ On al-Washshā', see EI², s. v. "al-Washshā'"; HAWT, vol. 1: 112, suppl. 1: 185-6; GAS, 8: 175, 9: 164-5.

⁴⁵ Al-Washshā', *al-Muwashshā*, 180.

⁴⁶ Ibn Jamā'ah, *Tadhkirah*, 127; al-'Almawī, *al-Mu'īd*, 132; al-Ghazzī, *al-Durr*, 426.

⁴⁷ Fr. Rosenthal, *The Technique*, 11.

⁴⁸ Ibn Jamā'ah, *Tadhkirah*, 127; al-'Almawī, *al-Mu'īd*, 132; al-Ghazzī, *al-Durr*, 426.

⁴⁹ Fr. Rosenthal, *The Technique*, 11.

⁵⁰ Déroche et al., *Islamic Codicology*, 318.

3.1.2. Frontal place

The title page is the first informative part of the manuscript that a manuscript user encounters. It is the first scribal element that draws the reader's attention.⁵¹ With regards to the third/ninth century manuscripts under examination, the title page is usually on the recto side of the first leaf.⁵² This practice continues in fourth/tenth-century manuscripts.⁵³ We can see examples of this in MS DK 41 Uṣūl Fiqh, which dates to the third/ninth century. It has its original title page on the recto of the sixth leaf preceded by pages written after the copying of the manuscript. We know this since these pages are written in a different hand. Some of these pages also have certificates of audition dated after the third/ninth century. With regards to MS MDSK Ar. 580,⁵⁴ the original title page is also fol. 2r. Its first folio also includes a title page but seems to have been added later. Further examples can be seen with MS Lal. 1728⁵⁵ and MS Şehid 2552, where their title page is fol. 2r.

Due to its position, the title page is particularly vulnerable and prone to getting lost or being replaced. I noticed with two manuscripts that the title page had been replaced. In the first case, the title page was replaced with another manuscript's title page (see illus. 3.1).⁵⁶ The title page's script is completely different from the body's script. Although the title page states that the manuscript is part 13 of Ṣaḥīḥ Muslim, which is a collection of ḥadīth, an examination of content of the manuscript reveals that it is actually a book of Qur'ānic exegesis.⁵⁷ I did not see this occur in any of the other manuscripts I examined (see section 2.2.2.10). The second case is MS IUL A1434. Its title page is also not original as an examination of the manuscript's paper and script with its title page shows discrepancies. Like MS BA 233, the display script on the title page is completely different from the text body. Furthermore, the paper of the first folio is not as dark as the paper of

⁵¹ Arhīlah, *al-'Unwān*, 5.

⁵² MS BNF arabe 2859; MS MMMI 44 part 1 and 3, MS UL Or. 298; MS MAW 1125.

⁵³ MS MDSK Ar. 2; MS Car. Ef. 1508; MS DK 852 Tawhīd; MS Fazil 1507; MS Fazil 1508; MS DK149 Naḥw; MS DK 139 Naḥw; MS Reis 904; MS Fazil 948, MS Şehid 1842; MS DK 663 Tafsīr; MS Qar. 912 (Jīm 2), 65; MS Qar. 912 (Jīm 4), 066; MS Qar. 912 (Jīm 8), 066; MS Qar. 912 (Jīm 36), 067 (as the digital copy shows, all the above-mentioned al-Qarawiyyīn manuscripts have a blank folio before the title page. It is not clear whether the copyist intentionally left it or it was added later to the manuscript); MS Qar. 912 (Jīm 42), 067 (this al-Qarawiyyīn manuscript does not have a blank page before the title page); MS Lal. 1905.

⁵⁴ MS MDSK Ar. 580.

⁵⁵ The manuscript consists of two parts. Each part has a title page. I refer here to the first title page.

⁵⁶ MS BA 233, fol. 233r.

⁵⁷ This case is discussed under section 2.2.2.10.

the rest folios of the manuscript. Hence, it is evident that the first folio was glued on later to the manuscript (compare illus. 3.4-3.6).

3.1.3. Other places of title

The title can occur in various places in the paratexts aside from the title page. It can be present in more than one paratext at the same time. Furthermore, the form of the title can differ according to its position in the manuscript. An observation of the manuscripts shows variances in this regard.

Aside from the title page, the title can also be stated in the colophon (or in a certificate at the end of the manuscript in some of the examined third/ninth-century manuscripts). In one case, the title as stated on the title page is Kitāb al-Fādil Jālinūs fī al-'ilal wa-l-amrād ("The Book of the excellent Galen on the Diseases and Symptoms ").⁵⁸ This title is formulated differently in one of the colophons with the addition of further details:⁵⁹ Kitāb al-Fāḍil Jālinūs fī al-ashyā' al-khārijah 'an al-ṭabī 'ah al-ma 'rūf bi-Kitāb al- 'Ilal wa-l-amrāḍ allatī dhakara fīhā aṣnāf al-amrāḍ ("The Book of the Excellent Galen on the Unusual Things is Known as the Book of the Diseases and Symptoms in Which He Mentioned the Types of the Diseases "). 60 This is different from another colophon in the manuscript which does not include allatī yadhkuru fīhā asnāf al-amrād.⁶¹ In yet another colophon, 62 the title is stated as Kitāb al-Fāḍil Jālinūs fī al-ashyā' al-khārijah 'an altabī'ah ("The Book of the Excellent Galen on the Unusual Things"). 63 In this manuscript, with its multiple colophons, the title in the colophon is not only different from the title page but also from one colophon to another. Likewise, in another manuscript, which has three titles, the title on the title page is stated as al-Risālah ("The Epistle") but in the colophon and the copying permission at the end of the manuscript, it is formulated as Kitāb al-Risālah ("The Book of the Epistle"). The addition of the word kitāb, does not occur in any of the other three title pages.⁶⁴ Unlike MS MAW 1125 Hadīth 334,65 in another manuscript, the title is shortened in the colophon as al-Masā'il (the Questions). 66 On the title page however it is stated in its complete form as Masā'il Abī 'Abd Allāh

⁵⁸ MS BNF arabe 2859, fol. 12r.

⁵⁹ This manuscript contains several parts. Each part ends with a colophon.

⁶⁰ MS BNF arabe 2859, fol. 23r.

⁶¹ MS BNF arabe 2859, fol. 33r, 43v, 65v, 71v.

⁶² The manuscript is divided into sections and each section has a colophon.

⁶³ MS BNF arabe 2859, fol. 86v.

⁶⁴ For the title pages, MS DK 41 Uṣūl fiqh, fol. 6r, 30r, 52r. For the certificate of the copying permission, see fol. 75v

⁶⁵ Many thanks to Said Aljournani for sharing the microfilm copy and for his help to identify the shelfmark.

⁶⁶ MS MAW 1125 (previous shelfmark: al-Maktabah al-Zāhiriyyah 334 Ḥadīth), fol. 86r.

Aḥmad ibn Ḥanbal (The Questions of Abū 'Abd Allāh...).⁶⁷ The author's name is included in the title but left out in the colophon. A reason for this may lie with the book being more widely known by its concise title *al-Masā'il*.

Looking closely at some specimens from the fourth century, the title's formulation differs according to its place from one paratext to another. In one manuscript, the title occurs on the title page and in the text's opening, after the *basmalah*, in two different forms. The title on the title page is:

Accounts of the Basran grammarians, their classes, and their transmission from each other.⁶⁸

One the other hand, the title at the opening reads:

A book which mentions the eminent grammarians, some of their accounts, their transmission from each other, and their pioneers in grammar.⁶⁹

This latter title includes more details than the one on the title page. It is an expanded version which presents the book's content in a way that makes it seem like a summary. In another manuscript, the title on the title page is stated as *Mushkil al-Qur'ān* ("Difficulties in the Qur'ān") but shortened in the colophon as *al-Mushkil* ("The Difficulties"). To In contrast, the title of MS Fazil 1541 is shortened on the title page as *Kitāb al-Jamharah* ("The Book of the Multitude"), the title longated in the colophon (fol. 375r) as *al-Kitāb al-Murtajal al-mansūb ilā jamharat kalām al-'Arab* ("The Book that Was Produced without Premeditation and Ascribed to the Multitude the Arabic Language").

MS Leipzig Vollers 505-01, 02, 03 is an interesting case with regards to the differences between the title on the title page and the colophon. This manuscript consists of three volumes.

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⁶⁷ MS MAW 1125, fol. 1r.

⁶⁸ MS Şehid 1842, fol. 1r.

⁶⁹ MS Şehid 1842, fol. 1v.

 $^{^{70}}$ MS DK 663, the title page, and p.165.

⁷¹ MS Fazil 1541, fol. 1r.

Each volume includes a collection of poetry by a different poet. On the title page of the first part, the titles are given as follows: Shi'r Abī Tālib 'amm rasūl Allāh sallā Allāh 'alavh wa-sallama (the Poetry of Abū Ṭālib the Uncle of the Prophet Muḥammad May Allāh Bless Him and Grant Him Peace), 72 Shi 'r Abī al-Aswad al-Dīlī 73 (The Poetry of Abū al-Aswad), and Shi 'r Suḥaym 'Abd Banī al-Hashās (the Poetry of Suhaym).⁷⁴ These titles indicate the content of each volume. However, in the colophon of the first part, the title is different from the one stated on the title page. It reads Shi'r Abī Tālib 'Abd Manāf ibn 'Abd al-Muttalib ibn Ḥāshim. The name of the poet (Abū Ṭālib), on the title page is defined in relation to the prophet. However, in the colophon we read the poet's kunyah (Abū Ṭālib), his name ('Abd Manāf), and two patronymics (ibn 'Abd al-Muṭalib ibn Hāshim). Identifying the poet in the title page with his relation to the prophet is, perhaps, intended to attract the reader. ⁷⁵ Part 2 does not have an independent title page, but it does have a colophon. Here, the title reads Shi'r Abī al-Aswad. Here, the poet's name is only indicated as his kunyah (Abū al-Aswad). This is different from the form given on the title page (Shi'r Abī al-Aswad al- $D\bar{t}l\bar{t}$), in which the name of the author includes both the kunyah (Abū al-Aswad) and the nisbah (al-Dīlī). 77 While part 3 has a title on an independent title page, there is no colophon. 78

However, as some specimens from the fourth/tenth century show, the title is sometimes in the same formulation in more than one place in the paratexts. For example, in MS Fazil 1508, which consists of two parts, each part has a title page. Part one also has a colophon, while a colophon is missing for part two. In this example the title is consistent throughout as *Kitāb al-Muqtaḍab*.⁷⁹ Likewise, the title of MS DK 139 Nahw, part $3 - Kit\bar{a}b S\bar{\imath}bawayh$, is the same both on the title page and in the colophon.⁸⁰ In MS Oar. 874/62, the title on the title page⁸¹ and colophon⁸² is also the same: Mukhtaşar Abī Muş'ab Ahmad ibn Abī Bakr al-Zuhrī (Synopsis of Abū Muş'ab). MS MDSK Ar. 580 has the title on the title page and at the book's opening directly after the basmalah.

⁷² On Abū Ṭālib, see EI², s. v. "Abū Ṭālib"; GAS, 2: 273-4.

⁷³ Al-Dīlī is an alternative for al-Du'alī. On Abū al-Aswad, see El², s. v. "Abū l-Aswad al-Du'alī"; El³, s. v. "Abū l-Aswad al-Du'alī"; HAWT, vol. 1: 34-5, suppl. vol. 1: 69-70, 151; GAS, 9: 31-2; al-Dhahabī, Siyar, 4: 81-6.

⁷⁴ MS Leipzig Vollers 505-01, fol. 1r. On Suḥaym, see EI², s. v. "Suḥaym"; HAWT, vol. 1: 34; GAS, 2: 288-9.

⁷⁵ MS Leipzig Vollers 505-01, fol. 32r.

⁷⁶ MS Leipzig Vollers 505-02, fol. 55v.

⁷⁷ MS Leipzig Vollers 505-01, fol. 1r.

⁷⁸ MS Leipzig Vollers 505-03, fol. 56r.

⁷⁹ MS Fazil 1508, title pages: fol. 1r, 172r, the colophon of the first part: 171r. The second part has no colophon.

⁸⁰ MS DK 139 Nahw, part 3, fol. 1r, 120 r.

⁸¹ MS Qar. 874/62, p.1.

⁸² MS Qar. 874/62, p. 347.

It is the same in both places as, $Kit\bar{a}b$ al- $T\bar{a}r\bar{\imath}kh$ (The Book of History). ⁸³ In MS DK 149 Naḥw, the title is found in the preface and the colophon. In both places it is in the same form: $M\bar{a}$ yan $\bar{\imath}arifu$ wa- $m\bar{a}$ $l\bar{a}$ yan $\bar{\imath}arifu$. ⁸⁴

However, there are examples of specimens from the third/ninth and fourth/tenth century where the title occurs only in the colophon. In such cases, we do not know if the manuscript ever had a title page. The extant title pages that are attached to these manuscripts are written differently from the rest of the manuscript. Furthermore, the book hands in these cases are atypical of book hands from the third/ninth and fourth/tenth century. Examples include the third/ninth century manuscript Vel. Ef. 3139 where the title is only given in the colophon as *al-Kitāb al-Ma'thūr 'an Abī al-'Amaythal al-A'rābī* (the Book Transmitted from Abū al-'Amaythal). Likewise, in two fourth/tenth-century manuscripts, the title is also only found in the colophon. In MS Ch. B. Ar. 3051, we only learn of its original title from the colophon: *al-Badī* ("The Unprecedented"). Similarly MS Fazil 43, which is part 2 of a larger work, its title, *Ma'ānī al-Qur'ān*, is also only found in the colophon.

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⁸³ MS MDSK Ar. 580, the title page, fol. 2r, and the preface, fol. 2v.

⁸⁴ MS DK 149. fol. 1v, 99r. This manuscript has a title on the title page, but it is not original but written by a modern pen and different in wording from the one in the introductory section and the colophon. The title page's title: *Sirr alnaḥw* (the Secret of grammar) in a very modern pen (probably by an employee of Dār al-Kutub). See MS DK 149. fol. 1r, see illus. 3.8.

⁸⁵ MS Vel. Ef. 3139, fol. 33v. The extant title page of this manuscript is perhaps written later as it is in a different hand, see MS Vel. Ef. 3139, fol. 1r.

⁸⁶ MS Ch. B. Ar. 3051, fol. 105v. However, the manuscript has a title page written later (as it is in a hand that is different from the hand of the manuscript and the typical hands of time of its copying) contains the title: *Kitāb al-Badī* '*fī al-qirā* '*āt al-sab* ' *wa-iḍāfat qirā* '*ah thāminah hiya qirā* '*at Ya* '*qūb al-Ḥaḍramī* ("The Unprecedented on the Seven Readings of the Qur'ān with the Addition of an Eighth Reading, the reading of Ya'qūb al-Ḥaḍramī"). This title seems to have been added by a manuscript user to make the original short title given in the colophon more indicative of the manuscript's content. see MS Ch. B. Ar. 3051, fol. 1r.

⁸⁷ MS Fazil 43, fol. 335r. The extant title page of this manuscript is probably written later as it is in a hand that is different from the hand of the manuscript and the typical hands of time of its copying, see MS Fazil 43, fol. 1r.

3.1.4. No title

MS Şehid 2552 does not seem to contain an original title. However, the manuscript is likely an autograph copy, 88 when we observe its colophon 89 and its incipit. 90 Firstly, the entire manuscript is written in one hand. The introductory section of the manuscript is not introduced with the expression $q\bar{a}la$ followed by the author's name. 91 Furthermore, there is also a manuscript note on the title page that indicates that it is an autograph. It is clear that title page was written later since its hand is entirely different from the hand of the book. It is also written in a script that is different from the types of script employed in the fourth/ninth century. The note reads:

Details of morphology by Abū al-Qāsim Muḥammad ibn Sa'īd al-Mu'addib [which is written] in his hand in 338/[950]. 92

I consider this note to be authentic and not a forgery. Caution is of course warranted since the note was added later on. And this note is the only source that tells that the book is an autograph. However, such a book on this particular topic of language is likely to have been used and owned by scholars in the field. Thus, their knowledge of titles and authors, which appears in their notes on title pages such as this, should be seriously considered as essential sources on the book's history.

However, the author did not write the title in either the preface or the colophon. We do however see the title stated in a note in the manuscript as $Daq\bar{a}'iq$ al- $taṣr\bar{t}f$. It is likely that this was added later on since its hand is quite different from the hand of the main text. ⁹³ The note reads:

⁸⁸ Such manuscripts are very rare in the first four centuries, see Gacek, *Vademecum*, 14-6.

⁸⁹ MS Sehid 2552, fol. 146v; see section 3.3.9.2.

 $^{^{90}}$ MS Şehid 2552, fol. 3: neither chain of transmission nor transmitting the text by the expression $q\bar{a}la$ plus the author's name at the beginning (see section 3.2.2 below). Moreover, the author directly speaks of himself.

⁹¹ MS Şehid 2552, fol. 3r, 3v.

⁹² Şehid 2552, fol. 2r.

⁹³ MS Şehid 2552, fol. 2r.

A Book that contains the reasons and details of morphology and it is transmitted from the authorities by its author al-Qāsim ibn Muḥammad ibn Sa'īd al-Mu'addib may Allāh grant him power.⁹⁴

The writer of this note perhaps intended to clarify the content of the book for his personal use.

3.1.5. Time

With regards to the practice of writing title pages, we have two questions. When did this practice begin and at what stage in writing the manuscript was the title page composed?

We have five examples of manuscripts that contain the title page from the third/ninth century. ⁹⁵ The title and the author's names are in the same hand as the rest of the manuscript. Therefore, the practice of producing title pages can be safely dated back as early as the third/ninth century. Al-Ḥalwajī in his study on the history of the Arabic manuscript in the first four centuries argues that the copyist did not compose the title page from the 'outset' of their book production (*fī awwal 'ahdihim bi-ṣinā 'at al-kitāb*). Hence the title is given in the introductory section and at the end of the manuscripts. ⁹⁶ Al-Ḥalwajī does not precisely define what he means by the 'outset' of the book production, but we can extrapolate that he means the first four centuries, which is the scope of his study. However, we have examples of actual manuscripts that are older, dated to the third/ninth, which challenges his view. The third/ninth century MS DK 41 Uṣūl Fiqh, and all the fourth/tenth-century manuscripts of Dār al-Kutub taken into account in the current study, were also at al-Ḥalwajī's disposal. ⁹⁷ However, he most likely considered that their title pages were not produced at the time of copying the manuscripts. ⁹⁸ However, we argue that many of them do date to the time of copying the manuscript, as their hands are the same as the whole manuscript. ⁹⁹

The absence of instructions about the title page in the normative sources makes it difficult to know precisely when the title page was composed in the copying process. Hence, at the time being, this remains unclear; whether this was before the actual copying process or after its completion.

⁹⁴ MS Sehid 2552, fol. 2r.

⁹⁵ BNF Arabe 2859, 1r, 12; MS UL Or. 29, fol. 1r, 21r, 34r, 54r, 108r, 135r, 155r, 166r, 204r, 207r, 221r; MS DK 41 Uşūl Fiqh, fol. 6r, 30r, 54r; MS MAW 1125, fol. 1r; MS MMMI, part 1, fol. 1r, part 3, fol. 1r.

⁹⁶ Al-Ḥalwajī, *al-Makhtūt al-'Arabī*, 157.

⁹⁷ Al-Ḥalwajī, *al-Makhtūt al-'Arabī*, 156, footnote 25.

⁹⁸ Al-Ḥalwajī's view was discussed in: al-Sāmirā'ī, 'Ilm al-iktināh, 206-8.

⁹⁹ MS DK 41 Uşūl Fiqh; MS DK 663 Tafsīr; MS DK 139 Nahw part 3; MS DK 19598 Bā'; MS DK 852 Tawhīd.

3.1.6. Display script

As far as the title page's presentation is concerned, the form of the pages appears modest and straightforward. The title itself is often in bold and enlarged with no ornamentation. That is usually the case for copies prepared for "ordinary use." These kinds of copies are indicative of the vast majority of the corpus, baring two cases. The first case is the voluminous manuscript of *al-Muqtadab* which has four title pages, one for each of its four parts. On each title page, the title, the author's name, and the copyist are written inside a rectangular panel and surrounded with ornamentation. The title page information of this manuscript is also contained in a rectangular panel (see illus. 3.9). The second case is a fourth/tenth-century copy of *Kitāb Abī al-Ḥasan Thābit ibn Qurrah* (see illus. 3.7). The rectangular panels in these two manuscripts are likely to have been added later to the title pages. The illumination of the title page in more luxurious manuscripts, with decorative features such as rectangular panels and medallions, is used more commonly in Egypt, Syria, Turkey, and Iran between the seventh/thirteenth to the ninth/fifteenth century. Syria, Turkey, and Iran between the seventh/thirteenth to the ninth/fifteenth century.

The title pages under examination show its components, and in particular the title, the author and the copyist, as being displayed in a larger size. However, there are exceptions to this. For example, the title and author of MS UL Or. 298 is written in same size as the text body, except the word *al-juz*', which is stretched (see illus. 3.10). In one case, only the title's first two words are large, but the rest of the information is written in the same smaller size of the text body (see illus.

¹⁰⁰ Déroche et al., *Islamic codicology*, 316.

¹⁰¹ Déroche et al., *Islamic codicology*, 316.

¹⁰² MS Fazil 1507, fol. 1r., 144r., Fazil 11508, fol. 1r., 173r.

¹⁰³ MS Fazil 948, fol. 1r.

¹⁰⁴ Gaceck, *Vademecum*, 279; Déroche et al., *Islamic Codicology*, 316. For illustrations see al-Munajjid, *al-Kitāb al-Yarabī al-makhṭūṭ*, section: *al-makhṭūṭāt al-khazā'iniyyah*, illus. 65, 68, 71, 73, 74, 75, 76, 77, 78, section: *al-Makhṭūṭāt al-muzawwaqah*, illus. 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90. On illuminations in general, see for instance EI², s. v. "Unwān"; Oleg F. Akimushkin, "The Art of Illumination," 35-56, for the illumination of the title page in particular, see 35-6; waley, "Illumination and its Functions in Islamic Manuscripts," 87-112; Baer, *Islamic Ornament*.

¹⁰⁵ MS UL Or. 298, fol. 1r, 21r, 34r, 54r, 108r, 135r, 155r, 166r, 204r, 207r, 221r. The script of the title pages of further manuscripts are also small like the body of the text, see MS MAW 1125, fol. 1r; MS DK 19598 Bā', fol. 1r, fol. 1r; MS Leipzig Vollers 505-01, fol. 1r; MS Leipzig Vollers 505-03, fol. 56r; MS Qar. 912 (Jīm 2), 65, p.1; MS Qar. 912(Jīm 8), 066, p. 1.

3.11).¹⁰⁶ Similarly, only four words of the title of MS Reis 904 are enlarged. The rest of the words are small like the text body.¹⁰⁷ With MS Şehid 1842, only three words are in larger script.¹⁰⁸

The practice of enlarging the scripts of the title page can be traced in the early writing on correspondence. Al-Ṣūlī writes: "The best practice when writing the 'unwān of a message to the leader (al-ra'īs)¹⁰⁹ is to make the script enlarged, and bold (yu'azzima al-khaṭṭ wa-yufakhkhimahu), particularly for his kunyah and nisbah, and giving the name and the father's name of the scribe of the message in a fine and contracted script (tulaṭṭifa al-khaṭṭ wa-tajma'ahu)." Here the enlarging of the script was perhaps an intended "graphic presentation" to "attract the attention" of the reader or to achieve some sort of "signal illustration," similar to that of the jacket in modern printed books. 111

Concerning the "display script" of the title page, our examination shows that the "New Style" was used as a "display script" in the examined third/ninth-century manuscripts. This continued to be used in some of fourth/tenth-century manuscripts. A noticeable characteristic of this script is its angularity, its elongated *alif* with a serif or a wavy shape and pronounced diagonal elements. The "display script" used in many of the fourth/tenth-century specimens under examination is rounded, probably an early *naskh*, similar to the one used in Ibn al-Bawwāb's Our'ān manuscript. 117

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¹⁰⁶ MS DK 852 Tawhīd, fol. 1r.

¹⁰⁷ MS Reis 904, fol. 1r.

¹⁰⁸ MS Şehid 1842, fol. 1r.

¹⁰⁹ On al-ra'īs, see Diem, Glossar zur arabischen Epistolographie, 189.

¹¹⁰ Al-Ṣūlī, *Adab al-kuttāb*, 144.

¹¹¹ Genette, Paratexts, 28.

¹¹² On the "New Style", see Déroche, Abbasid Tradition, 132-83.

¹¹³ On display script, see Brown, *Understanding Illuminated Manuscripts*, 38.

¹¹⁴ MS DK 41 Usūl Fiqh, fol. 6r, 30r, 54r; MS UL Or. 298, fol. 1r, 21r, 34r, 54r, 108r, 135r, 155r, 166r, 204r, 207r, 221r; MS BNF arabe 2859, fol. 1r, 12r; MS MAW 1125, fol. 1r.

¹¹⁵ MS DK 663 Tafsīr, the title page; MS DK 139 Naḥw part 3, fol. 1r; MS Car. Ef. 1508, fol. 1r; MS Lal. 1728, fol. 2r, 55r, 99r, 119r, 148r, 180r; MS Sehid 1842, fol. 1r; MS MDSK Ar. 2, fol. 1r.

¹¹⁶ MS Şehid 27, fol. 1r, 31r, 61r, 91r, 121r, 151r, 181r, 211r, 241r, 271r, 301r, 331r; MS Lal.1905; fol. 1r; MS Fazil
1541, fol. 1r; MS Fazil 1507, fol. 1r, 144r; MS Fazil 1508, fol. 1r, 112r; MS DK 852 Tawhīd, fol. 1r; MS Fazil 948, fol. 1r; MS Reis 904, fol. 1r; MS Berlin Petermann II 589, fol. 1r; MS Leipzig Vollers 505-01, fol. 1r; MS Leipzig Vollers 505-03, fol. 56r; MS MDSK Ar. 580, fol. 2r.

¹¹⁷ See section 2.2.2.11 above.

The "display script" of some specimens' title page is *Maghribī*. With two of the specimens, the title pages are displayed in *Andalusī* script. Both the *Maghribī* script and the *Andalusī* script are similar to the "New Style" script. However, the scripts of the manuscripts under examination in the present study need a separate analysis.

3.1.7. The structure of the title

Most of the titles of third/ninth and fourth/tenth-century manuscripts under examination are relatively simple. Many of these titles are in the genitive construction. Two titles from the third/ninth and the fourth/tenth centuries are simply structured in the form of a noun phrase that includes a prepositional phrase. 121

Other titles found in our sample of third/ninth-century manuscripts, are formulated simply. On the title page of a third/ninth-century manuscript, we find a title that consists of a genitive construction and two nouns joint by a preposition: *Kitāb al-Fāḍil Jālinūs fī al-'ilal wa-l-amrāḍ*. 122 On another title page in the same third/ninth-century manuscript, which contains two works, we find the formulation of another title that consists of a genitive construction plus two prepositional phrases: *Kitāb al-Fāḍil Jālinūs fī firaq al-tibb li-l-muta 'allimīn*. 123 The title structure containing a

¹¹⁸ MS DK 19598 Bā', fol. 1r; MS Qar. 912 (Jīm 2), 65, p. 1; MS Qar. 912 (Jīm 4), 066, p. 1; MS Qar. 912(Jīm 8), 066, p. 1; MS Qar. 912 (Jīm 36), 067, p. 1; MS Qar. 912 (Jīm 42), 067, p. 1. On the *Maghribī* script, see Gacek, *Vademecum*, 147-50.

¹¹⁹ MS Qar. 874/62, p. 1; MS Saib 2164, fol. 10r, 16r, 25r, 35r, 46r, 56r, 70r. On the *Andalusī* script Gacek, *Vademecum*, 8-9.

Third/ninth century: *Gharīb al-ḥadīth* (MS UL Or. 29, fol. 1r, 21r:, 34r, 54r, 108r, 135r, 155r, 166r, 204r, 207r, 221r, *Kitāb al-Risālah* (This title is only formulated so in the colophon and the copying permission at the end of the manuscript, see MS DK 41 Uṣūl Fiqh, fol. 75r, but formulated on the title pages as only one word: *al-Risālah*, see MS DK 41 Uṣūl Fiqh, fol. 6r, 30r, 54r), *Masā'il Abī 'Abd Allāh Aḥmad ibn Ḥanbal* (MS ANL, fol. 1r); fourth/tenth century: *Kitāb Sībawayh* (MS DK 139 Naḥw, part 3, fol. 1r), *Kitāb al-Jamharah* (MS Fazil 1541, fol. 1r), *Mukhtaṣar Abī Muṣ 'ad Aḥmad ibn Abī Bakr al-Zuhrī* (MS Qar, 874, 062, p. 1.), *Ash'ār al-Quṭāmī* (MS Berlin Petermann II 589, fol. 76r), *Jāmi' Ma'mar ibn Rāshid* (MS Saib 2164, fol. 10r, 16r, 25r, 35r, 46r, 56r, 70r), *Shi'r Abī al-'Abbās 'Abd Allāh ibn Muḥammad al-Mu'tazz bi-Allāh* (MS Lal. 1728, the title pages: fol. 2r, 99v; the last two title pages, fol. 148r, 180 r, contain plus the main title: *Shi'r Abī al-'Abbās 'Abd Allāh ibn Muḥammad al-Mu'tazz bi-Allāh* some nouns indicate the poetic theme, for instance *al-Marāthī wa-mu'ātabāt min Shi'r Abī al-'Abbās*, "The Dirges and Reproach from the Poems of Abī al-'Abbās", on fol. 148r, the title is also encountered in the colophons: fol. 54v, 97v, 202r), *Kitāb al-Tārīkh* (MS MDSK Ar. 580, fol. 2r), *Shi'r Abī Ṭālib 'amm al-rasūl* (MS Leipzig Vollers 505-01, fol. 1r), *Kitāb al-Kuttāb* (MS Lal. 1905, fol. 1r).

¹²¹ Al-Ma'thūr 'an Abī al- 'Amaythal al-A 'rābī (MS Vel. Ef. 3139, fol. 33v), al-Muqtaḍab fī al-Naḥw (MS Fazil 1507, fol. r. This manuscript consists of two vols: MS Fazil 1507, 1508. Each volume has two parts with a title page for each one. The title appears on part 1 and part 2: al-Muqtaḍab fī al-naḥw, but for Part 3 and 4, the title is shorted to be: al-Muqtaḍab. The prepositional phrase fī al-naḥw was left out, probably because the scribe thought no need for the repetition of the full title, which is already known from the first two parts).

¹²² MS BNF arabe 2859, fol. 12r.

¹²³ MS BNF arabe 2859, fol. 1r.

genitive construction plus two prepositional phrases was still in use in the fourth/tenth century as it is also used in a title found on the title pages of a fourth/tenth-century manuscript: *Kitāb al-Ḥujjah li-l-a'immah al-sab'ah min qirā'āt*. ¹²⁴ In the fourth/tenth century, we also encounter the title *Mā yanṣarifu wa-mā lā yanṣarif* which consists of two verbal phrases joined together with wa. ¹²⁵ Another simple formulation of the title in the fourth/tenth century is the formulation of four nouns and prepositional phrase connected with wa (and) attested in the *Marāthī wa-ash'ār fī ghayr dhālika wa-akhbār wa-lughah*. ¹²⁶

In fourth/tenth century manuscripts, some elongated titles summarize the manuscript's content.¹²⁷ Those titles are formulated as a "reminder of the volume."¹²⁸ To quote Genette, these titles "constituted a veritable description of the book, a summary of its action, a definition of its subject.'¹²⁹ Or, as Genette puts it, they are "long synopsis-titles."¹³⁰

As shown above, most titles are formulated clumsily.¹³¹ They seem to have been working titles of books, especially when compared to the well-formulated and embellished titles which began to gain prominence since the last decade of the fourth/tenth century.¹³² Writing the titles in such a simple formulation, perhaps, indicates that they were not for publication but for personal use or meant as aides mémoire.¹³³ Thus, the copyists of such manuscripts found no need to embellish their titles and made them practical and straightforward.

¹²⁴ MS Şehid 27, fol. 1r.

¹²⁵ MS DK 149 Nahw, fol. 1v, 99r.

¹²⁶ MS Reis 904, fol. 1r.

¹²⁷ Al-Madkhal fī 'ilm aḥkām al-nujūm wa-'ilalihā wa-kayfiyyātihā wa-mā ikhtalafa fī-hī al-nās wa-l-radd 'alayhim 'alā man khālafa ḥādhā wa-ma 'rifat al-sihām bi-'ilalihā (MS Car. Ef. 1508, fol. 1r), Akhbār al-naḥwiyyīn al-Baṣriyyīn wa-marāthīhim wa-akhdh ba'dihim 'an ba'd ("Accounts of the Basran Grammarians, their Classes, and their Transmission from Each Other") (MS Şehid 1842, fol. 1r), and its version in the preface: Kitāb fīhi Dhikr mashāhīr al-naḥwiyyīn wa-ṭarfun min akhbārihim wa-dhikr akhdh ba'dihim 'an ba'd wa-l-sābiq minhum ilā 'ilm al-naḥw ("A Book Mentions the Eminent Grammarians, Some of Their Accounts, Their Transmission from Each Other, and Their Pioneers in Grammar") (MS Şehid 1842, fol. 1v), Kitāb Abī al-Ḥasan Thābit ibn Qurrah fī ālāt al-sā 'āt allatī tusammā rukhāmāt, 'Amal shakl mujassam dhī arba'a 'ashrata qā 'idah fī kurah ma 'lūmah, Qawluh fī īḍāḥ al-wajh alladhī dhakara Baṭlaymūs anna bi-hi istakhraja man taqaddamahu masīrāt al-qamar al-dawriyyah wa-hiya al-mustawiyah (MS Fazil 948, fol. 1r), Kitāb al-Inṣāf wa-l-radd 'alā Ibn al-Rāwandī al-mulḥid mā qaṣada bi-hi min al-kadhib 'alā al-Muslimīn wa-l-ta'n 'alayhim (MS DK 852 Tawhīd, fol. 1r).

¹²⁸ Arberry, "Two Rare Manuscripts," 109.

¹²⁹ Genette, Paratexts, 33.

¹³⁰ Genette, *Paratexts*, 71-2.

¹³¹ On being written clumsily, see EI. s. v. "Unwān."

¹³² On the beginning of fourth/tenth century onwards, see EI², s. v. "Unwān"; Ambros, "Beobachtungen," 15. This style of titles continued over the next centuries until nowadays, see González, "La Estructura del título," 185.

¹³³ Schoeler, *The Oral and the Written*; Schoeler, *Genesis*.

The clumsiness of these titles also shows that they are probably not by the authors of the works. Author's titles are more skilfully formulated. I found only one embellished rhyming title, i. e. $J\bar{a}mi$ 'al-bayān 'an ta'wīl āy al-Qur'ān. ¹³⁴ This title is probably formulated by the author of the work, al-Ṭabarī. The scarcity of embellished titles links with the fact that most early manuscripts were not for publication but for personal use.

The title *Jāmi' al-bayān 'an ta'wīl āy al-Qur'ān*, which occured in the beginning of the last decade of the fourth/tenth-century manuscript, follows the pattern of "the dichotomous title"; in this style, the title involves two noun phrases, the second of which is characterized with a preposition, in the present case *'an* (here means on). This structure takes the shape of "noun phrase + preposition + noun phrase." Here the preposition plays the role of the hinge between the two noun phrases. In this pattern, the two noun phrases are not semantically and syntactically connected. The first noun phrase, *Jāmi' al-bayān*, alludes to the comprehensiveness of the book. It was likely to offer a positive image of the book. The second phrase, *'an ta'wīl āy al-Qur'ān*, plays the role of the subtitle and indicates the subject, namely the exegesis of the Qur'ān.

In conclusion, the titles of the third/ninth and the fourth/tenth-century manuscripts under examination are mainly formulated simply. It is in the fourth/tenth century, that the embellishment of the titles began to appear.

3.1.8. The author's name

The normative sources do not deal with the writing of the author's name. Thus, I have only analyzed the manuscripts on this issue.

3.1.9. The author's name place

The author's name is on the title page for all the manuscripts with their original title pages. However, the author's name is also given in other places. When the copyist is a transmitter, he also gives the author's name in the introductory section of the manuscript. When the author's name

¹³⁴ MS Qar. 912 (Jīm 2), 65, p. 1; MS Qar. 912 (Jīm 4), 066, p. 1; MS Qar. 912 (Jīm 8), 066, p. 1; MS Qar. 912 (Jīm 36), 067, p. 1; MS Qar. 912 (Jīm 42), 067, p. 1.

¹³⁵ Ambros, "Beobachtungen," 19, 41; González, "La Estructura del Título," 184-5.

¹³⁶ Ambros, "Beobachtungen," 41.

¹³⁷ González, "La Estructura del Título," 182.

¹³⁸ EI. s. v. "Unwān." New edition, vol. x: 871-2.

¹³⁹ EI. s. v. "Unwān." New edition, vol. x: 871-2; Ambros, "Beobachtungen," 13.

¹⁴⁰ González, "La estructura del título," 183.

occurs both on the title page and the colophon, the author's name is usually formulated as part of the title. This can be observed in some fourth/tenth-century specimens.

For example, in the Christian-Arabic manuscript MS Vat. Ar. 13, St Paul's Epistles, the author, St Paul, is stated in both the introductory section¹⁴¹ and the colophon of each epistle.¹⁴² Similarly, in a fourth/tenth-century multi-text manuscript,¹⁴³ the author's name is stated on the title page,¹⁴⁴ the opening,¹⁴⁵ and the colophon. ¹⁴⁶ The author's name also occurs in the colophon and the title page of some of the other examined manuscripts.¹⁴⁷

Some fourth/tenth-century manuscripts demonstrate that when the copyist transmits the book, the author's name is mentioned in the introductory section. The author's name is often mentioned in the context of showing the transmission of the text from the author. For example, the copyist of MS Car. Ef. 1508 introduces the author to the audience: $H\bar{a}dh\bar{a}$ $kit\bar{a}b$ allafahu $Ab\bar{u}$ Ja 'far Muhammad al-ma ' $r\bar{u}f$ bi- $Ab\bar{\imath}$ Ma 'shar al- $Khuras\bar{a}n\bar{\imath}$ al- $Balkh\bar{\imath}$ ("This is a book which $Ab\bar{u}$ Ja 'far... composed"). In another case, a copyist gave the name of the author in the context of identifying the book: $H\bar{a}dh\bar{a}$ $Kit\bar{a}b$ $Isl\bar{a}h$ al-Mantiq allafahu $Ab\bar{u}$ $Y\bar{u}suf$ Ya ' $q\bar{u}b$ ibn $Ish\bar{a}q$ al- $Sikk\bar{\imath}t$ ("This is 'The Book of the Correction of the Speech' Composed by $Ab\bar{u}$ $Y\bar{u}suf$..."). In a similar way, the MS Lal. 1905, states: $Q\bar{a}la$ 'Abd $All\bar{a}h$ ibn Muslim Ibn Qutaybah ... ('Abd $All\bar{a}h$... said...). In these cases, the copyist is also the transmitter. Furthermore, in two fourth/tenth-century manuscripts, the author's name accompanies the title given at the text's opening. In the solution of the text of the text's opening.

Some manuscripts in which the author's name occurs on the title page and colophon share the common feature of the author's name being a part of the title. An example of this is, *Kitāb*

¹⁴¹ For the openings of St. Paul's Epistles, see MS Vat. Ar. 13, fol. 87v, 103r, 121r, 131r, 141r, 149v, 153r, 155v, 160v, 164r, 166r, 167r.

¹⁴² For the explicits of St. Paul's Epistles, see MS Vat. Ar. 13, see fol. 102v, 120r, 131r, 141v, 145r, 149r, 153r, 155r, 160r, 164r, 166r, 167r, 179r.

¹⁴³ MS Fazil 948.

¹⁴⁴ MS Fazil 948, fol. 1r.

¹⁴⁵ MS Fazil 948, fol. 1v, 46v,55r.

¹⁴⁶ MS Fazil 948, fol. 45v, 54v, 58v.

¹⁴⁷ MS 139 Nahw, part 3, the title page fol. 1r, the colophon, fol. 120r; and MS Lal. 1728, the title pages, fol. 2r, 55r, 99r, 119r, 147r, 180r, the colophons, fol. 54v, 97v, 202r; MS Saib 2164, the title pages, 10r, 16r, 25r, 35r, 46r, 56r, 70r, the colophons: 2164, fol. 9r, 15r, 24r; MS Qar. 874/62, the title page, p. 1, the colophon, p. 347; MS Berlin Petermann II 589, the title page, fol. 1r, colophon, fol. 76r.

¹⁴⁸ MS Car. Ef. 1508, fol. 1v.

¹⁴⁹ MS DK 6155 hā', fol. 1v.

¹⁵⁰ MS Lal. 1905, vol. 1v.

¹⁵¹ On the copyists who are transmitters, see Gruendler, *The Rise*, 121-8.

¹⁵² MS Fazil 948, fol. 1v, 17v, 46v, 55r; MS MDSK Ar. 580, fol. 1v.

Sībawayh, Shi 'r Abī al- 'Abbās 'Abd Allāh ibn Muhammad al-Mu 'tazz bi-Allāh, Jāmi ' Ma 'mar ibn *Rāshid.*¹⁵³ Another example is MS Leipzig Vollers 505 which consists of three parts. The first part, which is marked MS Leipzig Vollers 505-01, has the author's name as part of the title on the title page of section 1 (fol. 2r) as Shi'r Abī Ṭālib 'amm rasūl Allāh. In the colophon it is written as, Shi'r Abī Tālib 'Abd Manāf Ibn 'Abd al-Muttalib ibn Hāshim. 154 Here the name is mentioned in different forms according to its place in the manuscript. 155 The second part, marked MS Leipzig Vollers 505-02, has the author's name on the title page at the beginning of the manuscript (there is no title page for this part). This title is combined with the author's name: Shi'r Abī al-Aswad al-Dīlī. 156 Thus, it contains the kunyah and the nisbah. However, the name is shortened in the colophon as Shi'r Abī al-Aswad. It only includes the kunyah, in order to perhaps avoid repetition. 157 For part 3, Vollers 505-03, we find on the title page at the beginning of the manuscript. 158 and on a separate title page for part 3,159 the name as Shi'r Suḥaym 'Abd Banī al-Ḥashās. The name contains the personal name (Suhaym) and a designation of the author being the slave of the family of al-Hashās. In addition, the name occurs in the *isnād* at the opening. ¹⁶⁰ This part does not have a colophon. Similarly, in MS Oar. 874/62, the author's name is stated as a part of the title, on the title page, ¹⁶¹ and in the colophon as ¹⁶² Mukhtasar Abī Mus'ab Ahmad ibn Abī Bakr al-Zuhrī. Furthermore, the author's name is mentioned in the *isnād*. ¹⁶³

MS Şehid 2552 is an exception to the cases presented above. We know of the author's name from the colophon: Abū al-Qāsim Muḥammad ibn Sa'īd al-Mu'addib. 164 The extant title page (fol. 2r) also informs us of the author. However, this title page was produced later as its hand is entirely different from the text's hand. Thus, the title page is not our primary source for the author's name, but the colophon.

¹⁵³ On Ma'mar, see GAS, 1: 290. EI³, s. v. "Ma'mar b. Rāshid."

¹⁵⁴ MS Leipzig Vollers 505-01, fol. 32r.

¹⁵⁵ On the reason of this, see section 3.1.3.

¹⁵⁶ MS Leipzig Vollers 505-01, fol. 2r.

¹⁵⁷ MS Leipzig Vollers 505-02, fol. 55v.

¹⁵⁸ MS Leipzig Vollers 505-01, fol. 2r

¹⁵⁹ MS Leipzig Vollers 505-03, fol. 56r.

¹⁶⁰ MS Leipzig Vollers 505-03, fol. 56v.

¹⁶¹ MS Qar. 874/62, p. 1.

¹⁶² MS Oar. 874/62, p. 347.

¹⁶³ MS Qar. 874/62, p. 2.

¹⁶⁴ MS Şehid 2552, fol. 146r.

In conclusion, based as the examined specimens, we can see the variances in how the author's name can appear in places aside from the title page. Alongside this we have also seen how author's name can be formulated according to its place in the manuscript.

3.1.10. Terms before the author's name

An examination of our corpus shows that the copyist sometimes wrote a particular term linked to either the transmission, or the treatment of the material in the book before the author's name. However, as far as I know, these terms are not discussed in the normative sources.

A term that often precedes the author's name is 'an. 165 It occurs in three third/ninth-century specimens. In MS UL Or. 298, 166 'an was given before the author's name on the title page: 'An Abī 'Ubayd al-Qāsim ibn Sallam al-Harawī ("[transmitted] from Abū 'Ubayd..."). At first glance, it seems that the unknown copyist of this manuscript uses 'an to indicate transmission from Abū 'Ubayd (d. 224/383). 167 However, direct transmission from Abū 'Ubayd is impossible because the copyist finished copying in 252/867, which was 28 years after of the death of Abū 'Ubayd. The preposition 'an before the author's name also occurs in another third/ninth-century manuscript, but this time in the colophon: Tamma al-Kitāb al-Ma'thūr 'an Abī al-'Amaythal al-A'rābī (The Book Transmitted from Abū al-'Amathal... completed). Here the preposition comes after the word al-ma'thūr. The expression al-ma'thūr 'an ("transmitted from") indicates that a transmitter transmitted the book from the author, Abū al-'Amaythal. It is not possible for the transmitter to be the copyist Abū al-Jahm.¹⁶⁹ Abū al-Jahm finished copying in 280/894,¹⁷⁰ and the author died in 240/854. Thus, Abū al-Jahm copied this manuscript from that unidentified transmitter, not directly from the author. The preposition 'an occurs before the author's name in the manuscript: Riwāyat al-Rabī' ibn Sulaymān 'an Muhammad ibn Idrīs al-Shāfi'ī ("the transmission of al-Rabī'... from Muḥammad ibn Idrīs..."). However, in this case, we know that the copyist is a direct student of the author. Thus, he directly transmitted from the author and so used 'an before the author's

¹⁶⁵ The preposition 'an can come before the name of a transmitter in the chain of the transmitters given at the beginning of a prophetic tradition. Likewise, 'an can come before a transmitter in an $isn\bar{a}d$ a book (see $isn\bar{a}d$ under section 3.2.2).

¹⁶⁶ MS UL Or.298, fol. 1r, 21r, 34r, 54r, 108r, 135r, 155r, 166r, 204r, 207r, 221r.

¹⁶⁷ EI², s. v. "Abū 'Ubayd al-Ķāsim ibn Sallām"; HAWT, vol. 1: 92-4, sup. 1: 161-2.

¹⁶⁸ MS Vel. Ef. 3139, fol. 33v.

¹⁶⁹ MS Vel. Ef. 3139, fol. 33v.

¹⁷⁰ MS Vel. Ef. 3139, fol. 33v.

name.¹⁷¹ Considering these three cases together, we can conclude that when copyists use the preposition 'an before the author's name, it can be, but not definitively, an indication that the copyist is directly transmitting from the author.

The term ta'līf (composition) also occurs before the author's name in some manuscripts. These works often include discussions, and not just collected or organized material. ¹⁷² The term ta'līf precedes the author's name on the title page of MS MMMI 44 part 1, part 3.¹⁷³ This makes sense since this manuscript discusses legal issues from different perspectives. The term ta'līf also precedes the authors name of MS Car. Ef. 1508, in which the author discusses "the decrees of the stars, their causes and qualities, and the disagreement of people about them and the refutation of those opposed to this and the knowledge of the lots and their causes."¹⁷⁴ Thus the term ta'līf indicates there to be the discussion in the work. 175 Similar to this is MS DK 852 Tawhīd, which includes various discussions on Ibn al-Rāwandī's theological opinions. Another example is MS Fazil 154 which is the dictionary of Ibn Durayd. In this dictionary, the author explains the meaning of the words based on Arabic poetry. The dictionary is organized systematically. The author collects various materials for the dictionary, and then treats such material in a sophisticated manner. 177 MS DK 663 Tafsīr is dedicated to discussing difficulties in the Qur'ān. This work naturally also includes explanations. Hence the author's name is preceded by ta'līf. 178 Similarly, MS Lal. 1905 contains discussions of some writing issues in the third/ninth century. Again, ta'līf is given before the author's name on the title page. The term ta'līf indicates a more sophisticated dealing with the collected material. This involves making the material comprehensive and includes analysis and critique. Copyists of the third/ninth-fourth/tenth centuries were aware of the nature of ta'līf, and so indicted this by writing this term before the author's name on the title.

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¹⁷¹ MS DK, 41 Uṣūl fiqh, 6r.

¹⁷² On *ta'līf*, see Ḥājjī Khalīfah, *Kashf*, 1: 35-9; Schoeler, *The Oral and the Written*, 52, 81, 151, 158: here we can find contexts of book production in which *allafa* or one of its derivatives is used; Gruendler, *Book culture*, 23-4; *Nabhān*, '*Abqariyyat*, 5-14: here, there is more discussion on the term *ta'līf* from the early sense of the term until its modern sense, and the difference between *taṣnīf* and *ta'līf*. Gacek gives both the English words composition and compilation for *ta'līf*, but the word compilation would be better for *taṣnīf*, and only composition for *ta'līf*, see Gacek, AMT, 8.

¹⁷³ MS MMMI 44 part 1, fol. 1r, part 3, fol. 1r.

¹⁷⁴ MS Car. Ef. 1508, fol. 1r.

¹⁷⁵ MS Car. Ef. 1508, fol. 1r.

¹⁷⁶ MS DK 852 Tawhīd, 1r.

¹⁷⁷ MS Fazil 1541, fol. 1r.

¹⁷⁸ A G D X 662 T 65 1

¹⁷⁸ MS DK 663 Tafsīr, the title page.

Unlike $ta'l\bar{t}f$, $tasn\bar{t}f$ (compilation) mainly includes organizing scattered pieces of knowledge under thematic chapters.¹⁷⁹ The $tasn\bar{t}f$ was practiced in the field of $had\bar{t}th$ as early as the middle of the second/eighth century, forming the so-called " $tasn\bar{t}f$ movement." The expression $tasn\bar{t}f$ precedes the author's name in the following manuscript under examination: $Kit\bar{a}b$ Ma'rifat al-majr $uh\bar{t}n$ min al-muhaddithuh min $tasn\bar{t}f$ al- $uha\bar{t}f$ al

The term *şan'at* ("work of") is used before the author's name on the title page of a few fourth/tenth-century specimens under examination. 182 Like *taṣnīf*, the term *ṣan'at* indicates that the author's work is mainly based on collecting material on a particular theme. In MS Lal. 1728, it is stated on the title page that the book is *ṣan'at Abī Bakr Muḥammad ibn Yaḥyā al-Ṣūlī*. 183 The term *ṣan'at* is not written before the poet's name (the poet here is the author), Ibn al-Mu'tazz, but al-Ṣūlī who collected and organized the poetry. Similar is MS Ṣehid 27, which is on the various readings of the Qur'ān according to the seven main authorities. The author's name, Abū 'Alī al-Fārisī, is provided on the title page preceded by *ṣan'at*. 184 Ṣan'at here is used to indicate that Abū 'Alī al-Fārisī's work is a collection on the views of the seven authorities. 185 Similarly, to emphasize that MS Ṣehid 1842 is based on collected accounts of the grammarians of Basra, the copyist writes the term *ṣan'at* before the name of the author, Abū Sa'īd al-Sīrāfī, on the title page. 186

Interestingly, all three terms san'at, $tasn\bar{t}f$, and $ta'l\bar{t}f$ are used together in unison before the author's name in one particular manuscript, the fourth/tenth century manuscript of al-Muqtadab by al-Mubarrad. In the first part, we find san'at al-Mubarrad, san'at in the second and fourth, $tasn\bar{t}f$ al-

¹⁷⁹ On *taṣnīf*, see Schoeler, *Genesis*, 4-6, 60-3, 68-81.

¹⁸⁰ Schoeler, *Genesis*, 60, 68-81; Beeston et al., *Arabic Literature to the End of the Umayyad Period*, 271-288; EI², s. v. "Muşannaf."

¹⁸¹ MS DK bā' 19598, fol. 1r.

¹⁸² Gacek, besides, "work", gives the English term "composition" also to *ṣan'at*, which I do not agree with. He also used "composition" for *ta'līf*, so it would be confusing to used "composition" for both *ṣan'at* and *ta'līf*, see Gacek, AMT, 8, see footnote 635 above.

¹⁸³ MS Lal. 1728, fol. 2r.

¹⁸⁴ MS Şehid 27, fol. 1r, 31r, 61r, 91r, 121r, 151r, 181r, 211r, 241r, 271r, 301r, 331r.

¹⁸⁵ MS Şehid 27, fol. 1r, 31r, 61r, 91r, 121r, 151r, 181r, 211r, 241r, 271r, 301r, 331r.

¹⁸⁶ MS Şehid 1842, fol. 1r.

¹⁸⁷ MS Fazil 1507, fol. 1r.

Mubarrad, 188 and the third $ta'l\bar{t}f$ al-Mubarrad. 189 The copyist may not have been aware of the differences between the three terms. Alternatively, the differentiation between these terms may have been less clear than what I have suggested, or it may not have been strictly taken into account by the end of the fourth/tenth century.

The expression *naql* is employed before the translator's name on the title page of a third/ninth-century manuscript, *naql* $Ab\bar{\imath}$ Zayd Hunayn ibn $Ish\bar{a}q$ al-Mutatabbib. In this context, *naql* means translation, while it can also mean copying, transmission, and quoting in other contexts. ¹⁹¹

The author's name on the title page or in the colophon can be preceded by one of several terms. As used in three third/ninth-century manuscripts, the preposition 'an can indicate the direct transmission from author to copyist. Moreover, the term ta'līf preceding the author's name indicates that the work is not merely collected material but also contains discussion and deals with that material. The terms taṣnīf and ṣan 'at indicate that the work is primarily collected material on a particular subject. Finally, the term naql is used before the translator's name in a third/ninth-century manuscript.

3.1.11. Title page: Examples

So far, I have analyzed the title and author's name as written on the title page. In this section, I present some title pages in their entirety. These examples are atypical and include elements that are not usually given on title pages. I first transcribe the title page into the Arabic script and provide an English translation. I then focus on distinctive elements, such as the patron and copyist's name. The title and the author's name are only discussed when they differ from the practices described earlier.

¹⁸⁸ MS Fazil 1507, fol. 144r, MS Fazil 1508, fol. 172r.

¹⁸⁹ MS Fazil 1508, fol. 1r.

¹⁹⁰ MS BNF Arabe 2859, fol. 1r, 1r, 11r, 12r, 23r, 33r, 65v, 71v.

¹⁹¹ AMT, 144.

3.1.11.1. MS DK 41 Uṣūl Fiqh

This manuscript consists of three parts ($ajz\bar{a}$ '). Each part has an original title page, written in the new Abbasid style. The only difference between the three title pages is the part number. I will focus on the title page of the first part as representative of the others.¹⁹²

On the title page of part one,¹⁹³ the title and the names of the transmitter and author are written in the new Abbasid style script, which became common in the third/ninth century (see section 3.1.6 above). This confirms the dating of the manuscript to the third/ninth century and is consistent with the $ij\bar{a}zat$ naskh at the end.¹⁹⁴ The title page reads:

- [1] The first part of "The Epistle."
- [2] In the transmission of al-Rabī' ibn Sulaymān from Muḥammad ibn Idrīs al-Shāfi'ī.¹⁹⁵

[1] The title is only one word. That seems to be a continuation of a tradition from the second/eighth century. An example of this is the title *al-Muwaṭṭa'* ("The Well-trodden path") of Mālik ibn Anas (d. 179/796).¹⁹⁶

An important question arises. To what extent does the title *al-Risālah* relate to the actual content and organization of the text?¹⁹⁷ The title *al-Risālah* indicates that this work is an epistle, but does it include any elements of an epistolary nature? A general examination of its structure shows that the *Risālah* is organized as a scholarly book. It includes three parts; each part is divided into sections and each section has a heading. Its introduction is also of a scholarly nature. Hence it would seem that the title *al-Risālah* does not fit with the book's content echoing Michael Cook's

¹⁹² MS DK 41 Usūl Figh, fol. 6r; see illus. 3. 16.

¹⁹³ MS DK 41 Uşūl Fiqh, fol. 6r.

¹⁹⁴ I consulted Prof. François Déroche about this title page. His opinion is that it was produced in the time of copying the manuscript, or in no more than fifty years after copying the manuscript.

¹⁹⁵ MS DK 41 Uṣūl Fiqh, fol. 6r; see illus. 3. 16.

¹⁹⁶ On *al-Muwatta*', see Schoeler, *Genesis*, 72-73.

¹⁹⁷ On this, see Cook, *Early*, 52; Lowry, "Introduction," xxix-xxx.

claim that "Shāfi'ī's Risālah is a misnomer."¹⁹⁸ Perhaps, this title was given since it was originally an epistle in response to 'Abd al-Raḥmān ibn Mahdī (d. 198/813). Ibn Mahdī asked al-Shafi'ī to compose a book for him on *ma'ānī al-Qur'ān* ("the meanings of the Qur'ān"), containing *qabūl al-akhbār* ("the acceptance of the transmissions"), *ḥujjat al-ijmā'* ("the argument of consensus") and an explanation of *al-nāsikh wa-l-mansūkh* ("the abrogator and the abrogated") in the Qur'ān and prophetic traditions. ¹⁹⁹ Ḥājjī Khalīfah also gives this work the title *al-Risālah*, with a remark adducing Ibn Mahdī's story. ²⁰⁰

The title *al-Risālah* does not seem to have been given by al-Shāfi'ī himself. According to Shākir, al-Shāfi'ī refers to his work in this manuscript multiple times by *al-Kitāb*, not *al-Risālah*.²⁰¹ Therefore, it is likely that this title was given by al-Rabī', the copyist.

[2] This is a remark from the transmitter. Such remarks also occur in other third/ninth-century manuscripts.²⁰² This tradition also extends to the fourth/tenth century, as we can observe from the title page of a fourth/tenth-century manuscript.²⁰³ Writing a remark on transmission on the title page was established in the third/ninth century and continued in the following century. In this remark, the author's name is preceded by 'an indicating that the copyist is directly transmitting from the author. However, as we have previously shown the term 'an before the author's name does not always indicate direct transmission from the author (see section 3.1.10 above).

3.1.11.2. MS MMMI, part 1 and 3

The title page of part 1 reads:²⁰⁴

¹⁹⁸ Cook, *Early*, 52-3.

¹⁹⁹ On *al-Risālah* as a response to Ibn Mahdī, see al-Bayhaqī, *Manāqib al-Shāfiʿī*, 232; Ibn ʿAbd al-Bar, al-*Intiqāʾ*, 122-3; Shākir, "Muqaddimah," in al-Shāfiʿī, *al-Risālah*, 12; GAS, 1: 488; Also, Majid Khadduri discussed this anecdote, see Al-Shāfiʿī. *Islamic Jurisprudence Shāfiʿīʾs Risāla*, trans. Majid Khadduri, 19-25. On Ibn Mahdī, see Ibn Saʿd, *Ṭabaqāt*, 9: 299; Ibn Abī Ḥātim al-Rāzī, *al-Jarḥ wa-l-taʿdīl*, part 2, vol. 2: 290; al-Khaṭīb al-Baghdādī, *Tārīkh*, 11: 512-23.

²⁰⁰ Hājjī Khalīfah, *Kashf*, 1: 840.

²⁰¹ Shākir, "Muqaddimah," in al-Shāfi'ī, *al-Risālah*, ed. Shākir, 12.

²⁰² MS UL Or. 298, fol. 1r; MS MAW 1125, fol. 1r; see section 3.2.2.2 below.

²⁰³ MS Reis 904, fol. 1r; see illus. 3.19; see note 2 under section 3.1.11.5 below.

²⁰⁴ MMMI 44, part 1, fol. 1r, see illus. 3.12.

- [1] This is "The book about the Disagreement among the Scholars of the Capital Cities."
- [2] This is the first: the book on marriage.
- [3] Composed by Abū Ja'far Muḥammad ibn Jarīr al-Ṭabarī.
- [4] Listened by Abū Bakr Muḥammad ibn al-Ḥasan al-'Allāf [d. 318-9/931].²⁰⁵
- [5] This volume was read to its author Abū Ja'far ibn Jarīr al-Ṭabarī in the year 294/[904].²⁰⁶
- [1] The hand of the words $h\bar{a}dh\bar{a}$ and $kit\bar{a}b$ seem different to the hand of the other words of the title (compare the word $kit\bar{a}b$ in the first line to the same word in the second line in illus. 3.12-3.13). Perhaps this indicates that both were written later. It may be that the word $kit\bar{a}b$ was not originally a part of the title and was added later.
- [2] The title includes the book's part and its number: Al- $awwal\ kit\bar{a}b\ al$ - $nik\bar{a}h$. It is written as a subtitle. In this subtitle, the word $kit\bar{a}b$ here means "chapter," which is synonymous to $b\bar{a}b$.²⁰⁷
- [1] & [2] The title is written as a "reminder of the volume," thereby summarizing the book's content.
- [3] The term $ta'l\bar{t}f$ ("the composition of") occurs before the author's name. Unlike the term $ta\bar{s}n\bar{t}f$ (compilation), $ta'l\bar{t}f$ implies that the book does not only contain collected material, but also the author's reflections on this material (see section 3.1.10 above). This manuscript contains

²⁰⁵ On him, see EI², s.v. "Ibn al-'Allāf."; GAS, 2: 589-90; Farrūkh, *Tārīkh al-adab al-'Arabī al-a'ṣur al-'Abbāsiyyah*, 394-7.

²⁰⁶ MS MMMI 44, part 1, fol. 1r, see illus. 3.12.

²⁰⁷ AMT, 15, 123.

²⁰⁸ Arberry, "Two Rare Manuscripts," 109.

²⁰⁹ On *taṣnīf*, see Schoeler, *Genesis*, 4-6, 60-3, 68-81.

material in the sense of $ta'l\bar{t}f$ since the author compares and discusses the views of different scholars. Thus, the term $ta'l\bar{t}f$ before the name of the author makes sense.

[4] Abū Bakr Muḥammad ibn al-Ḥasan al-'Allāf (d. 318/930), who was an eminent poet, transmitter of poetry, traditionist, and courtier of al-Mu'taḍid (r. 279/893-289/902), heard the transmission of the manuscript. As noted on its title page, the manuscript was also read out to its author (see the following remark) in addition to noting that al-'Allāf had heard the book. It is possible that al-'Allāf heard the text in the author's presence as al-'Allāf was contemporary to the author, al-Ṭabarī (d. 310/923). Besides being a traditionist, poet, and transmitter of poetry, the audition certificate reveals that Ibn al-'Allāf was also interested in jurisprudence, since he personally audited the book's transmission.

[5] For the term *mujallad* (volume), this is the first time I have the use of this term in a manuscript of the third/ninth-fourth/tenth centuries.

The reading certificate shows that the book was read to its author and hence the author corrected any potential mistakes. However, the reader is not identified. It should be mentioned that there are also two other reading notes: the first is in part 1, fol. 1v (it is very blurry and not easy to read from the digital copy, see illus. 3.14), and seems to provide the same information recorded in the second reading certificate in part 3, fol. 1v (see illus. 3.15). That the reading certificate is noted in the margin of this manuscript echoes al-Khaṭīb al-Baghdādī where he advises the scribe to write the audition certificate (which is very similar to the current reading certificate) in the margin of the first folio. Here I discuss both of these certificates.

211
. جعفر ... بن جرير ... ربيع الأول ... ومائتين

[1] Ja'far ... Ibn Jarīr ... Rabī' al-Awwal ... and two hundred.

²¹⁰ al-Khatīb al-Baghdādī, *al-Jāmi*, 1: 268.

²¹¹ MS MMMI 44, part 1, fol. 1v; see illus. 3.14. I checked my reading here with Sa'īd al-Jūmānī.

²¹² MS MMMI 44, part 3, fol. 1v; see illus. 3.15. I checked my reading with Saʻīd al-Jūmānī, thanks to him I could decipher, in particular the first and the second words and the words in the square brackets. At the beginning, the words between the brackets were read as *Muḥammad Khiḍr*, but, thanks to Regula Forster, I corrected them to *Muḥammad ḥaḍara* ("Muḥammad was present").

[2] It was read to Abū Ja'far Muḥammad ibn Jarīr ... [Muḥammad was present] and that was in the months of Rabī' I and [Rabī'] II/ [January and February] in the date of 29[?, last number illegible]/90[?].

Together with the reading certificate from the title page, these two certificates indicate that the manuscript was read to its author, al-Ṭabarī. The first reading certificate is almost unreadable from the digital copy. However, the deciphered words reveal that the manuscript was read to al-Ṭabarī in the month of Rabī' I in a year of the third/ninth century. The second certificate also shows that the manuscript was read to al-Ṭabarī. A part of someone's name appears within the certificate. This unidentifiable person might have been either the one who read the book to al-Ṭabarī or someone who heard the text with al-'Allāf, whose name appears on both title pages. The second certificate also refers to the year the manuscript was read, namely 29[4]/[906].

This third/ninth-century manuscript includes an *ijāzat samā* '(audition certificate), which indicates that al-'Allāf heard the transmission of the book. It also contains an *ijāzat al-qirā'ah* (reading certificate), which indicates that a student read the book to the author, al-Ṭabarī.²¹³ Thus, the tradition of writing both the *ijāzat al-samā* 'and *ijāzat al-qirā'ah* within the paratexts of the Arabic manuscripts can be dated as early as to the third/ninth century.

The title page of part three is identical to part one, except for the part number. The title page of part three also does not contain a reading certificate.

3.1.11.3. MS Fazil 1507 and Fazil 1508

This voluminous manuscript of *al-Muqtaḍab* ("Improvised") preserved in the Süleymaniye has four title pages, a title page for each of its four parts. The author's and the copyist's names are written inside a rectangular panel surrounded by ornamentation.²¹⁴ The four title pages are identical, except for the number of that particular part. Unlike the other three parts, the title of part

²¹³ On the certificates of audition which includes *ijāzāt al-samā* 'and *ijāzāt al-qirā'ah*, see al-Munajjid, "Ijāzāt al-samā"", 232-51; Vajda, *Les certificats de lecture et de transmission*; Pederson, *the Arabic Book*, 31-6; Witkam, "The Human element,"123-36; al-Mashūkhī, *Anmāt*, 81-110; Gacek, *Vademecum*, 52-6; Quiring-Zoche, "Der jemenitische Diplomat," 45-85, 190-1; Leder, "Understanding a Text Through its Transmission," 59-72, 192-5; Hirschler, "Reading Certificates a Prosopographical Source," 73- 92; Boris Liebrenz, "Lese- und Besitzervermerke in der Leipziger Rifā'īya-Bibliothek," 141-62; Hirschler, *The Written Word*; Seidensticker, "Audience Certificates," 75-91; Aljoumani, "Şuwar al-ijāzāt al-manqūlah," 100-72; Aljoumani, "Qayd tafrīgh al-kutub,"268-245[sic]; Aljoumani, "Dilālāt al-muṣṭalaḥāt al-wāridah fī majālis al-samā',"132-106.

²¹⁴ MS Fazil 1507, fol. 1r., 144r; Fazil 1508, fol. 1r., 173r; see section 3.1.6 above; see illus. 3.9.

1 includes the addition of *fī al-naḥw* (on grammar). I offer analysis for the first title page as a representative case.

The information on this page reads:

- [1] The first part of the "The Book of the Compendium on Grammar"
- [2] Work of Abū al-'Abbās Muḥammad ibn Yazīd al-Mubarrad
- [3] Muhalhal ibn Ahmad wrote it
- [4] For Abū al-Ḥasan Muḥammad ibn al-Ḥusayn al-'Alawī
- [5] I read this part from its beginning to its end. I amended what it contained and corrected it. Any emendation and any insertion of an omission in the margin in a hand different from the hand of the book is in my hand. Al-Ḥasan ibn 'Abd Allāh al-Sīrāfī wrote.²¹⁵

The first two elements [1&2] indicate the title and the author's names, similar to the previously presented examples in sections 3.1.11.1 and 3.1.11.2 above.

[3] Like MS DK 663 Tafsīr, the copyist writes his name on the title page besides writing the name in the colophon at the end of the manuscript.²¹⁶ However, aside from MS DK 663,²¹⁷ noting

²¹⁵ MS Fazil 1507, fol. 1r; see illus. 3.9.

²¹⁶ See note (3) under section 3.1.11.6 below.

²¹⁷ See note (3) under section 3.1.11.6 below.

the copyist's name on the title page does not occur in any other specimen in my corpus. Instead, the copyist's name is usually mentioned in the colophon.²¹⁸

[4] This manuscript is commissioned.²¹⁹ Abū al-Ḥasan Muḥammad ibn al-Ḥusayn al-'Alawī, who I have not been able to identify and whose name is preceded with li- is likely to have been the patron. The particle li- here is similar to li- $khiz\bar{a}nah$ (for the library [of this or that person]), which often precedes the name of a patron.²²⁰

[5] This correction note indicates that al-Sīrāfī amended and corrected the book.²²¹ I should mention here that al-Sīrāfī was accused of writing notes indicating that he corrected a book, when he had not.²²² In the current case, to distinguish his notes from the text, al-Sīrāfī states that his corrections and emendations are in a different hand from the text's hand. Interestingly enough, his hand, by which he wrote this notation on the title page, is, indeed, attested in different places in the manuscript (see illus. 3.20-3.23). This case shows that al-Sīrāfī did indeed correct a manuscript when stated to have done so on the title page. However, this is only one case, so we need other cases to confirm it.

3.1.11.4. MS DK 139 Nahw part 3

The information on the title page reads:²²³

- [1] Part three of "The Book" of Sībawayh, and he is 'Amr ibn 'Uthmān...al-Ḥārithī." 224
- [2] Copied from the copy of Abū al-'Abbās Muḥammad ibn Yazīd al-Naḥwī [transmitted] from Abū 'Umar al-Jarmī and Abū 'Uthmān al-Māzinī.²²⁵

²¹⁹ On commissioned manuscripts, see Gacek, *Vademecum*, 78.

²¹⁸ See section 3.3.2 below.

²²⁰ On the expression *li-khizānah* and other expressions that usually precedes the patron's name, see Gacek, *Vademecum*, 197.

²²¹ On al-Sīrāfī, see EI², s. v. "al-Sīrāfī"; HAWT, vol. 1: 100-1, vol. 2: 170-1; GAS, 9: 98-101.

²²² Ritter, "Autographs," 67-8.

²²³ MS DK 139 Nahw, part 3, fol. 1r; see illus. 3.17.

²²⁴ MS DK 139 Nahw, part 3, fol. 1r. The missed part seems to have been Qanbar. On Sībawayh, see GAS, 9: 51, HAWT, vol. 1: 87-8, EI², s. v. "Sībawayhi."

²²⁵ MS DK 139 Nahw, part 3, fol. 1r; see illus. 3.17.

[1]&[2] This remark is about the *Vorlage* the copyist used to produce the present manuscript. The *Vorlage* is not usually mentioned on the title page but in the colophon.²²⁶ By writing this remark, the copyist indicates that he used the copy (*nuskhah*) of Abū al-'Abbās Muḥammad ibn Yazīd al-Naḥwī [al-Mubarrad] (d. 285/898)²²⁷ which, in turn, was transmitted from Abū 'Umar al-Jarmī (d. 225/839)²²⁸ and Abū 'Uthmān al-Māzinī (d. 248/862).²²⁹ This remark shows that when the copyist writes 'an nuskhah, he indicates that he used a written *Vorlage* to copy from. However, the formula 'an and the person's name is less clear, as we cannot be sure whether he wrote the manuscript by dictation (*imlā*') from that person or if he used a copy written by that person (see section 3.1.10 above).²³⁰

3.1.11.5 MS Reis 904

The information on the title page of this manuscript reads:²³¹

[1] "Dirges and Poems on Other Themes, Accounts, and Language."

²²⁶ On the mention of the *Vorlage* in the colophon, see section 3.3.3 below.

On al-Mubarrad, see EI², s. v. "al-Mubarrad"; HAWT, 1:95-6, suppl. vol. 1: 163-4; GAS, 9:78-80. On al-Mubarrad and his book *al-Muqtaḍab*, see Ritter, "Autographs , 66-8; 'Abd al-Qādir, "al-Muqtaḍab: Dirāsah watahlīl"

²²⁸ On al-Jarmī, see HAWT, vol. 1: 94; GAS, 9: 72-3.

²²⁹ On al-Māzinī, see EI², s. v. "al-Māzinī"; HAWT, vol. 1: 95, suppl. 1: 163; GAS, 9: 75-6.

²³⁰ On the transmission of *Kitāb Sibawayh*, see Humbert, *Les voies de la transmission du Kitāb de Sībawayh*.

²³¹ MS Reis 904, fol. 1r; see illus. 3.19.

- [2] On the authority of Abū 'Abd Allāh Muḥammad ibn al-'Abbās al-Yazīdī on the authority of Ibn Ḥabīb and his uncle al-Faḍl on the authority of Isḥāq ibn Ibrāhīm al-Mawṣilī and others.
- [3] I audited it all from Abū 'Abd Allāh and corrected it, and praise be to Allāh.
- [4] It [the manuscript] contains all the auditions of Abū 'Abd Allāh ibn Abī Ḥarb al-Muhallabī and a number of odes selected by al-Mufaḍḍal and al-Aṣma'ī.
- [5] 'Abd Allāh Ibn Muqlah mentioned this, and I copied it from his autograph copy.
- [6] Muḥammad ibn Asad ibn 'Alī al-Qārī' wrote [this] in the year 368 [996-7]. ²³² This is the most informative title page among the manuscripts under examination.

[1] The title summarizes the book's content.²³³ It is similar to the third/ninth-century MS MMMI 44 parts 1 and 3 discussed above. The title is in the style of "the reminder of the volume."²³⁴

[2], [3], [5], and [6]: Like other third/ninth-century manuscripts under examination, ²³⁵ this fourth/tenth-century title page also contains a remark on transmission. Considering notes [2], [3], [5], and [6], we can understand the transmission of the present manuscript to be as follows: Muḥammad ibn Asad ibn 'Alī al-Qāri'(d. 410/1019) wrote this manuscript based on a *Vorlage* written by Ibn Muqlah (d. 328/940). ²³⁶ In this *Vorlage*, Ibn Muqlah wrote a remark that shows that he had his text from Abū 'Abd Allāh Muḥammad ibn al-'Abbās al-Yazīdī (d. 310/922), ²³⁷ hearing and correcting it. Al-Yazīdī, in turn, transmits on the authority of Muḥammad ibn Ḥabīb²³⁸ and his uncle al-Faḍl al-Yazīdī (d. 278/891-2). ²³⁹ Both Ibn Ḥabīb and al-Faḍl transmit on the authority of Ishāq ibn Ibrāhīm al-Mawsilī (d. 188/804) and others. ²⁴⁰

²³² MS Reis 904, fol. 1r; see illus. 3.19.

²³³ On this structure of the title, see section 3.1.7.

²³⁴ Arberry, "Two Rare Manuscripts," 109.

²³⁵ See note (2) under section 3.1.11.1 above.

²³⁶ On Ibn Muqlah, see EI², s. v. "Ibn Mukla"; HAWT, suppl. vol. 1: 441-2. Al-Qāri' also mentioned in the colophon that he used a *Vorlage* written by Ibn Muqlah, see MS Reis 904, fol. 96v.

²³⁷ On him see, al-Khaṭīb al-Baghdādī, *Tārīkh Baghdād*, 4: 192; al-Dhahabī, *Siyar*, 4: 361; he is also mentioned in HAWT, vol. 1: 16; suppl. vol. 1: 83; GAS, 2: 66, 84, 89, 214, 265, 319-20, 375, 420, 427.

²³⁸ On Ibn Habīb, see El², s. v. "Muhammad ibn Habīb"; HAWT, vol. 1: 92, suppl. vol. 1: 160-1.

²³⁹ On al-Fadl, see *Tārīkh Baghdād*, 14: 340-1.

²⁴⁰ On al-Mawṣilī, see EI³, s. v. "Isḥāq ibn Ibrāhīm al-Mawṣilī."

As we understand from note [2], both Muḥammad Ibn Ḥabīb and al-Faḍl transmit this book; thus, one of them was reading, and the other was hearing (or perhaps they exchanged roles). What confirms this is that Abū 'Abd Allāh Muḥammad ibn al-'Abbās al-Yazīdī writes a certificate of audition in the right margin of fol. 1v that he once attended a session in which his uncle al-Faḍl was reading to Ibn Ḥabīb:

Abū 'Abd Allāh [Muḥammad ibn al-'Abbās al-Yazīdī] said: "I was present with my uncle 'Abd Allāh [al-Faḍl al-Yazīdī] while he was reading to Ibn Ḥabīb, and I listened.²⁴¹

Note [4] shows that the material that Ibn Muqlah heard from Abū 'Abd Allāh Muḥammad ibn al-'Abbās al-Yazīdī and contained in the present manuscript, includes all the knowledge that Abū Ḥarb al-Muhallabī (not identified) heard, and some odes selected by al-Mufaḍḍal (d. around 170/786) ²⁴² and al-Aṣma'ī (d. 213/928). ²⁴³

[6] The copyist's name and the date of copying, usually written in the colophon,²⁴⁴ appear on this title page.

3.1.11.6. MS DK 663 Tafsīr

The information on the title page of this manuscript reads:²⁴⁵

²⁴¹ MS Reis 904, fol. 1v; see illus. 3.66.

²⁴² On al-Mufaddal, see EI², s. v. "al- Mufaddal ibn Muḥammad ibn Ya'lā ibn 'Āmir ibn Sālim ibn al-Rammāl al-Dabbī"; HAWT, vol. 1: 104, suppl. vol. 1: 174-5; GAS, 2: 53-5.

²⁴³ On him, see EI², s. v. "al-Aşma'ī"; HAWT, vol. 1: 91; suppl. vol. 1: 158-60; GAS, 8: -71-6; Gruendler, *The Rise*, 36-51

²⁴⁴ See sections 3.3.2 and 3.3.6 below.

²⁴⁵ See illus. 3.18.

- [1] The first part of "The Book of the Problematic Issues of the Qur'ān." ²⁴⁶
- [2] Composed by Abū Muḥammad 'Abd Allāh ibn Muslim Ibn Qutaybah, the mercy of Allāh may be upon him."²⁴⁷
- [3] [Copied] for Muḥammad ibn Aḥmad ibn Yaḥyā may Allāh make it [the book] beneficial for him and may Allāh grant him more useful knowledge, sincere certainty, and a devout heart, and may Allāh grant him an end with happiness and forgiveness, amen, the Lord of the worlds."²⁴⁸

[1] This statement indicates that this is the first part of *The Book of the Problematic Issues in the Qur'ān*, which indicates that this manuscript is part of a larger book. However, the word *al-awwal* ("the first") seems to have been written by mistake. Indeed, the manuscript contains the complete work and not only its first part. The colophon reads *tamma kitāb al-Mushkil* ("The Book of the Problematic Issues [when understanding the Qur'ān] was completed"), without referring to a part of the book. This indicates that the manuscript contains the complete work; otherwise, it would have mentioned that this is only the completion of the first part.²⁴⁹

The title given on the title page, *Mushkil al-Qur'ān*, is different from the title given by its author. The author, Ibn Qutaybah, gives a different title for this book in the preface of his book *Kitāb al-Kuttāb*²⁵⁰ Here the title also contains the word *ta'wīl* (interpretation) i. e. *Ta'wīl mushkil al-Qur'ān*.²⁵¹ Therefore, this case shows that the title that appears on the title page, which the copyist gives, can differ from the title given by the author.

[2] The expression *ta'līf* precedes the author's name, which is in accord with the author's aim for the book. The author aims at clarifying the different positions on some problematic aspects of the Qur'ān (see section 3.1.10 above).

²⁴⁶ MS DK 663 Tafsīr, the title page.

²⁴⁷ MS DK 663 Tafsīr, title page (without numbering).

²⁴⁸ MS DK 663 Tafsīr, title page (without numbering); see illus. 3.18.

²⁴⁹ Moreover, I examined the manuscript in its original and found that it is a complete book. The editor of the critical edition mentioned that this copy of the manuscript, among others, is a copy of the whole book, see Ṣaqr, "Muqaddimah," in Ibn Qutaybah, *Ta'wīl mushkil al-Qur'ān*, ed. Ṣaqr, 86.

 $^{^{250}}$ MS Lal. 1905, fol. 10v. This is the manuscript of \widetilde{Kitab} al-Kuttab, which is known, and its editions refer to it as $Adab\ al-katib$. MS Lal. 1905 is of my corpus, see section 2.2.2.18.

²⁵¹ MS Lal. 1905, fol. 10v.

The invocation *raḥimahu Allāh* (may Allah grant him mercy) is provided after the author's name. This invocation, called *al-tarḥīm*, is usually used with dead people.²⁵² This emphasizes that the manuscript was copied after the author's death, which is obvious when comparing the author's death date (276/889) with the copying date (379/989). Thus, the manuscript was copied around one century after the death of its author.

[3] This note indicates that the current manuscript is a copy produced for (*li-*) Muḥammad ibn Aḥmad ibn Yaḥyā. We then learn from the colophon that this person is in fact the copyist himself. The expression *li-nafsih*, which usually precedes the copyist's name in such cases, is missing. Instead, *li-* is used before the copyist's name.²⁵³

The copyist writes a long du ' \bar{a} ' (invocation) after his name. He prays that the manuscript be beneficial for him, for more knowledge, certainty, and a good death. This du ' \bar{a} ' indicates that the copyist copied the book "as an act of worship."²⁵⁴ It also shows a "structure that reflects the Muslim piety,"²⁵⁵ which characterizes pre-modern Arabic-Islamic books.²⁵⁶ The attitude of copying the book as ' $ib\bar{a}dah$ (worship) was regulated by $\bar{a}d\bar{a}b$ (determined set of rules) and Islamic etiquette. For instance, these $\bar{a}d\bar{a}b$ and etiquette instruct the copyist to have a niyyah (intention), i.e., the aim of copying must be to please All $\bar{a}h$.

There are two pieces of poetry on the title page, each consisting of two verses and introduced by *anshada* (he recited) (see illus. 3.18). The first one reads:

If you come to a noble one to deceive [him], you see him deceiving about what you came up.

²⁵² For tarhīm, see Gacek, Vademecum. 116.

²⁵³ *Li*- is usually used before the patron's name, see section 3.1.11.3, (4) above; see Déroche, *Islamic Codicology*, 316-7.

²⁵⁴ Gacek, Vademecum, 235.

²⁵⁵ Gacek, Vademecum, 235.

²⁵⁶ Gacek, "scribes, Copyists"; Gacek, *Vademecum*, 235-6. This attitude of copying the manuscripts as a sort of '*ibādah* is still alive among the community of 'Alawī Bohra in Baroda (India) who still reproduce the manuscripts by copying them by hand as a kind of jihad (see Akkerman, *The Bohra Dark Archive*, 43).

So know that you will not deceive an ignorant, but the noble is, by his precedence, deceived.²⁵⁷

These verses are similar, with a slightly different wording and vocalization, to two verses in the poetry collection of Muḥammad ibn Ḥazim al-Bāhilī (fl. $2^{nd}/8^{th}-3^{rd}/9^{th}$ century):²⁵⁸

If you come to a noble one with deception, you see him hastening about what you wish.

So know that you have not deceived an ignorant, but the noble is by his action is deceived.²⁵⁹

The other two verses on the title page are:

Meet the foe without scowling, almost dripping with the water of smiles.

[The best-attacker? of] the people [is who] meets his foes in a body of hatred and a guise of love. ²⁶⁰

Again, these verses are similar to two lines by the well-known author al-Tanūkhī (d. 278/892):²⁶¹

²⁶⁰ MS DK 663 Tafsīr, the title page (without numbering).

²⁵⁷ MS DK 663 Tafsīr, the title page (without numbering).

²⁵⁸ On him, see EI², s. v. "Muḥammad b. Ḥāzim b. 'Amr al-Bāhilī"; GAS, 2: 517.

²⁵⁹ Al-Bāhilī, *Dīwān*, 70.

²⁶¹ On him, see al-Khaṭīb al-Baghdādī, *Tārīkh*, 13: 550, 5; Farrūkh, *Tārīkh al-adab al-'Arabī al-a'ṣur al-'Abbāsiyyah*, 446-8.

Meet the foe with a face unscowling, almost dripping from the water of smiles.

The most resolute of people [is who] meets his foes in a body of hatred and a guise of love. ²⁶²

The verses are unrelated to the subject of the book. In this case, the copyist seems to have used the free space on the title page to write some verses of poetry he knew. He probably wrote these verses from memory, producing new versions of the verses. This is an early example of a copyist using the free space from the title page for a topic that is different from the book, and more specifically, for noting down verses of poetry. The present case shows that this tradition started as early as the fourth/tenth century and then continued in the following centuries, as we can trace other unrelated notes by different hands on the same title page.²⁶³

²⁶² Al-Tanūkhī, "Dīwān," 50.

²⁶³ On the unrelated texts, see Rosenthal, *Technique*, 20-1. Some Arabic scholars attempted to compile such texts in independent books, see for example, Yūsuf, *al-Ghurar*; al-'Aẓm, *al-Ṣabābāt*. Bibliotheca Arabica project works on collecting and organizing such notes: https://www.saw-leipzig.de/de/projekte/bibliotheca-arabica/intro, [accessed July 11, 2022].

3.2. Introductory section

An examination of the actual manuscripts shows that they are usually opened with a "doxological formula"²⁶⁴ that usually entails the *basmalah*, the *ḥamdalah*, and the *ṣalwalah*. After the *basmalah*, the *isnād* of the book is occasionally given.²⁶⁵ These formulas are occasionally followed by the *ammā ba 'd* "to proceed" expression. However, I noticed this in only two of my specimens.²⁶⁶ This is then followed by the preface proper, which can include introductory information such as the reasons for writing a book, the methodology, and (more rarely) the book's chapters.²⁶⁷ However, not every manuscript in the corpus has all these elements together.

In the following, I discuss the components of the introductory section that relate to scribal practice and are dealt with in the normative sources. I analyze the *basmalah*, the *isnād*, and the opening (including the Islamic formulas). The preface proper does not relate to the scribal practice and is also not discussed in the normative sources. Hence, it is not discussed.

3.2.1. Basmalah

In this section, I focus on the *basmalah*. I present how the *basmalah* is written and according to the normative sources and actual manuscripts which specific topics begin with the *basmalah*.

The *basmalah* is a form of the invocation of Allāh. With the *basmalah*, Muslims begin various actions in their daily life. The writing of manuscript codices is among them.²⁶⁸ According to the normative sources,²⁶⁹ the use of *basmalah* dates back to the prophet Muḥammad who developed its formula according to the gradual revelation of *sūrahs* of the Qur'ān until it reached its complete form. Later, the letters of the seventh Abbasid caliph al-Ma'mūn (r. 198/813-218/833) were prefaced with the *basmalah* before the address.²⁷⁰ In the actual manuscripts, when the *basmalah* occurs, it is given in the form of *bi-ism Allāh al-Raḥmān al-Raḥīm*. However, in one case it is

²⁶⁴ Gaceck, Vademecum, 131.

²⁶⁵ For studies on the *isnād*, see p. 24.

²⁶⁶ MS DK 19598 Bā', fol. 1v; MS Lal, 1905, fol. 1v.

²⁶⁷ For studies on the opening and preface, see p. 23.

²⁶⁸ For the *basmalah* in manuscripts, see Gaceck, "Technical Practices," 52-3; Gaceck, *Vademecum*, 80, 99, 131, 236, 270; for the *basmalah* as a verse of the Qur'ān see, EQ, s. v. "Basmalah."

²⁶⁹ 'Abd Allāh al-Baghdādī, "Kitāb al-kuttāb," 50; Ibn Durustawayh, *al-Kuttāb*, 74-5; al-Ṣūlī, *Adab al-kuttāb*, 31-2; al-Naḥḥās, *Ṣinā 'at al-kuttāb*, 63-64; Ibn 'Abd Rabbih, *al-'Iqd al-farīd*, 4:158.

²⁷⁰ Al-Naḥḥās, Ṣinā 'at al-kuttāb, 172.

formulated without Allāh as *bi-ism al-Raḥmān al-Raḥīm* (see illus. 3.24).²⁷¹ This could however be an error from the copyist as I have not seen this in any other manuscript.

In some of the manuscripts under examination, the *basmalah* is occasionally accompanied by other Islamic formulas. In a third/ninth-century manuscript, the invocation: *rabbī* yassir wa-a'in ("my Lord, make [this] easy and help [me]") is given after the *basmalah*.²⁷² At the opening of a fourth/tenth-century manuscript containing the poetry collection of Ibn al-Mu'tazz, the *basmalah* is followed by the expression: *dhikr Allāh akbar* ("the mention of 'Allāh is the greatest"").²⁷³ This expression is probably a "skillful opening" indicating the topic of the work, which is on piety issues.²⁷⁴ In another fourth/tenth century manuscript, the *basmalah* is accompanied with the *ḥawqalah*: *Wa-lā ḥawla wa-lā quwwah illā bi-Allāh al-'aliyy al-'azīm* ("there is no power and no strength save in Allāh the Supreme the Great").²⁷⁵

With regards to *adab al-kātib* manuals from the third/ninth century such as the work of 'Abd Allāh al-Baghdādī, we have limited information on the *basmalah*.²⁷⁶ However, we do have more details from *adab al-kātib* sources of the fourth/tenth century. Ibn Durustawayh for example illustrates that the *basmalah* "has to be written in a separate line and not combined with other lines."²⁷⁷ In most of the specimens under examination, the *basmalah* is written on a separate line. However, there are two exceptions. In one case, the *basmalah* and the *ṣalwalah* are given together on the same line.²⁷⁸ In the second case, the *basmalah* is written with the *ḥawqalah* in the same line (see illus. 3.25).²⁷⁹

In a similar way, the trinitarian formula is also given on a separate line, as is attested in two third/ninth-century Christian-Arabic manuscripts.²⁸⁰

The *basmalah* in most of the examined manuscripts is written on one line. One exception is MS Şehid 1842, in which the *basmalah* takes up more than one line because the font is very

²⁷¹ MS DK 19598 Bā', fol. 1v.

²⁷² MS BNF Arabe 2859, fol. 1v.

²⁷³ MS Lal. 1728, fol. 180v.

²⁷⁴ EI², s. v. "Ibtidā'."

²⁷⁵ MS Reis 904, fol. 1v.

²⁷⁶ 'Abd Allāh al-Baghdādī, "Kitāb al-Kuttāb," 50.

²⁷⁷ Ibn Durustawayh, *al-Kuttāb*, 75.

²⁷⁸ MS DK 19598 Bā', fol. 1v.

²⁷⁹ MS Reis 904, fol. 1v. For the *ḥawqalah*, see Gacek, *Vademecum*, 2, 270.

²⁸⁰ MS MDSK Ar. 72, fol. 3r; MS Vat. Ar. 13, fol.

thick. 281 In a similar way, in a Christian-Arabic manuscripts, the trinitarian formula is written over three lines. 282

Ibn Durustawayh mentions that writing the word "Allāh" in a small script was a disapproved act in his time. However, it is not clear what is considered as small script. Is "small" being compared with the other words of the *basmalah* or the manuscript's main text? In the manuscripts I have observed I have not seen the "Allāh" written in a font smaller than the other words of the *basmalah* or the main text. In fact, in three fourth/tenth-century manuscripts, the entire font of the *basmalah* is thicker than the book's main text, as if it were a chapter heading. 284

In the fourth/tenth century, Ibn Durustawayh elaborates that embodying the shape of the $s\bar{\imath}n$ into the shape of the $b\bar{a}$ ' in the basmalah (tudghamu minh \bar{a} $s\bar{u}$ rat al- $b\bar{a}$ ' wa-l- $s\bar{\imath}n$) was also disapproved. This embodying would occur when the $s\bar{\imath}n$ of bi-ism is written without denticles; and hence it would appear as if it were embodied into the $b\bar{a}$ '. Embodying the $s\bar{\imath}n$ into the $b\bar{a}$ ' in bi-ism is not attested in any specimens, probably because of the disapproval of this practice.

Ibn Durustawayh states the rule that the *basmalah* should be written clearly, and its *alif*s should have a complete shape ($tatm\bar{t}m \ alif\bar{a}tih\bar{a}$). Furthermore, its $l\bar{a}ms$ should have a straight shape ($taqw\bar{t}m \ l\bar{a}m\bar{a}tih\bar{a}$). ²⁸⁸ In the manuscripts under examination, the *basmalah* is always written in a clear and straight shape. ²⁸⁹

In the fifth/eleventh century, al-Khaṭīb al-Baghdādī transmits accounts on the practice of writing the basmalah. He transmits through an $isn\bar{a}d$ from 'Abd Allāh ibn Ṣāliḥ (the student and the scribe of al-Layth ibn Sa'd)²⁹¹ that he ['Abd Allāh Ibn Ṣāliḥ] had elongated the "denticle" of the $b\bar{a}$ ' of bi-ism: which made it seemed like the $l\bar{a}m$: which was disapproved and refused

²⁸¹ MS Şehid 1842, fol. 1v; see illus. 3.26.

²⁸² MS MDSK Ar. 116, fol. 2r.

²⁸³ Ibn Durustawayh, *al-Kuttāb*, 75.

²⁸⁴ MS Car. Ef. 1508, fol. 1v; MS Fazil 1541, fol. 1v; MS Şehid 1842, fol. 1v.

²⁸⁵ Ibn Durustawayh, *al-Kuttāb*, 75.

²⁸⁶ Ibn Durustawayh, *al-Kuttāb*, 75.

²⁸⁷ Al-Khatīb al-Baghdādī, *al-Jāmi*, 1: 265.

²⁸⁸ Ibn Durustawayh, *al-Kuttāb*, 75.

²⁸⁹ For example, see MS MRT 37 Lughah, fol. 1v, see illus. 3.27.

²⁹⁰ The writing of the *basmalah* was also discussed in the tenth/eleventh century by al-'Almawī, see al-'Almawī, *al-Mu'īd*; Rosenthal, *The Technique*, 13-4.

²⁹¹ On 'Abd Allāh ibn Ṣāliḥ, see GAS, 1: 104; Ibn Ḥibbān, *al-Majrūḥīn*, ed. Zāyid, 40-3; Abū Ḥātim al-Rāzī, *al-Jarḥ wa-l-ta'dīl*, vol. part 2, 86-7; al-Khaṭīb al-Baghdādī, *Tārīkh*, 11: 155-9. On al-Layth ibn Sa'd, see EI², s. v. "al-Layth ibn Sa'd"; GAS, 1: 520.

by al-Layth as the meaning was changed. Against this preference of al-Layth, the "denticle" of the $b\bar{a}$ ' was elongated in some third/ninth-fourth/tenth-century specimens under examination. ²⁹²

Regarding the word *bi-ism*, al-Khaṭīb al-Baghdādī advises the copyists:

The ligature between the $b\bar{a}$ ' and the $s\bar{\imath}n$ should be slightly elongated in a way that makes both letters distinct from each other. This ligature connects the $b\bar{a}$ ' and the $s\bar{\imath}n$. Then, it [the ligature] is stretched to the $m\bar{\imath}m$ but stretching what is between the $b\bar{a}$ ' and the $m\bar{\imath}m$ and the omission of the ['denticles'] of the $s\bar{\imath}n$ is not allowed, as is practiced by many copyists and more than one of the forebears disliked this.²⁹³

To support his point, al-Khaṭīb transmits different accounts. Among the many transmitters mentioned is Muḥammad Ibn Sīrīn.²⁹⁴ These accounts focus on practical issues concerning the writing of the word *bi-ism*.

For the ligature between the $b\bar{a}$ ' and the $s\bar{\imath}n$, this does not occur in any of the manuscripts under examination—there is never any noticeable space between the $b\bar{a}$ ' and $s\bar{\imath}n$. On the contrary, the denticle of the $b\bar{a}$ ' seems to be linked to the denticle of the $s\bar{\imath}n$. The copyists drew the denticle of the $b\bar{a}$ ' longer than the denticles of the $s\bar{\imath}n$, most likely, to distinguish it from the denticles of the $s\bar{\imath}n$ in bi-ism, despite al-Layth ibn Sa'd's dislike of this practice (see illus. 3.28). The MS Şehid 1842 shows a different practice in this regard. The denticle of the $b\bar{a}$ is longer than the denticles of the $s\bar{\imath}n$. However, the denticles of the $s\bar{\imath}n$ itself are unequal, and they follow a uniform

²⁹² MS MMMI 44 part 1, fol. 1v, part 3, fol. 1v; MS Vat. Ar.13, fol. 1r; MS Car. Ef. 1508, fol. 1v; MS Şehid 2552, fol. 3r; MS Fazil 1508, fol. 1v, 173v; ; MS DK 149 Naḥw, fol. 1v; MS Fazil 1541, fol. 1v; MS Berlin Petermann II 589, fol. 1v; MS Reis 904, fol. 1v; MS Fazil 948, fol. 1v; ; MS Şehid 27, fol. 1v, 31v, 91v, 121v, 151v, 181v, 211v, 241v, 271v, 301v, 331v; MS Leipzig Vollers 505-01, fol. v, Vollers 505-03, fol. 56v; MS Fazil 43, fol. 1v; MS Lal. 1905, fol. 1v; MS MRT 37 Lughah, fol. 1v.

²⁹³ Al-Khatīb al-Baghdādī, *al-Jāmi*, 1: 265.

²⁹⁴ Al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 265-6.

²⁹⁵ This was executed in the following specimens: MS MMMI 44 part 1, fol. 1v, part 3, fol. 1v; MS DK 149 Naḥw, fol. 1v; MS MRT 37 Lughah, fol. 1v; MS Lal. 1905, fol. 1v; MS Fazil 1541, fol. 1v; MS Car. Ef. 1508, fol. 1v; MS Car. Ef. 1508, fol. 1v; MS Fazil 1508, fol. 1v, 173v; MS Fazil 948, fol. 1v; MS Şehid 2552, fol. 3r; MS Fazil 43, fol. 1v; MS Reis 904, fol. 1v; MS Şehid 27, fol. MS Şehid 27, fol. 1v, 31v, 91v, 121v, 151v, 181v, 211v, 241v, 271v, 301v, 331v, MS Berlin Petermann II 589, fol. 1v; MS Leipzig Vollers 505-01, fol. v, Vollers 505-03, fol. 56v; MSVat.ar.13, fol. 1r.

²⁹⁶ MS Şehid 1842, fol. 1v.

pattern by descending in size. The first denticle being the longest, followed by the middle and then the last (see illus, 3.26).²⁹⁷

As mentioned above, concerning the ductus of the letters of bi-ism, al-Khaṭīb recommends the combination of the $b\bar{a}$ and $s\bar{\imath}n$ and the elongation of the line that connects them to the $m\bar{\imath}m$. The combination of the $b\bar{a}$ and $s\bar{\imath}n$ occurs in all the specimens under examination. The elongation occurs in most of the manuscripts under examination, except for nine specimens from the core and the secondary corpus.²⁹⁸

Al-Khaṭīb discusses the disapproval of the "elongation" (*madd*) of the script in the *basmalah*.²⁹⁹ He transmitted through an *isnād* that al-Zuhrī (d. 124/742)³⁰⁰ transmitted that "the prophet Muḥammad rejected the elongation" of the *basmalah*. Al-Khaṭīb also transmits through an *isnād* from 'Abd Allāh ibn Baṭṭah (d. 387/997):³⁰¹

أما اسم الله تعالى، فقد جرت العادة بالجمع بين حروفه في الخط. وأما "الرحمن الرحيم" فأكثر الناس يجمعون بين حروفهما أيضاً. وفيهم من يفرِّق بينها. وكل ذلك مُباح أيُّهُ استحسن الكاتب فعله. وما رؤي من الكراهة والاستحباب فإنما هو على وجه الاستحسان لا غير.

Some people, when writing bi-ism $All\bar{a}h$ they execute an elongation between the $s\bar{\imath}n$ and the $m\bar{\imath}m$, which should not be done for what is not to be elongated in the pronunciation is also not allowed to be elongated in writing. They agree that [the word] $All\bar{a}h$ should be elongated neither in pronunciation nor in writing, but it is allowed to elongate al- $rahm\bar{a}n$ al- $rah\bar{\imath}m$ in both pronunciation and writing.

²⁹⁸ MS MAW 1125, fol. 1v; MS Vel. Ef. 3139, fol. 1v; MS DK 19598 Bā', fol. 1v; MS BA 233, fol. 1v; MS Fazil 1541, fol. 1v; MS Şehid 1842, fol. 1v; MS MDSK Ar. 580, fol. 2v; MS MDSK Ar. 72, fol. 3v; Berlin Petermann II 589, fol. 1v; MS Qar. 874/62, fol. 1v.

²⁹⁷ MS Şehid 1842, fol. 1v.

²⁹⁹ Al-Khaṭīb al-Baghdādī, *al-Jāmi*, 1: 266-8. For the term "elongation", see Gacek, AMT, 133. The elongation can also be termed *al-mashq*, see Gacek, AMT, 135.

³⁰⁰ On al-Zuhrī, see EI², s. v. "al-Zuhrī"; GAS, 1: 280-3; HAWT, vol. 1:59, suppl. 1: 101-2.

³⁰¹ On Ibn Battah, see EI², "Ibn Battah, 'Ubayd Allāh ibn Muḥammad Abū 'Abd Allāh al-'Ukbari"; GAS, 1: 514.

[...]

The convention is exercising contraction when writing the name of Allāh the sublime, and concerning *al-raḥmān al-raḥīm*, most people write it in contracted letters as well. However, some people exercise the "elongation" between them [the letters of the two words]. All of these [practices] are allowed. The scribe can do what he thinks best. Moreover, all of what has been transmitted [from other scholars] about the disapproval or approval is a matter of taste, nothing more. ³⁰²

Thus, there was no strict rule on the contraction and the elongation of the words *Allāh*, *al-raḥmān*, and *al-raḥīm* in the *basmalah*. This is, according to al-Khaṭīb, a matter of style and the choice of the copyist. Let us turn to see how this was exercised in the examined manuscripts.

The letters of the word "Allāh" in the *basmalah* are contracted in all of the manuscripts under examination that contain the *basmalah*. Furthermore, the word *al-raḥmān* is contracted in most of the manuscripts under examination. However, the ligature of the $h\bar{a}$ and $m\bar{\imath}m$ is elongated in some cases. Similarly, the ligature of $h\bar{a}$ and $y\bar{a}$ in the word *al-raḥīm* is elongated in some cases. This is coherent with what al-Khaṭīb states that there are no strict rules with regarding to the contraction and the elongation in the words "Allāh," "al-raḥmān" and "al-raḥīm", but rather a matter of taste.

With regards to whether any text should precede the *basmalah*, the normative sources of the fourth/tenth and the fifth/eleventh centuries tend to support the position that the *basmalah* should precede all the texts.³⁰⁵ Ibn Durustawayh states that "every saying and every action has to begin with the *basmalah* because thus is following to Allāh and the prophet."³⁰⁶ However, he mentions

³⁰² Al-Khatīb al-Baghdādī, *al-Jāmi*, 1: 266-7.

 $^{^{303}}$ The elongation of the ligature between the $h\bar{a}$ and the $m\bar{t}m$: MS UL Or. 298, fol. 21v, 135v (however, in this manuscript, the word al- $Rahm\bar{a}n$ is contracted in fol. 34v, 54v, 108v, 155v, 166v, 204v, 207v, 221v); MS MAW 1125, fol. v; MS Vel. Ef. 3139, fol. 1v; MS MMMI 44 part 3, fol. 1v; MS Şehid 2552, fol. 3r; Fazil 1541, fol. 1v; MS BA 233, fol. 1v; MS Berlin Petermann II 589, fol. 1v; MS DK 663, p. 1.

MS BNF Arabe 2859, fol. 1v; MS UL Or. 298, fol. 135v, 155v, 207v, 221v (however, this manuscript which consists of nine parts, all parts begin with *basmalah*, but the elongation between the $h\bar{a}$ and the $y\bar{a}$ in the word *alrah* occurs only four times); Vel. Ef. 3139, fol. 1v; MS MMMI 44 part 1, fol. 1v; MS MDSK Ar. 2, fol. 2r, 141v; MS Şehid 2552, fol. 3r; MS Fazil 1507, fol. 1v, 144v; MS Fazil 1508, fol. 1v, 172v; MS DK 149 Nahw, fol. 1v; MS MDSK Ar. 30, fol. 3v; MS Lal. 1728, fol. 2v, 99v, 119v, 148v, 180v; MS DK 663 Tafsīr, p. 1; MS Fazil 43, fol. 1v. 305 For the sorts of texts that should begin with the *basmalah*, see Gacek, "Technical Practices," 52-3.

³⁰⁶ Ibn Durustawayh, *al-Kuttāb*, 75.

that the writing of the *basmalah* at the beginning of poetry is disapproved.³⁰⁷ He does not give further opinions on this nor makes any additional comments. Abū Ja'far al-Naḥḥās states that there is a disagreement among the scholars on the issue of writing the *basmalah* before poetry, but he seems to accept the practice of writing the *basmalah* before the poetry. Al-Naḥḥās cites Sa'īd ibn al-Musayyib (13/634-94/713)³⁰⁸ and al-Zuhrī, as disapproving the writing of the *basmalah* before poetry.³⁰⁹ Al-Naḥḥās also refers to three others who adopt the writing of the *basmalah* at the beginning of any text, including poetry. Among them is his master al-Akhfash al-Ṣaghīr (d. 315/927).³¹⁰ He accepts the writing the *basmalah* before poetry since the phrase *qāla fulān* ("so said") is inserted between the *basmalah* and the lines of poetry.³¹¹ Perhaps those who disapproved of writing the *basmalah* before poetry did not like to see any similarity between verses of poetry and verses of Qur'ān in which almost all sūras begin with the *basmalah*. The *basmalah* is considered part of the Qur'ān (it is only counted as a verse in *al-Fātiḥah*), thus al-Akhfash al-Ṣaghīr, according al-Naḥḥās, explains that the phrase *qāla fulān* differentiates poetry as the words of human beings from the words of Allāh.

Al-Khaṭīb al-Baghdādī states that scholarly books (*kutub al-'ilm*) have to be opened with the *basmalah* in its complete form. He elaborates that there are different views on whether books of poetry should begin with the *basmalah*, but he supports the writing of the *basmalah*.³¹² To support his point, al-Khaṭīb transmits through a long *isnād* that Sa'īd ibn Jubayr said:³¹³ "The writing is not sufficient without 'in the name of Allāh, The All-Merciful, The Ever-Merciful' at the beginning, even in the poetry."³¹⁴ Al-Khaṭīb also transmits that the prophet said: "In the name of Allāh, The All-Merciful, The Ever- Merciful' is the opener of every writing."³¹⁵ In the actual manuscripts, I found that the *basmalah* is written at the beginning of various texts, including

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³⁰⁷ Ibn Durustawayh, *al-Kuttāb*, 75.

³⁰⁸ On him, see GAS, 1: 21, 89, 248, 254, 258; Ibn Sa'd, *Tabaqāt*, 2: 325-30, 7: 119-43.

³⁰⁹ Al-Nahhās, *Sinā 'at al-kuttāb*, 64.

³¹⁰ Al-Naḥḥās, *Ṣināʿat al-kuttāb*, 64. On al-A<u>kh</u>fa<u>sh</u> al-Ṣaghīr, see EI², s. v. "al-Akhfash"; HAWT, vol. 1: 113, suppl. vol. 168.

³¹¹ Al-Nahhās, *Sinā 'at al-kuttāb*, 64.

³¹² Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 263-4.

³¹³ On Sa'īd ibn Jubayr, see GAS, 1: 28-9.

³¹⁴ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 264.

³¹⁵ Al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 264.

poetry. This is coherent with al-Naḥḥās and al-Khaṭīb al-Baghdādī. All the manuscripts of poetry I have seen begin with the *basmalah*. 316

Just like the Arabic-Islamic manuscripts that start with the *basmalah*, the three Christian-Arabic manuscripts under examination begin with the Trinitarian formula.³¹⁷ Interestingly, the *basmalah* is also written by non-Muslim copyists in some manuscripts preserved in Maktabat Dayr Sant Katrin, instead of the trinitarian formula.³¹⁸ Similarly, Ibrāhīm ibn Hilāl ibn Hārūn al-Ṣābi' al-Ḥarrānī, who was a Sabian, as his name indicates, also writes the *basmalah* at the beginning of a manuscript he copies.³¹⁹ That shows that some non-Muslim copyists in the Islamic milieu and in particular, Egypt and Iraq, adopted Islamic scribal traditions.

3.2.2. *Isnād*

The *isnād* (chain of transmitters) is a name or a sequence of names through which a particular *matn* ("text") is transmitted. Like the reports of the prophetic traditions, some books are provided with an *isnād* for the whole book.³²⁰ The science of the *isnād* originated in the transmission of prophetic traditions but can even be traced even earlier, to the pre-Islamic period in the transmission of poetry.³²¹ Just like *ḥadāth*, the *isnād* (also called *sanad* or *riwāyah*) of the book is a note that shows that a book is ascribed to a specific author. In most attested manuscripts, the first transmitter is the copyist who transmits on another transmitter's authority, who then transmits from another author directly and so on. Noting the transmission of books is attested in manuscripts as early as the third/ninth century.

In the third/ninth century, copyists, as three manuscripts under examination show, noted the transmitter on the title page. 322 That tradition also extends to the fourth/tenth century, as can

³¹⁶ Ash'ār al-Quṭāmī (MS Petermann II 589, fol. 1v), Marāthī wa-ash'ār fī ghayr dhālika wa-akhbār wa-lughah (MS Reis 904, fol. 1v), Shi'r Ibn al-Mu'tazz (MS Lal. 1728, fol. 2v), Shi'r Abī Ṭālib 'amm al-rasūl (MS Leipzig Vollers 505-01, fol. 2v), Shi'r Suḥaym 'Abd Banī al-Ḥasḥās (MS Leipzig Vollers 505-03, fol. 56v).

³¹⁷ MS MDSK Ar. 72, fol. 3r; MS Vat. Ar. 13, fol. 1r; MS MDSK Ar.116, fol. 2r.

³¹⁸ MS MDSK Ar. 2, fol. 2r, 141v; 'Aṭiyyah, *al-Fahāris al-taḥlīliyyah*, 20-23; MS MDSK Ar. 30, fol. 3v; 'Aṭiyyah, *al-Fahāris al-taḥlīliyyah*, 76-7; MS MDSK Ar.580, fol. 2v.

³¹⁹ MS Fazil 948, fol. 1v, 42v, 45v, 46v.

³²⁰ For studies on the *isnād*, see, p. 24.

³²¹ On the *isnād* in the pre-Islamic period, see al-Asad, *Masādir*, 255-83.

³²² MS UL Or. 298, fol. 1r; MS DK 41 Uṣūl Fiqh, fol. 6r; see section 3.1.11.1, note (2) above; MS MAW 1125, fol. 1r; see section 3.2.2.2, note (1) below.

be observed in one manuscript under examination.³²³ However, none of the normative sources under scrutiny make any remarks on writing the transmitter on the title page.

In the fifth/eleventh century, al-Khaṭīb al-Baghdādī suggests writing the *isnād* in the introductory section of the book after the *basmalah*.³²⁴ According to al-Khaṭīb, the name of the teacher from whom the copyist transmits the text is to be given in the form that contains his name (*ism*), his *kunyah*, the patronymic (*nasab*), and his *nisbah*. The formula suggested by al-Khaṭīb is:

Father of NN, NN, son of NN the so and so told us. 325

The $isn\bar{a}d$ in the actual manuscripts is different from the above suggestion of al-Khaṭīb. ³²⁶ In the third/ninth century, as one manuscript shows, instead of $haddathan\bar{a}$, the expression $akhbaran\bar{a}$ is used. ³²⁷

In the fourth/tenth century, as some specimens show, copyists noted the transmission of the manuscript using the expression $q\bar{a}la$ at the beginning of the book either directly after the basmalah, following the hamdalah and salwalah, or after the heading of the first chapter. An example of the latter is MS DK 6155 Hā' where the copyist notes the transmission of the book after the heading of the first chapter: $q\bar{a}la$ $q\bar{b}la$ $q\bar{b}la$

³²³ MS Reis 904, fol. 1r, see section 3.1.11.5, note (2).

³²⁴ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 268.

³²⁵ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 268.

³²⁶ I analyze all the *isnād*s I found at the end of this section.

MS DK 41 Uṣūl Fiqh, fol.6v; see section 3.2.2.1 below. Al-Khaṭīb al-Baghdādī, in his manual on ḥadīth terminology, explained that akhbaranā indicates a direct connection with the author, see al-Khaṭīb, Uṣūl al-ḥadīth, 160-3.

³²⁸ Later, after the fourth/tenth century, other formulas containing *qāla* came up, for example, *qāla al-muṣannif* (the compiler said) or *qāla al-shaykh* ("the master said"), see al-Ghazzī, *al-Durr*, 427-8; Gacek, "Technical Practices," 53.

³²⁹ After an introductory section (consists of the *basmalah*, the statement: *hādhā Kitāb Iṣlāḥ almanṭiq allafahu Abū Yūsuf Yaʻqūb ibn Iṣḥāq al-Sikkīt*, i.e. that is "The Book of Rectification of Speech" composed by Abū Yūsuf…), and the heading of the first chapter, see MS DK 6155 Hā', fol. 1v.

³³⁰ MS DK 6155 Hā', fol. 1v.

transmits from a copy. In such cases, students were allowed to transmit from a copy given to them as a part of the certificates of the transmission (*ijāzāt*) system.³³¹ Likewise, in MS Lal. 1905, the copyist notes the transmission after the *basmalah*: *Qāla 'Abd Allāh Muslim Ibn Qutaybah*.³³² *Qāla* here obviously indicates the indirect transmission from the author, as the manuscript is copied more than a century after the author's death.³³³ Here, *qāla*, as in *ḥadīth* terminology, is likely to indicate transmission based on a *Vorlage*.³³⁴ In MS MRT 37 Lughah, the copyist states the transmission after the *ḥamdalah* and the *ṣalwalah*: *Qāla Abū Manṣūr Muḥammad ibn 'Alī al-Jabbān* [the author]. He then begins the text of the author.³³⁵ Considering the indication of *qāla* in *ḥadīth* terminology and its usage in the two cases previously discussed, *qāla* here is also likely to indicate transmission based on a *Vorlage*.³³⁶

The transmission for poetry collections is noted both in the introductory section and in the text body. For example, in MS Petermann II 589, which contains the poetry of al-Quṭāmī and a commentary on it, the copyists note the transmission of the manuscript by writing $q\bar{a}la$ followed by the poet's name (includes the *ism* and thirteen patronymics), in the introductory section after the *ḥamdalah* and the *ṣalwalah*.³³⁷ In addition to this, throughout the manuscript, each of the poems of al-Quṭāmī is also preceded by $q\bar{a}la$ (e.g. fol. 11r) or $wa-q\bar{a}la$ $al-Quṭām\bar{\imath}$ (e.g. fol. 17r). This is similar to MS Lal. 1728, which is a volume of poetry attributed to Ibn al-Mu'tazz. Each part of the work contains a different theme. The copyist states the transmission in the beginning after the *basmalah*: $Q\bar{a}la$ $Ab\bar{u}$ al-' $Abb\bar{a}s$... Ibn al-Mu'tazz. He then gives the heading of the first poem in the manuscript.³³⁸ The same occurs at the beginning of each part of the manuscript.³³⁹ On top of this, the expression $wa-q\bar{a}la$ ("and he [Ibn al-Mu'tazz] said") is given before every block of verse throughout the book.

³³¹ On *qāla*, see al-Khaṭīb, *Uṣūl al-ḥadīth*, 162. On the *ijāzāt* system, see Vajda, Les certificats de lecture et de transmission; Pederson, *the Arabic book*, 31-6; al-Mashūkhī, *Anmāt*, 103-134; Witkam, "The Human Element,"123-36; al-Khaṭīb, *Uṣūl al-ḥadīth*, 152-60; Gacek, *Vademecum*, 52-6; Rosemarie Quiring-Zoche, "Der jemenitische Diplomat," 45-85; Said Aljoumani, "Ṣuwar al-ijāzāt al-manqūlah," 100-72; "Qayd tafrīgh al-kutub," 268-245[sic].

332 MS Lal. 1905, fol. 1v.

³³³ See my comment on note (2) under section 3.1.11.6 above.

³³⁴ On *qāla*, see al-Khatīb, *Usūl al-hadīth*, 162.

³³⁵ MS MRT 37 Lughah, fol. 1v.

³³⁶ On *qāla*, see al-Khatīb, *Usūl al-hadīth*, 162.

³³⁷ MS Berlin Petermann II 589, fol. 1v.

³³⁸ MS Lal. 1728, fol. 1v.

³³⁹ MS Lal. 1728, fol. 55v, 99v, 119v, 148v, 180v.

Likewise, we have MS Vollers 505-01, 02, 03, which consists of three poetry collections. The first collection is the poetry of Abū Tālib. 340 The copyist, 'Afīf ibn As'ad, 341 notes the transmission after the basmalah, as being from Abū Hiffān 'Abd Allāh ibn Aḥmad al-Mihzamī (died between 255/869 and 257/871). ³⁴² He uses $q\bar{a}la$ before Abū Hiffān's name. The expression $q\bar{a}la$ before Abū Hiffan's name indicates that the copyist transmits from a Vorlage. In fact, 'Afif ibn As'ad mentions the Vorlage in the colophon of the manuscript.343 Going further, Abū Hiffān, in turn, transmits from Abū Ṭālib. The word $q\bar{a}la$ is also stated before Abū Ṭālib's name. ³⁴⁴ Considering the use of *qāla* in the above-mentioned cases, Abū Hiffān also is likely to have transmitted from a *Vorlage*. Furthermore, qāla is also stated before every block of poetry. Qāla here is used to indicate transmission from Abū Ṭālib through Abū Hiffān, the transmitter of the collection, who is likely to have also transmitted from a Vorlage, as mentioned above. Unfortunately, there are no transmission details at the beginning of the second collection, 345 which contains the poetry of Abū al-Aswad al-Dīlī. 346 However, like the previous poetry collection, the expression gāla Abū al-Aswad is given before every block of verse throughout the book. In the third collection, which contains the poetry of Suhaym, also known as 'Abd Banī al-Hashās (d. 40/660),³⁴⁷ the copyist notes the transmission after the basmalah: Qāla 'Abd Banī al-Ḥasḥās... Like the first collection, *qāla* here also indicates that the copyist transmits from a *Vorlage*. 348

In some cases, there is no *isnād* in the introductory section. In such cases, the thematic discussion or the book's opening begins directly after the *basmalah*.³⁴⁹ Amongst these cases is the third/ninth-century MS MMMI 44 Part 1, part 3.³⁵⁰ No *isnād* is given in its introductory section, probably because the manuscript is provided with a reading statement that fulfills the function of ascribing the book to its author.

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³⁴⁰ On Abū Tālib, see EI², s. v. "Abū Tālib"; GAS, 2: 273-4.

³⁴¹ On him, see section 3.3.5 below.

³⁴² On him, see EI², s. v. "Abū Hiffān"; GAS, 2: 43.

³⁴³ MS Leipzig Vollers 505-1, fol. 32r.

³⁴⁴ MS Leipzig Vollers 505-1, fol. 2v.

³⁴⁵ MS Leipzig Vollers 505-2, fol. 43r.

³⁴⁶ MS Leipzig Vollers 505-2, fol. 55v.

³⁴⁷ On Suḥaym, see EI², s. v. "Suḥaym"; HAWT, vol. 1: 34; GAS, 2: 288-9.

³⁴⁸ MS Leipzig Vollers 505-3, fol. 56v.

³⁴⁹ MS Vel. Ef. 3139, fol. 1v; MS MMMI 44 part 1, fol. 1v, part 3, fol. 1v; MS DK 19598 Bā', fol. 1v: after the *basmalah*, the opening including the *ḥamdalah* and the *ṣalwalah*, and *ammā ba'd*, comes, then the thematic discussion starts; MS Car. Ef. 1508, fol. 1v; MS Fazil 1507, fol. 1v, 144v; MS Fazil 1508, fol. 1v, 172v; Fazil 1541, fol. 1v; MS Fazil 948, fol. 1v, 42v, 45v, 46v; MS Şehid 27, fol. 1v, Şehid 1842, fol. 1v; MS DK 663 Tafsīr, p. 1.

³⁵⁰ MS MMMI 44 Part 1, fol. 1v, Part 3, fol. 1v.

Finally, I present *isnād*s I have found.

3.2.2.1. MS DK 41 Uṣūl Fiqh

In this third/ninth century manuscript, aside from the transmission note on the title page,³⁵¹ the copyist, al-Rabī' ibn Sulaymān, who was a direct student to al-Shāfi'ī, introduces the text by an *isnād*.³⁵² The *isnād* reads: *Akhbaranā* [*Abū* '*Abd Allāh*³⁵³] *Muḥammad ibn Idrīs ibn al-'Abbās ibn* '*Uthmān ibn Shāfi* ' *ibn al-Sā'ib ibn* '*Ubayd ibn* '*Abd Yazīd ibn Hāshim ibn* ['*Abd*³⁵⁴] *Manāf* [*al-Muṭṭalabī ibn* '*amm rasūl Allāh ṣallā Allāh* '*alayh wa-sallama*] (Muḥammad Ibn Idrīs... told us).³⁵⁵ When compared with the author's name on the title page, the author's name in the *isnād* is elongated; it includes the *kunyah*, the *ism*, and the *nasab* (consisting of ten patronymics) (see section 3.1.11.1 above, see illus. 3.29).

3.2.2.2. MS MAW 1125

On the title page, ³⁵⁶ we find this remark:

The transmission of Abū Dāwūd ibn Sulaymān ibn al-Ash'ath al-Sijistānī may Allāh be pleased with him.³⁵⁷

That shows that the manuscript was copied from Abū Dāwūd. However, the question remains as to how it was transmitted. The answer is found in the *isnād* given after the *basmalah* and linked to the first chapter of the manuscript (see illus. 3.31):

³⁵¹ See section 3.1.11.1, note (2) above.

³⁵² On al-Rabī' ibn Sulaymān as a direct student and a transmitter of al-Shāfi'ī, see Shākir, "Muqaddimah," in al-Shāfi'ī, *al-Risālah*, ed. Shākir, 12, 17-23; GAS, 1:488, 494; Lowry, "Introduction," xx.

³⁵³ The *kunyah* seems to have been added later by a user of the manuscript interlines. The script of the addition is different from the main text.

³⁵⁴ This word was written and then crossed out.

³⁵⁵ MS DK 41 Usūl Figh, fol.6v.

³⁵⁶ See illus. 3.30.

³⁵⁷ MS MAW 1125, fol. 1r.

I [the copyist] heard Abū Dāwūd ibn Sulaymān al-Ash'ath al-Sijistānī say: "I said to Abū 'Abd Allāh Aḥmad ibn Ḥanbal: The facing of the qibla when defecating or urinating....³⁵⁸

By using the expression *sami'tu*, the copyist illustrates that he heard from Abū Dāwūd ibn al-Ash'ath al-Sijistānī (d. 316/929).³⁵⁹ Thus, he seems to have written the book by dictation from Abū Dāwūd, who, in turn, heard it from Aḥmad ibn Ḥanbal (164-241/780-855). The copyist, however, is anonymous. He does not record his name in the colophon nor in the book's audition certificate.³⁶⁰ The certificate only indicates that the copyist, among others, heard the transmission of the book. We know there were others because of the phrase, *wa-sami'nā* ("we heard"). The certificate also gives the date for the end of the audition, which is 266/900. Considering this, the anonymous copyist is likely to have been a student of Abū Dāwūd al-Sijistānī.

3.2.2.3. MS DK 149 Nahw

Here, the *isnād* after the *basmalah* is as follows: *Akhbaranā* [*Abū Ja far Aḥmad ibn*] *Muḥammad ibn Ismā īl al-Naḥḥās... Ibrāhīm al-Sarī al-Zajjāj* ("Muḥammad ibn Ismā'īl al-Naḥḥās told us [transmitting from] Ibrāhīm al-Sarī al-Zajjāj"). Not all of the words of the *isnād* are legible because the writing surface is partially damaged (see illus. 3.32).

This book's transmission chain begins with the expression *akhbaranā*; meaning that the copyist who wrote this *isnād*, heard from Muḥammad ibn Ismā'īl al-Naḥḥās (d. 338/950) or attended a session in which the book was read. Al-Naḥḥās was an Egyptian grammarian who travelled to Baghdad and heard the work from al-Zajjāj (d. 311/923) himself.³⁶¹ In other words, the copyist of the manuscript transmits this text from al-Naḥḥās, who is a direct student to the author of the book, al-Zajjāj.³⁶² The copyist is likely to be Aḥmad ibn 'Abd al-Raḥmān ibn Marwān ibn Ḥammād, the writer of the reading certificate given at the end of the manuscript:

³⁵⁸ MS MAW 1125, fol. 1v.

³⁵⁹ On Abū Dāwūd, see EI², s. v. "al-Si<u>di</u>istānī"; GAS, 1: 174-5.

³⁶⁰ MS MAW 1125, fol. 86r.

³⁶¹ On al-Naḥḥās, see EI², s. v. "Ibn al-Naḥḥās"; HAWT, vol. 1: 120-1; Sup. 1: 198; GAS, 9: 207-9; al-Dhahabī, *Siyar*, 15: 401.

³⁶² On al-Zajjāj, see GAS, 9: 81-2.

قرأهٔ عليّ أبو جعفر أحمد بن محمد بن مسمار في صفر من سنة إحدى وخمسين وثلثمائة من أوّله إلى آخره وحضر محمَّد بن أبي القاسم ذلك وكتب أحمد بن عبدالرحمن بن مروان بن حمَّاد بيده.

Abū Ja'far Aḥmad ibn Muḥammad ibn Mismār read it to me in Ṣafar in the year 351 [March-April 962] from its beginning to its end. Muḥammad ibn Abī al-Qāsim attended. And Aḥmad ibn 'Abd al-Raḥmān ibn Marwān ibn Ḥammād wrote with his hand.³⁶³

The certificate of reading underscores that the text was read out, it indicates the reader, the date of the reading's completion, which part was read, (in this case the whole book), and the participant who attended the reading.

The certificate contains the name of the writer of the certificate, who is likely to have been the teacher and the copyist, because of the expression *bi-yadih* (with his hand). The hand of the book and explicit are similar to the hand of the reading certificate. Thus, they were written simultaneously and by the same person, Aḥmad ibn 'Abd al-Raḥmān ibn Marwān ibn Ḥammād. We can extract from the certificate that Aḥmad ibn 'Abd al-Raḥmān ibn Marwān ibn Ḥammād was the teacher since it states at the beginning of the certificate: "He read it to me."³⁶⁴

³⁶³ MS DK 149 Nahw, fol. 99r; see illus. 3.63.

³⁶⁴ MS DK 149 Nahw, fol. 99r; see illus. 3.63.

3.2.3. The preface

A classical Arabic book usually contains a preface in the beginning. The preface traditionally starts with an opening that includes Islamic formulas, such as the *ḥamdalah* and the *ṣalwalah*. This is followed by the preface proper, which informs the reader about the topic of the book. The purpose of the book and its methodology are sometimes also mentioned here. The preface proper is sometimes preceded by the expression *ammā ba'd* ("to proceed"). This section discusses the opening in third/ninth-fourth/tenth-century non-Qur'ānic manuscripts.³⁶⁵

3.2.3.1. The opening of the text

The normative sources do not provide specific information on the opening of the text. However, we can find some general principles. Al-Shaybānī gives this general piece of advice which focuses on correspondence and speeches (*khuṭab*):

وليكن في صدور كتابك دليل واضح على مُرادك، وافتتاح كلامك شاهد على مقصدك حيثا جريت به من فنون العلم، ونزعت نحوه من مذاهب الحُطَبِ والبلاغات، فإن ذلك أجزل لمعناك وأحسن لاتساق كلامك، ولا تُطيلن كلامك إطالة تخرجه من حده ولا تقصر به عن حقّه.

The opening of your writing must include a clear indication of your intention. Furthermore, the beginning of your speech must be a demonstration of your purpose wherever you circulated it [the speech] in whatsoever domain of knowledge and went towards it [the knowledge] through the methods of speeches and communications. Thus, this is more eloquent for your meaning and better for the consistency of your speech. Furthermore, do not elongate your speech in a way that takes it out of its limit or shortens it too much.³⁶⁶

³⁶⁶ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 48; Pseudo-Ibn al-Mudabbir, *al-Risālah al-'Adhrā'*, ed. Mubārak, 22. This is also mentioned in quite different words in: Ibn 'Abd Rabbih, *al-'Iqd*, 4: 174.

³⁶⁵ On the introductory section in the manuscripts, see p. 20; On the introductory section in the modern European books, in particular French books, see Genette, *Paratexts*, 161-293.

This piece of advice is found in the context of written texts and speeches (*khuṭab*),³⁶⁷ which shows the similarity between "the oral and the written" in the opening of manuscripts up until the third/ninth century.³⁶⁸

Here al-Shaybānī indicates that the introductory matter is to be indicative of the subject under discussion and be of reasonable length. In the opening of some fourth/tenth-century manuscripts under examination, the *ḥamdalah* alludes to the topic of the book.³⁶⁹ This is coherent with al-Shaybānī's advice.

Writing an opening seems does not seem to have been well standardized until the fifth/eleventh century. Before the fifth/tenth century, the normative sources do not instruct copyists on writing the opening, except for the previously cited remark by al-Shaybānī. Some manuscripts do not even include an opening but start immediately with the thematic discussion. However, the copyists who do provide an opening include Islamic formulas, such as the <code>hamdalah</code> and the <code>salwalah</code>.

3.2.3.1.1. The *hamdalah* and the *salwalah* in the opening

These are both doxological formulas used in the composition of the openings of both letters and books. Al-Ṣūlī explains that the openings of letters should include the <code>hamdalah</code> (without the <code>ṣalwalah</code>) in this epistolary form: <code>Fa-innī aḥmadu ilayka Allāh alladhī lā ilāha illā huwa</code> ("I praise you Allāh other than whom there is no God"). This would seem to be the practice until the period of the Abbasid Caliph Hārūn al-Rashīd (r. 150/768-193/809) who ordered the <code>ṣalwalah</code> to be added to it.³⁷² That is likely to have occured in 797/180.³⁷³ We can observe in one of the examined

³⁶⁷ The *khuṭab* can be oral or written, but al-Shaybānī probably means both the oral and the written *khuṭab*, as he directs his advice to the reader at the beginning saying *fī ṣadr kitābik* ("in the opening of your writing"), then he says *wa-iftitāḥ kalāmik* ("the beginning of your speech"), see al-Shaybānī, *al-Risālah al-'Adhrā'*, 48.

³⁶⁸ Schoeler, *The Oral and the Written*; Schoeler, *Genesis*.

³⁶⁹ See section 3.2.3.1.1 below.

³⁷⁰ Later, in the eighth/fourteenth century, Ibn Jamā'ah instructed the copyists to write an opening including the ḥamdalah and the ṣalwalah. According to Ibn Jamā'ah, even if the *Vorlage* does not include an opening, the copyist should write this opening on his own, see Ibn Jamā'ah, *Tadhkirah*, 130.

³⁷¹ MS BNF Arabe 2859, fol. 1v; MS Vel. Ef. 3139, fol. 1v; MS MMMI 44 part 1, fol. 1v; MS Fazil 1507, fol. 1v, 144v; MS Fazil 1508, fol. 1v, 172v; MS DK 149 Naḥw, fol. 1v; MS Fazil 948, fol. 1v; MS Şehid 1842, fol. 1v.

³⁷² Al-Ṣūlī, *Adab al-kuttāb*, 37; Sūrat Ṣād 38/20; for examples of *al-ḥamdalah* and *al-ṣalwalah* in the early epistles, see, Younes, *Joy and* Sorrow, see for example the texts on pages 86-88, 92-3, 104-5. On The addition of the *Ṣalwalah* on the time of Hārūn, see Goldziher, "Über die Eulogien der Muhammedaner," 105.

³⁷³ EI², s. v. "Diplomatic." On *hamdalah*, see EI², s. v. "Hamdala"; Gacek, *Vademecum*, 131, 200, 202, 236, 270. On *ṣalwalah*, see EI², s. v. "Taṣliya"; Goldziher, "Über die Eulogien der Muhammedaner," 97-108; Abbott, *Studies in Arabic Literary Papyri*, 88.

manuscripts that the opening only contains the *ḥamdalah* without the *ṣalwalah*. ³⁷⁴ This may be due to the early practice of only writing the *ḥamdalah* in the opening of letters.

The formulation of the <code>hamdalah</code> is usually straightforward but is sometimes also more sophisticated. For example, the <code>hamdalah</code> is written simply in two manuscripts as, <code>al-hamd li-Allāh rabb al-'ālamīn</code> ("Praise be to Allāh the Lord of the worlds"). The worlds However, two manuscripts, one from the third/ninth century and one from the fourth/tenth century are more sophisticated and elaborate. An example of this is:

Praise be to Allāh, Who ruled for us the proper path and guided us with the light of the Book [i. e. the Qur'ān].³⁷⁷

In this <code>hamdalah</code>, the mention of the Qur'ān's guidance combined with the <code>hamdalah</code> tells the reader that the following text is related to understanding the Qur'ān. This intentional linkage can be considered as a practice of <code>barā'at al-istihlāl</code> (the skillful opening). The <code>hamdalah</code> is formulated to indicate the book's content in four further fourth/tenth century manuscripts. This is coherent with al-Shaybānī's advice mentioned above.

The ṣalwalah, in its simple form is merely, wa-ṣallā Allāh 'alā al-nabīy Muḥammad wa-ālihi ajma'īn ("Allāh bless the prophet Muḥammad and all his family"), as a fourth/tenth-century manuscript shows.³⁸⁰ However, in one third/ninth-century manuscript, two sophisticated forms are used. The first reads:

³⁷⁴ MS Sehid 2552, fol. 2v.

³⁷⁵ MS Berlin Petermann II 589, fol. 1v; MS MRT 37 Lughah, fol. 1v.

³⁷⁶ MS DK 41 Uṣūl Fiqh, fol. 6v; MS Fazil 1541, fol. 1v; MS DK 663 Tafsīr, p. 1; MS Lal. 1905, fol. 1v.

³⁷⁷ MS DK 663 Tafsīr, p. 1; see illus. 3.33.

³⁷⁸ On *barā 'at al-istihlāl*, EI², s.v. "Ibtidā'."

³⁷⁹ MS DK 19598 Bā, fol. 1v; MS Car. Ef. 1508, fol. 1r; MS Qar. 874/62, p. 2; MS DK 663 Tafsīr, p. 1.

³⁸⁰ MS MRT 37 Lughah, fol. 1v.

May Allāh bless our prophet Muḥammad whenever he is mentioned by the devout or forgotten by the heedless. May He bless him more excellently, abundantly, and purely than any other of His creatures among the bygone generations and the generations to come. May He purify us and you by having us invoke blessings for him as excellently as He does for anyone from his community through such blessings. Peace be upon him and Allāh's mercy and blessings. May Allāh reward him on our behalf as excellently as He has done for any prophet whom He sent on behalf of the people to whom he was sent Allāh has rescued us from demise through him and placed us in "the best community brought forth for the people" (Q 3 [Āl 'Imrān]: 110)....³⁸¹

The second reads:

May Allāh bless Muḥammad and the family of Muḥammad like He blessed Ibrāhīm and the family of Ibrāhīm for He is All-praiseworthy All-Glorious.³⁸²

In addition to this, the introductory section of two fourth/tenth-century manuscripts also contain sophisticated *ṣalwalah*s.³⁸³ The *ṣalwalah* in one of those fourth/tenth-century manuscripts reads:

I ask Him to bless Muḥammad, the messenger of His mercy and the warner of His punishment.³⁸⁴

The *şalwalah* in the second manuscript reads:

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³⁸¹ MS DK 41 Uṣūl Fiqh, fol. 7v. The translation is taken from Lowry, *al-Shāfi ʿī. The Epistle*, 9, but I replaced the word God with Allāh.

³⁸² MS DK 41 Uṣūl Fiqh, fol. 7v. On this form of *ṣalwalah*, see Goldziher, "Über die Eulogien der Muhammedaner," 109. On the *tashahhud*, see EI, s. v. "Tashahhud."

³⁸³ MS Fazil 1541, fol. 1v; MS Lal. 1905, fol. 1v.

³⁸⁴ MS Fazil 1541, fol. 1v.

The blessing be upon His chosen messenger, and His approved regent and his family.³⁸⁵

This *şalwalah* occurs after the manuscript is opened with *qāla 'Abd Allāh ibn Muslim ibn Qutaybah*. From this, we would expect the *ṣalwalah* to be part of Ibn Qutaybah's work. However, this *ṣalwalah* subtly indicates the *Shī'ī* background of its writer since it includes a blessing of *al-waṣiyy al-murtaḍā* ("his [i. e. the prophet's] approved regent") which is a conventional appellation used by Shī'ites for 'Alī ibn Abī Ṭālib.³⁸⁷ Thus, it seems unlikely that the *ṣalwalah* in this form was authored by Ibn Qutaybah who was a judge from a *Sunnī* background. The copyist, al-'Abbās ibn Aḥmad ibn Mūsā ibn Abī Mawwās al-Kātib (d. 401/1010-11), al-Wayah who was a judge from a sunnī background; al-Khatīb al-Baghdādī only mentions that he was a *ḥadīth* transmitter. This *ṣalwalah* seems to indicate that he had *Shī'ī* sympathies.

Aside from the *ṣalwalah* and the *ḥamdalah*, a third/ninth-century manuscript and some fourth/tenth-century manuscripts show that the opening can also include other Islamic formulas.³⁹¹ For instance, the *shahādah* is given in the opening of one third/ninth-century manuscript and one fourth/tenth-century manuscript.³⁹² In a particular fourth/tenth century manuscript, the *ḥasbalah* is given in the opening:

Allāh suffices me. He is the best supporter, the best lord, and the best helper. 393

In another manuscript, the *isti'ānah* ("seeking help") is attested:

³⁸⁵ MS Lal. 1905, fol. 1v; see illus. 3.38.

MS Lal. 1905, fol. 1v; see illus. 3.38. On the remarks of transmission, see section 3.2.2 above.

³⁸⁷ Al-Māzindānī, *Manāqib*, 3: 321-323, 326, 330, 331, 333, 334.

³⁸⁸ On him, see footnote 110 above.

³⁸⁹ Al-Khatīb al-Baghdādī, Tārīkh Baghdād, 14: 56-7.

³⁹⁰ On Ibn Abī Mawwās al-Kātib, see al-Khatīb al-Baghdādī, Tārīkh Baghdād, 14: 56-7, see. 3.3.2.

³⁹¹ On the formulas in the opening, see Gacek, *Vademecum*, 270.

³⁹² MS DK 41 Uṣūl Fiqh, fol. 6v; MS DK 19598 Bā, fol. 1v. On the *shahādah*, see EI², "<u>Sh</u>ahāda"; Gacek, *Vademecum*, 200. 202.

³⁹³ MS Şehid 2552, fol. 2v. On the *ḥasbalah*, see Gacek, *vademecum*, 2, 80, 270.

I, a creature without power or strength, save through Him, seek His assistance.³⁹⁴

The *isti 'ānah* is also stated in the opening of another fourth/tenth-century manuscript. ³⁹⁵

Another Islamic formula used in the opening is the $istihd\bar{a}$ ' (seeking guidance). This can be seen in one third/ninth-century manuscript:

I beseech Him for His guidance, through which none on whom He bestows it goes astray.³⁹⁶

The *istihdā*' is also attested in the opening of a fourth/tenth-century manuscript.³⁹⁷

Like Arabic-Islamic manuscripts, some of the Christian-Arabic manuscripts under examination also have openings that consist of doxological formulas. For example, in a fourth/tenth-century bi-lingual (Arabic-Greek) Christian manuscript, after the trinitarian formula, the Arabic text opens with *bi-'awn al-Masīh naktubu bishārat Ḥannā* ("with the help of the Messiah we write the Gospel of John"). In another manuscript, we find the invocation: *nabtadi'u bi-'awn Allāh wa-naktubu awwal sifr min al-Tawrāh* ("we begin with the help of Allāh and write the first book of Mose." In three manuscripts, after the trinitarian formula, the text begins without an invocation, but with expressions such as *hādhā Injīl Mattāwis* ("this is the Gospel of Matthew"). 400

3.2.3.1.2. *Ammā ba 'd*

Like in epistolography,⁴⁰¹ the expression *ammā/wa-ba'd* ("to proceed") is employed in the introductory section of non-Qur'ānic manuscripts.⁴⁰² According to al-Ṣūlī, it originated with the prophet Dāwūd who was the first to use this expression. This expression is supposedly referenced

³⁹⁴ MS DK 41 Uṣūl Fiqh, fol. 6v. The translation is taken from Lowry, *al-Shāfi ʿī. The Epistle*, 3.

³⁹⁵ MS DK 852 Tawhīd, fol. 1v.

³⁹⁶ MS DK 41 Usūl Figh, fol. 6v. The translation is taken from Lowry, *al-Shāfi'ī*. The Epistle, 3.

³⁹⁷ See also MS Şehid 2552, fol. 3r.

³⁹⁸ MS MDSK Ar. 116, fol. 2v.

³⁹⁹ MS MDSK Ar. 2, fol. 2r.

⁴⁰⁰ MS Var. ar. 13, fol. 1r. This is similar to: MS MDSK Ar. 72, fol. 3r, MS MDSK Ar. 30, fol. 3v.

⁴⁰¹ On ammā ba 'd in epistolography, see Diem, Glossar zur arabischen Epistolographie, 47.

⁴⁰² Gacek, *Vademecum*, 200, AMT, 110; for the grammatical aspects of *ammā ba'd*, see Ibn Durustawayh, *al-Kuttāb*, 76-7.

in the Qur'ān as $faṣl\ al-khitāb$ ("the separation of the speech"), given to the prophet Dāwūd (Q 38:20). 403 It is likely to have been termed $faṣl\ al-khitāb$ because it separates (tafṣilu) "the doxological and doctrinal formulas from the preface proper." 404 In connection to this, al-Ṣūlī quotes a comment of al-Sha'bī (d. between 103/721 and 110/728) 405 on the $faṣl\ al-khitāb$:

Faṣl al-khiṭāb, which as given to Dāwūd, peace be upon him, is ammā ba'd. On this ground, the meaning of faṣl al-khiṭāb is that it comes after the "praise to Allāh," after the invocation, or after they are saying "from NN to NN" and therewith separates the previous discourse from the one that comes afterward.⁴⁰⁶

Moreover, al-Ṣūlī suggests that $amm\bar{a}$ ba'd has to be followed by fa:

By all means, the $f\bar{a}$ 'after $amm\bar{a}$ must come because $amm\bar{a}$ has no importance but the requirement and the acquisition of the $f\bar{a}$ '. The $f\bar{a}$ ' connects the parts of the utterance to each other so that it does not contain a separation. While $amm\bar{a}$ produces a separation, you come up with the $f\bar{a}$ ' to relate the utterance to its beginning (to reconnect it).

Therefore, the $amm\bar{a}$ ba'd is a separation tool placed between the front matter and the text body. This separation, caused by $amm\bar{a}$, may not connect well linguistically, thus, the fa- after $amm\bar{a}$ was used to fulfill this function.

⁴⁰⁵ On him, see EI², s. v. "al-Sha'bī."

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⁴⁰³ Al-Sūlī, *Adab al-kuttāb*, 37; Gacek, AMT, 110.

⁴⁰⁴ Gacek, AMT, 110.

⁴⁰⁶ Al-Şūlī, *Adab al-kuttāb*, 37.

⁴⁰⁷ Al-Ṣūlī, *Adab al-kuttāb*, 38.

An examination of the manuscripts shows that the $amm\bar{a}$ ba 'd occurs in two different patterns. The first pattern is in the following order: the Islamic formulas, $amm\bar{a}$ ba 'du (vocalized with dammah), and then the preface proper. An example of this is:

Praise be to Allāh... I bear witness that there is no god but Allāh... and bear witness that Muḥammad is His servant and messenger. Allāh bless the prophet Muḥammad and his good and most excellent family. To proceed, it is the best thing one can save in the present world to bear in mind the knowledge by which he can recognize the authentic traditions...."⁴⁰⁸

However, the second pattern is the following order: *ammā ba'da* (vocalized with *fatḥah*), the Islamic formulas, and then preface proper. This pattern occurs as follows:

'Abd Allāh ibn Muslim Ibn Qutaybah said: <u>To proceed</u> after the praise to Allāh with all his commendable acts, and the praise be to Him as he deserves. So I noticed that the people of our time are refraining from the road of the etiquette...⁴⁰⁹

The meaning and the vocalization of *ammā ba 'd* in these two patterns are clarified in the following explanation quoted from Abū Bakr al-Anbārī (d. 328/940):⁴¹⁰

⁴⁰⁸ MS DK 19598 Bā', fol. 1v.

⁴⁰⁹ MS Lal. 1905, fol. 1v.

⁴¹⁰ On him, see EI², s. v. "al-Anbārī, Abū Bakr"; HAWT, vol. 1: 107, suppl. 1: 178-9; GAS, 9: 144-7.

The philologists said: The meaning of *ammā ba'd* is after the previous words. Moreover, "to proceed" after what we have been informed from the given report. They omitted that to which *ba'd* was annexed; therefore, it is vocalized with *dammah*. If what it is annexed to is left, it would have been vocalized with *fatḥah*, not with *dammah*. As they say: "to proceed the praise of Allāh and the blessing upon His prophet, so I say so and so." It is not allowed to vocalize it with *dammah* in this speech, but if it is isolated, it is vocalized with *dammah*.⁴¹¹

The opening are sometimes the words of the copyist; thus, it makes sense for it to be discussed as a part of scribal practice. The preface proper is a part of the author's text and are his words and not the copyist's. ⁴¹² Thus, it is not discussed in this dissertation.

3.3. The colophon

From my observations, Arabic manuscripts from as early as the third/ninth century already contained a colophon, the final scribal touch. Aside from indicating the completion of a manuscript, the colophon also provides bibliographical information. For example, it informs the reader about the copyist, the date, and the place of copying. The colophon can show us who the copy was written for, for a patron or the copyist himself. However, they rarely contain information about the context in which the manuscript was produced, such as the ruler at that time. They are just like books in the printing age, in their final pages we have the printer's colophon, which includes information such as the work's completion, the printer's name, and the completion date. However, not all these bits of information are offered in every colophon. Furthermore, pious formulas such as the hamdalah and the şalwalah are also often written in the colophon.

Despite its early practice, the normative sources do not provide instructions about the colophon as a scribal tradition. However, as I will explain below, the date, which is a part of the colophon, is dealt with on its own in *adab al-kātib* handbooks.

⁴¹¹ Ibn al-Anbārī, *al-Zāhir*, 2: 349.

⁴¹² Gacek, Vademecum, 202-3.

⁴¹³ For studies on the colophon, see p. 25. On the colophon in medieval German manuscripts, see "DFG Project: Kolophone in deutschsprachigen Handschriften des Mittelalters: Inhalte und Beispiele," 2021https://www.germanistik.uni-kiel.de/de/lehrbereiche/aeltere-deutsche-literatur/forschung/dfg-projekt-kolophone/inhalte-und-beispiele, [accessed July 20, 2022]; Dahm, "Auf den Spuren des Schreibers."

⁴¹⁴ For a colophon that contains the name of the ruler, see section 3.3.9.2 below.

⁴¹⁵ Genette, *Paratexts*, 33.

Like early Arabic printed books, the colophon in Arabic manuscripts played, to some extent, the role of the title page, conveying the title and author of a work.⁴¹⁶ This is not dissimilar to early European printed books, where the reader would find out the title and the author in the colophon rather than in the title page.⁴¹⁷

All the ten third/ninth-century manuscripts under examination contain colophons.⁴¹⁸ In five cases, the colophon only indicates the completion of copying.⁴¹⁹

We start with three third/ninth-century specimens with simple colophons that only indicate the completion of the manuscript, followed by a documentary note. The first is a copy of al-Shāfī'ī's al-Risālah, which after its simple colophon that only indicates its completion, is followed by an ijāzat naskh. The second is a third/ninth-century copy of Masā'il al-Imām Aḥmad ibn Ḥanbal, which contains after its colophon, again only indicating its completion, a certificate of audition that contains the date of its audition. The third case is a third/ninth-century manuscript of a ḥadīth collection. As this is divided into parts, we would expect colophons from every single part. Collection after each of them, followed by audition notes. In these cases, the colophon only indicates its completion, and does not include the date and the copyist's name. A reason for this simplicity in the colophon may lie with the fact that the notes after the colophon provide such information. Such simple, non-informative colophons have continued to the fourth/tenth century, but not as copiously. Among the fourth/tenth century manuscripts, I could only find one manuscript that ends in a non-informative colophon. In this example, a reading certificate is written after its colophon.

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⁴¹⁶ On the colophon of Arabic incunabula, see Najlā' Fatḥī 'Uways, "Ḥard al-matn fī awā'il al-maṭbū'āt al-'Arabiyyah."

⁴¹⁷ Genette, *Paratexts*, 64.

⁴¹⁸ MS BNF arabe 2889, fol. 11r, 86v; MS UL Or. 298, fol. 241v; MS MDSK Ar. 151, fol. 186v, 187r. MS DK 41Uṣūl Fiqh, fol. 75r; MS MAW 1125, fol. 86r; MS DK 2123 Ḥadīth, p. 41, p.85; MS Vel. Ef. 3139, fol. 33v; MS MDSK Ar. 72, fol. 118v; MS MMMI 44, part1, fol. 22r. MS Vat. Ar. 13, fol. 102v, 120, 131r, 141r, 145r, 149r, 153r, 155r, 160r, 166r, 167r, 179r.

⁴¹⁹MS DK 41Uṣūl Fiqh, fol. 75r; MS MAW 1125, fol. 86r; MS DK 2123 Ḥadīth, p. 41, p.85 MS MMMI 44, part1, fol. 22r. MS Vat. Ar. 13, fol. 102v, 120, 131r, 141r, 145r, 149r, 153r, 155r, 160r, 166r, 167r, 179r.

⁴²⁰ MS DK 41 Usūl Figh, fol. 75r; see 3.5.9.2 below; see illus. 3.42.

⁴²¹ MS MAW 1125, fol. 86r.

⁴²² MS DK 2123 Ḥadīth. This manuscript is written on papyrus and preserved in Dār al-Kutub al-Miṣriyyah. I tried to get access to its original, but it was not possible as the manuscript is in a bad condition, so it was in restoration. The digital copy I use in my research shows the bad state of the manuscript and, therefore, the difficulty in using it.

423 MS DK 2123 Hadīth, p. 41, p.85.

⁴²⁴ MS DK 149 Naḥw, fol. 99r; see the comments on the colophon and the reading certificate of this manuscript under section 3.5.9.5 below.

Perhaps, the reason for these relatively simple colophons was because these manuscripts were not copied for publication, but as aides-memoir written by a scholar for his personal use.⁴²⁵ Thus, the colophon is only to indicate the end of the text. The important information about the manuscript would be known through the certificates provided after the colophon.

The indication of completion is a part of the colophon, which shows that the copyist has finished copying the book. When the manuscript is an autograph, the author would expresses that he himself has finished its composition. In cataloging, this part is usually termed the "explicit" and distinct from the beginning of the colophon. However, the term "explicit" is also sometimes used to refer to the beginning of the colophon, and "desinit" is used to indicate its completion. In my research, I consider the indication of completion as part of the colophon. For clarity, I use indication of completion, not explicit, in identifying this part of the colophon.

In the following part, I discuss each component of the colophon on its own.

3.3.1. Indication of completion

The normative sources do not provide any instructions on indicating the completion of copying in the earlier period. Later, namely in the eighth/fourteenth century, the copyist is instructed to end the book (or a section) with an expression that indicates its completion. This is with phrases such as *tamma al-kitāb al-fulānī* (the book xy is finished) or for a section, *ākhir al-juz' al-awwal... wa-yatlūhu kadhā wa-kadhā* ("the end of the first part... and it is followed by so and so"). ⁴²⁹ This would ensure that the reader is sure that he or she has a complete text. Moreover, the *ḥamdalah* and *ṣalwalah* are also stated as being required when closing the text. ⁴³⁰ However, an examination of the manuscripts shows that the indication of the completion occurs from as early as the third/ninth century, with its use continuing into the fourth/tenth century.

In a third/ninth-century specimens, we find the indication of completion written simply as $\bar{a}khir\,Kit\bar{a}b\,al$ - $Ris\bar{a}lah\,wa$ -l- $hamdu\,li$ - $All\bar{a}h\,wa$ - $sall\bar{a}\,All\bar{a}h\,'al\bar{a}\,Muhammad\,''$ The end of the Book

⁴²⁵ Schoeler, *The Oral and the Written*; Schoeler, *Genesis*.

⁴²⁶ Like in MS Sehid 2552, fol. 146, see section 3.3.9.2 below.

⁴²⁷ Gacek, Vademecum, 101.

⁴²⁸ Gacek, Vademecum, 101.

⁴²⁹ Gacek, "Technical Practices, "53; Ibn Jamā'ah, *Tadhkirah*, 130.

⁴³⁰ Gacek, "Technical Practices, "53; Ibn Jamā'ah, *Tadhkirah*, 130.

of the Epistle. The praise be to Allāh and may Allāh bless Muhammad" (see illus. 3.42).⁴³¹ In this example, the copyist only indicates the completion, with the *ṣalwalah* and the *ḥamdalah* are also provided. The pattern in this example continued to be used in some fourth/tenth-century specimens.⁴³² The indication of completion also occurs in another two third/ninth-century manuscripts. However, they occur in a shorter form as *ākhir al-kitāb* ("the end of the book")⁴³³ and *tammat al-Masā'il* ("The Questions are completed").⁴³⁴

The practice of indicating the end of a section and defining the following section can be traced to the third/ninth century. An example of this is *yatlūhu ḥadīth al-nabīy annahu nahā 'an ḥaṣād al-layl* ("It will be followed by the tradition of the prophet that he forbade the harvesting at night"). This third-century example only contains an indication of the next part of the text. Another third/ninth-century indication of completion at the end of a chapter is executed in a more detailed formulation:

Here ends the first section of the book of the excellent Galen on the non-natural things known as "The Book of Diseases and Symptoms in Which He Mentioned the Types of the Illnesses." Praise be to Allāh for His help and His beneficence. Translation by Abū Zayd Ḥunayn ibn Isḥāq, the physician. Praise of the grateful be to Allāh. 436

Here the copyist defines which section has ended. He also mentions the title, author's name, and translator's name. Moreover, he writes the *ḥamdalah*, by which he expresses his praise to Allāh. To emphasize his gratitude to Allāh, the copyist repeated the *ḥamdalah*. This kind of indication of

⁴³¹ MS DK 41 Uṣūl Fiqh, fol. 75r.

⁴³² MS DK 149 Naḥw, fol. 99r; MS DK 663 Tafsīr, p. 165, MS DK 139 Naḥw, part 3, fol. 120r; MS DK 19598 Bā', fol. 183v; MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth, p. 289; MS DK 852 Tawhīd, fol. 62r; MS MRT 37 Lughah, fol. 242r; MS Lal. 1905, fol. 314r; MS Fazil 1541, fol, 376r; MS Car. Ef. 1508, fol. 244v; MS Lal. 1728, fol. 202v; MS Reis 904, fol. 96v; Şehid 1842, fol. 105v. (but without ṣalwalah); MS Ch. B. Ar. 3051, fol. 105v; MS DK 4580 Hā', p. 647.

⁴³³ MS Leiden Uni. Or. 298, fol. 241v; see illus. 3.43.

⁴³⁴ MS MAW 1125, fol. 86r; See illus. 3.44.

⁴³⁵ MS Leiden Uni. Or. 298, fol. 20r. Similar indications occurs in this manuscript but including *salwalah* on fol. 107r, 154r, 185r, 203r, 206r, 214r, 220r, 240r.

⁴³⁶ MS BNF arabe 2859, fol. 23r; see illus. 3.45. Such indication of completion also occurs in this manuscript on fol. 33r, fol. 43v, 65v, 71v.

completion also occurs at the end of every other section in this manuscript except for the last section. In the last section the indication to the completion overlaps with the completion of the book: *Tammat al-maqālah al-sādisah... wa-bi-tamāmihā tamma al-kitāb bi-asrih* ("the section six completed, with its completion, the whole book completed").⁴³⁷

This can be contrasted with a third/ninth-century manuscript which also consists of several parts. However, the colophon does not define when a section ends or when one begins:

The book was completed. Allāh is help (sic) for the support and beneficence. There is no god but Allāh, and that Muḥammad is the messenger of Allāh. There is no power and no strength save in Allāh the Great.⁴³⁸

The indication of completion, including defining the end of sections and the beginning of the next, continues to occur in the fourth/tenth century but with additional details. For example:

Part one completed. It will be followed by part two: "The declension of the verbs." Praise be to Allāh the Lord of the worlds. May Allāh bless the prophet Muḥammad our master, and his family and grant them much peace.⁴³⁹

In this example, besides the <code>hamdalah</code> and the <code>ṣalwalah</code>, the indication of completion includes a note of the completed part and the part to follow. In another manuscript from the fourth/tenth century, the same details are given, except for the indication of completing a given part. Similarly, in a multi-volume Maghribī manuscript, the copyist writes: <code>Tamma al-sifr bi-hamd Allāh wa-'awnih yatlūhu tafsīr sūrat Maryam kāf hā yā 'ayn ṣād</code> ("the book is completed with the praise of Allāh and His support. It follows the commentary on the <code>sūrah</code> of Maryam, [the first

⁴³⁸ MS DK 2123 Hadīth, p. 85; see illus. 3.46.

440 MS Şehid 27, fol. 30r, 60r, 90r, 120r, 150v, 180r, 240r, 270r, 300v, 330r, 360r.

⁴³⁷ MS BNF arabe 2859, fol. 86v.

⁴³⁹ MS Fazil 1507, fol. 143v, see illus. 3.47. This pattern occurs in the same manuscript in fol. 311r, and in MS Fazil 1508, fol. 171r; ; Fazil 948, 54v, 58v. (but without *ṣalwalah*); MS Lal. 1728, fol. 54v, 97v, 118r, 14v. (but here the *ṣalwalah* is missing), 179v; MS Qar. 791(Jīm 31), 403, fol. 36r; MS Qar. 912 (Jīm 2), 65, p. 203.

verse] $k\bar{a}f h\bar{a} y\bar{a}$ 'ayn $s\bar{a}d$.")⁴⁴¹ Here the copyist indicates the completion of the volume, referred to with the term sifr.⁴⁴² Moreover, the copyist mentions the next $s\bar{u}rah$ to be commented upon and the first verse of that the $s\bar{u}rah$.

The fourth/tenth century MS Saib 2164 is a collection of *ḥadīth*. The digital copy at my disposal shows that it consists of several parts. The digital copy shows that some parts are missing. A colophon is given at the end of four extant parts, indicating the end of the part and defining the first chapter in the part to follows. For example:

Part two of the Compilation of Ma'mar is completed with the praise of Allāh, who is the supporter. [That was] in Toledo city in Ṣafar in the year 364[/974]. It will be followed by the beginning of the third [part] "the chapter of who killed himself and who killed a human being." (Kuthayr ibn Khālid?) wrote [it]. 443

This colophon consists of an expression of completion, the number of the part (part two), the title of the whole work ("Compilation of Ma'mar"), praising Allāh, an indication of the next section, the city where the copying was finished, the month and year when copying part two was completed, defining the chapter at the beginning of the following part in the book, and the name of the copyist who wrote the part.

MS Fazil 948 should be mentioned here. It contains different sections on different topics. However, the indication of completion is not provided at the end of some sections (see fol. 13v, 17r, 42r, 44r. On fol. 45v). Only after one section (fol. 45v) and at the end of the entire manuscript (fol. 58v), is a colophon (including the indication of completion) provided.

The specimens shows that particular expressions are used to indicate the completion of a manuscript. Two expressions are more widely used than others. The first is *wa-kataba* ("he wrote")

⁴⁴¹ MS Qar. 912 (Jīm 31), 067, 74r. Other occurrences of this pattern in the same manuscript: MS Qar. 912 (Jīm 4), 066, p. 294; MS Qar. 912(Jīm 8), 66, p. 199, MS Qar. 912(Jīm 42), 067, p. 299; MS Qar. 912(Jīm 47), 067, p. 259. ⁴⁴² On the term *sifr*, see AMT, 69.

⁴⁴³ MS Saib 2164, fol. 9r. This pattern continued in this manuscript: 15r, 24, 45r, 56r, 65r; See illus. 3.48.

or *wa-katabahu* ("he wrote it").⁴⁴⁴ We see this in 23 fourth/tenth-century manuscripts under examination. The second is *tamma* ("completed") with the addition of the book's title, such as *tamma al-Kitāb al-Ma'thūr*...⁴⁴⁵ This expression is employed in five third/ninth century manuscripts.⁴⁴⁶ It also occurs in most of the fourth/tenth-century manuscripts under examination.⁴⁴⁷

The expression $\bar{a}khir$ ("the end"), such as in $\bar{a}khir$ $Kit\bar{a}b$ al- $Ris\bar{a}lah$ or $\bar{a}khir$ al- $kit\bar{a}b$, is occasionally used. This expression is attested in two third/ninth-century manuscripts and four fourth/tenth-century manuscripts.

Another expression is *wa-kamala al-kitāb* ("the book is completed"),⁴⁵⁰ or *kamala al-sifr* ("the volume completed").⁴⁵¹ In this expression, the title is indicated by the general word *al-kitāb*, "the book." This expression is used in four fourth/tenth-century manuscripts under examination.⁴⁵²

Other expressions are also occasionally used such as wa-faragha min nuskhatih ("and he finished his copy"), 453 wa-faragha min kitābatihi ("he finished its writing"), 454 and wa-faragha

⁴⁴⁴ MS MDSK Ar. 72, fol. 118v; MS MDSK Ar. 2, fol. 246v; MS Car. Ef. 1508, fol. 244v; Fazil 1507, fol. 143v, 311r; MS Fazil 1508, fol. 171r; MS DK 139 Naḥw, part 3, fol. 120r; MS Fazil 1541, fol, 346r; MS BA 233, fol. 233r; MS Qar. 874/62, p. 247; MS Saib 2164, fol. 9r, 34r, 79r; MS MDSK Ar. 30, fol. 190r; MS Ch. B. Ar. 3051, fol. 106v; MS Fazil 948, fol. 45v, 58v; MS IUL Ar. 1434, fol. 178; MS Lal.1728, fol. 98r, 202v; MS Şehid 27, fol. 30r, 60r, 90r, 120r, 180r, 210r, 240r, 270r, 300r, 330r, 360r; MS Şehid 1842, fol. 96r; MS DK 663 Tafsīr, p. 165; MS Leipzig Vollers 505-01, fol. 32r; MS Leipzig Vollers 505-02, fol. 55v; MS MDSK Ar.116, fol. 205v; MS Qar. 791(Jīm 31), 403, fol. 36r; MS Fazil 43, fol. 355r; MS Lal.1905, fol. 314r.

⁴⁴⁵ MS Vel. Ef. 3139, fol. 33v.

⁴⁴⁶ MS BNF arabe 2859, fol. 11r, 23r, 33r, 43v, 65v, 71v, 86v; MS MAW 1125, fol. 86r; MS DK 2123 Ḥadīth, p. 41; MS Vel. Ef. 3139, fol. 33v; MS Vat. Ar. 13, fol. 102v, 120r, 131r, 141r, 145r, 149r, 153r, 155r, 160r, 163v, 166r, 167r, 179r.

⁴⁴⁷ MS DK 852 Tawhīd, fol. 62r; MS Fazil 1507; fol. 143v, 310v; Fazil 1508, fol. 171r; MS DK 139 Naḥw part 3, fol. 99r; MS Fazil 1541, fol. 346r; MS BA 233, fol. 233r; MS Qar. 874/62, p. 347; MS Saib 2164, fol. 9r, 15r, 24r, 45r, 56r, 65r, 79r; MS Berlin Petermann II 589, fol. 76r; MS MDSK Ar. 30, fol. 190r; MS Ch. B. Ar. 3051, fol. 106v; MS Reis 904, fol. 96v; MS Fazil 948, fol. 54v, 58v; MS DK 4580 Hā', p. 647; MS Lal. 1728, 97v, 117r, 147v, 179v; MS Şehid 1842, fol. 96r; MS DK 663 Tafsīr, p. 165; MS MDSK Ar. 580, fol. 205v; MS Leipzig Vollers 505-02, fol. 55v; MS Qar. 791(Jīm 31), 403, fol. 36r; MS Qar. 912 (Jīm 2), 65, p; MS Qar. 912 (Jīm 4), 066, p. 294; MS Qar. 912 (Jīm 31), 067, p.112; MS Qar. 912(Jīm 8), 66, p. 199; MS Qar. 912(Jīm 36), 067, p. 297; MS Qar. 912 (Jīm 47), 067, p. 259; MS Lal. 1905, 314r; MS MRT 37 Lughah, fol. 242r.

⁴⁴⁸ MS DK 41 Uṣūl Fiqh, fol. 75r; MS Leiden Uni. Or. 298, fol. 141v.

⁴⁴⁹ MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth, p. 289; MS Şehid 2552, fol. 146r; MS DK 149 Naḥw, fol. 99r; MS Fazil 43, fol. 335r.

⁴⁵⁰ MS Car. Ef.1508, fol. 244v; MS IUL A 1434, fol. 178r, see illus. 3.53.

⁴⁵¹ MS MDSK Ar. 2, fol. 81r, 141r, 178v, 216v, 246v; MS Qar. 912(Jīm 42), 067, p. 299.

⁴⁵² MS MDSK Ar. 2, fol. 81r, 141r, 178v, 216v, 246v; MS Car. Ef.1508, fol. 244v; MS Qar. 912(Jīm 42), 067, p. 299.

⁴⁵³ MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth, p. 289.

⁴⁵⁴ MS DK 19598 Bā', fol. 183v.

min katbih. ⁴⁵⁵ We find this in four fourth/tenth-century manuscripts. ⁴⁵⁶ In one case, the expression *faraghtu minhu* ("I finished it") is likely to have been written by the book's author, and not a copyist. ⁴⁵⁷

We also have the rare use of *unjiza* ("was executed"), plus the title. This is attested in one fourth/tenth-century manuscript as *unjiza Kitāb Maʻrifat al-majrūḥīn...*⁴⁵⁸ Here *unjiza* is written in red ink, which also highlights the end of the book (see illus. 3.61). The active form *najaza* is also used in another fourth/tenth-century manuscript as *najaza Shiʻr Abī Ṭālib...*⁴⁵⁹

The expressions mentioned above, such as *kataba*, do not show whether the copyist wrote by dictation or copied the manuscript from an exemplar. There are expressions that are used in three fourth/tenth-century manuscripts that indicate more clearly that the book was copied from an exemplar. These expressions are *nusikha* ("it was copied")⁴⁶⁰ and *nasakhtuhu min*,⁴⁶¹ *naqaltu jamī'uh min aṣl Abī 'Abd Allāh Ibn Muqlah* ("I copied it from the exemplar of Abū 'Abd Allāh..."),⁴⁶² *tamma naskh*,⁴⁶³ and *nasakhtuhu*.⁴⁶⁴

We find *wa-kataba* and the *wa-faragha min nuskhatihi* ("and he finished his copy") used in one manuscript.⁴⁶⁵ *Wa-kataba* is used before the name of the copyist, and *wa-faragha min nuskhatihi* is used before the date of copying:

Abū al-Khaṭṭāb al-Ḥusayn ibn 'Umar al-'Aydī wrote while bearing witness that there is no god but Allāh alone with no associate, and that Muḥammad, may Allāh bless him

⁴⁵⁵ MS MRT 37 Lughah, fol. 242r.

⁴⁵⁶ MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth, p. 289; MS DK 19598 Bā', fol. 183v; MS Şehid 2552, fol. 146v; MS MRT 37 Lughah, fol. 242r.

⁴⁵⁷ MS Şehid 2552, fol. 146v.

⁴⁵⁸ MS DK 19598 Bā', fol. 183v.

⁴⁵⁹ MS Leipzig Vollers 505-01, fol. 32r.

⁴⁶⁰ MS DK 852 Tawḥīd, fol. 62r.

⁴⁶¹ MS Fazil 948, fol. 54v, 58v.

⁴⁶² MS Reis 904, fol. 96v.

⁴⁶³ MS MDSK Ar. 580, fol. 205v.

⁴⁶⁴ MS Fazil 948, fol. 45v.

⁴⁶⁵ MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth, p. 289.

and grant him peace, is his servant and messenger. He [Abū al-Khaṭṭāb] finished his copy in Muḥarram of the year 311/[April-May 923]. 466

The expression *faragha min nuskhatihi* shows that the copyist was copying from an exemplar. That is supported by the following colophon, in which the expression *nasakhtu* was used when the copyist refers to the exemplar, and *kataba* is placed before the copyist's name:

All of that I copied from the exemplar of Abū al-Ḥasan Thābit ibn Qurrah... Ibrāhīm ibn Hilāl ibn Ibrāhīm ibn Hārūn wrote [it]. 467

In the fourth/tenth century, the indication of completion is expressed with methods aside from written expressions. For example, a decoration band in a twisting pattern is provided at the end of a fourth/tenth-century Christian-Arabic manuscript (see illus. 3.58). In another case, a band is interspersed with crosses (see illus. 3.59). The use of a cross is similar to the use of Islamic formulas in the Arabic-Islamic manuscripts, and both are used to express the religious background of the copyist. Similar to the praise of Allāh in the explicit of the Arabic-Islamic manuscripts, one manuscript preserved in Maktabat Dayr Sant Katrin ends with the explicit:

The pure Psalms and the holy odes were completed. Praise be always to our Lord and God.⁴⁷⁰

What is more, interestingly, the completion indication in a Christian manuscript is combined with a formulation of the *ḥamdalah* which is usually used in the Arabic-Islamic manuscripts: *Wa-l-hamd li-Allāh kathīran kamā huwa ahluh wa-mustahaqquh* ("the praise be to Allāh as he the right

⁴⁶⁶ MS AZ, 'Āmm 9028, Khass 926 Hadīth, p. 289; see illus. 3.62.

⁴⁶⁷ MS Fazil 948, fol. 45v. The expressions *kataba* and *nasakhtuh* are also used in another colophon in this manuscript: fol. 58v.

⁴⁶⁸ MS MDSK Ar. 72, fol. 118v.

⁴⁶⁹ MS MDSK Ar.116, fol. 205v.

⁴⁷⁰ MS MDSK Ar. 30, fol. 190r; see illus. 3.60.

and worthy of it").⁴⁷¹ Perhaps this shows the influence of the Islamic scribal traditions on Christian copyists at that time.

3.3.2. The copyist⁴⁷²

In epistolography, according to al- $\S\bar{u}l\bar{\imath}$, the forbearers (*al-mutaqaddimūn*) followed the tradition that goes back to the time of the prophet Muḥammad, in which the *kātib* (the scribe of the letters) gives his name at the end of the writing.⁴⁷³ Similarly, the copyists of books also provided their names in the colophon, as explained below.

From the specimens, it is evident that the names are given in various ways. A good example is the fourth/tenth-century MS Saib 2164. As its digital copy shows, it consists of several parts, in which the copyist wrote a colophon at the end of each of its extant parts. In one of the colophons, the copyist's name was given in the form of the *ism* and a patronymic: Kuthayr ibn Khālid.⁴⁷⁴ However, in another colophon, this copyist's name is only stated with the *ism*: Kuthayr.⁴⁷⁵ The name in the form of an *ism* plus a patronymic was already given before, and the reader is supposed to know that Kuthayr is Kuthayr ibn Khālid.

A copyist who copied two different manuscripts is given two different forms of the same name. The first contains the *ism*, three patronymics and the *nisbah*, al-'Abbās ibn Aḥmad ibn Mūsā ibn Abī Mawwās al-Kātib⁴⁷⁶ and the second is without the *nisbah* (al-Kātib): Al-'Abbās ibn Aḥmad ibn Mūsā ibn Abī Mawwās.⁴⁷⁷

We do not know much about the copyists whose names are recorded in the colophons. One reason is that the full version of names is not given. For example, Abū al-Jahm, whose name is only stated in the form of his *kunya*.⁴⁷⁸ Furthermore, many names cannot be identified with the help of biographical dictionaries. Perhaps writing about the copyists in the biographical dictionaries was not as attractive, when compared to rulers, judges, and eminent scholars.

⁴⁷¹ MS MDSK Ar. 580, fol. 205v. This *ḥamdalah* occurred in an Arabic-Islamic fourth/tenth century manuscript: MS Lal. 1905, fol. 314r. and in MS Berlin Petermann II 589, fol. 76r.

⁴⁷² On the copyists, see Adam Gacek, "Scribes, Copyists"; François Déroche, "The Copyists Working Pace"; Gacek, *Vademecum*, 235-6; Gruendler, "Aspects of Craft in the Arabic Book revolution"; Gruendler, *The Rise*, 114-139.

⁴⁷³ Al-Sūlī, *Adab al-kuttāb*, 39.

⁴⁷⁴ MS Saib 2164, fol. 9r, 79r.

⁴⁷⁵ MS Saib 2164, fol. 34r.

⁴⁷⁶ MS Sehid 27, fol. 30r, 60r, 90r, 120r, 180r, 210r, 240r, 270r, 300r, 330r, 360r.

⁴⁷⁷ MS Lal. 1905, 314r.

⁴⁷⁸ MS Vel. Ef. 3139, fol. 33v.

Furthermore, it is difficult to associate scribes to names mentioned in dictionaries. For instance, when we consult biographical dictionaries to find the copyist Muḥammad ibn Aḥmad ibn Yaḥyā, 479 we find multiple people with this name.

The copyist of MS DK 139 Naḥw, part 3, Ismā'īl ibn Aḥmad ibn Khalaf al-Qaṣṣār, ⁴⁸⁰ should be mentioned here. His family name indicates an occupation: al-Qaṣṣār, "the bleacher," but this unlikely to have been his occupation, but rather an inherited family name, as he seems to have been a scholar. Al-Qaṣṣār elaborates that he wrote this copy for his personal use (*li-nafsihi*). Mentioning that the manuscript is by his hand (*bi-khaṭṭih*) stresses that he wrote the text himself and did not hire someone else. ⁴⁸¹ Isma'īl al-Qaṣṣār ⁴⁸² is likely to have been a scholar since he copied *Kitāb Sībawayh* for himself, which one of the most important treatises on Arabic grammar. ⁴⁸³ An individual who has a copy of *Kitāb Sībawayh*, even in contemporary times, is likely to be a scholar of Arabic. This is compounded when we consider someone who puts time and effort into producing a copy of this important treatise.

A few copyists of the specimens under examination are identifiable. A copyist of two of the specimens under examination, al-'Abbās ibn Aḥmad ibn Mūsā ibn Abī Mawwās al-Kātib, 484 was a ḥadīth transmitter and died in 401/1010-11.485 Abū Mawwās al-Kātib copied one of the specimens under examination in 374/[984-5]486 and another in 396/[1005-6].487 As his nisbah (al-kātib) in the colophon of MS Şehid 27 states, 488 he was a professional scribe (kātib), probably working as a secretary.489 His copying of two books shows that he was also a copyist. Therefore, besides being a ḥadīth scholar, Abū Mawwās al-Kātib was likely earning his living from working as a state secretary and a copying books.

⁴⁷⁹ MS DK 663 Tafsīr, p. 165.

⁴⁸⁰ MS DK 139 Nahw, part 3, fol. 120r.

⁴⁸¹ MS DK, 139 Nahw, part 3, fol. 120 r.

⁴⁸² I was not able to identify him.

⁴⁸³ For more on *Kitāb Sībawayh*, see Versteegh, Kees, *Landmarks in Linguistic Thought III. The Arabic Linguistic Tradition*, 29-38.

⁴⁸⁴ MS Şehid 27, fol. 30r, 60r, 90r, 120r, 180r, 210r, 240r, 270r, 300r, 330r, 360r; MS Lal. 1905, 314r.

⁴⁸⁵ On him, see al-Khaṭīb al-Baghdādī, *Tārīkh Baghdād*, 14: 56-7. On a shī'ī ṣalwalah proabably was written by Ibn Abī Mawwās see, see also section 3.2.3.1.1.

⁴⁸⁶ MS Şehid 27, fol. 30r, 60r, 90r, 120r, 180r, 210r, 240r, 270r, 300r, 330r, 360r.

⁴⁸⁷ MS Lal. 1905, 314r.

⁴⁸⁸ MS Şehid 27, fol. 30r, 60r, 90r, 120r, 180r, 210r, 240r, 270r, 300r, 330r, 360r.

⁴⁸⁹ Gacek, "Technical Practices," 51; Gacek, "Scribes, Copyists," 704; Gacek, Vademecum, 238.

Muhalhil ibn Aḥmad who is the copyist of MS Fazil 1507 and Fazil 1508, which is a copy of *al-Muqtaḍab* of al-Mubarrad, is also identifiable. According to al-Dhahabī, his full name is Abū al-Ḥusayn Muhalhil ibn Aḥmad al-Warrāq al-Muqri' ghulām Ibn Mujtahid. As we can learn from the *nisbah*s in his name he was a reciter of the Qur'ān, a servant, and a stationer. According to al-Dhahabī, he was also a *ḥadīth* scholar from whom different scholars transmitted *ḥadīth*. Al-Dhahabī also mentions that he copied books according to the 'school of Ibn Muqlah'. This makes the current copy of *al-Muqtaḍab* particularly valuable since it would seem to represent the writing style of the 'school of Ibn Muqlah'. The accuracy of the copied text is supposedly high because a scholar wrote it. This manuscript is also a good source for Arabic palaeography in the fourth/tenth century.

The copyist of the multi-text manuscript MS Fazil 948 is Ibrāhīm ibn Hilāl ibn Ibrāhīm ibn Hārūn al-Ṣābi' al-Ḥarrānī (d. 384/994). He was an eminent *kātib* and served in the chancery. He was also a poet and a writer of literary epistles. Some of his epistles and verses are preserved in al-Tha'ālibī's *Yatīmat al-dahr*.⁴⁹²

'Alī ibn Shādhān al-Rāzī who is the copyist of MS Şehid 1842 is identified from al-Khaṭīb al-Baghdādī's work. His full name is 'Alī ibn al-Qāsim ibn al-'Abbās ibn al-Faḍl ibn Shādhān Abū al-Ḥasan al-Qāḍī al-Rāzī (d. 383/993-4). As his name (al-Qāḍī) indicates, he was a judge. He was also a hadīth scholar who traveled to Baghdād where he heard and transmitted hadīth. His execution of MS Şehid 1842 shows that he was also a professional scribe and a skillful calligrapher. It was not uncommon for judges to refuse money from their job of being a judge. It is possible that 'Alī ibn Shādhān al-Rāzī earned his money from copying books, and not from his position as a judge.

The study of the manuscripts under examination reveals that the copyists of the non-Qur'ānic books in the fourth/tenth century were engaged with other activities besides copying books.⁴⁹⁶

⁴⁹⁰ MS Fazil 1507, fol. 143v; MS Fazil 1508, fol. 171r. On Muhalhil, see al-Dhahabī, *Tārīkh*, 8:173.

⁴⁹¹ Al-Dhahabī, *Tārīkh*, 8:173.

⁴⁹² On Ibrāhīm ibn Hārūn, see HAWT, 1: 83-4; EI2, s. v. "Hilāl b. al-Muḥassin b. Ibrāhīm al-Ṣābi'"; al-Tha'ālibī, *Yatīmat al-dahr*, 2: 287-368.

⁴⁹³ MS Şehid 1842, fol. 96r.

⁴⁹⁴ Al-Khatīb al-Baghdādī, *Tārīkh Baghdād*, 13: 514-5.

⁴⁹⁵ For examples of judges who refused to earn money from their job as judges but from copying, see Sayyid, *al-Makhṭūṭ al-'Arabī*, 162; al-Ḥalwajī, *al-Makhṭūṭ al-'Arabī*, 126.

⁴⁹⁶ Gacek, "Technical Practices," 51.

In contrast to third/ninth and fourth/tenth-century Arabic-Islamic manuscripts, the early Christian-Arabic copyists combined their names with expressions of modesty. Thus, we have for example, *al-khāṭi'* al-miskīn al-ḍa'īf al-athīm ("the mistaken, poor, weak, and sinful"), ⁴⁹⁷ al-ḍa'īf, al-khāṭi', al-miskīn ("the weak, mistaken and poor"), ⁴⁹⁸ and al-khāṭi' ("the mistaken"). ⁴⁹⁹ Later on, such expressions of modesty were also used in the Arabic-Islamic manuscripts. ⁵⁰⁰ Perhaps this was a way of making an impression on the reader, or as Genette writes, such expressions of modesty were for "coquetry." ⁵⁰¹

3.3.3. The exemplar

In a few cases the copyist mentions the exemplar he used. This probably occurs in cases where the exemplar is noteworthy, such as a copy of the actual author or a copy of an eminent scholar. For example, the copyist of a fourth/tenth manuscript, which is a collection of the poetry, mentions that he copied the manuscript from "a copy in the hand of al-Shaykh Abū al-Fatḥ 'Uthmān Ibn Jinnī." The exemplar being written by the hand of Ibn Jinnī (d. 392/1002), an eminent philologist, would be important to mention since it makes the copy attractive. ⁵⁰³

Similarly, the copyist of MS Fazil 948 also identifies the exemplar he uses in the colophons of this manuscript. He mentions that he copied some parts of the manuscript from *dustūr jaddinā Abī al-Ḥasan Thābit ibn Qurrah... alladhī bi-khaṭṭih* ("the autograph of our grandfather Abū al-Ḥasan..."),⁵⁰⁴ and copied another part from a copy owned by Thābit ibn Qurrah (d. 288/901) but not in his hand (*min nuskhah li-Abī al-Ḥasan... lam takun bi-khaṭṭih*).⁵⁰⁵ Likewise, the copyist of MS Reis 904 also refers to the exemplar: *Naqaltu jamī'uh min aṣl Abī 'Abd Allāh Ibn Muqlah* ("I copied it from the copy of Abū 'Abd Allāh...").⁵⁰⁶ Ibn Muqlah was an eminent calligrapher and developer of the "proportioned script".⁵⁰⁷ Thus, a copy copied from a *Vorlage* in his hand would

⁴⁹⁷ MS MDSK Ar. 72, fol. 118v.

⁴⁹⁸ MS MDSK Ar. 151, fol. 186v, 187r.

⁴⁹⁹ MS MDSK Ar. 116, fol. 205v.

⁵⁰⁰ Gacek, Vademecum, 239.

⁵⁰¹ Genette, *Paratexts*, 44.

⁵⁰² MS Leipzig Vollers 505-01, fol. 32r, Vollers 505-02, fol. 55v.

⁵⁰³ On Ibn Jinnī, see EI², s. v. "Ibn Djinnī"; HAWT, vol. 1: 114, suppl. vol. 1: 188-90; GAS, 9: 248.

⁵⁰⁴ MS Fazil 948, fol. 45v, 58v. On the *dustūr*, see AMT, 46; Gacek, *Vademecum*, 14-6.

⁵⁰⁵ MS Fazil 948, fol. 54v. On Thābit ibn Qurrah, see EI², s. v. "Thābit b. Ķurra"; HAWT, vol. 1: 210-12, suppl. vol. 1: 389-91; GAS, 3: 260-2, 5: 264-72.

⁵⁰⁶ MS Reis 904, fol. 96v. On the term *aṣl*, see AMT, 7.

⁵⁰⁷ On Ibn Muqlah, see EI², s. v. "Ibn Mukla"; HAWT, suppl. vol. 1: 441-2.

have been particularly noteworthy. As these cases show, copyists would mention the exemplar when written or owned by an eminent scholar, which in turn would make the reproduced copy valuable.

3.3.4. The place of copying

The place of copying is often not given in the colophon. However, a few colophons in the examined manuscripts do so. Only one manuscript (out of ten manuscripts) dated to the third/ninth-century manuscripts, state the place of copying (Damascus).⁵⁰⁸ On the other hand, seven (out of thirty-three manuscripts) dated to the fourth/tenth century mention the place of copying. These colophons that mention the place of copying show us we have extant manuscripts, traced back to the fourth/tenth century, that were copied in these places such as Baghdad,⁵⁰⁹ Toledo (*Tulaytilah*),⁵¹⁰ Tashkent (*al-Shāsh*),⁵¹¹ Damascus, ⁵¹² and the Sinai Peninsula.⁵¹³ Therefore, mentioning the place of copying was more common in the fourth/tenth century than the third/ninth century.

3.3.5. The addressee

Our examination shows that some of the examined specimens were copied for personal use. The copyists indicate this in the colophon with the expression *katabahu li-nafsih* ("he wrote it for himself"). An alternative to *katabahu li-nafsih* is that the copyist writes *li-* and his name. For instance, in MS DK 663 Tafsīr, the copyist writes on the title page *li-Muḥammad ibn Aḥmad ibn Yaḥyā* (Muḥammad ... wrote). 515

When the copyist writes for himself (*li-nafsih*), this may indicate that the manuscript is of inferior quality in terms of the text's correctness and accuracy as a book composed for oneself is no more than personal notes or for studying.⁵¹⁶ However, in the cases under examination, copying for oneself does give the manuscript a superior value with regards to the text's correctness and accuracy. For instance, the copyist of MS DK 663 Tafsīr (who wrote for himself) is likely to have

⁵⁰⁸ MS MDSK Ar. 151, fol. 186v, 187r.

⁵⁰⁹ MS Fazil 1507, fol. 143v, 311r; MS Fazil 1508, fol. 171r.

⁵¹⁰ MS Saib 2164, fol. 9r, 15r, 24, 45r, 56r, 65r, 79r.

⁵¹¹ MS Sehid 2552, fol. 146v.

⁵¹² MS Fazil 43, fol. 335.

⁵¹³ MS MDSK Ar. 116, fol. 205v.

⁵¹⁴ MS DK 663 Tafsīr, title page (without numbering).

⁵¹⁵ MS DK 663 Tafsīr, p. 165.

⁵¹⁶ On "wrote for himself," see Gacek, *Vademecum*, 197; Quiring-Zoche, "The Colophon," 65.

been a scholar of *tafsīr*. In a similar way, the one who copied *Mushkil al-Qur'ān* of Ibn Qutaybah for personal use is likely to be a *tafsīr* scholar. We see this superior quality due to its vocalization and *ihmāl* signs. Likewise, is the case of the MS DK 139 Naḥw, part 3:

Ismā'īl ibn Aḥmad ibn Khalaf al-Qassār wrote [this] in his hand for himself.⁵¹⁷

Al-Qaṣṣār elaborates that he wrote this copy of the manuscript for his personal use (*li-nafsih*). Mentioning that the manuscript is by his hand (*bi-khaṭṭih*) underscores that he wrote the text himself and did not hire someone else to do so for him.⁵¹⁸ As stated previously, the scribe, Isma'īl al-Qaṣṣār,⁵¹⁹ is most likely a scholar of Arabic since only a scholar would need a personal copy of *Kitāb Sībawayh*. This was even more so, if he took the time to copy the work himself.⁵²⁰

In a similar case, 'Afīf ibn As'ad, the copyist of the MS Leipzig Vollers 505-01 and Vollers 505-02 mentions in the colophon that he copied the manuscript for himself from a copy owned by Abū al-Fatḥ 'Uthmān Ibn Jinnī. He mentions that he collated and then read the manuscript to Ibn Jinnī. Therefore, 'Afīf ibn As'ad is likely a direct student of Ibn Jinnī. As a result, this particular copy is particularly valuable.

Mention should be made of the copyist of a Gospels manuscript who mentions that he copied the manuscript for himself and for other users after his death. The colophon states that in exchange for using the manuscript, this copyist asks future users to make intercessory prayer for him.⁵²¹

A fourth/tenth-century colophon indicates that the manuscript was copied for a patron (see section 3.3.9.3 below). As another fourth/tenth-century manuscript shows,⁵²² the indication to the patron can be recorded on the title page.

⁵¹⁷ MS DK 139 Nahw, part 3, fol. 120r. See illus. 3.50.

⁵¹⁸ MS DK, 139 Naḥw, part 3, fol. 120 r.

⁵¹⁹ I was not able to identify him.

⁵²⁰ For more on *Kitāb Sībawayh*, see: Versteegh, Kees, *Landmarks in Linguistic Thought III. The Arabic Linguistic Tradition*, 29-38.

⁵²¹ MS MDSK Ar. 116, fol. 205v. Asking the future users to do intercessory prayer for the copyist is typical in the colophons of pre-modern western Christian manuscripts, see "DFG project: Kolophone in deutschsprachigen Handschriften des Mittelalters: Inhalte und Beispiele." https://www.germanistik.uni-kiel.de/de/lehrbereiche/aelteredeutsche-literatur/forschung/dfg-projekt-kolophone/inhalte-und-beispiele [accessed July, 20, 2021]; Dahm, "Auf den Spuren des Schreibers," 27.

⁵²² MS Fazil 1507, fol. 1r., 144r; Fazil 1508, fol. 1r., 173r; see section 3.1.11.3 above.

3.3.6. Date and dating

Like pre-modern letters, which contain a date at the end,⁵²³ the colophon contains a date in most of the manuscripts under examination. However, in some letters, the date is given at the opening.⁵²⁴ Neither *ḥadīth* terminology nor *adab al-'ālim wa-l-muta'allim* manuals inform us about the dating practices in manuscripts. However, *adab al-kātib* handbooks, and in particular, those of al-Farrā', al-Ṣūlī and Ibn Durustawayh, pay substantial attention to dating and dates.⁵²⁵ The *adab al-kātib* literature on dating will be presented below.⁵²⁶

Adab al-kātib treatises provide much information concerning dates and numbers. This branch of knowledge belongs to various domains, such as grammar, history, morphology, and poetry. However, what concerns us are the constituent elements of the date, mainly the day, month, and year. Moreover, the expressions and terms employed in writing the date is also of interest to our codicological study.

The third/ninth-century scholar al-Shaybānī advises the scribe not to leave out the date and reminds them of its significance. According to al-Shaybānī, "the date indicates the authentication of the accounts" (*yadullu 'alā taḥqīq al-akhbār*) and "[indicates how] near and far it was written to a timeframe" (*qurb 'ahd al-kitāb wa-bu 'duh*). ⁵²⁷ This passage underscores the importance given to dating as early as the third/ninth century.

What is more, *adab al-kātib* sources provide definitions of *al-ta'rīkh/al-tārīkh* in Arabic.⁵²⁸ What is important is that for them the "*tārīkh* of everything is its terminal point and the time it ends at."⁵²⁹ It can be understood therefore that the *tārīkh* of copying a manuscript is the time when its copying ended.

3.3.6.1. Days of the week

⁵²³ Ibn Khalaf al-Kātib, Mawādd al-bayān, 339.

⁵²⁴ Ibn Khalaf al-Kātib, *Mawādd al-bayān*, 339.

⁵²⁵ For the dating in the normative sources, see also Gacek, "Technical Practices," 53-54; Gacek, *Vademecum*, 82-9. ⁵²⁶ On date and dating in the Arabic manuscripts, see Grohmann, "Arabische Chronologie," 1-48.

⁵²⁷ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 53-4. This advice and the importance of dation was given also later in: Ibn 'Abd Rabbih, *al-'Iqd*, 4: 159, Ibn Khalaf al-Kātib, *Mawādd al-bayān*, 337.

⁵²⁸ 'Abd Allāh Ibn 'Abd al-'Azīz al-Baghdādī, "al-Kuttāb, "55-6; Pseudo-Ibn Qutaybah, "Risālat al-Khaṭṭ wa-l-qalam," 31; al-Ṣūlī, *Adab al-kuttāb*, 178-86, Ibn Durustawayh, *al-Kuttāb*, 78; 'Alī ibn Khalaf al-Kātib, *Mawādd al-bayān*, 337.

⁵²⁹ Al-Ṣūlī, *Adab al-kuttāb*, 178; a similar definition in 'Alī ibn Khalaf al-Kātib, *Mawādd al-bayān*, 337.

Our examination shows that copyists did not often mention the day as a part of the date of copying. None of the third/ninth-century manuscripts I have examined has the day of the week as a part of the date and only two fourth/tenth manuscripts mention the day of the week.⁵³⁰ In a third case, the day of the week and the time of day are defined: *Laylat al-Khamīs* ("the night [before] Thursday").⁵³¹ When days are mentioned in the colophons, they are named as they are now in common usage. Al-Farrā' gave the Arabic appellations of the days of the week as: *al-Aḥad* (Sunday), *al-Ithnayn* (Monday), *al-Thulāthā'* (Tuesday), *al-Arbi'ā'* (Wednesday), *al-Khamīs* (Thursday), *al-Jum'ah/al-Jama'ah* (Friday), *al-Sabt* (Saturday).⁵³² Al-Farrā' also informs us that the days of the week are also given other names: *Awwal* (Sunday), *Ahwan* (Monday), *Jubār* (Tuesday), *Dubār* (Wednesday), *Mu'nis* (Thursday), *al-'Arūbah* (Friday), and *Shiyār* (Saturday).⁵³³ However, none of these names are attested in the manuscripts under examination.

3.3.6.2. Days of the month

Some copyists give the day of the month as a part of the date of copying. Different expressions are used in this regard which I explain below. I then show how the way copyists expressed the days of the month compares with the normative sources.

Most months consist of thirty days. To understand precisely the *yawm* (day) of the month in the date, we need to first understand how the Arabs thought of the *yawm* in pre-modern times. The normative sources up until the fifth/eleventh century are not of much help in this regard. However, al-Qalqashandī's fifth/eleventh century voluminous *Ṣubḥ al-a'shā* does have an extensive section on dating and its issues and offers extensive details on the various elements of the date. ⁵³⁴

In pre-modern Arabic-Islamic culture, the day begins in the evening after sunset.⁵³⁵ Al-Qalqashandī informs us that the Arabs considered the day to consist of first the night (al-layl) and then the light part of the day (al- $nah\bar{a}r$).⁵³⁶ Al-Ṣūlī further informs us that "the Arabs put the night

⁵³⁰ MS Şehid 2552, fol. 146v; MS MDSK Ar. 4, fol. 281r.

⁵³¹ MS Saib 2164, fol. 55v.

⁵³² Al-Farrā', *al-Ayyām*, 33-4.

⁵³³ Al-Farrā', *al-Ayyām*, 37.

⁵³⁴ Al-Qalqashandī, *Subh al-a'shā*, 2: 329-429.

⁵³⁵ Al-Qalqashandī, *Subh al-a 'shā*, 2: 329.

⁵³⁶ Al-Qalqashandī, Şubḥ al-a shā, 2: 329.

above the day while dating" (wa-ghallabat al-'Arab al-layālī 'alā al-ayyām fī al-tārīkh), meaning that the Arabs considered the day to begin with the night.⁵³⁷ Explaining the reasons for this, al-Şūlī elaborates "because the night of the month preceded its day and it [the day] did not bear it [the night], but it [the night] bear it [the day]" (li-anna laylat al-shahr sabaqat yawmah wa-lam validhā wa-waladathu). 538 Al-Ṣūlī reasons "because the crescents are in the nights not in the days and in them [the nights] is the month's beginning" (li-anna al-ahillah li-l-layālī dūna al-ayyām wa-fīhā dukhūl al-shahr). 539 Or in other words, "because the Muslim months are connected to the movement of the moon, and their beginnings are estimated based on the watching of the crescent"540 and the crescent appears on the first night of the month. Hence, this is why the Arabs gave more attention to the nights $(al-lav\bar{a}l\bar{i})$ when providing the day of the month as a part of the date (wa-ghallabat al-'Arab al-layālī 'alā al-ayyām fī al-tārīkh).⁵⁴¹ The manuscripts under examination show that the copyists occasionally followed this rule. In one case, the copyist points to the night as laylat al-Khamīs li-thamānin khalawna min Rabī' al-Awwal ("the night of Thursday after the passing of eight days of Rabī' I"). 542 In this case, considering the expression khalawna, together with the word *laylata*, the copyist is likely to have finished the copying in the first hours of the ninth of the month. These first hours are at night, as explained above. In another case, the author points out that he finished the book on sabīḥat yawm al-Khamīs li-thamānin khalawna min *Dhī al-Hijjah* ("the morning of the Thursday after passing eight days of Dhū al-Hijjah"). 543 Here the scribe finishes on the morning of the ninth of Dhū al-Hijjah. These two cases contrast with a case in which the scribe states that he finished copying on yawm al-Jum'ah li thalāthin khalawna min Rajab ("On Friday after the passing of three days of Rajab"). The word yawm however does not tell whether it was at night or in the morning.⁵⁴⁴

Some of the expressions regarding the day of the month mentioned in the normative sources can be traced to some of the manuscripts under examination. For instance, expressions such as *lilaylatin khalat wa-laylatayn khalatā wa-li-thalāthin khalawna* are suggested when one night or

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⁵³⁷ Al-Ṣūlī, *Adab al-kuttāb*, 180.

⁵³⁸ Al-Sūlī, *Adab al-kuttāb*, 180.

⁵³⁹ Al-Sūlī, *Adab al-kuttāb*, 180.

⁵⁴⁰ Al-Qalqashandī, *Subh al-a 'shā*, 2: 329.

⁵⁴¹ Al-Sūlī, *Adab al-kuttāb*, 180.

⁵⁴² MS Saib 2164, fol. 55v.

⁵⁴³ MS Şehid 2552, fol. 146v.

⁵⁴⁴ MS MDSK Ar. 4, fol. 281r.

more has passed.⁵⁴⁵ Ibn Durustawayh instructs the *kuttāb* to use the expression: *Li-laylatin maḍat min kadhā* ("a night elapsed of so").⁵⁴⁶ According to Ibn Durustawayh, the expression *li-laylatin maḍat* or *li-laylatin khalat* can also indicate that not only has the night passed but also the whole day.⁵⁴⁷ When half of the month has elapsed, it is expressed with *li-l-niṣf min kadhā* (half of so [month]), or *li-khamsa 'ashrata laylatin khalat min kadhā* ("fifteen nights have passed of so").⁵⁴⁸ However, according to Ibn Durustawayh, the expressions: *li-niṣf khalā* (a half passed) and (*li-niṣf baqiya*) are not allowed in this regard.⁵⁴⁹ This kind of expression is attested in two manuscripts under examination: *li-thamāni layālin khalawna min Dhī al-Ḥijjah* ("eight nights passed"), ⁵⁵⁰ and *li-thalāth layālin khalawna* ("after the passing of three days").⁵⁵¹

In expressing the last *yawm* or *laylah* of a month, some expressions are recommended. Ibn Durustawayh mentions these expressions as *salkh/sulūkh/insilākh/munsalakh kadhā* ("[the last day] passing of so and so).⁵⁵² These expressions are based upon a saying of the Arabs: *Salakhnā al-shahr* ("we got out of it").⁵⁵³ These expressions can be traced to three of the fourth/tenth-century manuscripts under examination: *Fī insilākh Ṣafar*,⁵⁵⁴ *fī salkh Jumādā al-Ākhirah*,⁵⁵⁵ *fī insilākh al-Muharram*.⁵⁵⁶

Adab al-kātib sources also inform us of other expressions for the day of the month. However, these expressions do not appear in the manuscripts under examination. For example, according to al-Farrā', in the third/ninth century, the first day of the month can be expressed as al-barā'557. In the fourth/tenth century, according to Ibn Durustawayh, the first night of the month can be expressed as li-mustahalli kadhā or istihlālih, when "the night of the crescent has not elapsed."558 This expression connects the night with the appearance of the crescent. Another expression used for the first night in the fourth/tenth century is ghurrat kadhā wa-li-ghurrat kadhā (gurrah literary)

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⁵⁴⁵ Ibn Durustawayh, *al-Kuttāb*, 79.

⁵⁴⁶ Ibn Durustawayh, *al-Kuttāb*, 79.

⁵⁴⁷ Ibn Durustawayh, *al-Kuttāb*, 79.

⁵⁴⁸ Ibn Durustawayh, *al-Kuttāb*, 80.

⁵⁴⁹ Ibn Durustawayh, *al-Kuttāb*, 80.

⁵⁵⁰ MS Şehid 2552, fol. 146v.

⁵⁵¹ MS MDSK Ar. 4, fol. 281r.

⁵⁵² Ibn Durustawayh, *al-Kuttāb*, 80.

⁵⁵³ Ibn Durustawayh, *al-Kuttāb*, 80.

⁵⁵⁴ MS Saib 2164, fol. 24r.

⁵⁵⁵ MS MDSK Ar. 580, fol. 205v.

⁵⁵⁶ MS Qar. 791(Jīm 31), 403, fol. 36r.

⁵⁵⁷ Al-Farrā', *al-Ayyām*, 54.

⁵⁵⁸ Ibn Durustawayh, *al-Kuttāb*, 78.

means the blaze), and the first three nights of the month are referred to as *ghurar*.⁵⁵⁹ Al-Ṣūlī lays out the expressions *ghurrat* and *mustahall* in this model: *Wa-kataba laylata al-Jumʻah ghurrat kadhā wa-mustahall shahr kadhā wa-muhall shar kadhā* ("he wrote at the night of Friday, the blaze of so, and the appearance of the moon of the month so).⁵⁶⁰

According to Ibn Durustawayh, up until the middle of the month (i.e. the 15th of the month), the day of the month can be expressed by both *yawm* or *laylah*. ⁵⁶¹ It is worth noting that jurists (*alfuqahā'*) used the term *al-yawm* only to indicate *al-nahār* (the daytime), ⁵⁶² which is different from astronomers (*ahl al-hay'ah*) who used the term *al-yawm* for *al-layl wa-l-nahār* (the night and the day). ⁵⁶³ However, Ibn Durustawayh explains that the term *laylah* can express the whole day (from the sunset to the next sunset), when '*iddat al-ayyām wa-l-layāl sawā*' (the length of the days and the nights are the same), but if they have a different length, the scribe has to express each of them separately. For instance, he says: *Li-laylatayn khalatā wa-yawm* ("two nights and one day passed"), or *li-yawmayn wa-thalāth layāl* (two days and three nights passed). ⁵⁶⁴ In this context, the day (*al-yawm*) refers to daylight time as a part of the whole day.

Besides the elapsed days, the day is also expressed by referring to the remaining days ($m\bar{a}$ baqiya minhu). The copyist is free to decide which expression to use. The rule, as al-Shaybānī puts it:

If the elapsed of the month is less than the half, you would say: X nights passed of month y, and if was the remaining [days] of the month less than the half, you would say: X also remains. 566

⁵⁵⁹ Ibn Durustawayh, *al-Kuttāb*, 78.

⁵⁶⁰ Al-Şūlī, *Adab al-kuttāb*, 181.

⁵⁶¹ Ibn Durustawayh, *al-kuttāb*, 79.

⁵⁶² Al-Qalqashandī, Subh al-a'shā, 2: 330.

⁵⁶³ Al-Qalqashandī, *Subh al-a 'shā*, 2: 329.

⁵⁶⁴ Ibn Durustawayh, *al-kuttāb*, 79.

⁵⁶⁵ Al-Shaybānī, al-Risālah al-'Adhrā', 53-4.

⁵⁶⁶ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 54. It was referred to this rule in the next century in: Ibn 'Abd Rabbih, *al-'Iqd*, 4: 159.

However, this rule was not usually followed. According to al-Shaybānī, scribes preferred the expression by elapsed days over the remaining days because one could not be sure whether the month will be 30 days long.⁵⁶⁷

An example for the remaining days of the second half of the month is *li-arba* 'ashrata laylatan baqiyat ("fourteen nights remains"), which is on the sixteenth of a 30-days month. For such case, Ibn Durustawayh puts the rule: Hākadhā tārīkh al-'Arab abadan yadhkurūna al-aqalla fī ziyādat al-shahr wa-nuqṣānuh ("so, in their dating, the Arabs always mention the less when the elapsing of [the days] of the month and approaching its end"). This, according to Ibn Durustawayh, is because the number 'asharah akhaffu min al-akthar ("the [number] ten is easier than the larger [number]'). That means that the number ten and the numbers combined with it, such as fourteen, are easier to be mentioned than the number twenty and the numbers combined with it. Therefore, according to Ibn Durustawayh, the month is presumed to be thirty days when expressing the days after half of it. 571

Ibn Durustawayh instructs the copyist:

Do not write: "for a night remains" when you are [now] in it [this night], nor "for a day remains" when you are [now] in it [this day]. [However,] if you were in the day, not at night, of the last day, you write "for a day remains.⁵⁷²

In expressing the last yawm or laylah of a month, some expressions are recommended. Ibn Durustawayh mentions this expression as $\bar{a}khir\ yawm\ min\ kadh\bar{a}$ ("the last day of so").

An expression is used in one manuscript that is not mentioned in any of the normative sources under scrutiny. In one manuscript, the expression $f\bar{t}$ 'aqib/'aqb Rab \bar{t} ' al-Awwal ("after [the

⁵⁶⁷ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 54; Ibn 'Abd Rabbih, *al-'Iqd*, 4: 159.

⁵⁶⁸ Ibn Durustawayh, *al-Kuttāb*, 80.

⁵⁶⁹ Ibn Durustawayh, *al-Kuttāb*, 80.

⁵⁷⁰ Ibn Durustawayh, *al-Kuttāb*, 80.

⁵⁷¹ Ibn Durustawayh, *al-Kuttāb*, 80.

⁵⁷² Ibn Durustawayh, *al-Kuttāb*, 80.

⁵⁷³ Ibn Durustawayh, *al-Kuttāb*, 80.

month's name, for example,] Rabī' I") is used (see illus. 3.55).⁵⁷⁴ This expression is not discussed in any of the normative sources. In Arabic, the word 'aqib/'aqb, used before a month's name, indicates that some days remain in that month, for example ten days or less.⁵⁷⁵ Perhaps, the copyist here meant the last day of the Rabī' I.

To conclude, the day of the month is rarely stated with the date of copying in the manuscripts under examination. However, some expressions recommended in the fourth/tenth century by Ibn Durustawayh are attested in a few fourth/tenth-century manuscripts. These include the kinds of expressions that indicate the elapsed days of the month, such as *li-thamāni layālin khalawna min Dhī al-Ḥijjah*, which is attested in two manuscripts. In addition, we can trace expressions attested by Ibn Durustawayh that express the last day of the month, such as *fī salkh/insilākh Jumādā al-Ākhirah* in three fourth/tenth-century manuscripts. However, certain practices expressing the day of the month in third/ninth and fourth/tenth-century *adab al-kātib* sources do not appear in any of the manuscripts under examination. Finally, the expression *fī 'aqib/'aqb* preceding the month's name indicating either the elapsing of a month or one day in the last ten days of the month is used in a fourth/tenth-century manuscript but not discussed in any normative source.

3.3.6.3. Months

In our corpus, twenty-three manuscripts contain the month within the date of copying.⁵⁷⁶ In a further nine manuscripts only the year of copying is stated in the dating.⁵⁷⁷

In Christian-Arabic manuscripts reference is made to the month in two ways, from the Islamic calendar and its Syriac equivalent. For example:

⁵⁷⁴ MS Saib 2164, fol. 69r, 79r.

⁵⁷⁵ Ibn Manzūr, *Lisān*, 1: 612.

⁵⁷⁶ MS UL Or. 298, fol. 241v; MS MDSK Ar. 151, fol. 187r; MS Vel. Ef. 3139, fol. 33v; MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth, p. 289; MS DK 19598 Bā', fol. 183v; MS Car. Ef. 1508, fol. 244v; MS Şehid 2552, fol. 146v; MS DK 852 Tawḥīd, fol. 62r; MS DK 139 Naḥw, part 3, fol. 120r; MS MDSK Ar. 4, fol. 281r; MS BA 233, fol. 233r; MS Saib 2164, fol. 9r, 15r, 24r, 45r, 56r, 65r, 79r; MS Ch. B. Ar. 3051, fol. 105v; MS Reis 904, fol. 96v; MS Fazil 948, fol. 45v, 58v; MS IUL Arabic 1434, fol. 178r; MS Lal. 1728, fol. 202v; MS Şehid 27, fol. 30r, 60r, 90r, 120r, 180r, 210r, 240r, 270r, 300r, 330r, 360r; MS DK 663 Tafsīr, p. 165; MS MDSK Ar. 580, fol. 205v; MS Leipzig Vollers 505-01, fol. 32r; MS Leipzig Vollers 505-01, fol. 55v; MS Fazil 43, fol. 335r; MS Lal. 1905, fol. 314r.

⁵⁷⁷ MS BNF arabe 2859, fol. 11r, 86v; MS MDSK Ar. 2, fol. 246v; MS Fazil 1507, fol. 143v, 311r; MS Fazil 1508, fol. 171r; MS Fazil 1541, fol. 346r; MS Berlin Petermann II 589, fol. 76r; MS MDSK Ar. 30, fol. 190r; MS St MDSK Ar.116, fol. 205v; MS MRT 37 Lughah, fol. 242r.

Its copying was completed on Friday after three nights of Rajab had elapsed in the year of 353 [Rajab 4, 353/August 16, 964], and that day was in July, the day of the feast of St Quiriacus.⁵⁷⁸

In this case, the copyist mentions that the end of copying took place on the feast of St Quiriacus. However, the copyist does not elaborate what day the feast falls on since he seems to consider it as common knowledge. In the other case, the copyist gives the month as follows:

The poor [copyist] wrote on the first non-Arabic month March..., in the Arabic calendar, it is Muḥarram....⁵⁷⁹

Al-Farrā' mentions the same contemporary common names for the months of the Islamic calendar, which are the same names used in our manuscript corpus, when the month is given. He also indicates other names for the months as: al-Mu'tamir (al-Muḥarram), Nājir (Ṣafar), Khuwān/Khuwwān (Rabī' al-Awwal), Buṣān/Wabṣān/Bawṣān (Rabī' al-Ākhar), al-Ḥanīn/al-Ḥunayn (Jumādā al-Ūlā), Warnah/Rinah (Jumādā al-Ākhirah), al-Aṣamm (Rajab), Wa'l (Sha'bān), Nātiq (Ramaḍān), 'Adhil (Shawwāl), Huwā' (Dhū al-Qa'dah), Burak (Dhū al-Ḥijjah). However, none of these names are attested in the manuscripts under examination.

3.3.6.4. The year

The normative sources do not provide details on how to express the year of copying. However, an examination of our corpus reveals that the year is consistently written in most of the manuscripts. In one exceptional case, the year is given in Indian numerals.⁵⁸² Cryptographic dating, such as

⁵⁷⁸ MS MDSK Ar. 4, fol. 281r.

⁵⁷⁹ MS MDSK Ar. 72, fol. 118v.

⁵⁸⁰ Al-Farrā', *al-Avvām*, 41-54.

⁵⁸¹ Al-Farrā', *al-Ayyām*, 49-53.

⁵⁸² MS DK 852 Tawhīd, fol. 62r. Gacek calls these numerals the Hindi-Arabic numerals, see Gacek, *Vademecum*, 125.

hisāb al-jummal and dating by fractions, is neither mentioned in the normative sources nor found in the manuscript specimens of the third/ninth and fourth/tenth centuries.⁵⁸³

3.3.7. The pious formulas in the colophon

Besides bibliographical information, copyists often offered pious formulas in the colophons. For Arabic-Islamic manuscripts, our corpus includes one or more of these formulas: the <code>hamdalah</code>, ⁵⁸⁴ the <code>salwalah</code>, ⁵⁸⁵ the <code>shahādah</code>, ⁵⁸⁶ and the <code>hasbalah</code>. ⁵⁸⁷

The <code>hamdalah</code> in the colophon is usually simple, such as <code>al-hamd li-Allāh kamā huwa ahluh</code> ("the praise be to Allāh as is his right"), ⁵⁸⁸ or more simply such as <code>al-hamd li-Allāh, 589 wa-l-hamd li-Allāh kathīran</code> ("much praise be to Allāh"). ⁵⁹⁰ However, it is occasionally more sophisticated such as:

Praise be to Allāh, the possessor of all commendable acts which we know and which we do not, for his graces, which we know and which we do not, granted to all the creatures of Allāh, whom we know and whom we do not.⁵⁹¹

Likewise, the salwalah in the colophon is usually simple, such as $wa-sall\bar{a}$ $All\bar{a}h$ ' $al\bar{a}$ $ras\bar{u}lih$ $wa-\bar{a}lih$ wa-sallama, sallama, or more embellished such as $wa-sall\bar{a}$ $All\bar{a}h$ ' $al\bar{a}$ $al\bar{a}$

⁵⁸³ On *ḥisāb al-jummal*, see EI², s. v. "Ḥisāb al-<u>Dj</u>ummal"; Gacek, *Vademecum*, 58-9. On dating by fractions, see Ritter, "Philologika. XII. Datierung durch Brüche"; Gacek, *Vademecum*, 88-9.

⁵⁸⁴ MS BNF arabe 2859, fol. 11r, 86v; MS DK 663 Tafsīr. p. 165; MS Berlin Petermann II 589, fol. 76r.

⁵⁸⁵ MS Vel. Ef. 3139, fol. 33v; MS BNF arabe 2859, fol. 86v; MS Berlin Petermann II 589, fol. 76r.

⁵⁸⁶ MS Vel. Ef. 3139, fol. 33v.

⁵⁸⁷ MS Berlin Petermann II 589, fol. 76r; MS Lal.1905, fol. 314r; MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth, p. 289; MS DK 852 Tawḥīd, fol. 62r.

⁵⁸⁸ MS Berlin Petermann II 589, fol. 76r. This formula occurs but with the addition of *wa-mustaḥaquh* ("he is the worthy of it") in MS MDSK Ar. 580, fol. 205v; MS Lal. 1905, fol. 314r.

⁵⁸⁹ MS IUL A1434, fol. 178r.

⁵⁹⁰ MS Leipzig Vollers 505-01, fol. 32r.

⁵⁹¹ MS DK 663 Tafsīr, p. 165; See illus. 3.51.

⁵⁹² MS Berlin Petermann II 589, fol. 76r; MS IUL A 1434, fol. 178r, see illus. 3.53.

⁵⁹³ MS Qar. 874/62, p. 347.

In one manuscript, the *ḥamdalah* and the *ṣalwalah* are combined in rhymed prose:

Praise equivalent to His graces be to Allāh. Allāh bless Muḥammad and his family. 594

Furthermore, formulas of invocation are also provided in the colophons. For instance, a formula of *tarḥīm* after a copyist's name is offered.⁵⁹⁵ In this formula, the copyist asks for God's mercy for himself and the Muslim readers, ⁵⁹⁶ Another copyist writes an invocation for mercy and forgiveness for himself and Muḥammad's community.⁵⁹⁷ Other invocations occasionally occur such as:

We ask Allāh for beneficial knowledge, a devout heart, and an honest tongue. 598

Besides invoking Allāh for beneficial knowledge, the copyist invokes Allāh for a devout heart and an honest tongue.⁵⁹⁹ In one multi-text fourth/tenth-century manuscript, the copyist writes an invocation for the person in whose hand the exemplar is written:

He wrote it [the manuscript] from the autograph of the master Abū al-Fatḥ 'Uthmān ibn Jinnī may Allāh make his power permanent.⁶⁰⁰

In another colophon from the same manuscript, instead of using the formula *adāma Allāh 'izzah* ("may Allāh make his power permanent"), the copyist writes the invocation *ayyadahu Allāh* ("may Allāh support him"). ⁶⁰¹ This kind of invocation is also used for the patron of another manuscript under examination. ⁶⁰² Similarly, an invocation is given by a copyist who seems to have been

⁵⁹⁵ MS DK 663 Tafsīr. p. 165; On *tarḥīm*, see Gacek, 116.

⁵⁹⁸ MS DK 139 Naḥw, part 3, fol. 120r. See illus. 3.50; similar invocation occurs in MS DK 663 Tafsīr, p. 165; See illus. 3.51.

⁵⁹⁴ MS Şehid 1842, fol. 96r.

⁵⁹⁶ MS DK 663 Tafsīr. p. 165; On *tarḥīm*, see Gacek, 116.

⁵⁹⁷ MS Fazil 43, 335r.

⁵⁹⁹ MS DK 139 Nahw, part 3, fol. 120r.

⁶⁰⁰ MS Leipzig Vollers 505-01, fol. 32r.

⁶⁰¹ MS Leipzig Vollers 505-02, fol. 55v.

⁶⁰² MS BA 233, fol. 233r; see section 3.3.9.3 below.

working for the Umayyad dynasty in al-Andalus, in particular during the time of al-Ḥakam II (d. 366/976).⁶⁰³ We can extract this from the copyist's name and the invocation to Allāh for the ruler:

Ḥusayn ibn Yūsuf, the slave of al-Imām al-Ḥakam al-Mustanṣir bi-Allāh the commander of the faithful may Allāh elongate his life and make his caliphate permanent, wrote [it]. 604

This copyist, al-Ḥusayn ibn Yūsuf, may have worked with a team of specialists on book production at the palace of al-Ḥakam al-Mustanṣir. We learn from a narration from al-Qāḍī 'Iyāḍ that al-Ḥakam had an establishment specializing in book production next to his palace, a unit of which was called *Bayt al-Muqābalah wa-l-Naskh* ("the House of Collation and Copying").

Furthermore, two of the examined colophons include the *istighfār* (the invocation for forgiveness). The copyist of the fourth/tenth-century copy of *al-Muqtaḍab* writes:

Muhalhal ibn Aḥmad wrote... he asks Allāh for forgiveness, well-being, and the excuse for himself and all the faithful in this life and the hereafter. For He is open-handed and generous. 606

The copyist of the poetry of Ibn al-Mu'tazz states the *istighfār* and *tarḥīm* after his name: *Wahuwa yastaghfiru Allāh wa-yas'aluhu al-raḥmah* ("And he asks Allāh's forgiveness and mercy").⁶⁰⁷

Writing the Islamic formulas seems to have been a way of declaring the pious background of the Muslim copyists.

⁶⁰³ On al-Hakam, see EI², s. v. "al-Hakam II".

⁶⁰⁴ MS Qar. 874/62, p. 347.

⁶⁰⁵ Al-Qādī 'Iyād, *al-Ilmā*', 165.

⁶⁰⁶ MS Fazil 1507, fol. 311r; MS Fazil 1508, fol. 171r. The part of text: *fī al-dunyā wa-l-ākhirah innahu jawād karīm* ("in the worldly life and in the hereafter. He is openhanded and generous") is only provided in the second volume. ⁶⁰⁷ MS Lal.1728, fol. 202v.

Similarly, in the Christian manuscripts I have examined, the Christian-Arabic copyists also offer pious formulas in the colophon. One colophon contains the *ḥamdalah* in Christian style:

Praise be to Allāh, is the Father, the Son, and the Holy Spirit, forever and ever amen!⁶⁰⁸ Another example reads as:

Praise be to Allāh, the supporter of the right thing for His servants who are responsive to His requests and His tenets thanks to the power of His omnipotence. He who helped and granted salvation to His insignificant servant Nistās ibn Liyūn ibn Abī al-Walīd.⁶⁰⁹

The Christian copyists also use invocations after their names. One copyist writes an invocation for Allāh and asks Him to grant mercy and forgiveness to the translator, the author, and the book owner. A second one offers a *tarḍiyah* upon the author and *tarḥīm* upon the readership and the people to whom the book will be read:

May Allāh be pleased with him as He is pleased with His faithful and beloved ones. And May He have mercy upon whoever reads, or to whom the [book] is read. And he said Amen Amen!.⁶¹²

Furthermore, another Christian copyist writes an invocation for Allāh and asks Him to grant mercy to whoever reads and whoever wrote (the copyist himself) the manuscript. This copyist also offers an invocation to Allāh to "grant the owner the understanding and the memorization of commandments."

⁶⁰⁸ MS MDSK Ar.151, fol. 186v, 187r.

⁶⁰⁹ MS Vat. Ar. 13, fol. 179r.

⁶¹⁰ MS MDSK Ar. 151, fol. 186v, 187r. On tarhīm, see Gacek, 116.

⁶¹¹ On tardiyah, see Gacek, Vademecum, 314.

⁶¹² MS MDSK Ar. 4, fol. 281r.

⁶¹³ MS MDSK Ar. 72, fol. 118v.

In two of the Christian manuscripts, the copyists express their wish to be remembered, and one asks the reader to remember him:

My brother, if you read, remember me! May Allāh remember you, put you on his right and make you hear the magnificent, soul-stirring, and beloved voice... O brother! Do not forget me! May Allāh not forget you.⁶¹⁴

In the second manuscript, the copyist invokes Allāh to remember him:

O Lord! Remember your mistaken slave Yuḥannas al-Qisīs ibn Baṭqar ... al-Dimyāṭī on Mount Sinai.⁶¹⁵

3.3.8. The shape of the colophon

The colophon is written as a continuous text in all the examined specimens. They differ from the triangle and circle forms that appear later on in the Arabic manuscript age. 616

3.3.9. Examples of colophons

Here I present one third/ninth century and two fourth/tenth-century colophons. These are different from the rest of the corpus in that they are more detailed and informative.

3.3.9.1. MS MDSK Ar. 151

ترجم هذه الرسائل وهي أربع عشرة من السريانية إلى العربية وشرح تفسيرها بما أمكنه من الاجتهاد الضعيف الحاطيء المسكين بسرين السري لأخيه الروحاني سليمان وأكمل ذلك في شهر رمضان من سنة ثلاث وخمسين ومائتين والحمد لله الأب والابن وروح القدس إلى أبد الآبدين آمين رحم الله من دعا للمترجم والمؤلف والمقتني بالرحمة والمغفرة.

615 MS MDSK Ar. 116, fol. 205v.

⁶¹⁴ MS MDSK Ar. 72, fol. 118v.

⁶¹⁶ Gacek, Vademecum, 74; Déroche et al, Islamic Codicology, 180-4.

The insignificant, the sinful, and the poor Bisrīn al-Sirrī translated these epistles, which are fourteen, from Syriac into Arabic and explained the commentary on them as best he could for his spiritual brother Sulaymān.⁶¹⁷ He completed this in the month of Ramaḍān of the year 253 [September/October 867]." Praise be to Allāh the Father, the Son, and the Holy Spirit forever and ever, amen! May Allāh have mercy upon who prays for the translator, the author, and the owner and forgive him.⁶¹⁸

Unlike the third/ninth-century colophons examined so far, this colophon, which was written by the book's translator, is quite detailed. The colophon includes information on the translation, which includes an explanation of the translated text, the number of the translated epistles, the translator's name, for whom the translation was carried out, and the date of writing.

The indication of completion is incorporated in the colophon, like most specimens under examination.

Concerning the formulas and expressions, this colophon includes a Christian *ḥamdalah* and *tarḥīm* upon those who will pray for the translator, the author, and the book owner. Moreover, an expression of modesty is provided before the translator's name.

3.3.9.2. MS Şehid 2552

The colophon of this manuscript reads:

آخر الكتاب والحمد لله رب العالمين وصلى الله على خير الخلق محمد النبي وعلى آله وسلَّم كثيراً ولا حول ولا قوة إلّا بالله العظيم. اللهم اغفر لمؤلِّفِه أبي القاسم بن محمد بن سعيد المؤدِّب مغفرة لا تُغادر بعدها إثما ولا خطيئة. فرغت منه صبيحة يوم الخيس لثمان ليالٍ خلون من ذي الحجة في ولاية الأمير أبي محمَّد نوح بن نصر مولى أمير المؤمنين سنة ثمان وثلاتين وثلاثمائة وكان الوالي بالشاش أبو العبَّاس بن أبي بكر بن محتاج مولى أمير المؤمنين.

The end of the book. Praise be to Allāh the Lord of the worlds. May Allāh bless the best of the creatures, Muḥammad, the prophet, and his family and grant them much peace. There is no power and no strength save in Allāh the Great. O Allāh, forgive its author Abū al-Qāsim ibn Muhammad ibn Sa'īd al-Mu'addib and grant him the

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⁶¹⁷ Sulaymān, who asked for the translation, perhaps is a "patron."

⁶¹⁸ MS MDSK Ar. 151, fol. 186v, 187r.

forgiveness that leaves no sin nor fault. I finished it in early on Thursday after eight nights of Dhū al-Ḥijjah had passed [Dhū al-Ḥijjah 9/May 29] during the reign of amir Abū Muḥammad Nūḥ ibn Naṣr, the client of the commander of the faithful in the year 338[/950]. The governor of Tashkent [at that time] was Abū al-'Abbās ibn Abī Bakr ibn Muḥtāj, the client of the commander of the faithful.⁶¹⁹

The scribe is likely to be the author of the text. The indication of completion is combined with the <code>hamdalah</code> and <code>salwalah</code>, the <code>hawqalah</code>, and an invocation to Allāh to forgive the author. Writing this invocation, the author perhaps asks forgiveness for the mistakes that might have occurred in the book or in his life. With the <code>hawqalah</code>, he admits his limitations as a human being. Moreover, the book's completion is indicated with <code>faraghtu minhu</code> ("I finished it"), which indicates the end of its writing. This is different from expressions such as <code>nasakhtuh/nasakhahu</code>, or the like, which express the completion of copying (from an exemplar).

Unlike most of the examined colophons, this colophon contains a very detailed date. The date includes the time of day (here: the morning), the day of the week, the month, and the year.⁶²⁰

The scribe provides some historical context of his time. He states that he completed the book during the reign of Abū Muḥammad Nūḥ ibn Naṣr, who was the Sāmānid ruler of Transoxiana and Khurasan (r. 331-43/943-54).⁶²¹ He also mentions that Ibn Muḥtāj was the governor of Tashkent at that time.⁶²² Tashkent was likely where the author finished the book. He informs us that the ruler of Tashkent at that time was Abū al-'Abbās Bakr ibn Muḥtāj.⁶²³ In the absence of other evidence, this colophon is an essential source in informing us who ruled Tashkent during this time.

3.3.9.3. MS BA 233

The manuscript ends with the colophon:

⁶¹⁹ MS Sehid 2552, fol. 146.

⁶²⁰ On writing the date in the colophon, see section 3.3.6 above.

⁶²¹ EI², s. v. "Sāmānids," "Nūḥ"; al-Narshakhī, *Tārīkh Bukhārā*, 137-8.

⁶²² On Shāsh, see EI², s. v. "Tashkent", Yāqūt al-Ḥamawī, *Muʻjam al-buldān*, 3: 308-9; al-Ḥimyarī, *al-Rawd al-miʻṭār*, 335. Neither Yāqūt nor al-Ḥimyarī mention the author of this book among the scholars Tashkent.

The part is completed with the praise of Allāh, his beneficence and his power in the month of Ṣafar in the year 3[5]8/[December 968 or January 969], in the hand of Khalaf ibn Ḥakam, he wrote it for the great and learned shaykh Abū al-Ḥasan Muḥammad ibn Ibrāhīm, may Allāh elongate his life and make his power and his dynasty permanent. Amen. [The part] will follow ...⁶²⁴

The indication of completion is combined with the *ḥamdalah* and mention of the next part of the text. The elements of the colophon are the copyist's name, reference to copyist writing by his hands, the patron, and the date of copying.

The date is given in words, not numbers, according to the Islamic calendar. Like most of the examined colophons, the date only includes the month and the year.

Regarding the Islamic formulas in the colophon, the copyist puts the praise of Allāh at the beginning of the colophon in the form *bi-ḥamd Allāh wa-mannih wa-'awnih wa-quwwatih* ("the praise to Allāh for his beneficence, his help, and his power"). However, no *ṣalwalah* is given. Hence a later manuscript user, and this is clear since the hand is different, writes the *ṣalwalah* under the colophon.

The copyist provides his name preceded by the expression *bi-khaṭṭ*, i.e., by his handwriting. This expression emphasizes that the copyist wrote the manuscript himself. The copyist's name includes his *ism* and *nasab* – Khalaf ibn Ḥakam. 625

The copyist elaborates, explaining that he wrote this copy of the manuscript for al-shaykh Abū al-Ḥasan Muḥammad ibn Ibrāhīm. Copying for a patron is typical for a professional copyist, hence, the copyist of this manuscript, Khalaf ibn Ḥakam is likely to have been a professional copyist. This is further strengthened when we consider the writing itself. The lines of the manuscript are relatively straight, done by hand and without the *misṭarah*. Some effort seems to have been expended to draw the beginning and the ending of lines without a *misṭarah* as well.⁶²⁶

The patron of manuscript is al-shaykh Abū al-Ḥasan Muḥammad ibn Ibrāhīm.⁶²⁷ As the copyist invokes Allāh to make his power and his dynasty permanent, he must have been a figure

⁶²⁴ MS BA 233, fol. 233r; See illus. 3.64.

⁶²⁵ I was not able to identify him.

⁶²⁶ On mistarah, see Déroche et al., Islamic Codicology, 165-6; Gacek, Vademecum, 231-2.

⁶²⁷ I was not able to identify him.

in a position of power or belonged to a ruling dynasty. The name Abū al-Ḥasan Muḥammad ibn Ibrāhīm is not uncommon and given to various figures, therefore, it is difficult to identify him. The same kunya, Abū al-Ḥasan, is also found in different audition certificates $(sam\bar{a}\,'\bar{a}t)$ of this manuscript:

Abū al-Ḥasan ibn Isḥāq al-Dāstūr heard the whole part from its beginning to end.⁶²⁸

The great master, Abū al-Ḥasan, may Allāh grant him power and elongate his life, heard.⁶²⁹

Until here, Abū [al-Ḥasan ibn] Abū Isḥāq al-Dās[tūr] and Abū al-Ḥasan heard....⁶³⁰

Here, we are dealing with at least two, or perhaps three people with this common *kunya*. It is possible that the patron is identical with the teacher who heard the reading, i.e., whether Muḥammad ibn Ibrāhīm was Ibn Abī Isḥāq al-Dāstūr. However, this remains open to question, and I have not been able to identify them and conclusively answer this.⁶³¹

It is worth noting that the last two pages, including the colophon, were written in a different hand from the hand of the central part of the manuscript. That means that the last two pages may have been written later on from the whole manuscript. However, the script is still an old script, i.e., the "New Style."

Even from a digital copy, it becomes clear that two different hands wrote the manuscript.⁶³³ The second hand appears on fol. 178r-fol. 218v. The first hand then re-appears again on fol. 219r-231r. On fol. 231v, the second hand takes over again until the end of the manuscript. This suggests

629 MS BA 233, fol. 35v.

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⁶²⁸ MS BA 233, fol. 2v.

⁶³⁰ MS BA 233, fol. 40r.

⁶³¹I consulted: Ibn al-Nadīm, *al-Fihrist* ed. Tajaddud, ed. Sayyid; Ibn Khallikān, *Wafayāt*; al-Ṣafadī, *al-Wāfī*; Yāqūt, *Mu'jam al-udabā'*.

⁶³² On the "New Style", see Déroche, Abbasid Tradition, 132-83.

⁶³³ See for example: MS BA 233, fol.230v, 231r.

two possibilities. The first is that the manuscript is written by two different scribes, perhaps in different periods. Alternatively, the second hand is of a very late user (or owner) of the manuscript, who found folios missing and replaced them by producing text imitating the manuscript's original script (see illus. 3.65). The two hands seem to have been from the same period, as they are both written in the "New Style" ⁶³⁴ and are more or less contemporary. The first possibility is perhaps more likely, namely that two copyists shared in this task of copying the manuscript. This can happen when an extensive work such as a Qur'ānic commentary (which is the content of this manuscript) is carried out.

4. The elements of clarity and correctness

In this chapter, I focus on the elements that help establish a clear and correct text. These elements aim at preventing confusion ($m\bar{a}$ yamna'u al-ilbās/al-iltibās, "which prevents the confusion"). These include keeping the words of particular constructions in a single line, the collation including providing the collation remarks and symbols, providing diacritical points, distinguishing the unpointed letters, vocalization, the cancellation of dittographies, the insertion of omitted elements, and the measures conducted in preventing and correcting the erroneous parts of the text.

4.1. Hyphenation²

The normative sources suggest keeping connected constructions together on the same line. One of these constructions are those that include the name of Allāh.³ Keeping such constructions together was considered exercising *ḥusn taqdīr al-kitāb* ("the beauty measuring in writing"), which supports the aesthetic aspects of writing.⁴ Al-Khaṭīb transmits from 'Ubayd Allāh Ibn Baṭṭah (d. 387/997) that he said:

⁶³⁴ On the "New Style", see Déroche, Abbasid tradition, 132-83.

¹ The function of "preventing confusion" is mentioned explicitly in al-Qāḍī 'Iyāḍ, *al-Ilmā*', 164, Ibn al-Ṣalāḥ. '*Ulūm al-ḥadīth*, 196.

² For studies on this, see p. 26.

³ On such splitting, see al-'Almawī, al-Mu'īd, 134; Rosenthal, The Technique, 14.

⁴ On husn taqdīr al-kitāb, see al-Naḥḥās, Ṣinā at al-kuttāb, 116-7.

Some of the scribes [when] they write "'Abd Allāh," they write "'Abd" at the end of the line and "Allāh, the son of NN" at the beginning of the next line." Or [they] write "'Abd" in a line and in another line, "al-Raḥmān," and after that, they write "son." This all is an awful wrong. The scribe must keep clear of it, think about it, and be cautious of it.⁵

That is an attempt to avoid any possible *iltibās* (confusion), that is, avoiding any improper meaning that might come across the reader's mind if the reader reads the beginning of a line that is split from its connected construction. Perhaps the problem is mainly that reference to Allāh becomes a reference to someone's son if the copyist splits 'Abd and writes 'it at the end of a line and ibn NN at the beginning of the next line.

Ibn Baṭṭah elaborates his disapproval on what is considered an improper splitting and gives the example of $q\bar{a}la\ ras\bar{u}l\ All\bar{a}h\ sall\bar{a}\ All\bar{a}h\ 'alayh\ wa-sallama\ ("the messenger, may Allāh bless him and grant him peace, said") to be written <math>q\bar{a}la\ ras\bar{u}l$ ("the messenger said") at the end of a line and $All\bar{a}h\ sall\bar{a}\ All\bar{a}h\ 'alayh\ wa-sallama\ ("Allāh\ may\ Allāh\ bless\ him and grant him peace") at the beginning of the following line. Perhaps Ibn Baṭṭah does not want the reader to read this phrase at the beginning of a line: <math>All\bar{a}h\ sall\bar{a}\ All\bar{a}h\ 'alayh\ wa-sallama\$, which has a problematic meaning, namely the name of Allāh is in place of the prophet.

Different from Ibn Baṭṭah, some copyists of the third/ninth and fourth/tenth centuries do not seem to have considered splitting constructions that include the name of Allāh (even if the splitting leads to Allāh becoming someone's son or writing the name of Allāh in the place of the prophet) as an improper or "ugly" practice. In the strict sense, splitting does not cause confusion in most cases of our corpus except for four occurrences. In the first case, we find a separation between the two parts of "Abd al-Raḥmān"; "Abd" is written at the end of a line and al-Raḥmān at the beginning of the following line (see case 1 below). The beginning of this next line reads: al-Raḥmān ibn 'Awf (al-Raḥmān, which is a name of Allāh, becomes someone's son). Similarly, in the second case, I found a separation between the two parts of "Abd al-A'lā; "'Abd" is written at

⁵ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 268. On Ibn Baṭṭah, see EI², s. v. "Ibn Baṭṭa 'Ubayd Allāh b. Muḥammad Abū 'Abd Allāh al-'Ukbarī"; HAWT, Vol. 1: 168, suppl. 1: 313-4; GAS, 1: 514-5.

⁶ Al-Khaṭīb al-Baghdādī, *al-Jāmi*', 1: 268. Later, al-Nawawī (d. 676/1277) also stated, as quoted by al-'Almawī, that the Muslim authorities disapproved of the separation of the first part (*ṣallā Allāh*) from the second part (*ʻalayh wasallama*) in the *ṣalwalah*, see al-'Almawī, *al-Mu'īd*, 133; Rosenthal, *The Technique*, 13.

the end of a line and "al-A'lā" at the beginning of the next line (see case 3 below). The beginning of this next line reads: Al-A'lā ibn 'Abd al-A'lā (al-A'lā, which is a name of Allāh, becomes someone's son). In the third case, we read in the beginning of a line the phrase: *Allāh ibn Mas'ūd* (Allāh son of Ibn Mas'ūd) (see case 14 below). These three cases of splitting follow one of the two patterns Ibn Baṭṭah gives examples of. Ibn Baṭṭah's second pattern also occurs in the fourth case in which we read the phrase *Allāh ṣallā Allāh 'alayh wa-sallama* at the beginning of a line (see case 21 below). Here Allāh seems to be asked to bless himself rather than his messenger.

Furthermore, I noticed the separation of a *single* word into two lines, i.e. one part at the end of a line and the other at the beginning of the following line. I saw this in two third/ninth century manuscripts (see cases 2 and 3 below). In addition, some copyists of the fourth/tenth century also split single words. In three cases, the copyist splits the word at the end of the line into two parts and writes the second part at the beginning of the following line (see cases 6, 14, 20 below). It would be assumed that splitting one word into two parts is considered more improper than splitting the construction of two words; however, this was not unanimously considered so, as we see this occurring in the aforementioned manuscript (and some cases in the following). We also see no disapproval of this in the normative sources. However, it is important to bear in mind that this may have to do with the fact that this was apparent and did not need to be elaborated.

Another splitting that might be considered improper is found in a fourth/tenth-century manuscripts but not mentioned in the normative sources. That is splitting names that include ibn or $ab\bar{u}$. So, $ab\bar{u}$ or ibn being written at the end of a line, and the rest of the name is written at the beginning of the following line. We can see this in cases 11, 14, and 22 below.

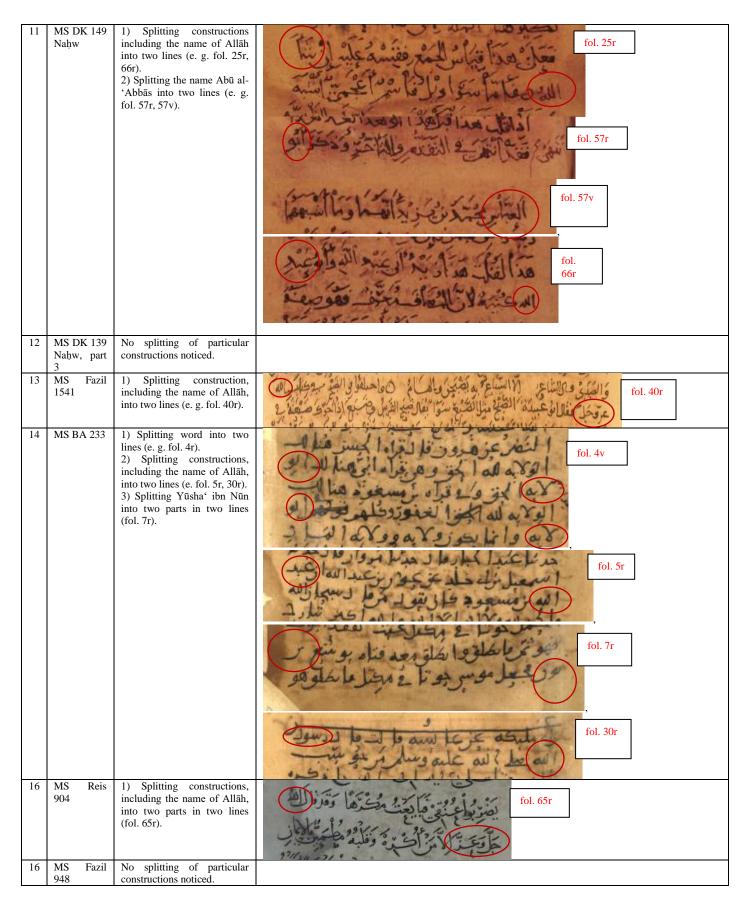
However, some fourth/tenth-century copyists do attempt to avoid improper splitting at the end of lines. In 7 cases, I did not find any improper splitting at the end of the lines (see cases 4, 5, 12, 16, 18, 19, and 23). In 5 of these cases, the copyist stretches some lines in the left margin, sacrificing the lines ending alignment (see cases 8, 17, 19, 22, and 23).

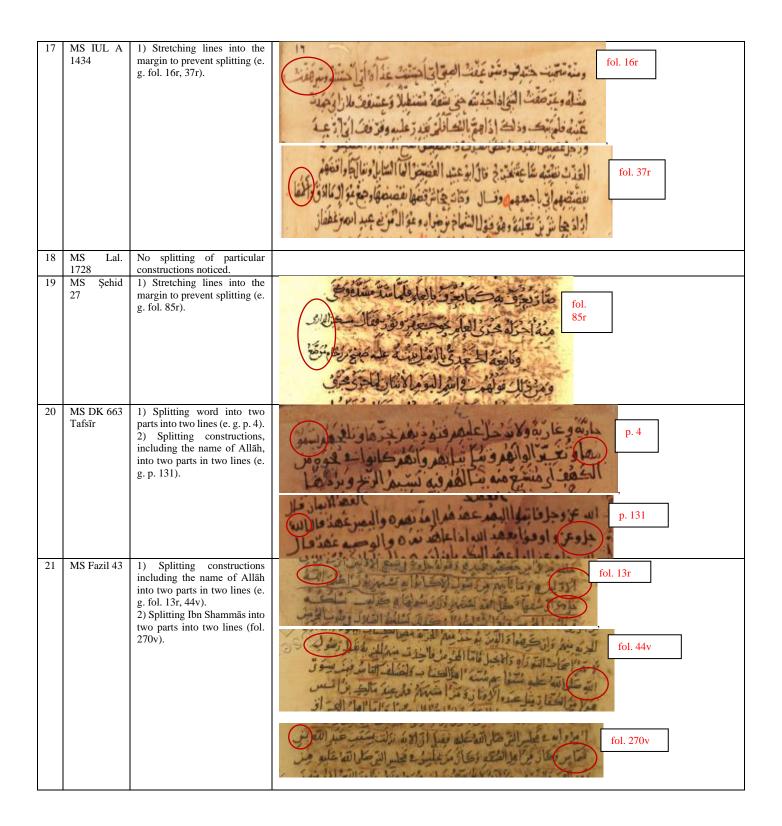
In conclusion, regarding splitting connected constructions, two patterns are disapproved of in the normative sources. However, in practice, they are not actually disapproved, as seen from our manuscripts. This is the case, even when splitting leads to confusion in meaning, such as with the word Allāh in such constructions.

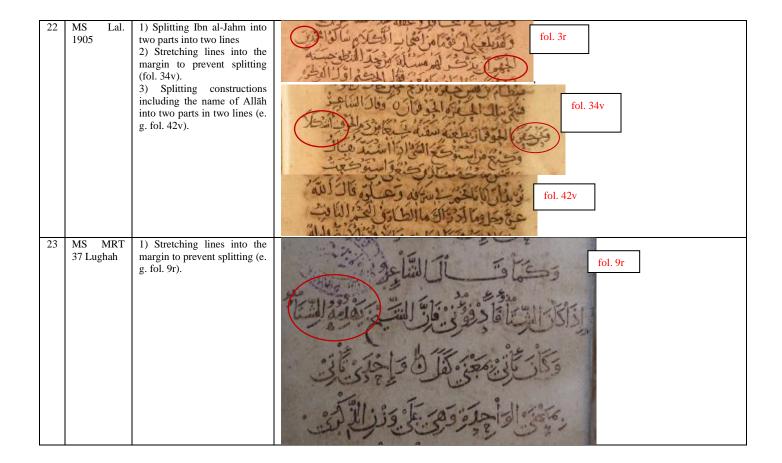
Table 1. Hyphenation

	Manuscripts	Notes	Samples
1	MS DK 41 Uşūl Fiqh	1) Splitting a word into two lines (e. g. fol. 7r). 2) Splitting construction, including the name of Allāh, into two lines (e. 58v).	إلى المارة الما
2	MS Vel. Ef. 3139	No splitting of particular constructions noticed.	
3	MS MMMI 44, part 1, part 3	1) Splitting word into two lines (e. g. part 1, fol. 3v). 2) Splitting construction, including the name of Allāh, into two lines (e. g. part 3, fol. 41v).	part 1, fol. 3v
4	MS AZ, 'Āmm, 9028 Khaṣṣ 926 Ḥadīth	No splitting of particular constructions noticed.	
5	MS DK 19598 Bā'	No splitting of particular constructions noticed.	
6	MS Car. Ef. 1508	1) Splitting word into two lines (e. g. 7v).	اما في وهم الما المحمد و المعلمة الما والمعلمة الما والمعلمة الما والمعلمة الما والمعلمة الما والمعلمة المعلمة والمعلمة والمعلم

7	MS Şehid 2552	1) Splitting construction, including the name of Allāh, into two lines (e. g. 69v, 77r).	fol. 69v
8	MS DK 852 Tawḥīd	1) Stretching lines into the margin to prevent splitting construction, including the name of Allāh (e. g. 4v).	fol. 4v الكان الإلى المال من المال
9	MS Fazil	Splitting constructions, including the name of Allāh, into two lines (e. g. fol. 158r, 161v).	إلى المساول ا
10	MS Fazil	1) Splitting constructions, including the name of Allāh, into two lines (fol. 137v, 186r).	الله الما الما الما الما الما الما الما







4.2. Providing diacritical marks and vowel signs

The *adab al-kātib* treatises suggest using diacritical dots and vowel signs only when confusion may occur while reading a word. As far as I know, the earliest *adab al-kātib* source that suggests this is *al-Risālah al-'Adhrā'* by al-Shaybānī. In this book, providing diacritical points to letters is discussed together with vocalization. This discussion is for writers of letters and documents. Al-Shaybānī does not talk about the *ihmāl*, i.e. to provide particular signs indicating that a given letter is unpointed (*muhmal*), as shown below (see 4.2.1).

Scribes were discouraged from providing dots and vocalization, except for the problematic letters (*al-ḥarf al-mu'ḍal*).⁷ This attitude of disapproval was because the *naqt* and *shakl* is more helpful to non-Arabs and those with a rudimentary knowledge of Arabic. Thus, including them in a text directed to someone with excellent Arabic could have been considered offensive (*sabb*).⁸ This explains why the poet and scribe Sa'īd ibn Ḥumayd al-Kātib (d. after 257/871 or 260/874)

⁷ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 52. This is also mentioned in Ibn 'Abd Rabbih, *al-'Iqd*, 4: 173.

⁸ Al-Shaybānī, al-Risālah al-'Adhrā', 52.

and the Abbasid caliph al-Ma'mūn (r. 198/813-218/833) disapproved of diacritical dots and vowel signs.⁹

Al-Ṣūlī gives more details on the etiquette of providing diacritical dots and vowel signs in writing correspondence. He explains that they are acceptable when reading a word without them would lead to confusion. According to al-Ṣūlī, when a leader (*al-ra'īs*) writes to his subordinate (*tābi'ih*), providing the diacritical dots and vowel signs were allowed and in particular, in the ambiguous places. The *ra'īs* is in the position for "clarification," "expelling doubting," and "having the solid evidence." However, the subordinate is not allowed to provide diacritical dots and vowel signs, even in ambiguous places; he must trust his leader's knowledge to read without the diacritical dots and the vowel signs. However, according to al-Ṣūlī, some caliphs did prefer that their employees did provide diacritical dots and vowel signs when sending important messages. According to al-Ṣūlī, this trend is ascribed to al-Ma'mūn, However, in ordinary cases, he preferred omitting diacritical dots and vowel signs, according to al-Ṣūlī prefers omitting diacritical dots and vowel signs in all texts, except when the leader has reasons, as shown above.

Until now, I have discussed the etiquette of when and when not to provide diacritical dots and vowel signs as discussed in *adab al-kātib* books, which are concerned with writing letters and documents. However, al-Rāmahurmuzī's view is very different since he is mainly concerned with *ḥadīth*. For him, providing diacritical points is obligatory, and in particular, with names, since their correctness is only achieved when written with diacritical dots. For vowel signs, al-Rāmahurmuzī transmitted two rules. The first is *innamā yushkalu mā yushkilu wa-lā ḥājah ilā al-shakl ma'a 'adam al-ishkāl* ("only what confuses is vocalized, but there is no need to vocalize when there is no confusion"). In accordance with this rule, al-Khaṭīb highlights the importance of providing diacritical points and vowel signs for different names that might seem similar in writing, such as

⁹ Al-Shaybānī, al-Risālah al-'Adhrā', 52. This is also mentioned in Ibn 'Abd Rabbih, al-'Iqd, 4: 173. On Sa'īd ibn

Ḥumayd, see EI², s. v. "Sa'īd b. Ḥumayd"; GAS, 2: 583. ¹⁰ Al-Sūlī, *Adab al-kuttāb*, 57.

¹¹ Al-Sūlī, *Adab al-kuttāb*, 57.

¹² Al-Ṣūlī, *Adab al-kuttāb*, 58.

¹³ Al-Shaybānī, *al-Risālah al-'Adhrā'*, 52.

Bishr بشر and Busr بسر. ¹⁴ The second, al-awlā an yuskhalu al-jamī' ("the priority is to vocalize the all [text]"). ¹⁵

4.2.1. Pointed letters (naqt) and distinguishing unpointed letters (ihmāl)16

The Arabic alphabet involves letters that have the same grapheme for two letters. The naqt and the $ihm\bar{a}l$ are used to distinguish these letters from each other; thus, we have two categories of letters: pointed letters and their counterpart the unpointed letters. However, some letters are pointed but without any unpointed counterparts. These letters are the $b\bar{a}$, $t\bar{a}$, and $th\bar{a}$; the $n\bar{u}n$; the connected form $y\bar{a}$; the $f\bar{a}$ and $q\bar{a}f$. Some letters are unpointed but with no pointed counterparts. These letters are alif, $l\bar{a}m$, $k\bar{a}f$, $m\bar{t}m$, $w\bar{a}w$. The letter $t\bar{a}$ are alif, $l\bar{a}m$, $l\bar{a}m$, l

4.2.1.1. Naqt

The *naqt*, which began as early as the first/seventh century as some early writings on papyrus and inscriptions show, ¹⁸ became widespread in scholarly writing in the third/ninth and fourth/tenth centuries. Most of the non-Qur'ānic manuscripts under examination provide diacritical points. Most of the third/ninth-century manuscripts at my disposal are written entirely with points. ¹⁹ Only two manuscripts differ in which some words are left without points. ²⁰ In a similar way, most fourth/tenth-century manuscripts under examination provide diacritical dots, except three in which some words are unpointed. ²¹ Perhaps the partial omission of diacritical dots is influenced by the writing of letters and documents in which the diacritical points were not generally approved, as explained in section 4.2. Or this simply occurred unintentionally, maybe under the pressure of writing quickly.

¹⁴ Al-Khaṭīb al-Baghdādī, *Al-Jāmi* ', 1: 269-70.

¹⁵ Al-Rāmahurmuzī, *al-Muḥaddith al-fāṣil*, 608. Al-Khaṭīb al-Baghdādī discussed this (see al-*Jāmi* ', 1: 269-70).

¹⁶ For studies on *nagt*, see p. 26; for studies on *ihmāl*, see p. 27.

¹⁷ For a presentation of the whole Arabic graphemes, see Witkam, "The Neglect Neglected," 378-9.

¹⁸ Grohmann, Arabische Paläographie II. Teil, 41-2; Endress, "Die arabische Schrift," 175.

¹⁹ These include the third/ninth-century core corpus: MS Vel. Ef. 3139, MS MMMI 44, part 1, part 3, MS DK 41 Uṣūl Fiqh, and the third/ninth-century secondary corpus: MS BNF arabe 2859, MS Leiden Uni. Or. 298, MS MAW 1125, MS DK 2123 Hadīth.

²⁰ MS MMMI 44, part 1, part 3; MS DK 2123 Ḥadīth.

²¹ MS BA 233, fol. 17v; MS DK 852 Tawhīd; MS Fazil 948.

The $t\bar{a}$ ' marb $\bar{u}tah$ is pronounced as $t\bar{a}$ ' when it is read without a pause. The $t\bar{a}$ ' marb $\bar{u}tah$ is also pronounced as $h\bar{a}$ ' when one stops on it. Two dots are provided above $t\bar{a}$ ' marb $\bar{u}tah$ to distinguish it from the $h\bar{a}$ '.²² In a few manuscripts from the core corpus, the final shape of $h\bar{a}$ ' is provided with an $ihm\bar{a}l$ mark to distinguish it from the $t\bar{a}$ ' marb $\bar{u}tah$ (see table 10 under 4.2.2 below).

The $Maghrib\bar{\imath}$ and the $Andalus\bar{\imath}$ manuscripts under examination show that the $f\bar{a}$ is distinguished from the $q\bar{a}f$ by providing one dot under the $f\bar{a}$ and one dot above the $q\bar{a}f$. Thus, the $q\bar{a}f$ in the $Maghrib\bar{\imath}$ and the $Andalus\bar{\imath}$ manuscripts looks like the $f\bar{a}$.

The fourth/tenth-century manuscript of $Mushkil\ al\text{-}Qur\ '\bar{a}n$, due to its subject matter of problematic issues in Qur'\bar{a}n, includes words that might be difficult or confusing to the reader. The copyist finds himself compelled to emphasize the letter $dh\bar{a}l$ in the word al-khadha' ("the obliqueness") by describing it in the margin: $Bi\ al\text{-}dh\bar{a}l\ al\text{-}mu'jamah}$ ("with the dotted $d\bar{a}l$ "). The copyist here assumes that the reader might confuse this $dh\bar{a}l$ with a $d\bar{a}l$ (that he may think the word is al-khad', "cheating," not al-khadha', see the illus. 4.1). This practice is coherent with Ibn Jamā'ah's advice (d. 733/1333) who suggests that the copyist, when necessary, should exercise the dabt, i. e. writing whether the letters are pointed or not in words. He explains that the copyist is allowed to write this explanation in the margin. 24

4.2.1.2. *Ihmāl*

Two normative sources from the fourth/tenth century discuss the *ihmāl*. As far as I know, the earliest normative sources to discuss the *ihmāl* sign are Ibn al-Sarraj (d. 316/929) and Ibn Durustawayh (d. 346/958). Despite the appearance of theoretical discussions on the *ihmāl* from the fourth/tenth century onwards, its marks were used prior in the third/ninth century, as the manuscripts under examination show. That will be clarified throughout this section.

According to Ibn Durustawayh, some scribes inserted points under unpointed letters to distinguish them from pointed ones. However, he mentions that there is no consensus on this

²² For a presentation of the whole Arabic graphemes, see Witkam, "The Neglect Neglected," 378-9.

²³ *Maghribī*: MS DK 19598 Bā', see illus. 3.61, MS Qar. 791 (Jīm 31), fol. 36r; Andalusī: MS Qar. 874/62, see illus. 4.9, MS Saib 2164, illus. 3.48.

²⁴ Ibn Jamā'ah, *Tadhkirah*, 132.

practice.²⁵ This makes sense, since this practice is not helpful with all of the unpointed letters. For instance, we cannot point under the $h\bar{a}$ as this would make it a $j\bar{\imath}m$.

Ibn al-Sarrāj writes more details about how some graphemes were marked as *muhmal* (undotted) letters. Ibn al-Sarrāj's information are presented comparatively with the actual manuscripts for each of the undotted letters as follows.

4.2.1.2.1. *Hā*

According to Ibn al-Sarrāj, the $h\bar{a}$ ' is *ghufl* ("unmarked"), and this is its 'alāmatuhā ("its mark"). Some people, according to him, put a small $h\bar{a}$ ' under it to stress that it is indeed a $h\bar{a}$ ', and not a $j\bar{\imath}m$ or a $kh\bar{a}$ '.

The manuscripts show that a small $h\bar{a}$ is inserted under the $h\bar{a}$ in many cases (see cases 1, 2, 6-11, 15, 18, 19, and 21-23). This is the mark mentioned by Ibn al-Sarrāj. However, some marks not mentioned by Ibn al-Sarrāj are also noticed in the manuscripts such as a mark similar to the $r\bar{a}$ (like a line or crescent curved to the left hand) (see cases 1, 2, 4, 6, 9, 13-15, 18, 19, and 21-23), a crescent or curved line to the right hand (see case 17 below), a curved line to the bottom under the $h\bar{a}$ (see case 14 below). A v-like shape similar to an inverted caret above the $h\bar{a}$ is also used in a single case (see case 20 below).

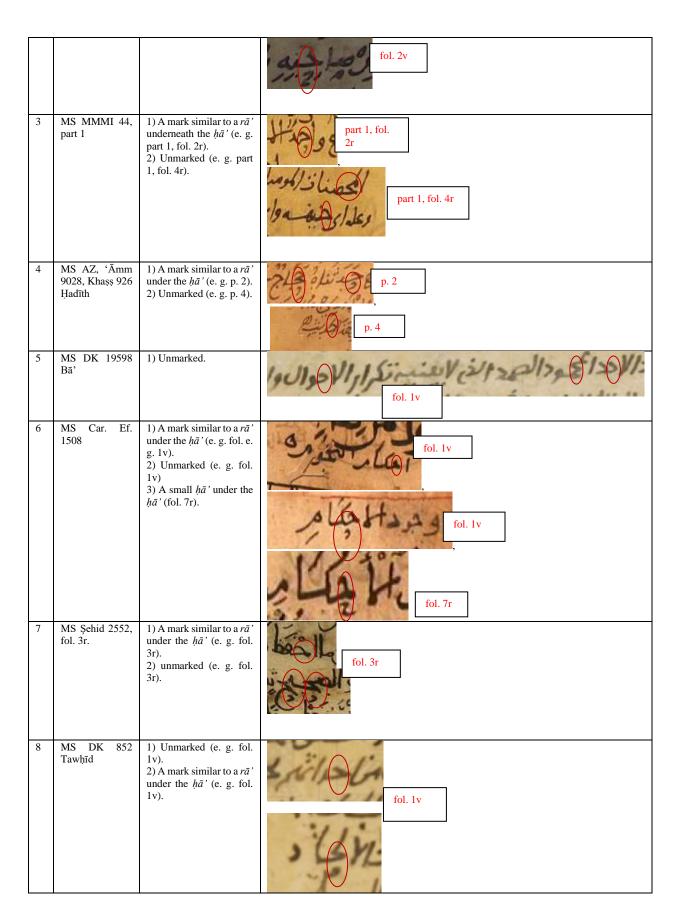
Manuscript Notes Illus. MS DK 41 Uşūl 1) A small $h\bar{a}$ under the fol. 7r Fiqh *ḥā* ' (e. g. fol. 7r). 2) A mark similar to a rā under the $h\bar{a}$ ' (e. g. fol. 3) Unmarked (e. g. fol. 7r). fol. 7r MS Vel. Ef. 1) A mark similar to a rā fol. 1v 3139 under the hā' (e. g. fol. 2) A small hā' under the $\hbar \bar{a}$ ' (e. g. 2v).

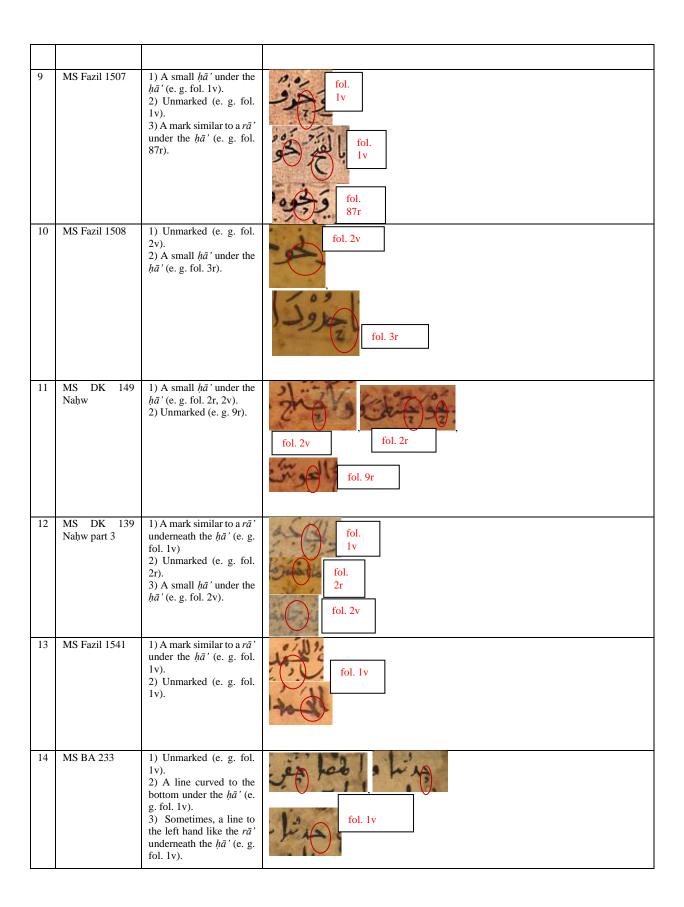
Table 2. *Ihmāl* marks of *hā* '

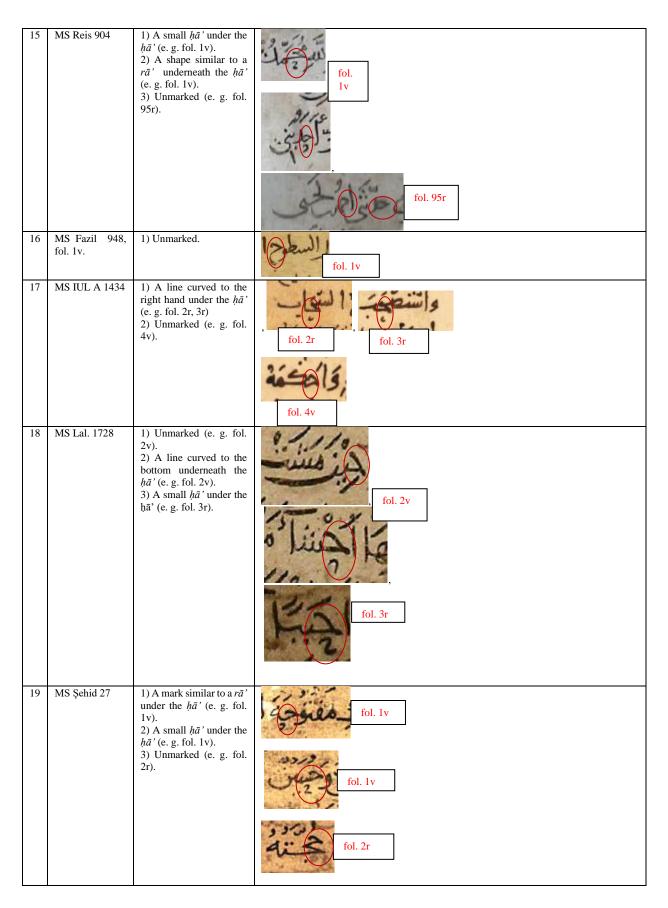
158

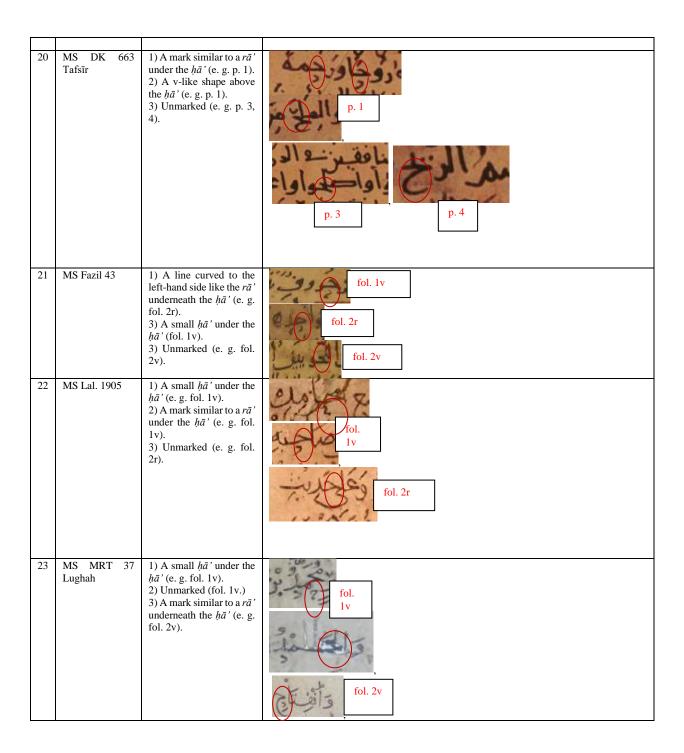
²⁵ Ibn Durustawayh, *al-Kuttāb*, 52.

²⁶ Ibn al-Sarrāj. "Risālah," 12-3.









4.2.1.2.2. *Dāl*

Like the $h\bar{a}'$, according to Ibn al-Sarrāj, the $d\bar{a}l$ is unpointed letter (ghufl). It is distinguished from the $dh\bar{a}l$, by the latter's dot (not ghufl). However, according to Ibn al-Sarrāj, the scribes used $ihm\bar{a}l$ marks to stress the fact that the $d\bar{a}l$ is not a $dh\bar{a}l$, where the $dh\bar{a}l$'s dot was sometimes accidentally omitted. According to Ibn al-Sarrāj, two practices were in operation. One practice was placing a single point under the $d\bar{a}l$. Another practice was drawing a small $d\bar{a}l$ underneath the $d\bar{a}l$. This was especially the practice of some of the $had\bar{a}th$ scholars. Ibn al-Sarrāj writes that the point under the $d\bar{a}l$ is awkad ("more certain [than the others]"). What he means is that providing a dot underneath the $d\bar{a}l$ is the most precise $ihm\bar{a}l$ mark for the $d\bar{a}l$. However, drawing a small $d\bar{a}l$ underneath the $d\bar{a}l$ seems to be more efficient, as it is clearer than merely providing a point. A point can be confused with a word from the following line, but this is less likely when drawing a small $d\bar{a}l$ underneath.

Only one point mentioned by Ibn al-Sarrāj is noticed in the actual manuscripts. In many of the examined manuscripts, the $d\bar{a}l$ is marked with a dot underneath (see cases 4, 6, 9, 10, 13-15, 17, 18, and 23). However, the practice of writing a small $d\bar{a}l$ under the $d\bar{a}l$, is not found in any of the manuscripts in my corpus. Furthermore, although Ibn al-Sarrāj indicates that writing a small $d\bar{a}l$ under the $d\bar{a}l$ was used by $had\bar{i}th$ scholars, 31 this is also not observed in any of the $had\bar{i}th$ manuscripts at my disposal. 32

A mark not mentioned by Ibn al-Sarrāj is found in my corpus. This is case 23 where a curved line like a crescent shape faces the left-hand side underneath $d\bar{a}l$ or above.

²⁷ Ibn al-Sarrāj. "Risālah," 12-3.

²⁸ Ibn al-Sarrāj. "Risālah," 12-3.

²⁹ Ibn al-Sarrāj. "Risālah," 12-3. Ibn Durustawayh also mentioned that mark, see Ibn Durustawayh, *al-Kuttāb*, 52.

³⁰ Ibn al-Sarrāj. "Risālah," 12-3.

³¹ Ibn al-Sarrāj. "Risālah," 12-3.

³² Here I refer to *ḥadīth* manuscripts beyond the core corpus: MS Leiden Uni. Or. 298, MS DK 2123 Ḥadīth, MS Ankara, Saib, 2164.

Table 3. *Ihmāl* marks of *dāl*

	Manuscript	Phenomenon	Samples
1	MS DK 41 Uşūl Fiqh	Unmarked.	fol. 8r fol. 8v
2	MS Vel. Ef. 3139	Unmarked.	fol. 2r
3	MS MMMI 44, part 1	Unmarked.	fol. 3r
4	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	A point undern the $d\bar{a}l$.	p. 2
5	MS DK 19598 Bā'	Unmarked.	fol. 1v
6	MS Car. Ef. 1508	1) Unmarked (e. g. fol. 1v). 2) A point under the <i>dāl</i> (e. g. fol. 8r).	fol. 1v fol. 8r
7	MS Şehid 2552	Unmarked.	fol. 3r
8	MS DK 852 Tawhīd	Unmarked.	fol. 2v
9	MS Fazil 1507, fol. 3r.	1) Unmarked (e. g. fol. 3r). 2) A dot under the $d\bar{a}l$ (e. g. fol. 3r).	المَّالُ وَالْحَامِينَ الْحَامِينَ الْحَام

10	MS Fazil 1508	1) Unmarked (fol. 1v).	100 Control
		2) A dot under the $d\bar{a}l$ (fol. 4r).	fol. lv
			fol. 4v
11	MS DK 149 Naḥw	Unmarked.	fol. 6r
12	MS DK 139 Nahw, part 3	Unmarked.	fol. 4r
13	MS Fazil 1541	1) Unmarked (fol. 1v). 2) A point under the <i>dāl</i> (fol. 3v).	fol. 1v
			fol. 3v
14	MS BA 233	1) A point under the <i>dāl</i> (fol. 1v). 2) Unmarked (fol. 3r).	fol. 1v
			fol. 3r
15	MS Reis 904	1) Unmarked (fol. 1v). 2) A point under the <i>dāl</i> (fol. 2r).	fol. 1v
			fol. 2r
16	MS Fazil 948, fol. 2r.	Unmarked.	Fol. 2r
17	MS IUL A 1434, fol. 2v, 13r.	1) Unmarked (e. g. fol. 2v). 2) A point under the <i>dāl</i> (e. g. fol. 13r).	Fol. 2v Fol. 13r

18	MS Lal. 1728	1) Unmarked (e. g. fol. 2v). 2) A dot under the <i>dāl</i> (e. g. fol. 199r).	fol. 2v fol. 199r
19	MS Şehid 27	Unmarked.	العن و والعن أو العن العن العن العن العن العن العن العن
20	MS DK 663 Tafsīr	Unmarked.	p. 1
21	MS Fazil 43	Unmarked.	fol. 1v
22	MS Lal. 1905	Unmarked.	fol. 3v
23	MS MRT 37 Lughah	1) A curved line like a crescent shape facing the left-hand side underneath the $d\bar{a}l$ or above when not possible due to i ' $r\bar{a}b$ under letter (e. g. fol. 1v). 2) A single point under the $d\bar{a}l$ (e. g. fol. 12v).	fol. 1v

4.2.1.2.3. *Rā*

Ibn al-Sarrāj mentions two marks to distinguish the $r\bar{a}$ ' from the $z\bar{a}y$. The first mark is a single point underneath the $r\bar{a}$ '. The second one is the $r\bar{a}$ ' maqlūbah ("an inverted $r\bar{a}$ ") above the $r\bar{a}$ ', which was supposedly the practice of some authors in the discipline of Arabic at the time of Ibn al-Sarrāj. What Ibn al-Sarrāj likely means by the $r\bar{a}$ ' maqlūbah, is the sign that looks like an inverted caret, or what Witkam describes as a "v-like shape". The examined manuscripts show both marks being used.

In nine cases, the v-like shape above the $r\bar{a}$ ' is used. (see cases 1, 3, 8-10, 12, and 20-22 below). In five cases, the $r\bar{a}$ ' is written with a dot underneath. (see cases 6, 7, 13, 14, and 19). Furthermore, one copyist sometimes uses the dot and sometimes the v-like shape above the $r\bar{a}$ ' (see case 23 below).

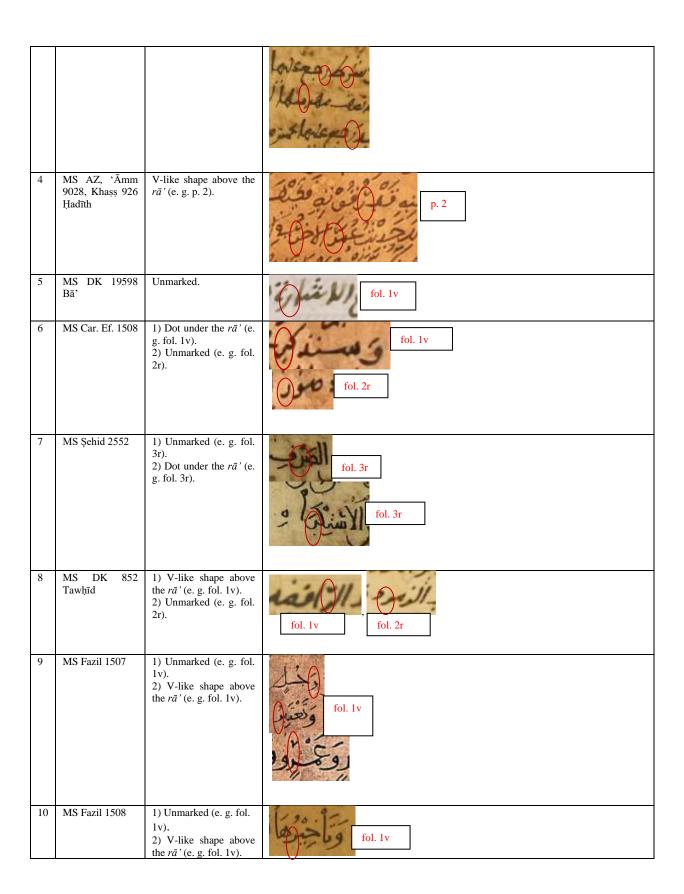
Manuscript Phenomenon Samples MS DK 41 Uṣūl 1) Unmarked (e. g. fol. Fiqh 2) V-like shape above the $r\bar{a}$ (e. g. fol. 7r). MS Vel. Ef. 3139 Unmarked. fol. 1v 1) Unmarked (e. g. part MMMI44, 1, fol. 1v, part 3, fol. part 1, part 3 2 V-like shape above part 1, fol. 1v the $r\bar{a}$ (e. g. part 1, fol. 1v, part 3, fol. 4r). part 3, fol. 4r.

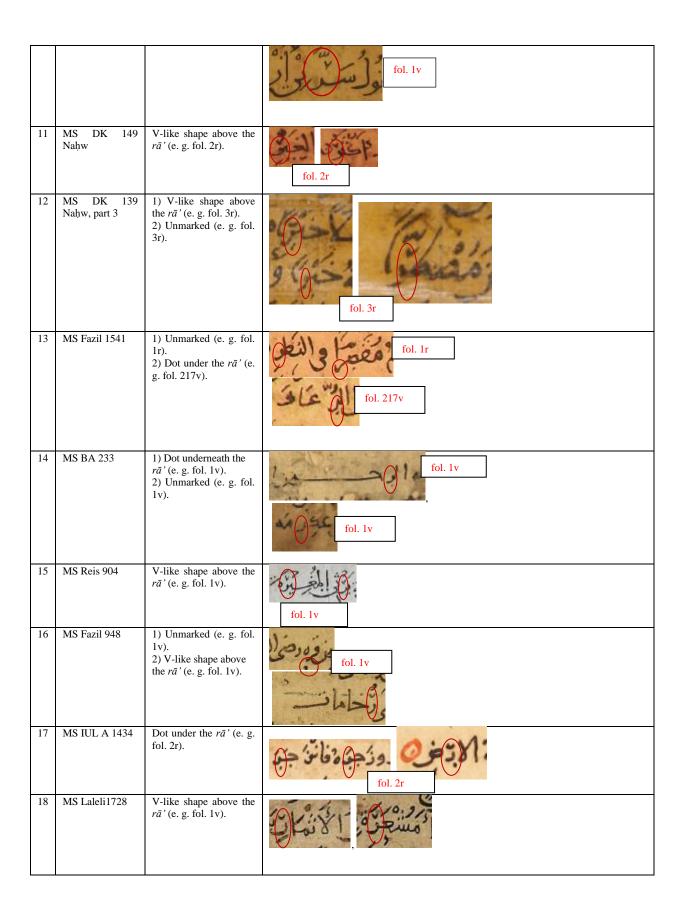
Table 4. *Ihmāl* marks of $r\bar{a}$

³³ Ibn al-Sarrāj. "Risālah," 12-4. Ibn Durustawayh also mentioned that mark, see Ibn Durustawayh, *al-Kuttāb*, 52.

³⁴ Ibn al-Sarrāj. "Risālah," 12-4.

³⁵ Witkam, "The Neglect Neglected," 393.





19	MS Şehid 27	1) V-like shape above the $r\bar{a}$ '(e. g. fol. 1v). 2) Unmarked (fol. 3r).	fol. 1v
20	MS DK 663 Tafsīr	1) Unmarked (e. g. p. 1). 2) V-like shape above the $r\bar{a}$ ' (e. g. p. 1).	p. 1
21	MS Fazil 43	V-like shape above the $r\bar{a}$ (e. g. fol. 1v). Unmarked (e. g, fol. 1v).	fol. Iv
22	MS Lal. 1905	1) V-like shape above the $r\bar{a}$ (e. g. fol. 1v). 2) Unmarked (fol, e. g. 1v).	fol. 1v
23	MS MRT 37 Lughah	 Dot underneath the rā' (e. g. fol. 13r). V-like shape above the rā' (e. g. fol. 13r). 	fol. 13r

4.2.1.2.4. Sīn

Ibn al-Sarrāj explains that the $s\bar{t}n$ does not have any dots; thus, it is distinguished from the $sh\bar{t}n$ which has three dots above it. He mentions that some people, to distinguish between the two letters, draw a point under the $s\bar{t}n$ and a single point above the $sh\bar{t}n$, instead of conventional three points above the latter.³⁶ Furthermore, Ibn al-Sarrāj mentions that some people draw something ka al-

³⁶ Ibn al-Sarrāj. "Risālah," 14-6. Ibn Durustawayh analyzed writing dot under the all the *muhmal* letters, see Ibn Durustawayh, al-Kuttāb, 52.

khaṭṭ ("like the line") above the $s\bar{\imath}n$ as an $ihm\bar{a}l$ mark.³⁷ Ibn al-Sarrāj does not provide details about what ka al-khaṭṭ is. As I explain below, this mark may be the v-like shape above the $s\bar{\imath}n$. In addition, Ibn al-Sarrāj mentions that the philologists draw a small $s\bar{\imath}n$ under the $s\bar{\imath}n$ as an $ihm\bar{a}l$ mark.³⁸

Our third/ninth-century manuscripts do not show the *ihmāl* marks mentioned by Ibn al-Sarrāj, except for a v-like shape above $s\bar{\imath}n$ (see cases 1 and 3 below). This mark is also intensively used as an *ihmāl* mark for the $s\bar{\imath}n$ in the fourth/tenth century (see cases 4, 8-10, and 12-23). As mentioned, this mark may be the mark that Ibn al-Sarrāj describes as *ka al-khaṭṭ* ("like the line")³⁹ Another practice, not mentioned by Ibn al-Sarrāj, but observed in the manuscripts is where three dots are written under the $s\bar{\imath}n$ (see case 2). This mark is also used in the fourth/tenth century, as four specimens show (see cases 6, 9, 13, and 15).

As for the $sh\bar{\imath}n$ being written with a dot above it, I could not trace this in any of the specimens of the core corpus. However, the practice of writing the $s\bar{\imath}n$ with a dot underneath, does occur in three fourth/tenth-century manuscripts (see cases 6, 13, and 23 below). Case 23 shows a small $s\bar{\imath}n$ being written under the $s\bar{\imath}n$ as an $ihm\bar{\imath}al$ mark. This mark is mentioned by Ibn al-Sarraj, who ascribes it to the philologists.

A mark noticed in the manuscripts but not mentioned by Ibn al-Sarrāj is the practice of writing three dots under $s\bar{t}n$ (see cases 2, 6, 9, 13, and 15).

In one of the manuscripts scrutinized, the copyist occasionally uses two marks together for the $s\bar{\imath}n$: the v-like shape above and three dots underneath it (see case 15 below).

Manuscript

Phenomenon

Samples

1 MS DK 41 Uşūl Fiqh

1) Unmarked (e. g. fol. 6v).
2) V-like shape above the sīn (e. g. fol. 7v).

fol. 6v

MS Vel. Ef. 3139

1) Unmarked (e. g. fol. 2r).
2) Three dots under the sīn (e. g. fol. 3v, 4v).

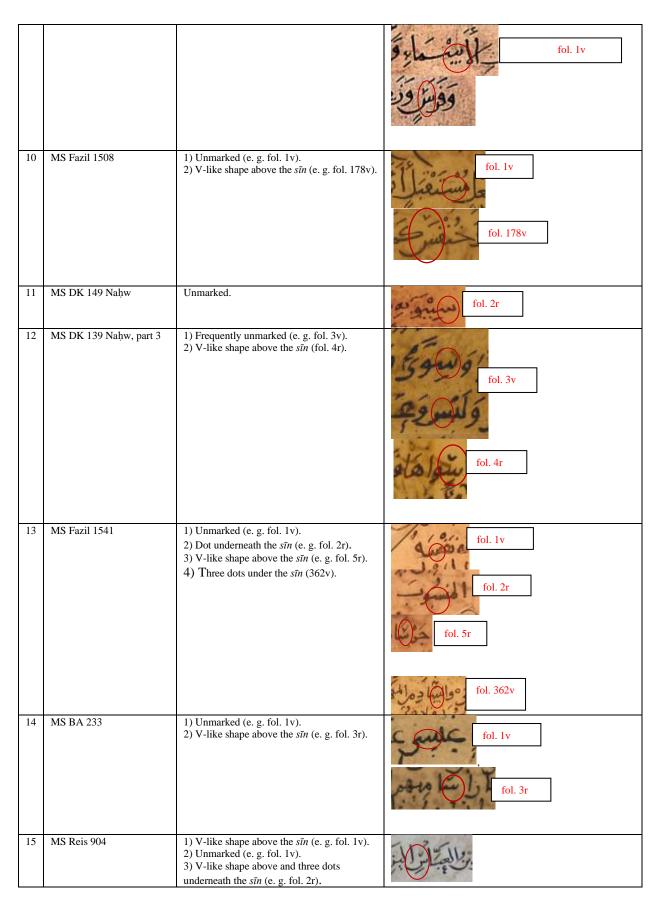
Table 5. *Ihmāl* marks of *sīn*

³⁷ Ibn al-Sarrāj. "Risālah," 14-6.

³⁸ Ibn al-Sarrāj. "Risālah," 14-6.

³⁹ Ibn al-Sarrāj. "Risālah," 14-6.

		T	
			fol. 3v
			fol. 4v
3	MS MMMI 44, part 1, part 3	1) Unmarked (part 1, fol. 1v, part 3, fol. 2r). 2) V-like shape above the <i>sīn</i> (e. g. part 1, 3r, part 3, fol. 2v).	part 1, fol. 1v. part 1, fol. 3r
			part 3, fol. 2r
			part 3, fol. 2v
4	MS AZ, 'Āmm 9028, Khaşş 926 Ḥadīth	V-like shape above the $s\bar{\imath}n$ (e. g. p. 1).	p. 1
5	MS DK 19598 Bā'	Unmarked.	fol. 1v
6	MS Car. Ef. 1508	1) Three dots under the $s\bar{i}n$ (e. g. fol. 1v). 2) Unmarked (e. g. fol. 1v). 3) Dot under the $s\bar{i}n$ (fol. 3r).	fol. 1v
			fol. 3r
7	MS Şehid 2552	1) V-like shape above the $s\bar{\imath}n$ (e. g. fol. 3r). 2) Unmarked (e. g. fol. 3r).	fol. 3r.
8	MS DK 852 Tawḥīd	1) V-like shape above the <i>sīn</i> (e. g. fol. 2r). 2) Unmarked (e. g. fol. 2r).	fol. 2r
9	MS Fazil 1507	1) Unmarked (e. g. fol. 1v). 2) Three dots under the $s\bar{t}n$ (e. g. fol. 1v). 3) V-like shape above the $s\bar{t}n$ (e. g. fol. 1v).	والعصور



			fol. 1v
			fol. 2r
16	MS Fazil 948	1) Unmarked (e. g. fol. 1v). 2) V-like shape above the <i>sīn</i> (e. g. fol. 1v).	fol. 1v
17	MS IUL A 1434	1) V-like shape above the $s\bar{\imath}n$ (e. g. fol. 2r).	fol. 2r
18	MS Lal. 1728	1) V-like shape above the $s\bar{\imath}n$ (e. g. fol. 2v).	fol. 2v
19	MS Şehid 27	1) V-like shape above the $s\bar{\imath}n$ (e. g. fol. 1v). 2) Unmarked (e. g. fol. 1v).	fol. 1v
20	MS DK 663 Tafsīr	1) V-like shape above the $s\bar{i}n$ (e. g. p. 1). 2) Unmarked (e. g. p. 1).	p. 1
21	MS Fazil 43	1) Unmarked (e. g. fol. 1v). 2) V-like shape above the <i>sīn</i> (e. g. fol. 3v).	fol. 1v
22	MS Lal.1905	1) Unmarked (e. g. fol. 1v). 2) V-like shape above the <i>sīn</i> (e. g. fol. 2v).	fol. 1v

23	MS MRT 37 Lughah	1) Dot underneath the $s\bar{l}n$ (e. g. fol. 2r). 2) Small $s\bar{l}n$ underneath the $s\bar{l}n$ (e. g. fol. 8r). 3) V-like shape above the $s\bar{l}n$ (e. g. fol. 9r). 4) Unmarked (fol. 25v).	fol. 8r fol. 9r fol. 2sv

4.2.1.2.5. Sād

Ibn al-Sarrāj mentions two *ihmāl* signs for the $s\bar{a}d$. The first is a single dot under the letter to distinguish it from the $d\bar{a}d$ that has a dot above it.⁴⁰ The second *ihmāl* sign is a small $s\bar{a}d$ written under the $s\bar{a}d$ which Ibn al-Sarrāj explains was used by the philologists.⁴¹

These two marks mentioned by Ibn al-Sarrāj are traced in our corpus. Writing a dot under $\underline{s}\bar{a}d$ is used in some cases (see cases 1, 13, 6, 7, 14, and 23). However, this mark is not as copious as the small $\underline{s}\bar{a}d$ written underneath the $\underline{s}\bar{a}d$ (see cases 1, 2, 4, 9-12, 15, 18, 19, and 21-23). In almost all of the cases where the small $\underline{s}\bar{a}d$ is provided underneath the $\underline{s}\bar{a}d$, this $\underline{s}\bar{a}d$ includes only the body of the $\underline{s}\bar{a}d$ instead of the complete shape of the letter. An exception is case 23, where the small $\underline{s}\bar{a}d$ underneath the $\underline{s}\bar{a}d$ includes "the body" and a part of "the ascender."

⁴⁰ Ibn al-Sarrāj. "Risālah," 14-16. Ibn Durustawayh analyzed writing dot under the all the *muhmal* letters, see Ibn Durustawayh, *al-Kuttāb*, 52.

⁴¹ Ibn al-Sarrāj. "Risālah," 14-6.

⁴² The technical term "the body" is taken from Gacek, *Vademecum*, 142.

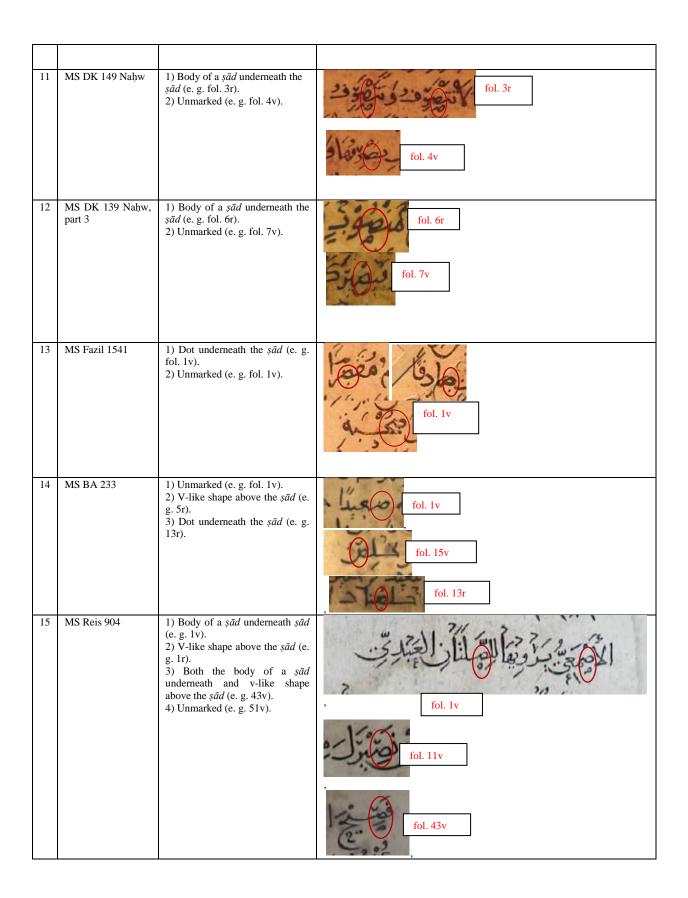
Another sign used in many of the manuscripts under examination is a v-like shape written above the $\underline{s}ad$. This occurs in 8 of 23 manuscripts (see cases 8, 11, 15, 18, 19, 20, 22, and 23). Ibn al-Sarrāj, however, does not mention this as an $ihm\bar{a}l$ sign for the $\underline{s}ad$.

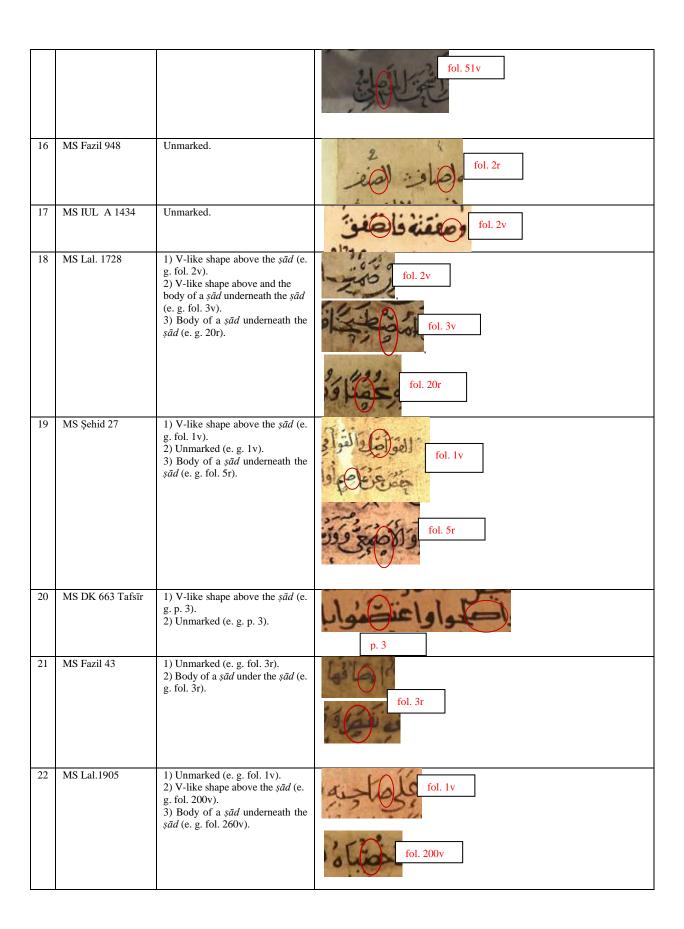
The v-like shape above the $s\bar{a}d$ and the small body of the $s\bar{a}d$ are combined to indicate $ihm\bar{a}l$ of a single letter in two specimens (see cases 15 and 18). Similarly, the $ihm\bar{a}l$ mark is doubled with the $s\bar{i}n$ in case 15 as a v-like shape above the $s\bar{i}n$, with three dots underneath it (see table 5, case 15). The duplication of the $ihm\bar{a}l$ marks only occurs in cases 15 and 18. Perhaps, these two manuscripts reflect similar scribal practice since they are comparable. These manuscripts were also copied at a similar timeframe. The manuscripts of cases 15 and 18 are copied in 370/[981] and 372/[983] respectively.

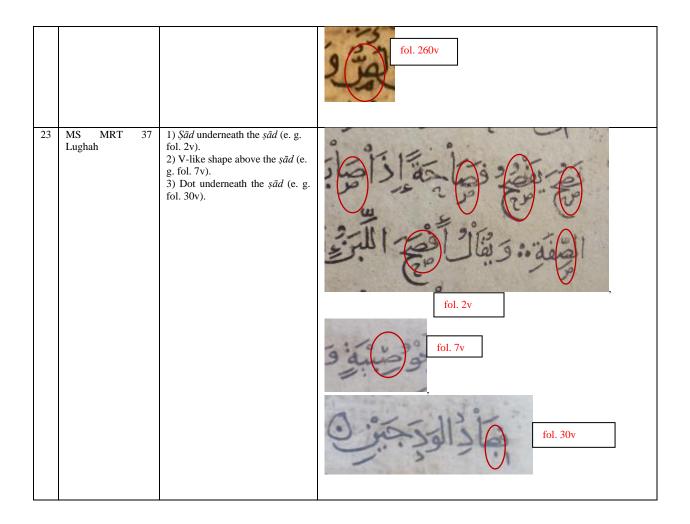
Table 6. *Ihmāl* marks of *sād*

	Manuscript	Phenomenon	Samples
1	MS DK 41 Uşūl Fiqh	1) Unmarked (e. g. fol. 7r). 2) Dot underneath the $s\bar{a}d$ (e. g. fol. 7v). 3) Body of a $s\bar{a}d$ underneath the $s\bar{a}d$ (e. g. fol. 11v).	fol. 7v
2	MS Vel. Ef. 3139	1) Body of a <i>ṣād</i> underneath the <i>ṣād</i> (e. g. fol. 2v). 2) Unmarked (e. g. fol. 3r).	fol. 3r
3	MS MMMI, part 1, part3	Unmarked.	part 1, fol. 4r part3, fol. 1v

4	MS AZ, 'Āmm 9028, Khaşş 926 Ḥadīth	1) Body of a <i>ṣād</i> underneath the <i>ṣād</i> (e. g. fol. p. 2). 2) Unmarked (e. g. fol. p. 2).	p. 2
5	MS DK 19598 Bā'	Unmarked.	fol. 1v
6	MS Car. Ef. 1508	1) Dot underneath the <i>ṣād</i> (e. g. 8r). 2) Unmarked (e. g. 12r).	fol. 8r fol. 12r
7	MS Şehid 2552	1) Dot underneath the <i>ṣād</i> (e. g. fol. 3r). 2) Unmarked (e. g. 3r).	fol. 3r
8	MS DK 852 Tawhīd	1) Unmarked (e. g. fol. 1v). 2) V-like shape above the <i>ṣād</i> (e. g. fol. 4r).	fol. 1v
9	MS Fazil 1507	1) Unmarked (e. g. fol. 1v). 2) Body of a <i>ṣād</i> underneath the <i>ṣād</i> (e. g. fol. 2v).	fol. 1v
10	MS Fazil 1508	1) Unmarked (e. g. fol. 1v). 2) Body of a <i>ṣād</i> underneath the <i>ṣād</i> (e. g. fol. 189v).	fol. 1v fol. 189v







4.2.1.2.6. 'Ayn

Ibn al-Sarrāj does not write a separate entry on distinguishing the 'ayn and the ghayn as he does with the other homographs. Rather he mentions the 'ayn when he discusses the $s\bar{a}d$ and the $d\bar{a}d$, and the $d\bar{a}d$, and the $d\bar{a}d$ and th

The marks Ibn al-Sarrāj mentions can be traced in the manuscripts. Writing a small 'ayn underneath the letter occurs in many of the manuscripts under examination. For this mark, copyists primarily drew only "the body" of the 'ayn (see the cases 1-3, 6, 7, 9-12, 15, 16, 18-20, 22, and 23). They seldom drew the entire letter, but this does also occur (see cases 12, 15, and 23 below).

⁴³ Ibn al-Sarrāj. "Risālah," 14-5.

⁴⁴ Ibn al-Sarrāj. "Risālah," 14-5.

⁴⁵ Ibn al-Sarrāj. "Risālah," 14-5.

In one case, the body of the 'ayn is provided above instead of underneath (case 9). Writing a dot underneath is also noticed in a few specimens (see cases 19, 22, and 23).

Another mark observed in the manuscripts is a crescent like mark facing the right-hand side (see case 17) or the left-hand side under the 'ayn (see cases 4, 13, and 14). Neither Ibn Durustawayh nor Ibn al-Sarrāj mention this mark.

Table 7. *Ihmāl* marks of 'ayn

	Manuscript	Phenomenon	Samples
1	MS DK 41 Uşūl Fiqh	1) Unmarked (e. g. fol. 6v). 2) Body of an 'ayn underneath the 'ayn (e. g. fol. 6v).	fol. 6v
2	MS Vel. Ef. 3139	1) Body of an 'ayn underneath the 'ayn (e. g. fol. 1v). 2) Unmarked (e. g. fol. 1v).	fol. 1v
3	MS MMMI 44, part 1, part 3	1) Unmarked (e. g. part 1, fol. 2r). 2) Body of an 'ayn underneath the 'ayn (e. g. part 3, fol. 7r).	part 1, fol. 2r
4	MS AZ, 'Āmm 9028, Khaşş 926 Ḥadīth	1) A mark like a crescent facing the left-hand side under the 'ayn (e. g. p. 2). 2) Unmarked (e. g. p. 28).	p. 1
5	MS DK 19598 Bā'	Unmarked (e. g. fol. 1v).	fol. 1v
6	MS Car. Ef. 1508	1) Body of an 'ayn underneath the 'ayn (e. g. fol. 3r). 2) Unmarked (e. g. fol. 22r).	fol. 3r

7	MS Şehid 2552	1) Body of an 'ayn underneath the 'ayn (e. g. fol. 3r). 2) Unmarked (e. g. fol. 3r).	fol. 3r
8	MS DK 852 Tawḥīd	Unmarked (e. g, fol. 2r).	fol. 2r
9	MS Fazil 1507	1) Unmarked (e. g. fol. 1v). 2) Body of an 'ayn underneath (e. g. fol. 1v). 3) Body of 'ayn above the 'ayn (fol. 7r).	fol. 1v
10	MS Fazil 1508	1) Unmarked (e. g. fol. 1v). 2) Body of an 'ayn underneath the 'ayn (e. g. fol. 4v).	fol. 1v
11	MS DK 149 Naḥw	1) Body of an 'ayn underneath the 'ayn (e. g. fol. 2r). 2) Unmarked (e. g. fol. 6r).	fol. 2r
12	MS DK 139 Naḥw, part 3	1) Unmarked (e. g. fol. 3v). 2) Body of an 'ayn (e. g. fol. 3v) or a small entire 'ayn underneath the 'ayn (e. g. fol. 8v).	fol. 3v

			fol. 8v
			2 E 2 12
13	MS Fazil 1541	1) A mark like a crescent facing the left-hand side under the 'ayn (e. g. fol. 1v). 2) Unmarked (e. g. fol. 2v).	fol. 1v
14	MS BA 233	A mark like a crescent facing the left-hand side under the 'ayn (e. g. fol. 1v). Unmarked (e. g. fol. 1v).	fol. 1v
15	MS Reis 904	1) Small 'ayn or just its body underneath the 'ayn (e. g. fol. 1v). 2) Unmarked (e. g. fol. 46r).	fol. 1v fol. 46r
16	MS Fazil 948	1) Unmarked (e. g. fol. 1v). 2) Body of an 'ayn underneath the 'ayn (fol. 40v).	fol. 1v
17	MS IUL A 1434	A mark like a crescent facing the right-side hand underneath the 'ayn (e. g. fol. 2r). Unmarked (e. g. fol. 2v).	fol. 2r
18	MS Lal. 1728	1) Body of an 'ayn underneath the 'ayn (e. g. fol. 2v). 2) Unmarked (e. g. fol. 2v).	fol. 1v

19	MS Şehid 27	1) Body of an 'ayn underneath the 'ayn (e. g. fol. 1v). 2) Unmarked (e. g. fol. 1v). 3) Dot underneath the 'ayn (e. g. fol. 3r).	fol. 3r
20	MS DK 663 Tafsīr	1) Unmarked (e. g. p. 1). 2) Body of an 'ayn underneath the 'ayn (e. g. p. 1).	P. 1
21	MS Fazil 43	Unmarked (e. g. fol. 1v).	fol. 1v
22	MS Lal. 1905	1) Body of an 'ayn underneath the 'ayn (e. g. fol. 1v). 2) Dot underneath the 'ayn (e. g. fol. 1v). 3) Unmarked (e. g. fol. 1v).	يضالها لمعمول حروا لها الها والها وا
23	MS MRT 37 Lughah	1) Small 'ayn or only its body under the 'ayn (e. g. fol. 1v). 2) Dot underneath the 'ayn (e. g. fol. 1v).	Fol. 1v

4.2.1.2.7. *Tā* '

Ibn al-Sarrāj reports two marks used to distinguish the $t\bar{a}$ from the $z\bar{a}$. The first mark is a single dot underneath the $t\bar{a}$. The second mark is a small $t\bar{a}$ written underneath the actual $t\bar{a}$. Ibn al-Sarrāj mentions that the philologists used this latter mark. ⁴⁸

These marks that Ibn al-Sarrāj mentions, can be observed in our manuscripts. Writing a single point underneath the letter occurs in most of the manuscripts under examination (see cases 1, 2, 4, 6, 7, 9, 10, 13, 14, 18, 19, and 23). In addition, the practice of writing a small $t\bar{a}$ underneath can be traced in four manuscripts (see cases 12, 15, 22, and 23). Interestingly, the four cases are all found in works relating to *lughah*, which is coherent with what Ibn al-Sarrāj reports.⁴⁹ Furthermore, instead of writing a small $t\bar{a}$, a mark like the "body" of the $s\bar{a}d$ is drawn underneath the $t\bar{a}$ in two cases (see cases 2 and 12).

Manuscript Samples Phenomenon 1) Unmarked (e. g. fol. 8r). MS DK 41 Uşūl Fiqh 2) Dot underneath the $t\bar{a}$ (e. g. fol. 8r fol. 18r). fol. 18r MS Vel. Ef. 3139 1) Unmarked (e. g. fol. 1v). 2) Body of a $t\bar{a}$ underneath the fol. 1v ţā' (e. g. fol. 9r). fol. 9r MS MMMI 44 Unmarked (e. g. part 1, fol. 2v, part 3, fol. 2v). part 3, fol. 2v

Table 8. *Ihmāl* marks of *ţā* '

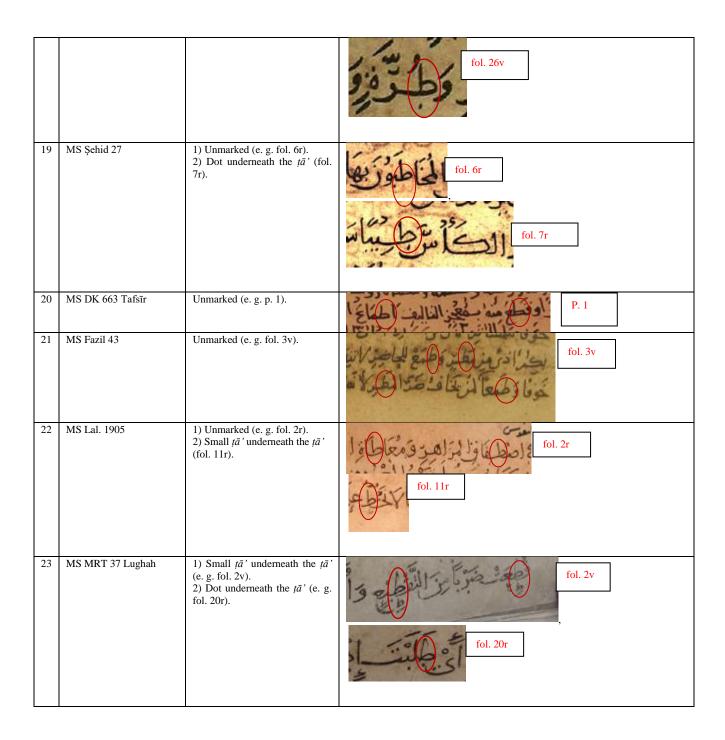
⁴⁶ Ibn al-Sarrāj. "Risālah," 14-5.

⁴⁷ Ibn al-Sarrāj. "Risālah," 14-5. 48 Ibn al-Sarrāj. "Risālah," 14-5.

⁴⁹ Ibn al-Sarrāj. "Risālah," 14-5.

	<u> </u>		
			part 1, fol. 2v
4	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	1) Dot underneath the $t\bar{a}$ '(e. g. p. 2). 2) Unmarked (e. g. p. 8).	p. 2
5	MS DK 19598 Bā'	Unmarked (e. g. fol. 3r).	fol. 3r
6	MS Car. Ef. 1508	1) Dot underneath the $t\bar{a}$ (e. g.	
		fol. 9v). 2) Unmarked (e. g. fol. 9v).	fol. 9v
7	MS Şehid 2552	1) Dot underneath the $t\bar{a}$ ' (e. g. fol. 3v). 2) Unmarked (fol. 3v).	ملوار الطباكان فلو والمستعادة الفيار الطباكان السفة الفيار الطباكان السفة الفيار والوبال السفة المناز والوبال السفة المناز والوبال السفة المناز والمناز وال
8	MS DK 852 Tawḥīd	Unmarked (e. g. fol. 10r).	fol. 10r
9	MS Fazil 1507	1) Unmarked (e. g. fol. 7r). 2) Dot underneath the $t\bar{a}$ (e. g. fol. 17r).	fol. 7r
10	MS Fazil 1508	1) Unmarked (e. g. fol. 5v). 2) Dot underneath the $t\bar{a}$ (e. g. fol. 20v).	fol. 5v

		T	
11	MS DK 149 Naḥw	Unmarked (e. g. fol. 5v).	fol. 5v
12	MS DK 139 Nahw, part 3	1) Unmarked (e. g. fol. 13r). 2) Small $t\bar{a}$ 'underneath the $t\bar{a}$ ' (e. g. fol. 19r). 3) Body of a $t\bar{a}$ 'without the "stem" underneath the $t\bar{a}$ ' (fol. 53v).	fol. 13r fol. 19r
13	MS Fazil 1541	1) Unmarked (fol. 1v). 2) Dot underneath it (e. g. fol. 2r).	fol. 1v
14	MS BA 233	1) Unmarked (e. g. fol. 7r). 2) Dot underneath the $t\bar{a}$ (e. g. fol. 7v).	fol. 7r
15	MS Reis 904	1) Small $t\bar{a}$ 'underneath the $t\bar{a}$ ' (e. g. 2r). 2) Unmarked (fol. 17r).	fol. 2r
16	MS Fazil 948	Unmarked (e. g. fol. 7r).	fol. 7r.
17	MS IUL A 1434	Unmarked (e. g. fol. 2r).	2 (L) (fol. 2r
18	MS Lal. 1728	1) Unmarked (e. g. fol. 5r). 2) Dot under the $t\bar{a}$ ' (e. g. fol. 26v).	fol. 5r



4.2.1.2.8. The final $h\bar{a}$

The manuscripts show that the final shape of the $h\bar{a}$ ' is occasionally marked to distinguish it from $t\bar{a}$ ' marb $\bar{u}tah$. Marking the final shape of $h\bar{a}$ ' is not discussed in the normative sources but I noticed this in three fourth/tenth-century manuscripts. In case 1 below, the copyist writes a dot underneath the final shape of the $h\bar{a}$ '. In cases 2 and 3, the copyist writes a $h\bar{a}$ ' in its initial form above the $h\bar{a}$ '. In case 3, the copyist also writes a $h\bar{a}$ ' in its initial form but this time underneath the $h\bar{a}$.

Table 9. *Ihmāl* marks of the final shape of *hā* '

Case number	Shelfmark & place of illuss. in MSS	Notes	Illus.
1	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	1) Dot underneath the final $h\bar{a}$ ' (e. g. p. 1).	الماسية الحارثين الماسية الما
2	MS Lal. 1728	1) Small <i>hā</i> ' above the <i>hā</i> ' (e. g. 4r). 2) Unmarked (e. g. 4v).	fol. 4r
3	MS MRT 37 Lughah	1) Small $h\bar{a}$ ' above the $h\bar{a}$ ' (e. g. fol. 1v). 3) Unmarked (e. g. fol. 1v). 2) Small $h\bar{a}$ ' underneath the $h\bar{a}$ ' (e. g. fol. 9r).	fol. lv fol. 9r

The normative sources begin to discuss marking the *muhmal* graphemes to distinguish them from the *mu'jam* (dotted) graphemes from the fourth/tenth century, particularly in the works of Ibn al-Sarrāj and Ibn Durustawayh. Ibn Durustawayh only discusses dotting under the graphemes as an *ihmāl* mark but does not discuss all the *muhmal* graphemes. However, as our intensive examination of the core corpus shows the use of the *ihmāl* marks was clearly in operation in the third/ninth century and continued in the fourth/tenth century.

Our examination of the manuscripts shows that certain $ihm\bar{a}l$ marks that are not discussed in the normative sources were used. For instance, the 'ayn is marked in some manuscripts with a crescent shape facing the right- or left-hand side. Neither Ibn Durustawayh nor Ibn al-Sarrāj mention this mark. The $ihm\bar{a}l$ mark of the final shape of $h\bar{a}$ ' can also be traced in three fourth/tenth-

century manuscripts. Again, this practice is not mentioned by either Ibn Durustawayh or Ibn al-Sarrāj.

Our manuscripts show that copyists were not consistent in providing the *ihmāl* signs. In most cases, the unpointed letters are left unmarked. Copyists sometimes use more than one sign for the same letter, as shown above. In other words, copyists sometimes adopted two or more marks of the *ihmāl* for the same letter. The use of the *ihmāl* mark seems to have been a matter of taste as opposed to following strict rules.

The $h\bar{a}$ ' and $s\bar{\imath}n$ are the most often provided with $ihm\bar{a}l$ signs. They are not only the most widely marked in the core corpus (they are marked in 21 manuscripts) but five different $ihm\bar{a}l$ signs are used for each of them. To indicate $h\bar{a}$ ' as a muhmal letter, copyists draw either a small $h\bar{a}$ ' underneath, a line curved to the bottom underneath, a line curved to the right-hand side underneath, a line curved to the left-hand side underneath, or a v-like shape above the $h\bar{a}$ '. Copyists mark $s\bar{\imath}n$ as a muhmal letter using one of the following marks: v-like shape above the $s\bar{\imath}n$, three dots underneath the $s\bar{\imath}n$, a single dot underneath the $s\bar{\imath}n$, a small $s\bar{\imath}n$ underneath the $s\bar{\imath}n$, and a combination of v-like shape above the $s\bar{\imath}n$ and three dots underneath the $s\bar{\imath}n$ (see table 10).

Copyists probably saw the $\hbar \bar{a}$ and $s\bar{\imath}n$ as particularly confusing with their pointed counterparts, so paid more attention in marking them with $ihm\bar{a}l$ signs over other unpointed letters. The $\hbar a$ can be confused with either the $kh\bar{a}$ or the $j\bar{\imath}m$, particularly if the lines are narrow. An ill-placed point on the upper line above the $\hbar \bar{a}$ may make it seem like a $kh\bar{a}$. Likewise, a point on the lower line can make the $\hbar \bar{a}$ looks like a $j\bar{\imath}m$. For the $s\bar{\imath}n$, dots on the upper line may change it to seem as a $sh\bar{\imath}n$. However, the case with the $s\bar{\imath}n$ is less severe than it is with the $\hbar \bar{a}$.

Least attention in providing $ihm\bar{a}l$ marks is given to the $d\bar{a}l$. Copyists mark the $d\bar{a}l$ in only 10 manuscripts (of 23 of the core corpus) using only two signs: a single point or a line curved to the left-hand side. There is no clear reason for why there is little attention paid to the $d\bar{a}l$ (see table 10).

Table 10. Ihmāl marks in use

Letter	Presence in how many of the total 23 manuscripts	How many marks used	Used marks
Ḥā'	21	5	1) Small $\hbar \bar{a}$ 'underneath the $\hbar \bar{a}$ ' 2) A line curved to the bottom underneath the $\hbar \bar{a}$ ' 3) A line curved to the right-side hand underneath the $\hbar \bar{a}$ '

Dāl Rā'	10	2	4) A line curved to the left-side hand underneath the hā' 5) V-like shape above the hā' 1) A single point under the dāl 2) A curved line to the left-hand side under the dāl 1) V-like shape above the rā' 2) A single point under the rā'
Sīn	21	5	1) V-like shape above the $s\bar{i}n$ 2) Three dots under the $s\bar{i}n$ 3) A single point under the $s\bar{i}n$ 4) Small $s\bar{i}n$ under the $s\bar{i}n$ 5) Combination of v-like shape above and three underneath the $s\bar{i}n$
Şād	19	4	1) A single point underneath the \sqrt{sad} 2) Body of the \sqrt{sad} /complete small \sqrt{sad} underneath the \sqrt{sad} 3) V-like shape above the \sqrt{sad} 4) Combination of V-like shape above and three points underneath the \sqrt{sad}
'Ayn	20	3	1) Body of an 'ayn/complete 'ayn underneath the 'ayn 2) A line curved to the left-hand side 3) A single point underneath the 'ayn
Ţā'	15	3	1) A single point under the tā' 2) Body of the tā'/complete small tā' underneath the tā'

4.2.2. Vocalization (*shakl*)

In contrast to the normative sources,⁵⁰ most copyists do not seem to have followed any rules on providing vowel signs to particular types of words in our corpus. The majority of the manuscripts under examination are extensively vocalized—in fact, most of their texts, in general, are vocalized.⁵¹ However, two manuscripts are only partially vocalized.⁵² In three cases, the entire text is nearly unvocalized, in some of the pages we hardly find a single vocalized word.⁵³ The copyists of these three manuscripts only vocalize words that could confuse the reader. These include cases where an agent noun could be confused with the patient noun (see case 1 below), passive verbs that could be confused with the active verbs (see cases 1, 2, 3 below), a verb conjugated in the

⁵⁰ See section 4.2 above.

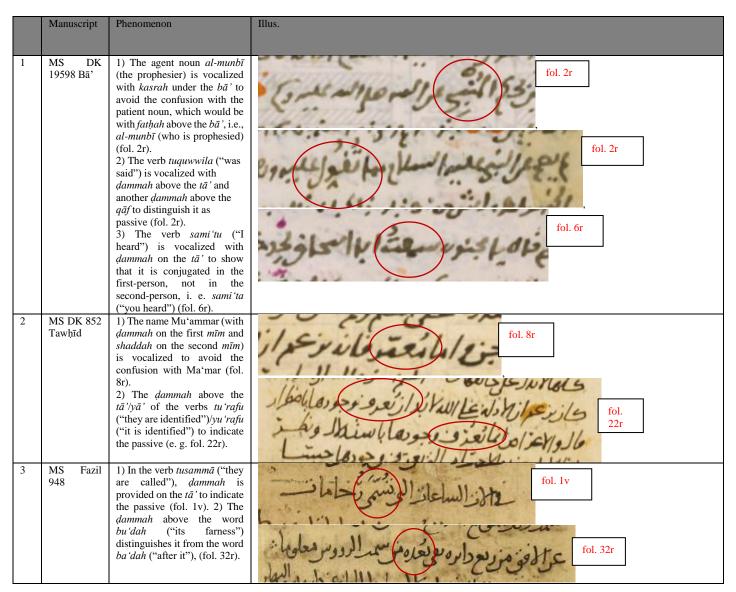
⁵¹ MS Vel. Ef. 3139; AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth; MS Car. Ef. 1508; MS Şehid 2552; MS Fazil 1507; MS Fazil 1508; MS DK 139 Naḥw, part 3; MS Fazil 1541; MS Reis 904; MS IUL A 1434; MS Lal. 1728; MS Şehid 27; MS DK 663 Tafsīr; MS Fazil 43; MS Lal. 1905; MS MRT 37 Lughah.

⁵² MS DK 41 Uṣūl Figh; MS MMMI 44, part 1 and 3; MS BA 233.

⁵³ MS DK 19598 Bā'; MS DK 852 Tawhīd; MS Fazil 948. Vocalizing the whole text seems to have extended to the sixth/twelfth century, in which al-Qāḍī 'Iyāḍ supported those who had been for vocalizing the whole text of a manuscript, reasoning that this practice is helpful for the beginner, see al-Qāḍī 'Iyāḍ, *al-Ilmā*', 149-52.

first-person could be confused with a verb conjugated in the second-person (see case 1 below), words with the same letters and only distinguishable when providing the vowel signs (the name *Mu'ammar* in case 2 below, the word *bu'dah* in case 3 below).

Table 11. Limited providing of vowel signs



The rest of this section discusses how vocalization occurs in the non-Qur'anic manuscripts of the third/ninth and fourth/tenth centuries. The vocalization in these manuscripts is almost the same as

the one used in modern Arabic writing. It differs, however, from the earlier system of vocalization found in Qurānic manuscripts, which employs coloured dots.⁵⁴

Ibn Durustawayh divides the vocalization marks into two categories. The first is *ṣuwar al-ḥarakāt wa-l-sukūn* ("the shapes of signs for vowels and vowellessness"). This category includes the *fatḥah*, *ḍammah*, *kasrah*, *waqfah/sukūn* (vowellessness). The second is *ziyādah yu'tā bihā ma'a al-ḥarf* ("an addition is used with the letter"), which includes the *tashdīd* (gemination), *tanwīnah* (nunation), *hamzah* (glottal stop), *maddah* (*alif* of prolongation), and 'alam alif al-waṣl (the mark of the connective *alif*). I discuss in detail every mark by bringing together Ibn al-Sarrāj and Durustawayh with an analysis of the manuscripts.

4.2.2.1. The shapes of the short vowel signs and the vowelless letters

In this section, I analyze the shapes of the *fatḥah*, *dammah*, *kasrah*, and *waqfah* in the normative sources and compare them with the practice in the manuscripts.

4.2.2.1.1. The *dammah*

The <code>dammah</code> is a sign of a short vowel. When the <code>dammah</code> is combined with a <code>tanwīn</code>, the sign is doubled. Ibn al-Sarrāj and Ibn Durustawayh define the place of the <code>dammah</code> to be in front of (<code>bayna yaday</code>) the letter. ⁵⁷ Ibn Durustawayh discusses the shape of the <code>dammah</code> amongst other short vowel signs and the vowelless letters:

They are marks derived from the letters of their names. The mark of the three short vowels is $r\bar{a}$ [but] without the descender in three aspects. It (the mark) is taken from the $r\bar{a}$ of [the word] *al-ḥarakah*. A sign was added to the *ḍammah*. That sign was used to distinguish between it [the *ḍammah*] and the other short vowel marks. That

⁵⁴ Ibn al-Sarrāj gave details about that system, see Ibn al-Sarrāj. "Risālah," 28-45. On this earlier system of vocalization, see Abbott, *The Rise*, 39; Déroche, *The Abbasid Tradition*, 146-52; Déroche et. al., *Islamic Codicology*, 222-3; Gacek, *Vademecum*, 288-90.

⁵⁵ Ibn Durustawayh, *al-Kuttāb*, 55.

⁵⁶ Ibn Durustawayh, *al-Kuttāb*, 56.

⁵⁷ Ibn Durustawayh, *al-Kuttāb*, 56; Ibn al-Sarrāj. "Risālah," 20.

mark was adopted from the $w\bar{a}w$; the $w\bar{a}w$ and the *dammah* share the pronunciation and the articulation of the sound.⁵⁸

Ibn al-Sarrāj describes the dammah as a small $w\bar{a}w$; the reason for this is because the dammah sounds like a $w\bar{a}w$.⁵⁹ Ibn al-Sarrāj mentions how some people in his time write the dammah due to i ' $r\bar{a}b$ (inflection) as a line in front of the letter. It then becomes two lines in the dammah as a line makes it similar to the other short vowel marks (the dammah and the dammah), but the line's positioning distinguishes it from them.⁶¹ We can conclude from Ibn al-Sarrāj and Ibn Durustawayh that we have two shapes for the dammah: the $w\bar{a}w$ -like shape and the line-like shape.

In contrast to the normative sources, in all of the manuscripts under examination, the *dammah* and the *tanwīn dammah* are placed above the letter.

In almost all of the examined manuscripts, the *dammah* is in the form of the $w\bar{a}w$ -like shape, coherent with what Ibn al-Sarrāj and Ibn Durustawayh mention. However, in one case, the *dammah* seems to be a $r\bar{a}$ '-like shape (see case 20 below). This seems to be coherent with what Ibn Durustawayh, states, i. e. that the *dammah* is initially derived from the $r\bar{a}$ '. In our manuscripts, the *tanwīn* combines with the *dammah* in a variety of ways. In one third/ninth-century manuscript and ten fourth/tenth-century manuscripts, the *tanwīn* consists of a $w\bar{a}w$ -like shape plus a small line slanted to the left side (see cases 2, 8-12, 15, 18, 19, 22, and 23). In six fourth/tenth-century manuscripts, the *tanwīn* consists of two $w\bar{a}w$ -shapes (see cases 4, 6, 7, 13, 17, and 21). In one third/ninth-century manuscript and another fourth/tenth-century manuscript, the *tanwīn* seems to consist of two $r\bar{a}$ '-like shapes. These three forms of the *dammah tanwīn* mentioned above are not mentioned in the normative sources. In one fourth/tenth-century manuscript, the *tanwīn* consists of two lines slanted to the left-hand side (see cases 3 and 20). This is in accord with what Ibn al-Sarrāj mentions. Only one fourth/tenth-century manuscript shows the *tanwīn* consisting of two lines slanted to the left-hand side (see case 14).

⁵⁸ Ibn Durustawayh, *al-Kuttāb*, 55-6.

⁵⁹ Ibn al-Sarrāj. "Risālah," 20.

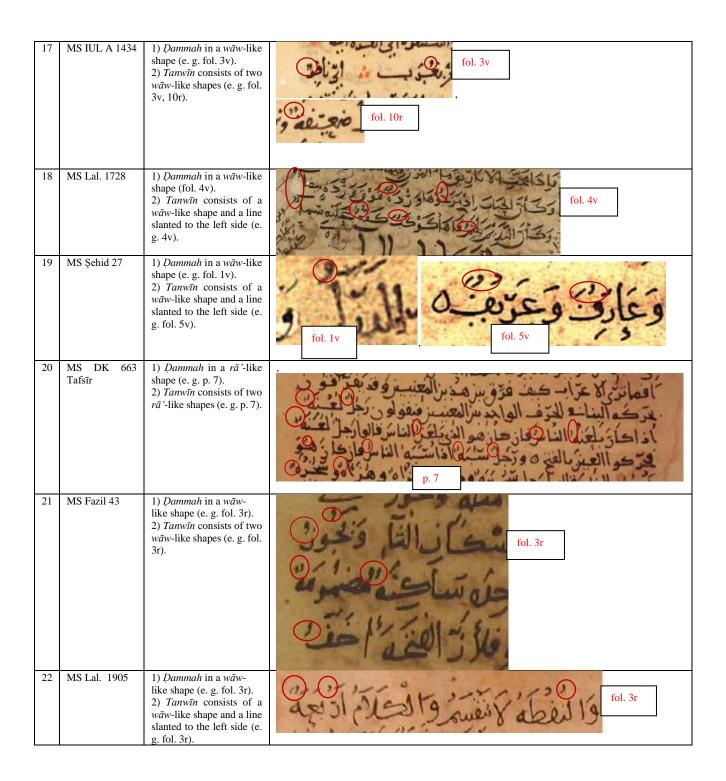
⁶⁰ Ibn al-Sarrāj. "Risālah," 20.

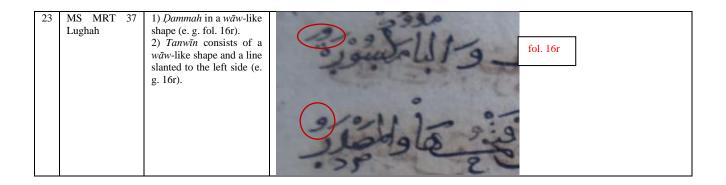
⁶¹ Ibn al-Sarrāj. "Risālah," 20.

Table 12. Dammah and the tanwīn combined with dammah

	Manuscript	Phenomenon	Samples
1	MS DK 41 Uşūl Fiqh	1) <i>Dammah</i> in a wāw-like shape (e. g. 8v). 2) No <i>tanwīn</i> found.	fol. 8v
2	MS Vel. Ef. 3139	1) <i>Dammah</i> in a <i>wāw</i> -like shape. 2) <i>Tanwīn</i> consists of a <i>wāw</i> -like shape and a line slanted to the left side (e. g. fol. 3r).	fol. 3r
3	MS MMMI 44, part 1 part 3.	1) <i>Dammah</i> in a <i>wāw</i> -like shape (e. g. part 1, fol. 1v, part 3, fol. 2r). 2) <i>Tamwīn</i> consists of two <i>rā</i> '-like shapes (e. g. part 1, fol. 2r, part 3, 3r).	part 1, fol. 1v part 3, fol. 2r part 1, fol. 2r part 1, fol. 2r part 3, fol. 3r
4	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	1) Dammah in a wāw- like shape (e. g. p. 2). 2) Tanwīn consists of two wāw-like shapes (e. g. p. 2).	p. 2
5	MS DK 19598 Bā'	1) Dammah in a wāw-like shape (e. g. fol. 2r). 2) No tanwīn found.	fol. 2r
6	MS Car. Ef. 1508	1) Dammah in a wāw-like shape (e. g. fol. 7r). 2) Tanwīn consists of two wāw-like shapes (e. g. fol. 7r).	fol. 7r
7	MS Şehid 2552	1) <i>Dammah</i> in a <i>wāw</i> -like shape (e. g. fol. 3v). 2) <i>Tanwīn</i> consists of two <i>wāw</i> -like shapes (e. g. fol. 3v).	fol. 3v
8	MS DK 852 Tawḥīd	1) Dammah in a wāw-like shape (e. g. fol. 1v, 2r, 2v). 2) Tanwīn consists of a wāw-like shape and a line slanted to the left side (e. g. fol. 4v).	fol. 1v fol. 2r fol. 2v

			fol. 4v
9	MS Fazil 1507	1) Dammah in a wāw-like shape (e. g. fol. 1v). 2) Tanwīn consists of a wāw-like shape and a line slanted to the left side (e. g. fol. 1v).	العَلَيْمِ اللهِ اللهِ وَفِعْلُو جُوفُ اللهِ وَفِعْلُو جُوفُ اللهِ وَفِعْلُو جُوفُ اللهِ وَفِعْلُو جُوفُ اللهِ
10	MS Fazil 1508	1) <i>Dammah</i> in a <i>wāw</i> -like shape (e. g. fol. 5r). 2) <i>Tanwīn</i> consists of a <i>wāw</i> -like shape and a line slanted to the left side (e. g. fol. 5r).	fol. 5r
11	MS DK 149 Naḥw	1) Dammah in a wāw-like shape (e. g. fol. 9r). 2) Tanwīn consists of a wāw-like shape and a line slanted to the left side (e. g. fol. 9r).	fol. 9r
12	MS DK 139 Naḥw, part 3	1) <i>Dammah</i> in a <i>wāw</i> -like shape (e. g. fol. 6r). 2) <i>Tanwīn</i> consists of a <i>wāw</i> -like shape and a line slanted to the left side (e. g. fol. 6r).	fol. 6r
13	MS Fazil 1541	1) <i>Dammah</i> in a <i>wāw</i> -like shape (e. g. fol. 1v). 2) <i>Tanwīn</i> consists of two <i>wāw</i> -like shapes (e. g. fol. 6r).	fol. 1v
14	MS BA 233	1) Dammah in a wāw-like shape (e. g. fol. 6r). 2) Tanwīn consists of two lines slanted to the left side (e. g. fol. 20r).	fol. 6r
15	MS Reis 904	1) Dammah in a wāw-like shape (e. g. fol. 8v). 2) Tanwīn consists of a wāw-like shape and a line slanted to the left side (e. g. fol. 8v).	fol. 8v
16	MS Fazil 948	1) <i>Dammah</i> in a <i>wāw</i> -like shape (e. g. fol. 1r). 2) No <i>tanwīn</i> found.	fol. 1v





4.2.2.1.2. The *fatḥah*

Ibn al-Sarrāj and Ibn Durustawayh explain that the *fatḥah* is placed above the letter.⁶² Ibn al-Sarrāj further explains the shape of *fatḥah*:

They made for the fath a small alif. [It is] laid down, a bit, to be different from the standing of the alif.⁶³

According to Ibn al-Sarrāj, this laid down *alif* is slanted to the left side.⁶⁴ The *fatḥah tanwīn* is not discussed in the normative sources.

The *fatḥah* in our manuscripts is as follows. The *fatḥah* is placed above the letter in all the examined cases (see table 12). This is coherent with Ibn Durustawayh and Ibn al-Sarrāj. In addition, in all of the manuscripts under examination, the shape of the *fatḥah* is a short stroke, slanted to the left (a laid down *alif*) (see the table below). Again, this is coherent with the description of Ibn al-Sarrāj.

The *fatḥah tanwīn* is written at the end of fully declined nouns with an accusative ending. In this case, an extra *alif* is usually added to the end of the noun. Our manuscripts show that the *fatḥah tanwīn* is usually added before this *alif* (see cases 2-23). Except for case 1 below, where the *fatḥah tanwīn* is placed above the extra *alif*. Additionally, as all the examined specimens show when the

⁶² Ibn Durustawayh, al-Kuttāb, 56; Ibn al-Sarrāj. "Risālah," 20.

⁶³ Ibn al-Sarrāj. "Risālah," 20.

⁶⁴ Ibn al-Sarrāj. "Risālah," 20-22.

noun ends with a $t\bar{a}$ ' $marb\bar{u}tah$, the fathah $tanw\bar{t}n$ is added above the $t\bar{a}$ ' $marb\bar{u}tah$ (see cases 1-23).

Table 13. Fatḥah and the tanwīn combined with fatḥah

	Manuscript	Phenomenon	Samples
1	MS DK 41 Uşūl Fiqh	1) Fatḥah shaped as stroke slanted to the left side above letter (fol. 8r). 2) Tanwīn consists of two strokes slanted to the left above the alif added at the end of a word or above the tā' marbūṭah (fol. 8r).	fol. 8r
2	MS Vel. Ef. 3139	1) Fatḥah shaped as stroke slanted to the left side above letter (fol. 2r). 2) Tanwīn consists of two strokes slanted to the left before the alif added at the end of a word or above the tā' marbūṭah (fol. 9v).	fol. 1v
3	MS MMMI 44, part 1, part 3	1) Fathah shaped as stroke slanted to the left side above letter (e. g. part 1, fol. 1v). 2) Tanwīn consists of two strokes slanted to the left before the alif added at the end of a word or above the tā' marbūṭah (e. g. part 3, fol. 13r).	part 3, fol. 13r
4	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	 Fathah shaped as stroke slanted to the left side above letter (e. g. p. 2). Tanwīn consists of two strokes slanted to the left before the alif added at the end of a word or above the tā' marbūṭah (e. g. p. 2). 	p. 2
5	MS DK 19598 Bā'	1) Fathah shaped as stroke slanted to the left side above letter (e. g. fol. 1v). 2) No tanwīn found.	fol. 1v
6	MS Car. Ef. 1508	1) Fathah shaped as stroke slanted to the left side above letter (e. g. fol. 7r). 2) Tanwīn consists of two strokes slanted to the left before the alif	fol. 7r

		added at the end of a	
		word or above the $t\bar{a}$ '	
		marbūṭah (e. g. fol. 7r).	
7	MS Şehid 2552	1) Fatḥah shaped as	The second second
		stroke slanted to the left	0 3 3 3 3 3
		side above letter (e. g.	The state of the s
		fol. 5r).	fol. 5r
		2) Tanwīn consists of	
		two strokes slanted to	The state of the s
		the left before the <i>alif</i>	لله و در الله عدود الله الله الله الله الله الله الله الل
		added at the end of a word or above the $t\bar{a}$	المادة والعود المال لأرا
8	MS DK 852	marbūṭah (e. g. fol. 5r). 1) Fatḥah shaped as	
0	Tawḥīd	stroke slanted to the left	9-7
	Tawina	side above letter (e. g.	
		fol. 3r).	21 21/9.
		2) <i>Tanwīn</i> consists of	37.7
		two strokes slanted to	fol. 3r
		the left before the <i>alif</i> is	101. 51
		added at the end of a	
		word (e. g. fol. 3r).	
9	MS Fazil 1507	1) Fatḥah shaped as	Q N/C
		stroke slanted to the left	
		side above letter (e. g.	
		fol. 1v, 3r).	fol. 1v
		2) Tanwīn consists of	
		two strokes slanted to	
		the left before the alif	
		added at the end of a	fol. 3r
		word or above the $t\bar{a}$ '	ول مد مسوده
		marbūṭah (e. g. fol. 1v,	A STATE OF THE STA
		3r).	生于13.30A的E的(4.00E)
10	MS Fazil 1508	1) Fatḥah shaped as	
10	WIS 1 azii 1500	stroke slanted to the left	fol. 2r
		side above letter (e. g.	
		fol. 2r, 2v).	الانكام ريلاوله
		2) Tanwīn consists of	
		two strokes slanted to	
		the left before the alif	
		added at the end of a	fol. 2v
		word or above the $t\bar{a}$ '	X 31 OX V
		marbūṭah (e. g. fol. 2r,	a me
		2v).	
11	MS DK 149	1) Fatḥah shaped as	
	Naḥw	stroke slanted to the left	
		side above letter (e. g.	0-05
		fol. 5r).	fol 5m
		2) Tanwīn consists of	fol. 5r
		two strokes slanted to	
		the left before the alif	
		added at the end of a	32 (
		word or above the $t\bar{a}$ '	
10	MG DV 10°	marbūṭah (e. g. fol. 5r).	The Partie of th
12	MS DK, 139	1) Fathah shaped as	
	Naḥw, part 3	stroke slanted to the left	
		side above letter (e. g.	3 3 3 3 3
		fol. 3r).	
		2) Tanwīn consists of	
		two strokes slanted to the left before the <i>alif</i>	fol. 3r
		added at the end of a	101101
		word or above the $t\bar{a}$	
		marbūṭah (e. g. fol. 3r).	
		000,000 (0. 5. 101. 01).	

13	MS Fazil 1541	1) Fathah shaped as stroke slanted to the left side above letter (e. g. fol. 1v). 2) Tanwīn consists of two strokes slanted to the left before the alif added at the end of a word or above the tā' marbūṭah (e. g. fol. 1v, 5v).	fol. 1v
14	MS BA 233	1) Fatḥah shaped as stroke slanted to the left side above letter (e. g. fol. 1v). 2) Tanwīn consists of two strokes slanted to the left before the alif added at the end of a word or above the tā' marbūṭah (e. g. fol. 1v).	طه المرح مرا و إهم ال تعولون الآف الما شخ قرا ها شع الما و عند و حالات مدنيا مبيد ما كمد سالخاج عرب مرد عيا هد ميا داست في عالم عدم عدد ا
15	MS Reis 904	1) Fathah shaped as stroke slanted to the left side above letter (e. g. fol. 14v). 2) Tanwīn consists of two strokes slanted to the left before the alif added at the end of a word or above the tā' marbūṭah (e. g. fol. 14v).	الرّ الله الله الله الله الله الله الله الل
16	MS Fazil 948	Fathah shaped as stroke slanted to the left side above letter (e. g. fol. 35r). No tanwīn found.	fol. 35r
17	MS IUL A 1434	1) Fatḥah shaped as stroke slanted to the left side above letter (e. g. fol. 5v). 2) Tanwīn consists of two strokes slanted to the left before the alif added at the end of a word or above the tā' marbūṭah (e. g. fol. 5v).	فه الاستان و معترث و المستان الاستان و المستان الاستان و المستان
18	MS Lal. 1728	1) Fathah shaped as stroke slanted to the left side above letter (e. g. fol. 5r). 2) Tanwīn consists of two strokes slanted to the left before the alif added at the end of a word or above the tā' marbūṭah (e. g. fol. 5r).	fol. 5r

10) fo o 1:10#	4. = 7.1.1.1	
19	MS Şehid 27	 Fatḥah shaped as stroke slanted to the left 	المناعل المناع
		side above letter (e. g.	TO THE WILLIAM CONTRACTOR OF THE PARTY OF TH
		fol. 7v).	fol. 7v
		2) Tanwīn consists of	1000 AS NEW YORK OF THE PARTY O
		two strokes slanted to	والسيداله ديدها طاهره الصوب
		the left before the alif	
		added at the end of a word or above the $t\bar{a}$	
		marbūṭah (e. g. fol. 7v).	
20	MS DK 663	1) Fatḥah shaped as	المام من الماط مرسر الداووم
	Tafsīr	stroke slanted to the left	
		side above letter (e. g. p.	عظمه و ماه و علاده
		1). 2) <i>Tanwīn</i> consists of	1. 51. 1121 11 11 23
		two strokes slanted to	
		the left before the <i>alif</i>	p. 1
		added at the end of a	
		word or above the $t\bar{a}$ '	
21	MG F 11.40	marbūṭah (e. g. p. 1).	
21	MS Fazil 43	 Fatḥah shaped as stroke slanted to the left 	
		side above letter (e. g.	
		fol. 3v).	3
		2) Tanwīn consists of	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
		two strokes slanted to	fol. 3v
		the left before the <i>alif</i> added at the end of a	
		word or above the $t\bar{a}$	رالدور حوق وطمات
		marbūṭah (e. g. fol. 3v).	
22	MS Lal. 1905	1) Fatḥah shaped as	
		stroke slanted to the left	
		side above letter (e. g.	61.0
		fol. 9v). 2) <i>Tanwīn</i> consists of	fol. 9v
		two strokes slanted to	
		the left before the alif	
		added at the end of a	
		word or above the $t\bar{a}$ '	Jaka Julian
23	MS MRT 37	marbūṭah (e. g. fol. 9v). 1) Fathah shaped as	
23	Lughah	stroke slanted to the left	
		side above letter (e. g.	
		fol. 15v).	والما
		2) Tanwīn consists of	
		two strokes slanted to the left before the <i>alif</i>	24.45
		added at the end of a	fol. 15v
		word or above the $t\bar{a}$ '	
		marbūṭah (e. g. fol.	
		15v).	

4.2.2.1.3. The *kasrah*

The *kasrah* is written in two ways, according to Ibn al-Sarrāj. The first way is similar to the *fatḥah* but with a different positioning since it is placed under the letter. ⁶⁵ The other method of drawing

⁶⁵ Ibn al-Sarrāj. "Risālah," 20-22. Ibn Durustawayh also pointed out that the *kasrah* is under the letter, see Ibn Durustawayh, *Kitāb al-Kuttāb*, 55.

the kasrah's shape is to differentiate it from the shape of the fathah. Ibn al-Sarrāj believes that the shape of the kasrah should be different from the shape of the fathah, and hence should be a laiddown *alif* but slanted to the right, not to the left. 66 Ibn al-Sarrāj prefers that second shape of *kasrah* since it avoids the reader from possibly confusing the kasrah with a fatḥah of the word underneath.⁶⁷

The two shapes Ibn al-Sarrāj mentions are traceable in the manuscripts. The first shape is equivalent to current usage and occurs in all of the manuscripts under examination (see table 14). The second shape is traced in thirteen fourth/tenth-century specimens under examination (see cases 6, 9-12, 15, 18-23). However, in contrast to Ibn al-Sarrāj, as cases 10 and 13 show, the kasrah is shaped as a horizontal line. This shaping is probably a careless form of the laid-down alif slanted to the right.

The kasrah tanwīn is not discussed in the normative sources. However, our corpus shows that the kasrah tanwīn is written in two ways. The first way consists of two strokes slanted to the left (see cases 1-23) and the second is slanted to the right (see cases 12, 15, 19, 21, and 23). It is placed under the ending of a noun.

Manuscript Phenomenon Samples DK 1) kasrah shaped as stroke slanted MS fol. 7r Uṣūl Fiqh to the left side under the letter (e. g. fol. 7v). 2) Tanwīn consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 7r). fol. 7v MS Vel. 1) kasrah shaped as stroke slanted 3139 to the left side under the letter (e. g. fol. 4r). 2) Tanwīn consists of two strokes fol. 4r slanted to the left underneath the ending of a noun (e. g. fol. 4r). MS MMMI 44 1) kasrah shaped as stroke part 1, part 3 slanted to the left side under the letter (e. g. part 1, fol. 1v, part 3, fol. 38r). 2) Tanwīn consists of two strokes slanted to the left underneath the part 1, fol. 1v ending of a noun (e. g. part 1, fol.

Table 14. Kasrah and the tanwīn combined with kasrah

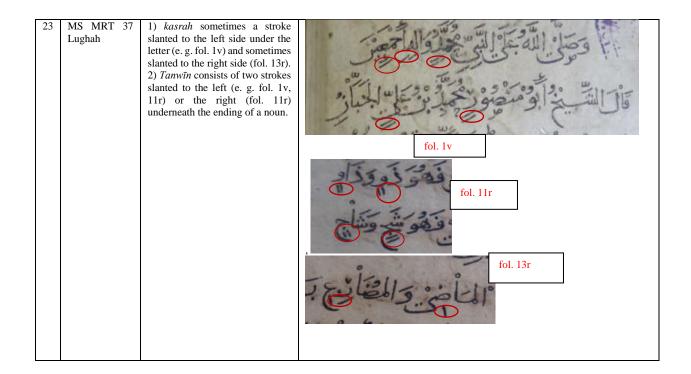
⁶⁶ Ibn al-Sarrāj. "Risālah," 20-22.

⁶⁷ Ibn al-Sarrāj. "Risālah," 20-22.

			part 1, fol. 9v
			part 3, fol. 38r
4	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	1) <i>kasrah</i> shaped as stroke slanted to the left side under the letter (e. g. p. 2). 2) <i>Tanwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. p. 2).	p. 2
5	MS DK 19598 Bā'	1) <i>kasrah</i> shaped as stroke slanted to the left side under the letter (fol. 2r). 2) No <i>tanwīn</i> found.	fol. 2r
6	MS Car. Ef. 1508	1) <i>kasrah</i> often a stroke slanted to the left side under the letter but occasionally slanted to the right side (e. g. fol. 7r). 2) <i>Tanwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 7r).	fol. 7r
7	MS Şehid 2552	1) <i>kasrah</i> shaped as stroke slanted to the left side under the letter (e. g. fol. 3r). 2) <i>Tanwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 3r).	fol. 3r
8	MS DK 852 Tawḥīd	1) <i>kasrah</i> shaped as stroke slanted to the left side under the letter (e. g. fol. 1v). 2) <i>Tanwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 27r).	fol. 1v fol. 27r
9	MS Fazil 1507	1) kasrah often a stroke slanted to the left side under the letter (e. g. fol. 1v) but occasionally slanted to the right side (e. g. fol. 9v). 2) Tanwīn consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 1v).	اما السب الما المسلم ا
			fol. 9v
10	MS Fazil 1508	1) <i>kasrah</i> often a stroke slanted to the left side under the letter (e. g. fol. 2r) but occasionally slanted to the right side (e. g. 16v) or vertical (e. g. fol. 9r, 15r, 16r).	fol. 2r

11	MS DK 149 Naḥw	2) <i>Tanwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 2r). 1) <i>kasrah</i> often a stroke slanted to the left side under the letter (e. g. 3r) but occasionally slanted to the right side (e. g. fol. 4v). 2) <i>Tanwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 3r).	fol. 9r fol. 15r fol. 16r fol. 16v fol. 3r
12	MS DK 139 Naḥw, part 3	1) kasrah often a stroke slanted to the left side under the letter but occasionally slanted to the right side (e. g. fol. 2r). 2) Tanwīn consists of two strokes slanted to the left (e. g. 2r) or the right (e. g. 6r) underneath the ending of a noun.	fol. 2r
13	MS Fazil 1541	1) kasrah often a stroke slanted to the left side (e. g. fol. 1v) under the letter but occasionally vertical (e. g. 208r). 2) Tanwīn consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 1v).	fol. 1v
15	MS BA 233 MS Reis 904	1) kasrah shaped as stroke slanted to the left side under the letter (e. g. fol. 1v). 2) Tanwīn consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 1v). 1) kasrah often a stroke slanted to the left side under the letter but occasionally slanted to the right side (e. g. fol. 2v). 2) Tanwīn consists of two strokes slanted to the left or the right underneath the ending of a noun (e. g. 2v).	المالية المالية (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)

16	MS Fazil 948	1) <i>kasrah</i> shaped as stroke slanted to the left side under the letter (e. g. fol. 4v).	والالظلامالعرف بعوس
		2) <i>Tanwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 4v).	fol. 4v
17	MS IUL A 1434	1) <i>kasrah</i> shaped as stroke slanted to the left side under the letter (e. g. fol. 6r). 2) <i>Tanwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 6r).	fol. 6r
18	MS Lal. 1728	1) <i>kasrah</i> often a stroke slanted to the left side under the letter (e. g. 2v) but occasionally slanted to the right side (e. g. fol. 13r). 2) <i>Tanwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 2v).	fol. 2v
19	MS Şehid 27	1) <i>kasrah</i> often a stroke slanted to the left side under the letter but occasionally slanted to the right side (e. g. fol. 1v). 2) <i>Tanwīn</i> consists of two strokes slanted to the left (e. g. fol. 1v) or occasionally (e. 84v) the right (underneath the ending of a noun.	fol. 13r fol. 1v fol. 84v
20	MS DK 663	1) <i>kasrah</i> often a stroke slanted to the left side under the letter (e. g. p. 2) but occasionally slanted to the right side (e. g. p. 6). 2) <i>Tamwīn</i> consists of two strokes slanted to the left underneath the ending of a noun (e. g. p. 2).	p. 2
21	MS Fazil 43	1) kasrah often a stroke slanted to the left side under the letter but occasionally slanted to the right side (e. g. fol. 1v). 2) Tanwīn consists of two strokes slanted to the left or the right underneath the ending of a noun (e. g. 1v).	fol. 1v من الفريق الشريق المالية والمالية التي المالية المالي
22	MS Lal. 1905	1) kasrah often a stroke slanted to the left side under the letter but occasionally slanted to the right side (e. g. fol. 5r). 2) Tanwīn consists of two strokes slanted to the left underneath the ending of a noun (e. g. fol. 5r).	المرهب ولع عوارية معاد المركة المعالية المركة المحقافا وحدث المركة المعالية المعالية المركة المحقافا وحدث المركة المحتوية والمحتوية والم
			fol. 5r



4.2.2.1.4. The $suk\bar{u}n$

If no vowel follows a letter, we have a situation of waqf (with the mark being called waqfah)⁶⁸ or sukūn. The letter is called al-harf al-sākin (the vowelless letter). 69 Ibn al-Sarrāj explains its original mark:

The vowelless letter does not need to be marked since the mark of its vowellessness is that it has no mark on it.⁷⁰

However, different marks are used to distinguish a vowelless letter from a vowel letter. Ibn al-Sarrāj reports that one mark, as used in Basra, is the khā' bilā ta'rīq ("khā' without its descender") above the letter. However, some scribes wrote this mark as a complete $kh\bar{a}$, according to Ibn al-Sarrāi. 22 By this khā' "they mean the first letter from the word khafīf [lit.

⁶⁸ Ibn Durustawayh, *al-Kuttāb*, 55.

⁶⁹ Ibn al-Sarrāj. "Risālah," 20-3.

To Ibn al-Sarrāj. "Risālah," 20-3.
 Ibn al-Sarrāj. "Risālah," 20-3.
 Ibn al-Sarrāj. "Risālah," 22-3. The terms "descender" is taken from Gacek, *Vademecum*, 142.

⁷² Ibn al-Sarrāj. "Risālah," 22-3.

light)]"⁷³ Ibn Durustawayh mentions another mark that is similar to the previous mark as the body of the $j\bar{\imath}m$, without the descender ($ghayr\ mu'aqqafah\ wa-l\bar{a}\ muhaqqaqah$). This mark stands for "the letter $j\bar{\imath}m$ of the word al-jazm (the apocopation)."⁷⁵ Another mark explained by Ibn al-Sarrāj is the letter $m\bar{\imath}m$, which stands for the $m\bar{\imath}m$ of musakkan. Ibn al-Sarrāj mentions that he had seen manuscripts of previous philologists who wrote $m\bar{\imath}m$ $mu'arraqah\ t\bar{\imath}ammah$ (a complete $m\bar{\imath}m$ with descender) above the letter. He also explains that writing this as an incomplete $m\bar{\imath}m$ (i. e. only writing the circle of the $m\bar{\imath}m$) had become usual practice by his time. This circle of the $m\bar{\imath}m$ is still used today as the normal sign to mark a vowelless letter.

Marking the vowelless letters in our manuscripts is as follows. I could not see any $suk\bar{u}n$ in two fourth/tenth-century manuscripts (see cases 13 and 15). The copyist probably felt that there was no need to mark the vowelless letter as mentioned above.

However, some marks are used to emphasize that a given letter is vowelless. The reader may not know whether the unmarked letter is vowelless or not, hence, providing a mark of $suk\bar{u}n$ prevents such confusion. Neither the $kh\bar{a}$ (mentioned by Ibn al-Sarrāj) nor the $j\bar{\imath}m$ (mentioned by Ibn Durustawayh) is noticed in our corpus. However, the $h\bar{a}$ '-like mark is traced in some of the specimens (see cases 9, 10, 12, 14-16, 18-20, and 22). This $h\bar{a}$ '-like mark is probably developed from the $kh\bar{a}$ '/ $j\bar{\imath}m$ by omitting the dot. Two cases show a curved line to the left-hand side above the vowelless letters (see cases 6 and 14 below). This curved lines seems to be a careless form of the $h\bar{a}$ '-like mark.

The manuscripts show that drawing the circle of the $m\bar{\imath}m$ above the letter is the most broadly used mark in third/ninth and fourth/tenth-century manuscripts (see cases 1-13, 15, 16, and 18-23). This is coherent with what Ibn al-Sarrāj mentions, as discussed above.

A mark that is used in a fourth/tenth-century manuscript but not reported in the normative sources is a v-like shape (see case 17 below). This mark is also used as an $ihm\bar{a}l$ mark (see 4.2.1.2 above).

⁷³ Ibn al-Sarrāj. "Risālah," 22-3.

⁷⁴ Ibn Durustawayh, *al-Kuttāb*, 55.

⁷⁵ Ibn Durustawayh, *al-Kuttāb*, 55.

⁷⁶ Ibn al-Sarrāj. "Risālah," 22-3.

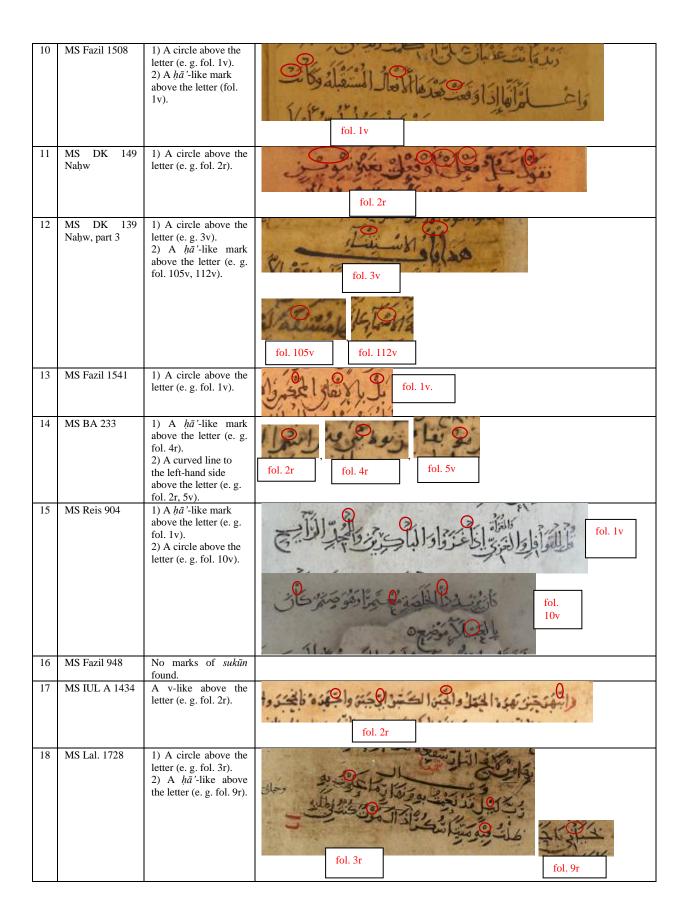
⁷⁷ Ibn al-Sarrāj. "Risālah," 22-3.

⁷⁸ Ibn al-Sarrāj. "Risālah," 22-3.

⁷⁹ Ibn al-Sarrāj. "Risālah," 21-3.

Table 15. Sukūn

	Manuscripts	Phenomenon	Samples
1	MS DK 41 Uṣūl Fiqh	1) A circle above the letter (e. g. fol. 64r, 65r).	fol. 65r
2	MS Vel. Ef. 3139	1) A circle above the letter (e. g. fol. 2v).	والفي وُعَلَى الرَّفِيةَ الْمِنْفِيةِ الْمِنْفِيةِ الْمِنْفِيةِ الْمِنْفِيةِ الْمِنْفِيةِ الْمُنْفِيةِ الْمُنْفِيةِ الْمُنْفِيةِ الْمُنْفِقِيةِ الْمُنْفِقِيقِيةِ الْمُنْفِقِيقِيةِ الْمُنْفِقِيقِيقِيقِ الْمُنْفِقِيقِ الْمُنْفِقِيقِ الْمُنْفِقِيقِيقِيقِ الْمُنْفِقِيقِيقِيقِيقِ الْمُنْفِقِيقِيقِيقِيقِيقِيقِيقِيقِيقِيقِيقِيقِي
3	MS MMMI 44, part 1, part 3	1) A circle above the letter (e. g. part 1, fol. 1v, part 3, fol. 2r).	part 1, fol. 1v
4	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	1) A circle above the letter (e. g. p. 1).	p. 1
5	MS DK 19598 Bā'	Twice, a circle above the letter (fol. 2r, 173r).	fol. 2r fol. 173r
6	MS Car. Ef. 1508	1) A circle above the letter (e. g. fol. 7v). 2) A curved line to the left-hand side above the letter (e. g. fol. 24r).	fol. 7v
7	MS Şehid 2552	1) A circle above the letter (e. g. fol. 3r).	fol. 3r
8	MS DK 852 Tawḥīd	No marks of <i>sukūn</i> found.	
9	MS Fazil 1507	1) A circle above the letter (e. g. fol. 1v). 2) A hā'-like mark above the letter (e. g. fol. 1v).	الكارضة المراق الكارضة المراق الكارضة



19	MS Şehid 27	1) A hā'-like shape above the letter (e. g. fol. lv). 2) A circle above the letter (e. g. fol. 2r, 4v).	fol. 2r fol. 4v
20	MS DK 663 Tafsīr	1) A $h\bar{a}$ '-like shape above the letter (e. g. p. 1). 2) A circle above the letter (e. g. p. 1).	p. 1
21	MS Fazil 43	1) A circle above the letter (e. g. fol. 2r).	fol. 2r
22	MS Lal. 1905	1) A circle above the letter (e. g. fol. 1v). 2) A ħā '-like shape above the letter (e. g. fol. 1v).	ومراحي معارين المقالة الماري المقالة الماري معارية المقالة الماري معارية المقالة الماري المقالة الماري الموالم الماري الموالم
23	MS MRT 37 Lughah	1) A circle above the letter (e. g. fol. 8r).	fol. 8r

4.2.2.2. The *shaddah*

According to the normative sources, the *shaddah* mark is the *shīn ghayr mu'arraqah*⁸⁰ (body of the *shīn* without its "bowl"⁸¹), derived from the word $tashd\bar{\iota}d/shad\bar{\iota}d$. This mark indicates that the letter is geminated. In all of the examined manuscripts, the body of the *shīn* is used as the *shaddah* mark without dots. As a body of the *sīn*, the *shaddah* mark has three "denticles," however these denticles are not well shaped in one of the specimens (see case 14).

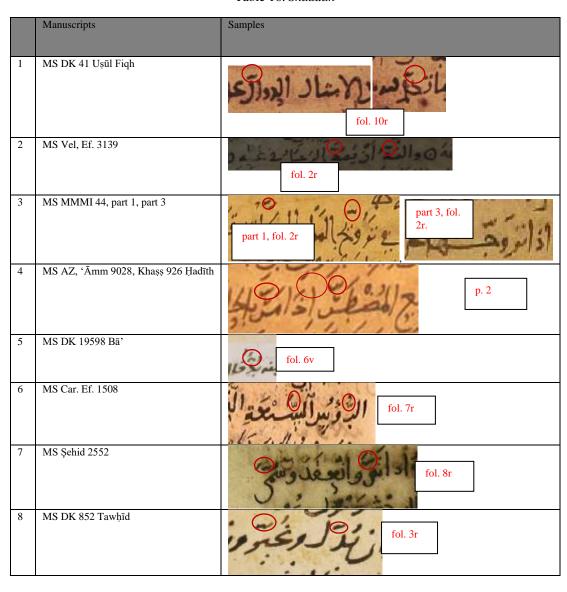


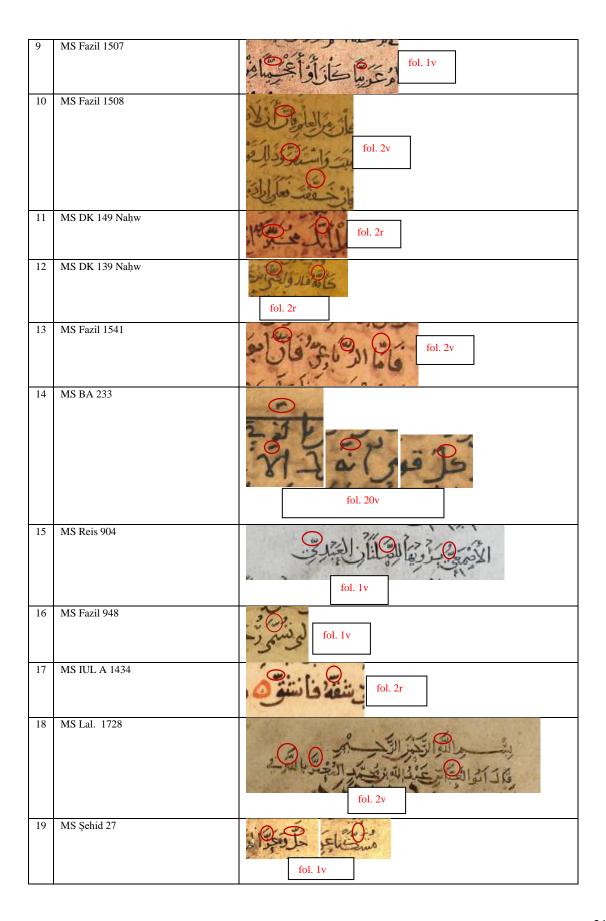
Table 16. Shaddah

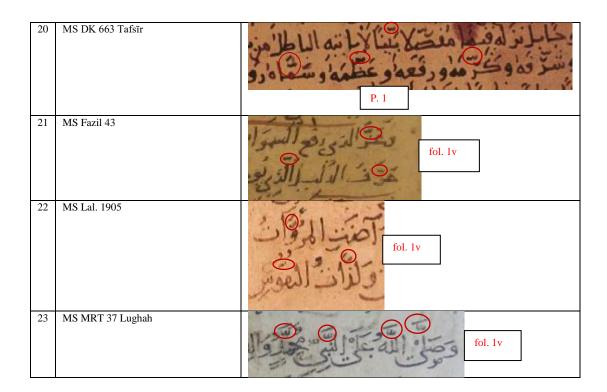
⁸⁰ Ibn Durustawayh, *al-Kuttāb*, 56.

⁸¹ The term "bowl" is taken from Gacek, *Vademecum*, 142.

⁸² Tashdīd: Ibn Durustawayh, al-Kuttāb, 56; shadīd: Ibn al-Sarrāj, "Risālat"; 22.

⁸³ Ibn Durustawayh, *al-Kuttāb*, 56.





In one case, a mark of $kh\bar{a}$ and $f\bar{a}$ is used above a letter to emphasize that the letter does not have $tashd\bar{\imath}d$. In this particular context (see illus. 4.2), the mark consists of the $kh\bar{a}$ and $f\bar{a}$ is (khiff or khaffa) above the $b\bar{a}$ to emphasize that the consonant is not geminated. This mark is not mentioned in the normative sources.

⁸⁴ MS Reis 904, fol. 24r. This mark also occurs on fol. 26r, 37r, 41r, 41v, 66v, 69r.

4.2.2.3. The *hamzah*

The *hamzah* is a matter of much discussion in Arabic grammar and orthography.⁸⁵ Here the focus is on how the *hamzah* is shaped and where it is placed.

Ibn Durustawayh explains that the *hamzah* mark was introduced by al-Khālīl ibn Aḥmad (d. 175/791)⁸⁶ and was adapted from *al-'ayn ghayr mu'aqqafah* ("the letter 'ayn without its descender") because the *hamzah* and the 'ayn share the articulation point (*mushtarikatān fī al-makhraj*).⁸⁷ He also explains that the *hamzah* is written above the *alif* (e. g. سَالَ), $w\bar{a}w$ (e. g. رُسُل) to prevent confusing the *hamzah* with these letters when they are on their own without the *hamzah*. ⁸⁸

According to Ibn Durustawayh, the *hamzah* is written on the letter in most cases (see cases 1, 3, 4, 6, 7, 9-13, 15, and 17-23). However, in our corpus, the *hamzah* is sometimes placed in other places not mentioned in the normative sources. The *hamzah* appears written before (1-4, 6, 7, 9-15, and 17-23) and after (2, 3, 8-12, 14, 15, and 17-23) the letter. In addition, the *hamzah* with *kasrah* is written under the letter (see cases 2-4, 9, 11, 12, 15, 21, and 23).

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⁸⁵ On the grammatical and orthographical aspects of the *hamzah*, see EALL, s. v. "Hamza."

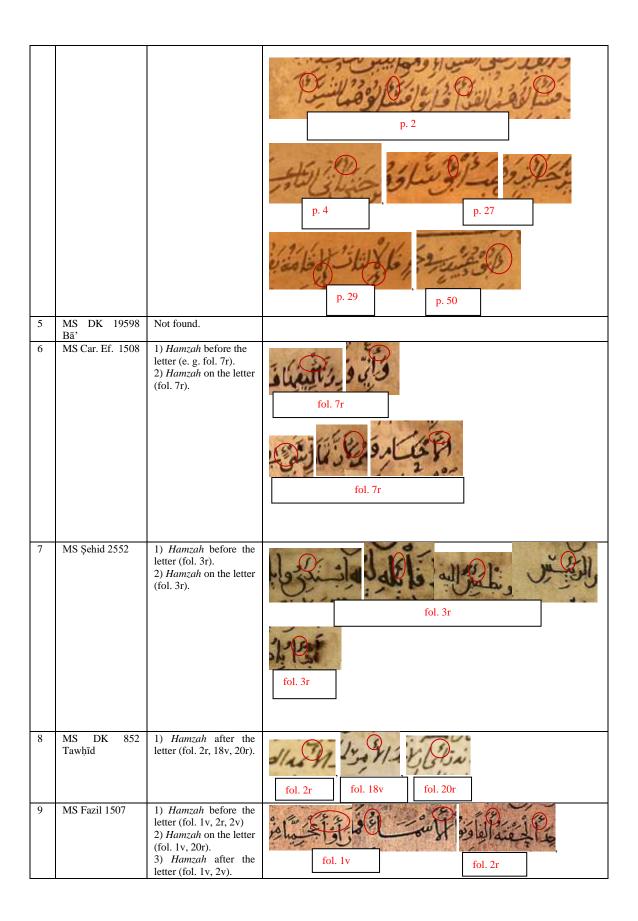
⁸⁶ Other dates of his death suggested are 170/786 and 160/776, on this and his biography, see EI², s. v. "al-Khalīl b. Aḥmad"; HAWT, vol. 1: 86-7, suppl. vol. 1: 151-3; GAS, 9: 44-8.

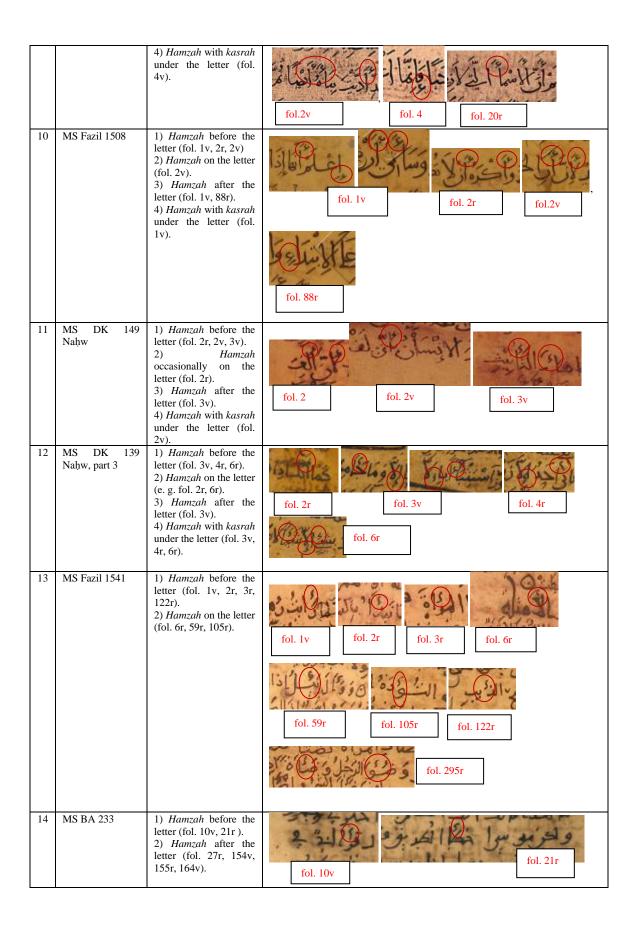
⁸⁷ Ibn Durustawayh, *al-Kuttāb*, 56; Ibn al-Sarrāj. "Risālah," 24-5.

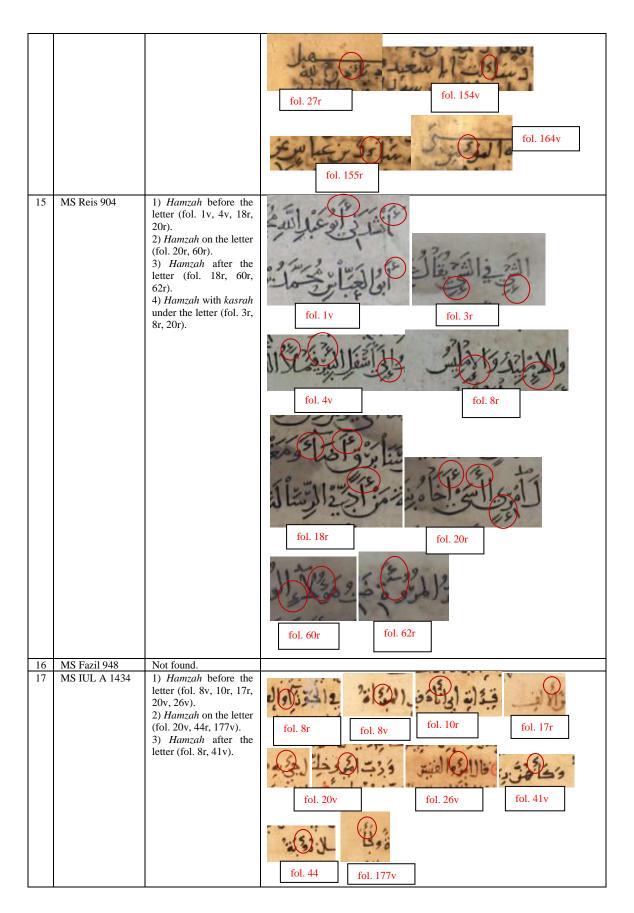
⁸⁸ Ibn Durustawayh, *al-Kuttāb*, 56.

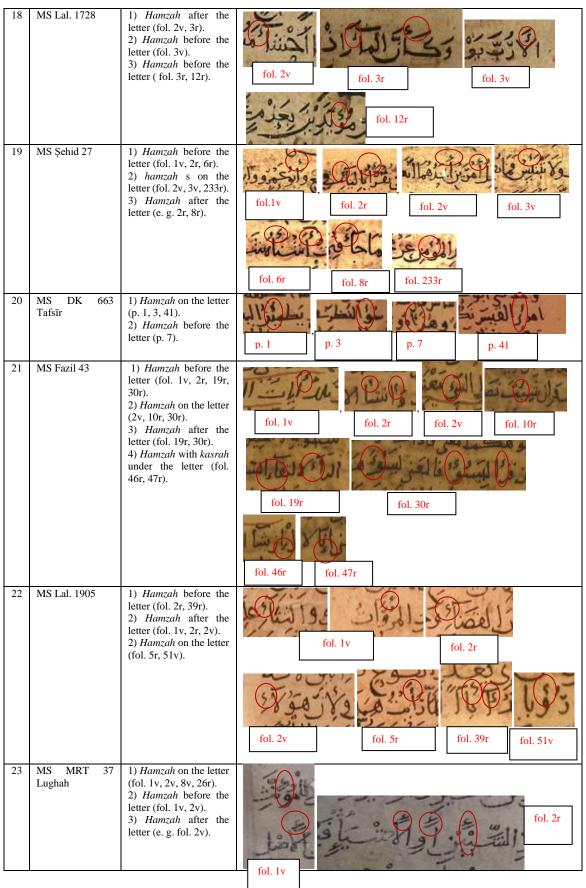
Table 17. Hamzah

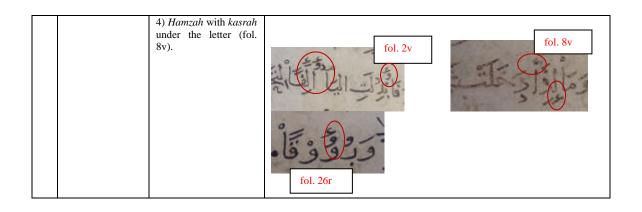
	Manuscripts	Phenomenon	Samples
1	MS DK 41 Uşūl Fiqh	1) Hamzah on the letter (fol. 10r). 2) Hamzah before the letter (fol. 15r, 21r).	fol. 10r fol. 21r
2	MS Vel. Ef. 3139	1) Hamzah before the letter (e. g. fol. 2r, 6r, 10r, 20r). 2) Hamzah with kasrah under the letter (e. g. fol. 2r). 3) Hamzah after the letter (fol. 12r).	fol. 2r fol. 2r fol. 10r
3	MS MMMI 44	1) Hamzah before the letter (part 1, fol. 1v, part 3, fol. 33v). 2) Hamzah on the letter (part 1, fol. 1v, part 3, fol. 27r, 48r). 3) Hamzah with kasrah under the letter (part 1, fol. 11v). 4) Hamzah after the letter (part 1, fol. 11v).	part 1, fol. 1v part 1, fol. 11v part 3, fol. 27r part 3, fol. 33v part 3, fol. 48r
4	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	1) <i>Hamzah</i> before the letter (pp. 2, 27, 50). 2) <i>Hamzah</i> on the letter (pp. 2, 4). 3) <i>Hamzah</i> with <i>kasrah</i> under the letter (p. 29).	p. 2











4.2.2.4. The *maddah*

The long *alif* (*al-alif al-mamdūdah*), ' \bar{a} , indicates two *alif*s. In writing, a single *alif* is written. According to the normative sources, the *maddah* distinguishes the long *alif* from the normal *alif*.⁸⁹ The normative sources mention that the *maddah* mark is created as a combination of $m\bar{\imath}m$ and $d\bar{\imath}al$, taken from the noun *al-madd*.⁹⁰ Thus this mark can also be read as *midd* (elongate), *madda* (elongated), or *madd* (elongation).

The *maddah* is utilized in our third/ninth-fourth-century manuscripts, in accord with the normative sources. It is used in two third-century manuscripts, one from the core corpus (see case 1) and the one from the secondary corpus.⁹¹ In the fourth/tenth century, the *maddah* seems to have become more common. It is found in most of the fourth/tenth-century manuscripts under examination (see cases 4, 6, 7, 9, 10, 12, 13, 15, and 17-23).

Coherent with the normative sources, the *maddah* mark being created through a combination of the $m\bar{t}m$ and $d\bar{a}l$ is noticed in our corpus (see cases 15 and 26). We can recognize this combination in other cases too, but less clearly (see cases 4, 9, 10, and 12).

However, this combination of $m\bar{\imath}m$ and $d\bar{\imath}al$, which is the original shape of the maddah, changes in some cases. Here, the maddah is shaped as a swirled line or a horizontal S (see cases 6, 7, 13, 17, 19, and 20). In one case, the maddah is shaped like a slightly curved line in the text body and shaped like a straight line with a small tail at the manuscript's heading (see case 18). In two cases, the maddah mark is shaped as a straight line or slightly sloped to the left side with a tail at its end (see case 21 below). The marks that are different from the $m\bar{\imath}m$ and $d\bar{\imath}al$ combination seem to have been used because they are easier and more practical to write than the $m\bar{\imath}m$ and $d\bar{\imath}al$.

⁸⁹ Ibn al-Sarrāj. "Risālah," 24-5; Ibn Durustawayh, al-Kuttāb, 56.

⁹⁰ Ibn Durustawayh, *al-Kuttāb*, 56. Ibn al-Sarrāj also mentioned it, see Ibn al-Sarrāj, "Risālah," 24-5.

⁹¹ For the secondary corpus, I could spot the *maddah* in MS BNF Arabe 2859 (e. g. fol. 5r, 6v, 7r, 56v), but I could not find the *maddah* in these third/ninth century manuscripts: MS Leiden Uni. Or. 298, MS MAW 1125, MS DK 2123 Hadīth.

⁹² This shape also occurred in MS BNF Arabe 2859, fol. 56v.

⁹³ The *maddah* was shaped like a slightly curved line also in MS BNF Arabe 2859, e. g. fol. 5r, 6v, 7r.

Table 18. Maddah

	Manuscripts	Phenomenon	Samples
1	MS DK 41 Uṣūl Fiqh	No mark of maddah found.	
2	MS Vel. Ef. 3139	Combination of <i>mīm</i> and <i>dāl</i> frequently above the <i>alif</i> (e. g. fol. 15r, 19v, 21r).	fol. 15r fol. 19v fol. 21r
3	MS MMMI, part 1, part 2	No mark of maddah found.	
4	MS AZ, 'Āmm 9028, Khaşş 926 Ḥadīth	Combination of $m\bar{n}m$ and $d\bar{a}l$ usually above the $alif$ (e. g. p. 2, p. 3, p. 6).	p. 2 p. 3 p. 6
5	MS DK 19598 Bā'	No mark of maddah found.	
6	MS Car. Ef. 1508	Once swirled line above the <i>alif</i> (fol. 183r).	fol. 183r
7	MS Şehid 2552	A swirled line frequently above the <i>alif</i> (e. g. fol. 4v, 5v, 7v).	fol. 4v fol. 5v
8	MS DK 852 Tawḥīd	No mark of maddah found.	
9	MS Fazil 1507	Combination of $m\bar{t}m$ and $d\bar{d}l$ sometimes above the <i>alif</i> (e. g. 8v, 9r, 36r).	fol. 8v fol. 9r fol. 36r
10	MS Fazil 1508	Either <i>mīm</i> and <i>dāl</i> or a swirled line sometimes above the <i>alif</i> (e. g. fol. 37r, 87v 79v, 144r).	fol. 37r fol. 87v fol. 79v

11	MS DK 149 Naḥw	No mark of maddah found.	
12	MS DK 139 Naḥw, part 3	Mīm and dāl but mostly a swirled line above the alif (e. g. fol. 7v, 14r, 16r, 18v, 23r, 28v).	fol. 7v fol. 14r fol. 16r fol. 18v fol. 23r fol. 28v
13	MS Fazil 1541	A swirled line usually above the <i>alif</i> (e. g. fol. 1v, 2r, 2v, 3r, 3v).	fol. 1v fol. 2r fol. 2v fol. 3v
14	MS BA 233	No mark of maddah found.	
15	MS Reis 904	A combination of clear <i>mīm</i> and <i>dāl</i> usually above the <i>alif</i> (e. g. fol. 2r, 3r, 4r).	fol. 2r fol. 3r fol. 4r
16	MS Fazil 948	No mark of maddah found.	
17	MS IUL A 1434	A swirled line usually above the <i>alif</i> (e. g. fol. 4r, 4v, 7r, 8r).	fol. 4r fol. 4v fol. 7r fol. 8r
18	MS Lal. 1728	Usually, a slightly curved line above the <i>alif</i> , but in the headings usually a straight line with a small tail (descender) at its left end above the <i>alif</i> (e. g. fol. 3r, 8r, 13r, 19v).	fol. 3r fol. 8r fol. 13r fol. 19v

19	MS Şehid 27	A swirled line usually above the <i>alif</i> (e. g. fol. 1v, 2r).	fol. 1v
20	MS DK 663 Tafsīr	A swirled line sometimes above the <i>alif</i> (p. 4, p. 23, p. 35, p. 38, p. 54).	p. 4 p. 23 p. 35 p. 38 p. 54
21	MS Fazil 43	A line straight or slightly sloped to the left side with a tail at its end above the alif (e. g. fol. 2r, 3r, 3v, 4r, 5r, 6v).	fol. 2r fol. 3r fol. 3v fol. 6v fol. 6v
22	MS Lal. 1905	A line straight or slightly sloped to the left side with a tail at its end and sometimes as a swirled line above the <i>alif</i> (fol. 1v, 2r, 2v, 3r, 3v, 4r).	fol. 1v fol. 2r fol. 3
23	MS MRT 37 Lughah	A combination of clear <i>mīm</i> and <i>dāl</i> usually above the <i>alif</i> (fol. 15v, 16r, 16v, 17r, 18r).	fol. 15v fol. 16r fol. 16v fol. 17r fol. 18r

4.2.2.5. *Alif al-wașl*

The normative sources discuss two marks for *alif al-waṣl* (also called *hamzat al-waṣl*). ⁹⁴ Ibn al-Sarrāj explains that the *alif al-waṣl* mark consists of a combination of $ṣ\bar{a}d$ and $l\bar{a}m$ (which may be read as ṣil "connect!"). He elaborates that this mark indicates that the *alif al-waṣl* is not pronounced. ⁹⁵ Ibn Durustawayh mentions that the *alif al-waṣl* is distinguished from *alif al-qaṭ* (disjunctive *alif*) by writing a $ṣ\bar{a}d$ without a descender ($ṣ\bar{a}d$ ghayr mu 'arraqah wa-lā muḥaqqaqah) above the *alif*. ⁹⁶ He explains that this $ṣ\bar{a}d$ is taken from the word *al-waṣl* (conjunction). ⁹⁷

Marking the *alif al-waṣl* was not common in the third/ninth century but becomes more common in the fourth/tenth century. I only found one occurrence in our third/ninth-century manuscripts. Interestingly, it is shaped as indicated by Ibn Durustawayh (see case 1). The *alif al-waṣl* mark, in this case, does not indicate an *alif-al-waṣl* but rather that the *alif* is not pronounced. The *alif al-waṣl* mark, in this case, is written above the *alif al-fāriqah/al-fāṣilah* (the separating *alif*). The normative sources do not discuss marking the *alif al-fāriqah*.

In accord with Ibn Durustawayh, marking the *alif al-waṣl* with a $ṣ\bar{a}d$ without a descender, this occurs in four fourth/tenth-century manuscripts (see cases 7, 12, 18, 22, and 23). The *alif al-waṣl* is also marked with the word ṣil ($ṣ\bar{a}d+l\bar{a}m$) above the *alif*, in accord with Ibn al-Sarrāj, ⁹⁹ in four fourth/tenth-century specimens (see cases 15, 19, 22, and 23). The *alif al-waṣl* is also marked by a shape like an upside-down crescent above the *alif* in two fourth/tenth-century manuscripts (see cases 12 and 19). This mark is neither mentioned by Ibn Durustawayh nor by Ibn al-Sarrāj.

Manuscripts Phenomenon Samples Case number MS DK 41 Uşūl Fiqh *Ṣād* without a descender above the separating alif (fol. 21r). fol. 21 MS Vel. Ef. 3139 Not found. MS MMI 44, part 1, part 2 Not found. MS AZ, 'Āmm 9028, Khaṣṣ Not found. 926 Hadīth

Table 19. Alif al-waşl

⁹⁴ On *hamzat al-waṣl*, see EALL, s. v. "Hamza."

⁹⁵ Ibn al-Sarrāj. "Risālah," 24-5.

⁹⁶ Ibn Durustawayh, *al-Kuttāb*, 56.

⁹⁷ Ibn Durustawayh, *al-Kuttāb*, 56.

⁹⁸ Moreover, I did not find it in these third/ ninth century manuscripts of the secondary corpus: MS BNF Arabe 2859; MS Leiden Uni. Or. 298; MS MAW 1125; MS DK 2123 Hadīth.

⁹⁹ Ibn al-Sarrāj. "Risālah," 24-5.

MS DK 19598 Bā'	Not found.	
MS Car. Ef. 1508	Not found.	
MS Şehid 2552	Occasionally, şād without descender (e. g. fol. 6v, 10r, 13v, 20v, 32v).	fol. 6v fol. 10r fol. 13v fol. 20v
IVIS Fazil 1507 MS Fazil 1508		
MS DK 149 Naḥw	Frequently, mark like an upside-down crescent above the conjunctive <i>alif</i> (e.g. fol. 5v, 6r, 7r, 8r, 12r).	fol. 5 fol. 6r fol. 8r fol. 12r
MS DK 139 Naḥw, part 3	Occasionally, <i>ṣād</i> without descender above the <i>alif</i> (e. g. fol. 2r, 2v, 4v, 7r, 8v).	fol. 2r fol. 2v fol. 4v fol. 8v
	MS Car. Ef. 1508 MS Şehid 2552 MS DK 852 Tawhīd MS Fazil 1507 MS Fazil 1508 MS DK 149 Naḥw	MS Car. Ef. 1508 MS Şehid 2552 Occasionally, şād without descender (e. g. fol. 6v, 10r, 13v, 20v, 32v). MS DK 852 Tawhīd MS DK 852 Tawhīd MS Fazil 1507 Not found. MS Fazil 1508 MS DK 149 Nahw Frequently, mark like an upside-down crescent above the conjunctive alif (e.g. fol. 5v, 6r, 7r, 8r, 12r). MS DK 139 Nahw, part 3 Occasionally, şād without descender above the alif (e. g.

13	MS Fazil 1541	Not found.	
14	MS BA 233	Not found.	
15	MS Reis 904	Occasionally, şil (şād+lām above the alif (e. g. 11V, 19r, 24r, 28v, 35v).	fol. 11v fol. 19r fol. 28v fol. 35v
			101. 241
16	MS Fazil 948	Not found.	
17 18	MS IUL A 1434 MS Lal. 1728	Not found. Five times, <i>şād</i> without descender above the <i>alif</i> (fol. 86v, 118r, 160r, 164r, 164v).	fol. 86v fol. 118r fol. 160r fol. 164v fol. 164v
19	MS Şehid. 27	Three times, şil mark (fol. 102v, 315v) and two times an upside-down crescent-like mark (fol. 273v, 274r) above the alif.	fol. 274r fol. 315v fol. 315v

20	MS DK 663 Tafsīr	Not found.	
21	MS Fazil 43	Not found.	
22	MS Lal. 1905	Six times <i>şād</i> without descender (fol. 4v, 6r, 7r, 8r, 14r, 15r) and twice <i>şil</i> (fol. 107v) above the <i>alif</i> .	f fol. 7r fol. 8r fol. 8r fol. 14r
23	MS MRT 37 Lughah	Frequently, <i>şil</i> above the <i>alif</i> (e. g. fol. 1v, 2r, 8r, 9v, 12r).	fol. 15r fol. 15r fol. 107v
			fol. 2r fol. 8r fol. 9v fol. 12r

4.3. Collation: Definition, significance, remarks, and symbols

Whoever writes a copy from a *Vorlage* of a master has to collate his copy against the *Vorlage*. That is compulsory for the correct transmission of the audited book.¹⁰⁰

The collation process is required to fix a text and make it correct and precise. ¹⁰¹ According to the normative sources, after producing a copy of a manuscript, the copyist is required to collate that copy with the *Vorlage* (*al-aṣl*). The normative sources include anecdotes that stress the importance of collation. Al-Rāmahurmuzī transmits through an *isnād* from the prominent *ḥadīth* transmitter Hishām ibn 'Urwah (d. 146/763): ¹⁰²

He said: [Once] my father asked me: Did you finish your copying? I said: Yes. [Again] He asked: Did you collate [your copy with the original]? I said: No. He said: You have not finished copying, my son!¹⁰³

This anecdote shows that collation is a crucial part of the copying process. When the collation is not achieved, the copying is considered incomplete.

Al-Rāmahurmuzī transmits through an *isnād* from Yaḥyā ibn Abī Kathīr (d. 129/746-7):¹⁰⁴ "Whoever copies without collation is like who used the latrine without cleaning himself."¹⁰⁵ This statement seems to indicate that the collation process is a way of 'cleaning' the text. During the collation, dittographies are cancelled, omitted parts are inserted, and mistakes are corrected. Therefore, the collation is necessary for correctness. Hence, according to al-Khaṭīb al-Baghdādī, it is not acceptable to transmit a copy produced during the sessions of audition of the original (i. e.

¹⁰⁰ Al-Khatīb al-Baghdādī, *al-Jāmi*, 1: 275.

¹⁰¹ For studies on collation, see p. 26.

¹⁰² On him, see Juynboll, G. H. A. *Encyclopedia of Canonical Ḥadīth*, 184-205; al-Khaṭīb al-Baghdādī, *Tārīkh*, 16: 56-67; al-Dhahabī, *Siyar*, 6: 34-47.

¹⁰³ Al-Rāmahurmuzī, *al-Muḥaddith al-fāṣil*, 544. That anecdote is also transmitted with different *isnād*s in Ibn 'Abd al-Barr, *Jāmi' bayān*, 336; al-Khaṭīb al-Baghdādī, *al-Jāmi'*, 1: 275, *al-Kifāyah*, 2: 104. There is also a similar anecdote in al-Khaṭīb al-Baghdādī, *al-Kifāyah*, 2: 105.

¹⁰⁴ On him, see al-Dhahabī, *Siyar*, 6: 27-31.

¹⁰⁵ Al-Rāmahurmuzī, *al-Muḥaddith al-fāṣil*, 544. This anecdote is also transmitted with different chains of transmitters in Ibn 'Abd al-Barr, *Jāmi* ' *bayān*, 337; al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 275, *al-Kifāyah*, 2: 104.

al- $kit\bar{a}b$ al- $masm\bar{u}$ ') until it is collated. Al-Khatīb explains that during the collation, if the collationer finds a name unpointed (' $\bar{a}t$ ilan min al- $taqy\bar{t}d$), he has to provide it with points, and if he finds a letter without vocalization that might confuse the reader, he has to vocalize it. Olarity and the correctness of a text depend on its collation. Al-Khalīl ibn Aḥmad mentions, transmitted by al-Khatīb: "If a text were copied three times [without collation] it would change into Persian on account of its many mistakes."

Al-Khaṭīb discusses the mode of collation which is carried out during the audition sessions (*majālis al-samā* '). ¹⁰⁹ In these sessions, a book is orally transmitted by its author or by a teacher who has the right to transmit it. According to al-Khaṭīb, when hearing a book in different sessions, the symbol of reaching (*al-balāgh*) is written in the student's manuscript indicating where the session ended. ¹¹⁰ Al-Khaṭīb also mentions that "he had witnessed a book by Abū 'Abd Allāh Aḥmad ibn Ḥanbal, and this book was heard by his son 'Abd Allāh, and it was noted in the margin of one of its pages: *Balagha 'Abd Allāh*." ¹¹¹ Ibn 'Abd al-Barr (d. 463/1070) quotes Ma'mar ibn Rāshid (d. 154/720), ¹¹² who believes that even if the manuscript were collated, a hundred times, a book would still include mistakes. ¹¹³ These statements indicate that there was an awareness of the importance of repeating the collation process as early as the fourth/tenth century, as a way of reducing mistakes.

The collation is also marked by dots or lines drawn inside circles representing "text dividers" between chunks of text in the manuscript.¹¹⁴ This circle originates from the writing of *ḥadīth* as we can learn from al-Rāmahurmuzī.¹¹⁵ Al-Khaṭīb explains how this circle separates two different pieces of *ḥadīth*.¹¹⁶ He also illustrates that scholars of *ḥadīth* would initially leave this circle empty, then after the collation, they would put a dot or a line inside the circle.¹¹⁷ According to al-Khaṭīb,

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¹⁰⁶ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 275.

¹⁰⁷ Al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 276.

¹⁰⁸ Al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 276.

¹⁰⁹ On this mode, see Gacek, *Vademecum*, 66-7.

¹¹⁰ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 268.

¹¹¹ Al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 269.

 $^{^{112}}$ On Ibn 'Abd al-Barr, see EI², s.v. "Ibn 'Abd al-Barr"; HAWT, vol. 1: 394-5, suppl. vol. 1: 648-9. On Ma'mar, see GAS, 1: 290. EI³, s. v. "Ma'mar b. Rāshid."

¹¹³ Ibn 'Abd al-Barr, *Jāmi' bayān*, 338.

¹¹⁴ Describing the circles as text dividers is taken from a comment by prof. Gruendler on my first-year report at BGSMCS, 2018.

¹¹⁵ Al-Rāmahurmuzī, *al-Muhaddith al-fāsil*, 606.

¹¹⁶ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 272.

¹¹⁷ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 273.

this practice is obligatory to authenticate a transmission of a scholar. A circle with a dot (or a stroke) was considered a mark of the manuscript's authenticity to such a degree that the circle itself came to be called an *ijāzah* (certificate), as two anecdotes from Abd Allāh ibn Aḥmad ibn Ḥanbal transmitted by al-Khaṭīb show:

I ('Abd Allāh ibn Aḥmad ibn Ḥanbal) saw in my father's book an *ijāzah*, and he means a circle, three times, two times, and at least once. I said to him: What do you do with it? He said: I know it if someone disagreed with me, I tell him: I have heard it three times.¹¹⁹

This anecdote indicates that providing a dot or stroke inside the text divider circle may have occurred during the audition of the book. In addition, the term *ijāzah* is used here to refer to the circle when used as a mark for collation. This use of the term *ijāzah* underscores a meaning of *ijāzah* that is different from its normal meaning, "permission to transmit a text."

Al-Khaṭīb reports wa-yuj 'alu li-l- 'arḍ qalam mu 'add ("a particular pen is specified for the collation.") ¹²⁰ Thus, the collation is expected to be written in a script different from the script of the text body.

The analysis of our third/ninth-century manuscripts is coherent with the observations of al-Khaṭīb. The expression *balagha* is noticed in two third/ninth-century manuscripts (see cases 1 and 3). This expression is written in the margin to mark where the collation stopped. Our specimens show that this tradition also extended to the fourth/tenth century (see cases 4, 7, 8, 11, 12, and 15).

Our corpus shows that some copyists used marks to indicate corrections occurring from the collation process. The collation statements in cases 9 and 10 illuminate this fact. The collationer in these cases writes at the end of each part of the manuscript that he "collated and corrected this part." However, in some cases, the collationers use the mark saḥḥ, to indicate a position where the collation process, including correcting the text, stopped (see cases 3, 9, 10, 15, 18, and 19). Both

 $^{^{118}}$ Al-Khatīb al-Baghdādī, $al\text{-}J\bar{a}mi$ ', 1: 273.

¹¹⁹ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 274.

¹²⁰ Al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 276.

marks *balaghtu* and *ṣaḥḥ* are used together in two fourth/tenth-century manuscripts under examination (see cases 11 and 15). These marks show that the collation process was mainly used to correct a text's mistakes during the copying. The normative sources presented above stresses this role in the collation process.

Coherent with the normative sources, marked circles are traced in our manuscripts. In some specimens from the third/ninth century, a dot or a stroke is provided inside the text divider circle (see cases 1, 2, and 3). This tradition extends to the fourth/tenth century, where circles are often marked with a single dot (see cases 6-15, 20, and 22). Furthermore, in cases 3, 9, and 10, the circles are simultaneously marked with both a dot and a stroke. That is perhaps because collation occurred twice. In one manuscript, some circles are provided with more than one dot (see case 13 below). Perhaps this is also because the collation process occurred more than once, as suggested in the quote by 'Abd Allāh ibn Aḥmad ibn Ḥanbal.¹²¹ In case 3 below, the circle accompanied by *balagha* occurs several times. This further indicates that the collation process was executed in several sessions.¹²²

There is an inconsistency with the specimens in marking the circles in the third/ninth and the fourth/tenth centuries. Some of the circles are left unmarked in a few manuscripts (see cases 1, 12, 13, and 14). As not many circles are left empty, this may have occurred by mistake. In case 22, the circles are mostly left unmarked. On the other hand, in case 19, all the circles were left unmarked (see case 19 below). In this particular case, it seems that the copyist did not utilize marking circles as a mark of collation. Instead, the copyist seems to have relied on other collation marks such as writing *ṣaḥḥ* in the margin and writing a note at the end of each part of the manuscript indicating that the manuscript has been collated.

Instead of marking the circles with a dot, two manuscripts are marked with a curved line penetrating the circle (see cases 4 and 21).

In some of the specimens, the marked circles are accompanied with the expression *balagha* in the margin. This illustrates that marking circles with an expression also indicates collation, which

¹²¹ Al-Khaṭīb al-Baghdādī, al-Jāmi', 1: 274.

¹²² Gacek, Vademecum, 66.

is coherent with the explanation of al-Khaṭīb al-Baghdādī on such practices (see cases 1, 3, 4, 7, 8, 11, 12, and 15). 123

Regarding case 7 below, as the manuscript is an autograph, *balagha* here is likely to have marked the positions where the author stopped while correcting his text.

In some fourth/tenth-century manuscripts, a statement at the end of the manuscript is written to indicate that a collation was executed. Writing a collation statement at the end of a manuscript is not discussed in the normative sources. The cases that were found are discussed in the following.

In one of those cases, the copyist writes: $Q\bar{u}bila\ ma'a\ aṣl\ ṣah\bar{\iota}h$ ("[the manuscript] was collated with a correct Vorlage.") ¹²⁴ The "correct Vorlage" here is likely to have been a manuscript that had been collated, read to a teacher, or audited from a teacher who has the right of transmission.

In two cases, the collation statement is attached to the colophon indicating that the manuscript was collated with a specific *Vorlage* and gives details about this. The first of these case reads:

I copied all of it [the text] from the Vorlage of Abū 'Abd Allāh ibn Muqlah... I collated [the present copy] with it, and it [the present copy] was correct. 125

The second case consists of two notes. In the first note, the copyist explains:

I copied all of that [text] from the autograph of Abū al-Ḥasan Thābit ibn Qurrah, may Allāh be pleased with him, which is in his hand... I collated with it [the present text] this autograph [of Thābit ibn Qurrah], and it was correct. And thank to Allāh.¹²⁶

In the second note, after the colophon, the copyist writes:

¹²³ Al-Khatīb al-Baghdādī, *al-Jāmi* ', 1: 273.

¹²⁴ MS Car. Ef. 1508, fol. 244v; see illus. 4.3.

¹²⁵ MS Reis 904, fol. 96v; see illus. 4.5.

¹²⁶ MS Fazil 948, fol. 45v; see illus. 4.6.

I copied it from a copy of Abū al-Ḥasan Thābit ibn Qurrah, the mercy of Allāh may be upon him, that was not in his hand. It [the present copy] was collated with this copy [of Thābit ibn Qurrah], and Allāh is gracious, it [the present copy] was correct.¹²⁷

In the collation notes discussed above, the copyist himself writes the collation statement since the hand of the statements is very similar to the hand of the text's body. However, in a fourth/tenth-century manuscript, collating and correcting are carried out by someone other than the copyist. There is a note at the end of three parts (the manuscript has four parts and there is no note in the fourth part) of this voluminous manuscript that shows that the grammarian Abū Saʻīd al-Sīrāfī collated and corrected the manuscript. One of these notes is quoted here as an example:

I collated and corrected this part until its end in 347/[988-9]. Al-Ḥasan ibn 'Abd Allāh al-Sīrāfī wrote.¹²⁹

A note on the title pages also states that al-Sīrāfī corrected the manuscript. 130

Coherent with what al-Khaṭīb states about the *qalam al-'ard*, the collation statements at the end of some manuscripts are written in a different script from the body (see cases 8 and 19). However, copyists do not seem to have always been strict with this rule, as the collation statement is written in the same script as the body in three cases. 132

The audition and reading certificates probably also play a role in collation. The normative sources do not indicate this. However, in case 5 below, no collation mark, such as marked circles or any expression of collation, is found. That was probably because the audition certificate at the end of the manuscript implicitly indicates that the manuscript was collated during the audition.

¹²⁷ MS Fazil 948, fol. 54v; see illus. 4.7.

¹²⁸ MS Fazil 1507, fol. 143v, 311r, MS Fazil 1508, fol. 171r.

¹²⁹ MS Fazil 1507, fol. 311r; see illus. 4.4.

¹³⁰ MS Fazil 1507, fol. 1r, 144r, MS Fazil 1508, fol. 1r, 172r; see illus. 3. 9.

¹³¹ See also illus, 4.4.

¹³² See illus. 4.3, 4.5, 4.6.

That audition certificate shows that the copyist, whose name is not given, heard the book from the author, Ibn Hibbān:

We heard [the book] from Abū Ḥātim, may Allāh Sublime be pleased with him, from its beginning to its end in a reading to him in 323/[934-5]. 133

As this certificate underscores, the book was read out in the presence of the author.¹³⁴ In such a style, a student reads, and the teacher (in our case, the teacher is the author) hears and gives corrections and comments on the text. This process is a very specific kind of collation. Likewise, in case 11, no collation note is found at the end of the manuscript. However, collation is likely to have occurred during the manuscript's reading as a reading certificate is recorded at the end.¹³⁵ The mark *balaghtu* is found in different places in the manuscript probably identifying the places where the reading sessions ended.

In conclusion, the normative sources stress the importance of collation. In addition, the normative sources show us the method of executing the collation. Our manuscripts show that the normative sources are broadly coherent with actual practice. However, a few details, such as writing a collation statement at the end of the manuscript, are not discussed in the normative sources but are found to have occurred in practice.

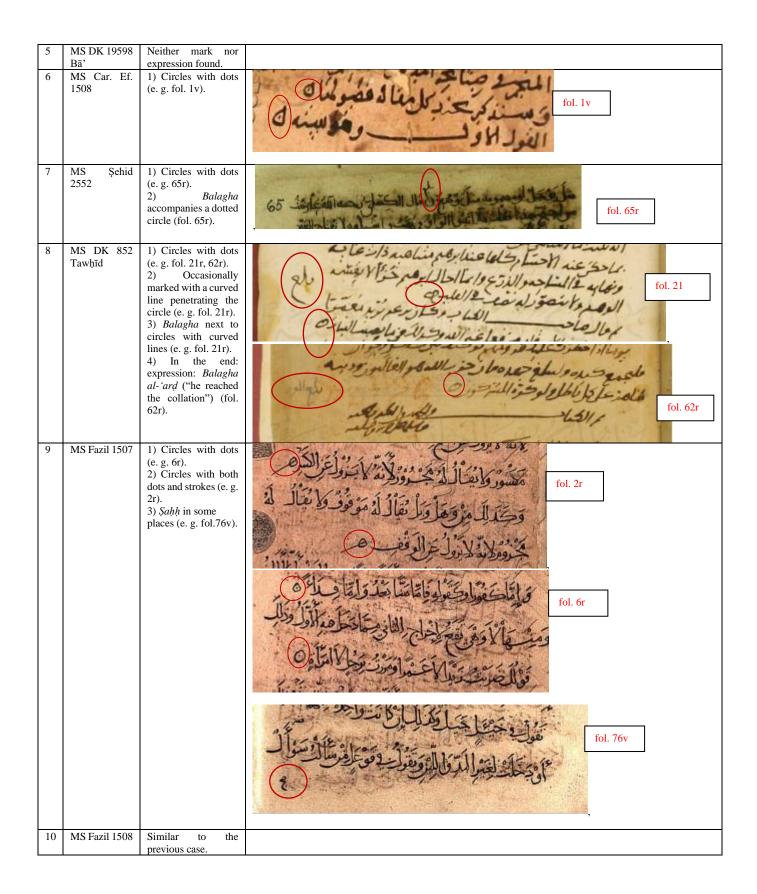
¹³³ MS DK 19598 Bā', fol. 183v; see illus. 3.61.

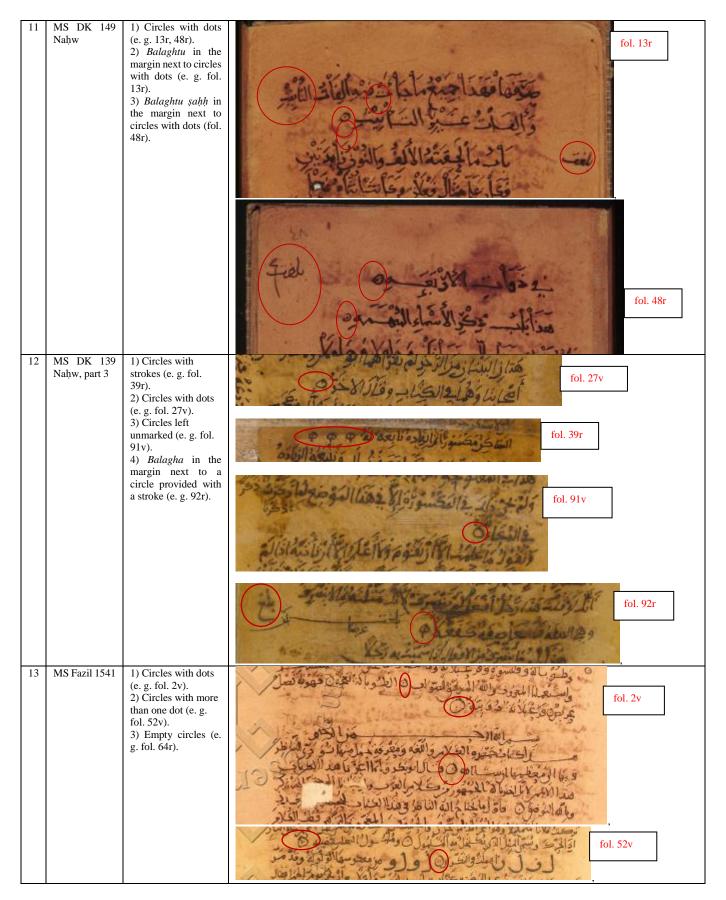
¹³⁴ This certificate is similar to the reading certificate of the third/ninth manuscript MMMI 44, part 1 and 3 discussed above, see section 3.1.11.2, note 5.

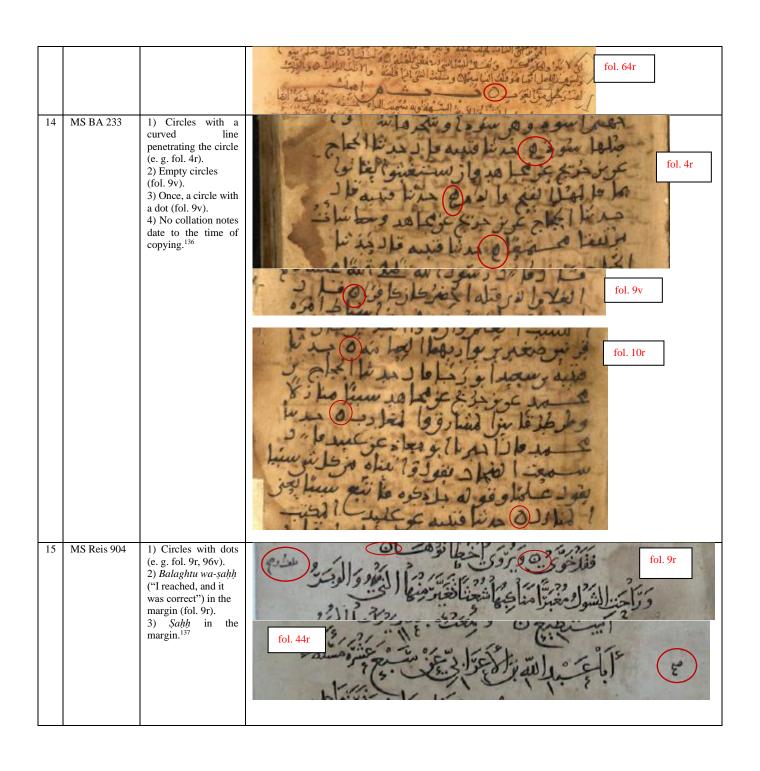
¹³⁵ MS DK 149 Nahw, fol. 99r. On this certificate see section 3.2.2.3.

Table 20. Collation

	Manuscript	Phenomenon	Samples
1	MS DK 41 Uşūl Fiqh	1) Circles with vertical strokes (e. g. fol. 9v). 2) Empty circles (e. g. fol. 9v). 3) On the same page, circles with stroke and others empty (e. g. fol. 9v). 4) Empty circles: probably left by mistake (e. g. fol. 9v). 5) Two circles with a vertical stroke accompanied by the expression balagha next to them in the right-side margin (fol. 20r).	العاقد علم وان كاورد حاا كريد فيه واعتربا علاد بواهد العالم العا
2	MS Vel. Ef. 3139, fol. 1v.	Circles with dots (e. g. fol. 1v).	fol. 1v
3	MS MMMI 44, part 1, part 3.	1) Circles with both dots and strokes (e. g. part 1, fol. 2r). 2) Saḥḥ at the end of part one before and after the last line (part 1, fol. 22r). 3) Circles with vertical strokes and balagha next to them in the margin (e. g. part 3, fol. 8v).	part 1, fol. 2r part 1, fol. 2r part 3, fol. 8
4	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	1) Circles with a small, curved line (e. g. p. 233). 2) A circle with a small, curved line and <i>balagha</i> next to them in the margin (p. 233).	p. 233





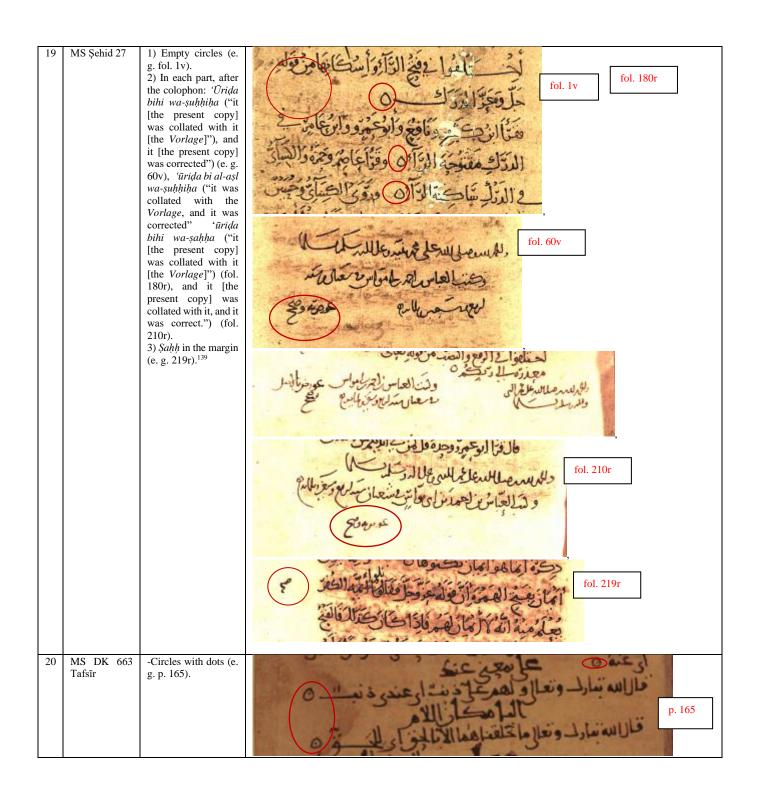


¹³⁶ All the notes found belong to time after the copying, as they are in different hand. Those are *balagha al-mu'āraḍah* ("he reached the collation"): fol. 24r, *qūbila wa-sumi'a* ("it was collated and heard"): fol. 62v, 84r, 88v, 105r, 108r, 150v, *qūbila bihi wa-sumi'a* ("it was collated with it and heard"): fol. 60r, 118r, 233r, *ṣaḥḥ* ("it was correct"): fol. 121r, 216v, *balagha* ("he reached"): 112r, 173v, *balagha al-samā* ("he reached the audition"): fol. 48v, 76v. Also, all the certificates of audition are in different hand: fol. 35v, 40r, 46r, 47r, 59r, 71v, 143v, 146v, 167v, 172v, 193v, 219v, 229r.

¹³⁷ MS Fazil 948, fol. 9r, 44r, 45r, 46.



 138 MS Lal. 1728, fol. 25r, 59r, 95v, 124v, 133v, 171r. There are two occurrences of the mark *balagha* (MS Lal. 1728, fol. 32v, 160v, 171r), but seem to be in a different hand, Also, all of the collation notes I could spot (MS Lal. 1728, fol. 7v, 101r, 102r, 125r, 118r, fol. 150v, 157v) are written in a different hand.



¹³⁹ Furthermore, the mark *balagha* is provided, but in different hand (fol. 136r, 164v, 193v, 232v, 305v, 317r, 323v, 337r, 348v, 357v) Moreover, the expression *intahat al-muʻāraḍah ilā hādhā al-mawḍi* '("The collation stopped at this position") is noted, but seems in a different hand (fol. 251v, 288v).

21	MS Fazil 43	-Circles with a curved line penetrating the circle (e. g. fol. 310r).	إلى المسريعا هنا عاصريعا القوال المريعا المناعات المالية الما
22	MS Lal. 1905	1) After fol. 27v, almost all the circles empty until fol. 314r (e. g. 27v). 2) Circles with either a dot or a curved line penetrating the circle (e. g. fol. 3r). 3) Once <i>şaḥḥ</i> in the margin (fol. 294r). 140	إلى الماري ها الماري
23	MS MRT 37 Lughah	-Circles with dots (e. g. fol. 17r).	fol. 17r

¹⁴⁰ However, saḥḥ also occurred, but in a different hand (MS Lal. 1905, fol. 27r, 27v, 103r, 151r).

4.4. Cancellation¹⁴¹

The normative sources discuss how to deal with a repeated word in a sentence. Al-Rāmahurmuzī reports that some of his teachers preferred "to cancel the second [repeated word] because the first was [already] correctly written, and the second was written by mistake." However, according to al-Rāmahurmuzī, other fourth/tenth-century scholars argued that:

The writing is a sign of what should be read. The most indicative letter and the best-shaped should be prioritized to be kept.¹⁴³

These scholars were concerned with both the meaning and aesthetic of a word; thus, they held that the more readable and the best-shaped word was more worthy of being kept.¹⁴⁴

According to the normative sources, there are two cancellation methods of physically removing the ink: the <code>hakk</code> ("rubbing out")¹⁴⁵ and the <code>mahw</code> ("ink removal"). ¹⁴⁶ Executing those two methods is not discussed in detail in the normative sources up until the fifth/eleventh century, and even here they are only mentioned in a comparative sense with striking out a word. ¹⁴⁷ Furthermore, striking out a word was preferred to rubbing it out. ¹⁴⁸ Al-Rāmahurmuzī transmits from his masters that <code>al-hakk tuhmah</code> ("the rubbing out is a suspicion"). ¹⁴⁹ What he means by this is that manuscripts that underwent some form of erasure may have been accused of not being transmitted accurately. The cancelled text may have been correct, and the cancellation a mistake. Hence, striking through a word is superior as it still allows the word to be read. Al-Khaṭīb al-Baghdādī also prefers striking out a word over its erasure. ¹⁵⁰

¹⁴¹ For studies on the cancellation, see p. 28.

¹⁴² Al-Rāmahurmuzī, al-Muḥaddith al-fāṣil, 607; This is also mentioned in: al-Khaṭīb al-Baghdādī, al-Jāmi', 1:276-7.

¹⁴³ Al-Rāmahurmuzī, *al-Muḥaddith al-fāṣil*, 607. This is also mentioned in: al-Khaṭīb al-Baghdādī, *al-Jāmi*, 1: 277.
¹⁴⁴ In the 6th/12th century, al-Qāḍī 'Iyāḍ suggested rules that help a practice of the *ḍarb* ("the deletion by means of a

horizontal line") that consider the aesthetic aspects of writing. Those rules consider the alignment of the beginnings and endings of lines and well-proportioned writing but the first and foremost is the meaning (al-Qāḍī 'Iyāḍ, al-Ilmā', 172). On the darb, see Gacek, AMT, 88; AMTS, 48; Vademecum, 48.

¹⁴⁵ Gacek, AMT, 35; "Technical practices," 58.

¹⁴⁶ Gacek, AMT, 133; "Technical practices," 58.

¹⁴⁷ Gacek, AMT, 88; AMTS, 48; *Vademecum*, 48.

¹⁴⁸ Al-Rāmahurmuzī, *al-Muhaddith al-fāsil*, 606; Al-Khatīb al-Baghdādī, *al-Jāmi*, 1:278.

¹⁴⁹ Al-Rāmahurmuzī, *al-Muhaddith al-fāsil*, 606.

¹⁵⁰ Al-Khatīb al-Baghdādī, *al-Jāmi*, 1:278.

In the sixth/twelfth century, al-Qāḍī 'Iyāḍ discusses the practice of ink removal (*al-maḥw*) as a method of cancellation. This method is not mentioned by either al-Rāmahurmuzī or al-Khaṭīb al-Baghdādī. While the rubbing out is done with a sharp tool such as a knife, the *maḥw* is done with the use of some kind of liquid, such as human saliva. Al-Qāḍī 'Iyāḍ mentions erasure by "licking the writing" (*la'q al-kitāb*).¹⁵¹

Details about striking are also discussed in the normative sources. In the fourth/tenth century, al-Rāmahurmuzī transmits from his masters that "the best way of the striking through is not to wipe out the cancelled text but to draw a good, clear line above it [the text]. It [the line] indicates its [the text] cancellation and allows reading what is underneath the line." However, this line above the cancelled word is sometimes drawn across the cancelled word itself, in this case, according to al-Qāḍī 'Iyāḍ, the cancellation is called *al-darb wa-l-shaqq*. 153

Another method of cancellation is the through $tahw\bar{t}q$. That method, is explained by al-Ghazzī and al-'Almawī, as:

Drawing a semicircle around the first and the last of the words which are to be deleted. 155

Sometimes a copyist may cancel a correct text by mistake. In such a case, according to al-Qāḍī 'Iyāḍ, he should mark the cancellation with ṣaḥḥ. 156 The ṣaḥḥ would indicate that the cancellation itself is now cancelled.

As we can understand from Ibn al-Mu'tazz, quoted by al-Khaṭīb al-Baghdādī, the cancellation is to be taken seriously by scholars when reading books. The reader is to ignore the text under the *darb* and not read it as a part of the book. Al-Khaṭīb transmitted through an *isnād* that Abd Allāh ibn al-Mu'tazz (d. 296/998) said:

¹⁵¹ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 173.

¹⁵² Al-Rāmahurmuzī, *al-Muḥaddith al-fāṣil*, 606; This is also mentioned in: Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 278. By the 6th/12th century, there were other practices, see al-Qādī 'Iyād, *al-Ilmā* ', 171.

¹⁵³ Al-Qādī 'Iyād, *al-Ilmā*', 171.

¹⁵⁴ On *al-tahwīq*, see Gacek, *Vademecum*, 48.

¹⁵⁵ Al-Ghazzī, *al-Durr*, 452; *al-*'Almawī, *al-Mu'īd*, 137. The translation is taken from Rosenthal, *The Technique*, 16. ¹⁵⁶ Al-Qāḍī 'Iyāḍ, *al-Ilmā'*, 170.

Who read a line which was stricken out [by drawing a line above it] would be treacherous because the line dams what is underneath it.¹⁵⁷

When it comes to our manuscripts, it is not easy to find examples of rubbing out (*ḥakk*) or the ink removal (*maḥw*). Firstly, access to the original manuscripts was limited. Furthermore, the examination of *ḥakk* or *maḥw* require specific technology that I did not have access to. Examples of these technology are optical imaging techniques¹⁵⁸ and multispectral imaging.¹⁵⁹ Despite this, in some specimens, certain areas do exhibit traces of rubbing out or ink removal. However, this may be due to the fact that these manuscripts were exposed to humidity and hence are not actually corrections (see cases 7, 8, and 11).

In our actual manuscripts, the second repeated text is cancelled (see cases 1, 3, 4, 6, 8-10, 13, 14, 16, 19, and 21). This is coherent with the view of some of the teachers of al-Rāmahurmuzī, as stated above. 160 However, our manuscripts also show that the first repeated text is cancelled (see cases 1, 4-12, 14, and 20). The reason for this is because the second text is sometimes more precise than the first (see cases 1, 5, 6, 8, and 20) or the second text seems to be better shaped (see cases 7, 11, 12, and 14). In a few cases, the second repeated text is at the beginning of a line to probably keep the beginning of the line clean from cancellation (see cases 4, 9, and 10). In case 5, the second repeated text is the correct one and placed at the beginning of the line. In case 11, the second repeated text is better-shaped and also placed at the beginning of the line. Hence, keeping the beginning of lines aligned seems to have been a known practice since the fourth/tenth century. However, the earliest normative sources to discuss this issue, as far as I know, is al-Qāḍī 'Iyād's al-Ilmā' in the sixth/twelfth century. He mentions the importance of keeping the alignment at the beginning and end of lines, but he gives more priority to keeping the alignment at the beginning.

 $^{^{157}}$ Al-Khaṭīb al-Baghdādī, al-Jāmi $^{\circ}$, 1:278; On Ibn al-Mu $^{\circ}$ tazz, see EI 2 , s. v. "Ibn al-Mu $^{\circ}$ tazz;" HAWT, vol. 1: 71, suppl. vol. 1: 126-8; GAS, 2: 569-71.

¹⁵⁸ Shiv, et al., "Decipherment of Written Contents."

¹⁵⁹ Bamburde and Goutam, "Question Documents Analysis."

¹⁶⁰ Al-Rāmahurmuzī, *al-Muḥaddith al-fāṣil*, 607; This is also mentioned in: Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1:276-7.

¹⁶¹ All the cases in which the second repeated text is not cancelled and occurs at the beginning of line date to the fourth/tenth century.

¹⁶² Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 172.

Our manuscripts show that some fourth/tenth-century copyists cancelled a text by drawing a line above the cancelled element (see cases 9, 15, 16, and 19). Other copyists cancelled by drawing a line through the cancelled element itself (see cases 4, 5, 7, and 8 below). However, most of the copyists (all the third/ninth and many of the fourth/tenth-century ones) use both methods concurrently (see cases 1-3, 6, 8, 10-14, 17, and 20-22).

The practice of $tahw\bar{t}q$ is also noticed in one fourth/tenth-century manuscript (see case 12 below). ¹⁶³

Although marking the cancelled cancellation is discussed in a sixth/twelfth-century normative source, $al\text{-}Ilm\bar{a}$ 'by al-Qāḍī 'Iyāḍ, 164 we can see its practice in the fourth/tenth century with cases 4 and 12. In case 12, the cancelled element is marked with sahh and the letter $kh\bar{a}$ '. The $kh\bar{a}$ ' perhaps refers to the Vorlage and stands for nuskhah. Thus, sahh and the $kh\bar{a}$ ' together perhaps indicate that the copyist, during his collation of the manuscript with the Vorlage (symbolized with $kh\bar{a}$ '), found the cancellation to be incorrect.

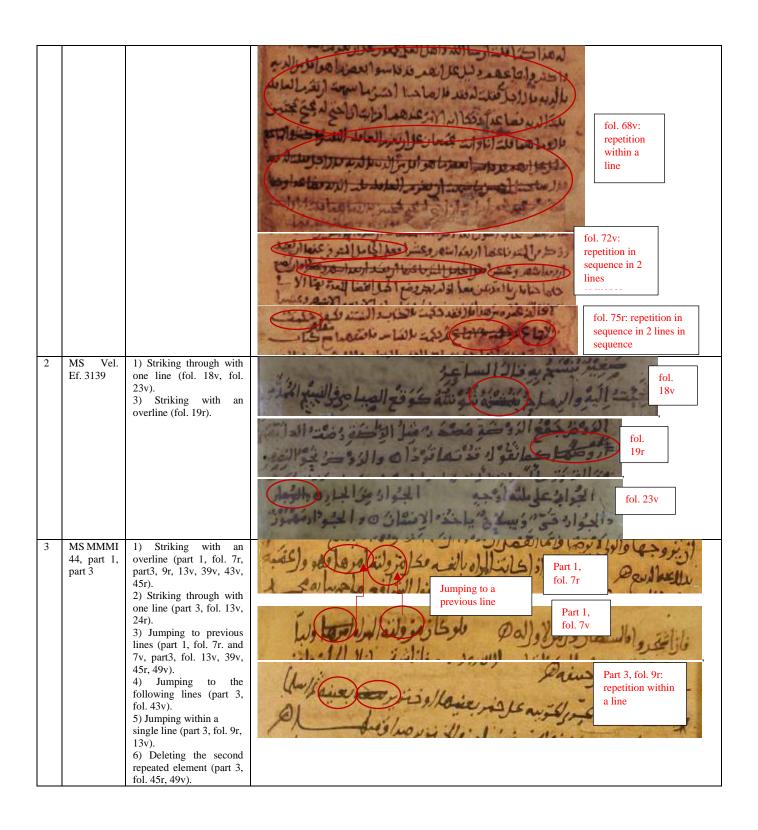
Manuscript Samples Phenomenon MS DK 41 1) Striking through with Uṣūl Fiqh one line (fol. 18v, 48v, fol. 7v: jumping 72v, 75r). to a previous line 2) Striking with an overline (fol. 7v, 68v). 3) Deleting the second fol. 18v: repetition within repeated element 18v, 68v, 72v, 75r). a line 4) Deleting the first repeated element, second more precise and fol. 48v: correct than the first (fol. repetition in 48v, 68v). sequence in 5) Jumping to previous 2 lines in lines (fol. 7v, 68v, 72v, sequence 75r). 6) Jumping to the following lines (fol. 48v). 7) Jumping within the fol. 68v: single line (fol. 18v, jumping 68v). within a line

Table 21. Cancellation

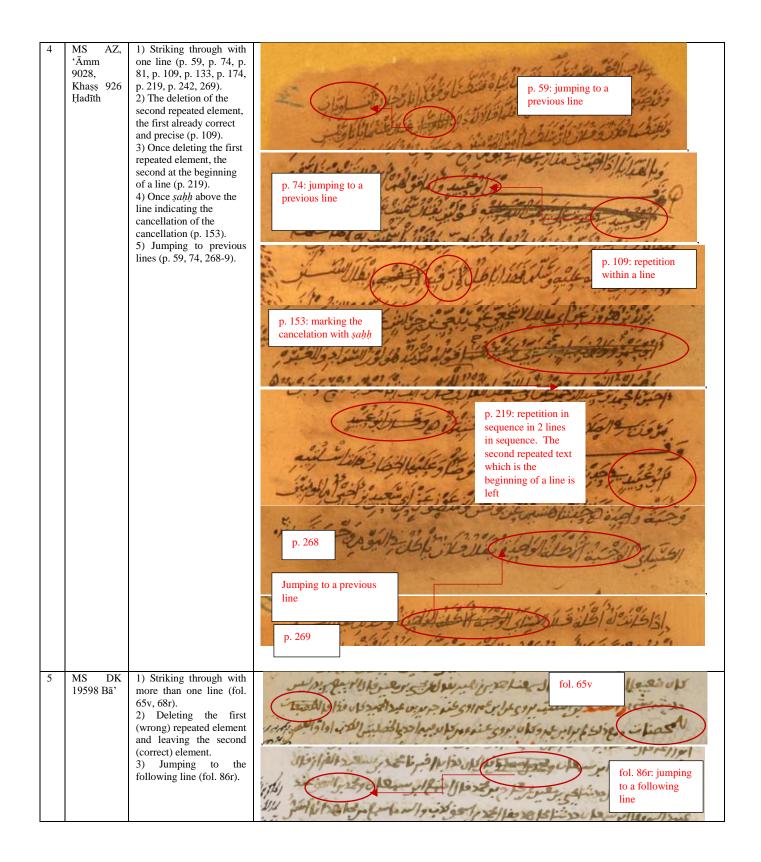
¹⁶³ MS DK 139 Naḥw, part 3, fol. 31 r, the lines: 16-18. On the taḥwīq, see Gacek, Vademecum, 48.

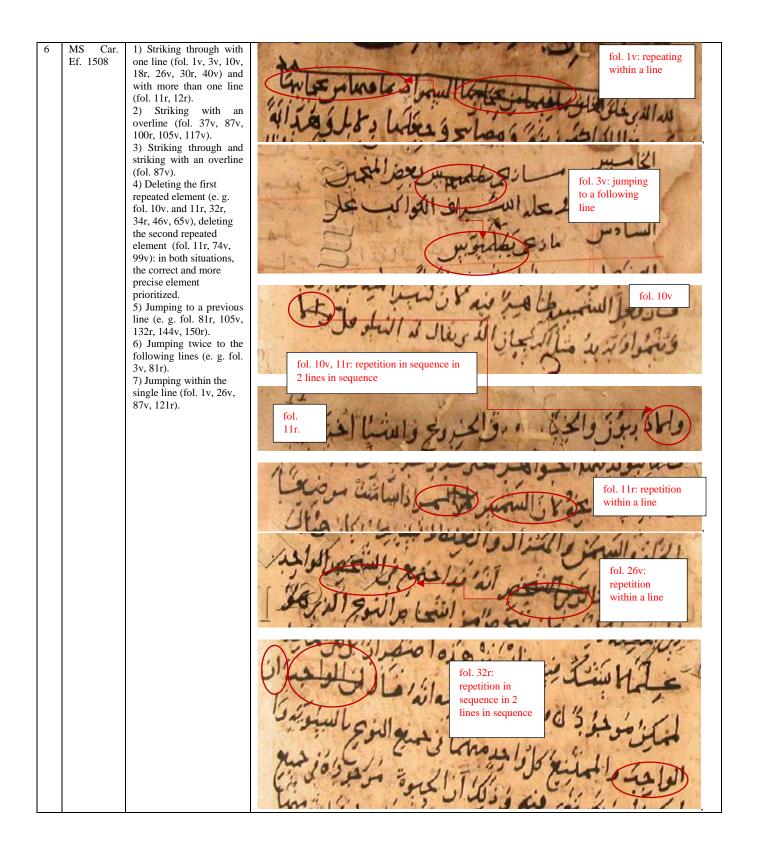
¹⁶⁴ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 170.

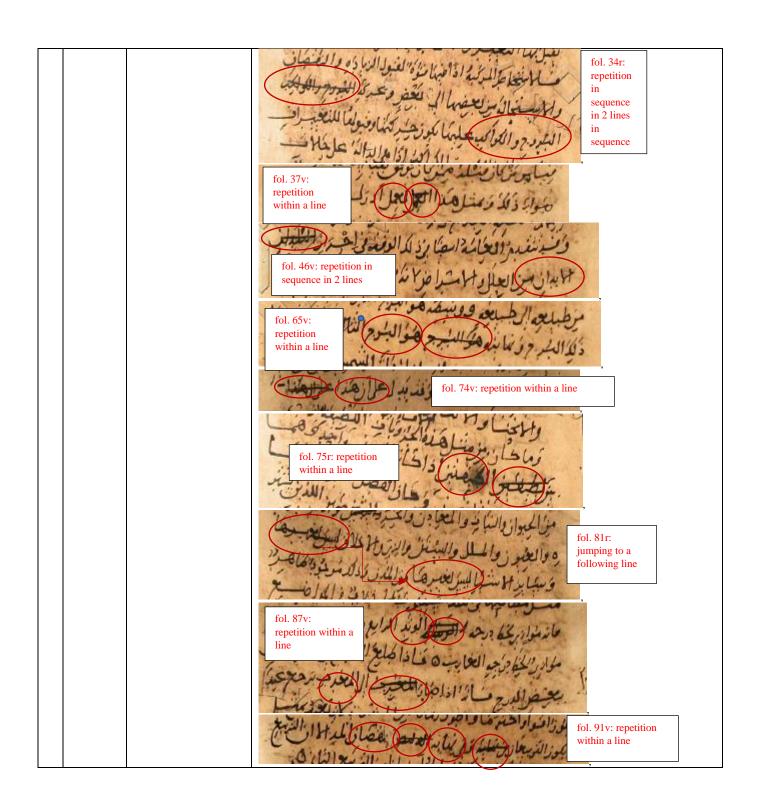
¹⁶⁵ Gacek, Vademecum, 250.

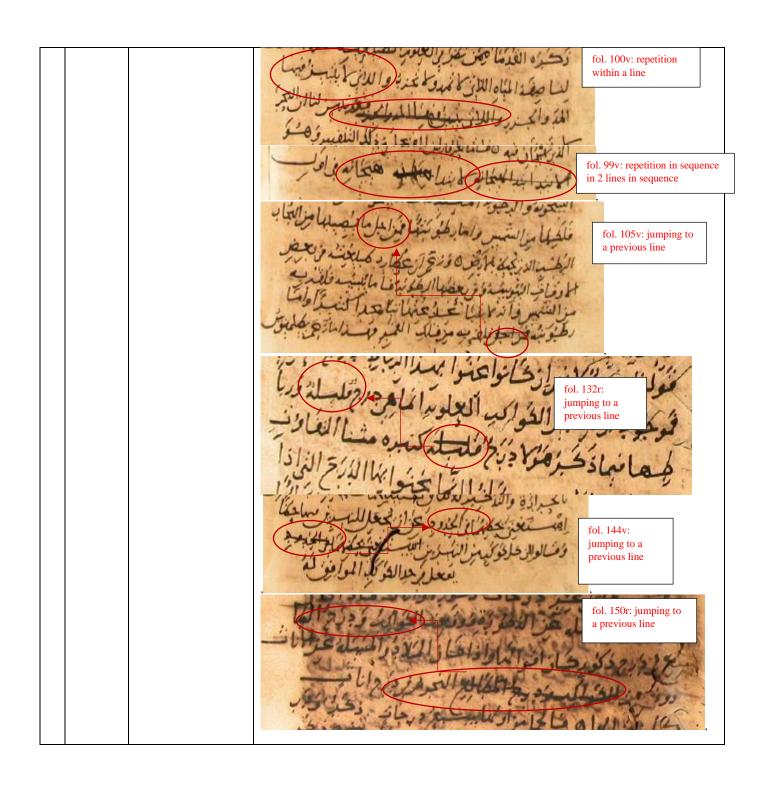


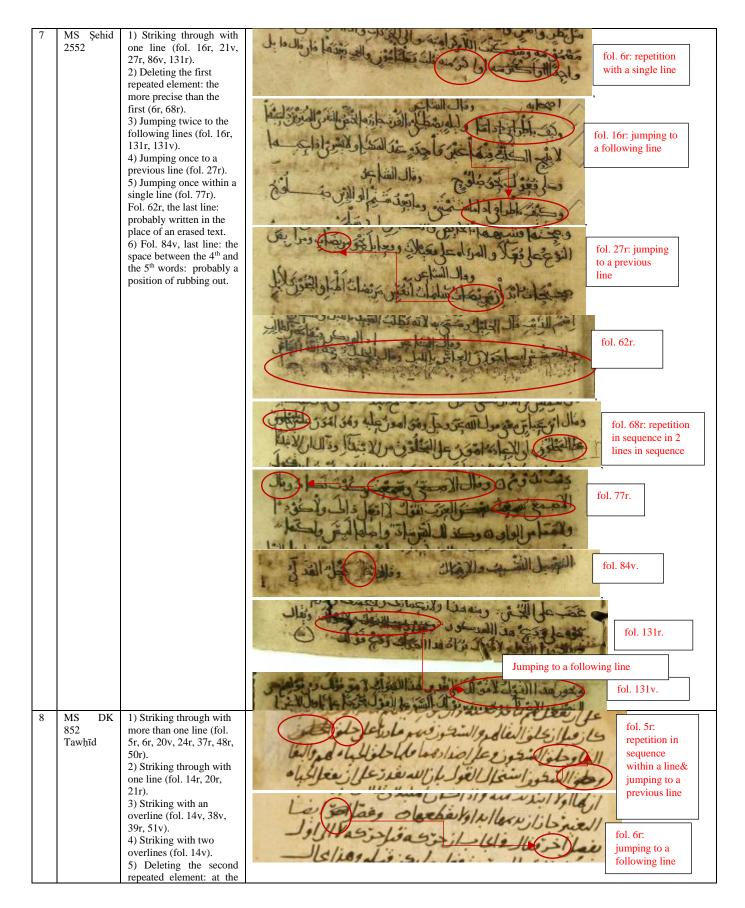


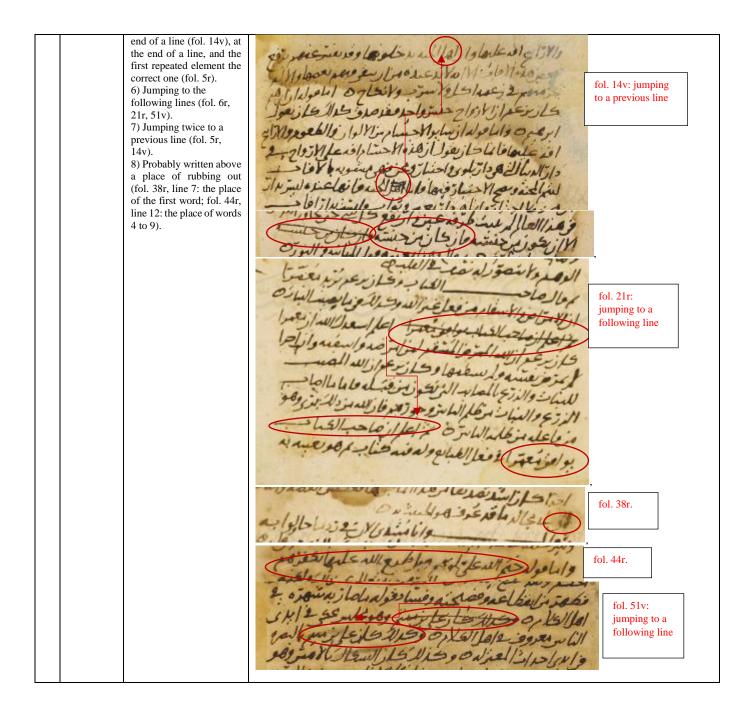


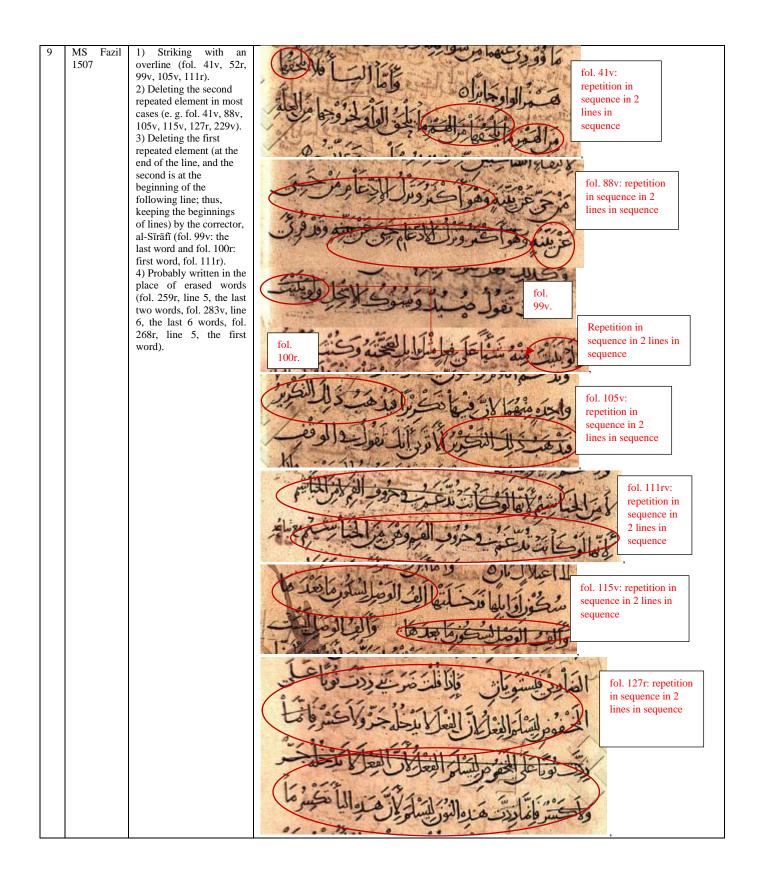


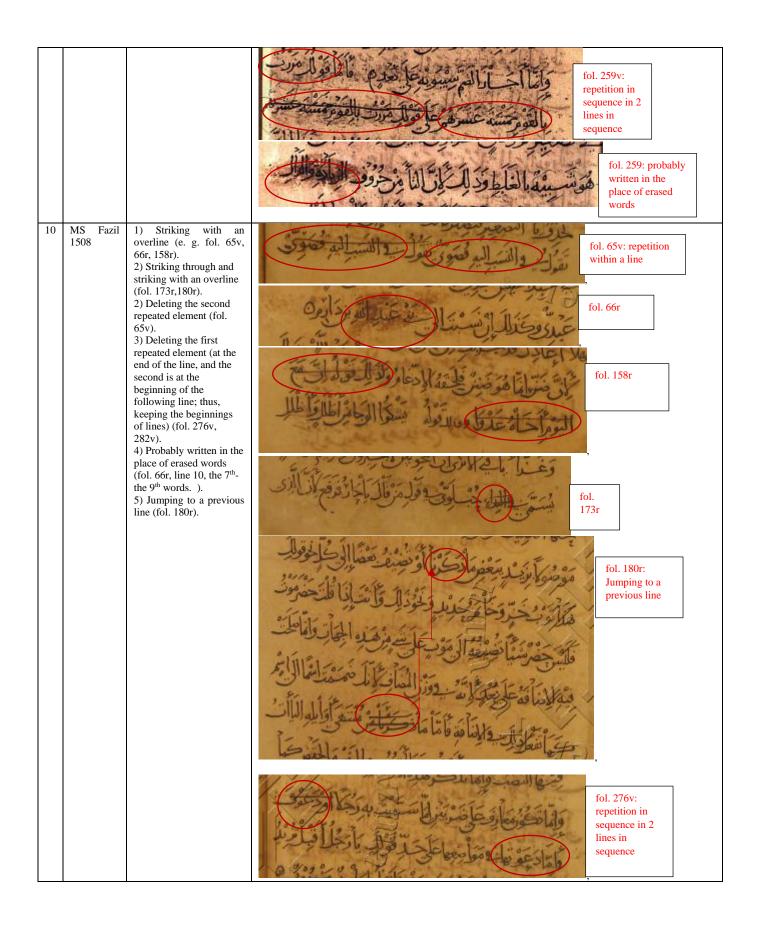


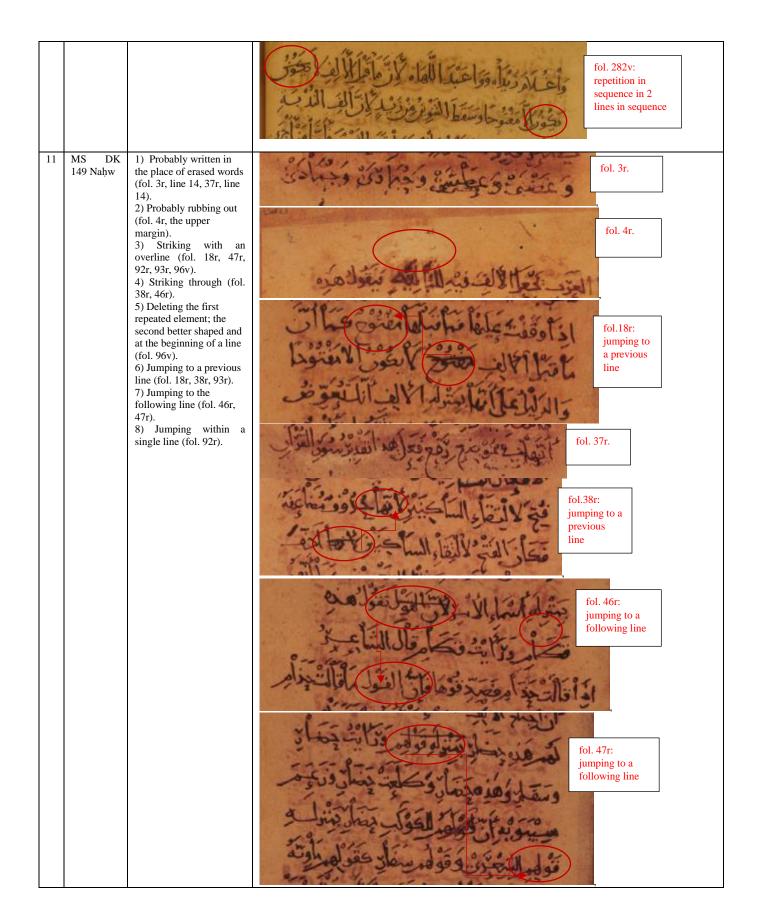


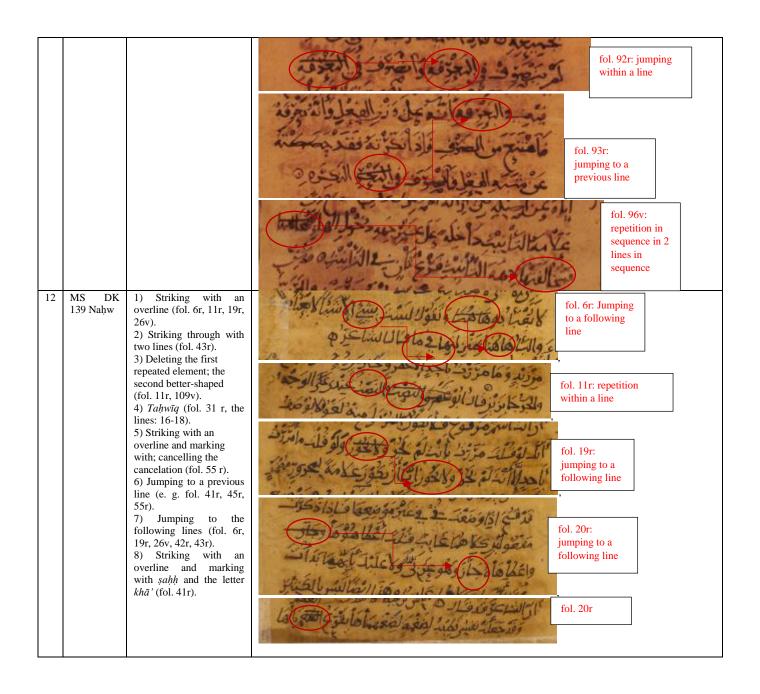


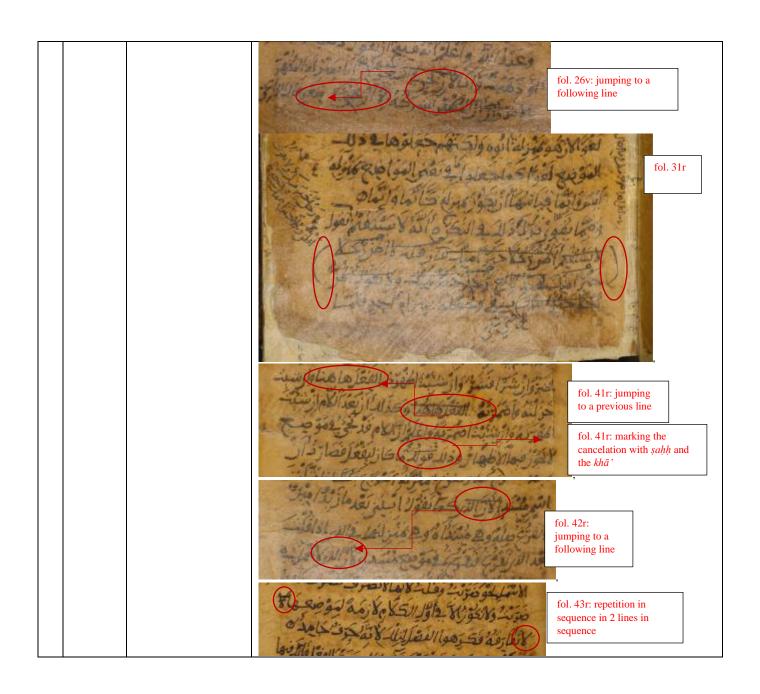


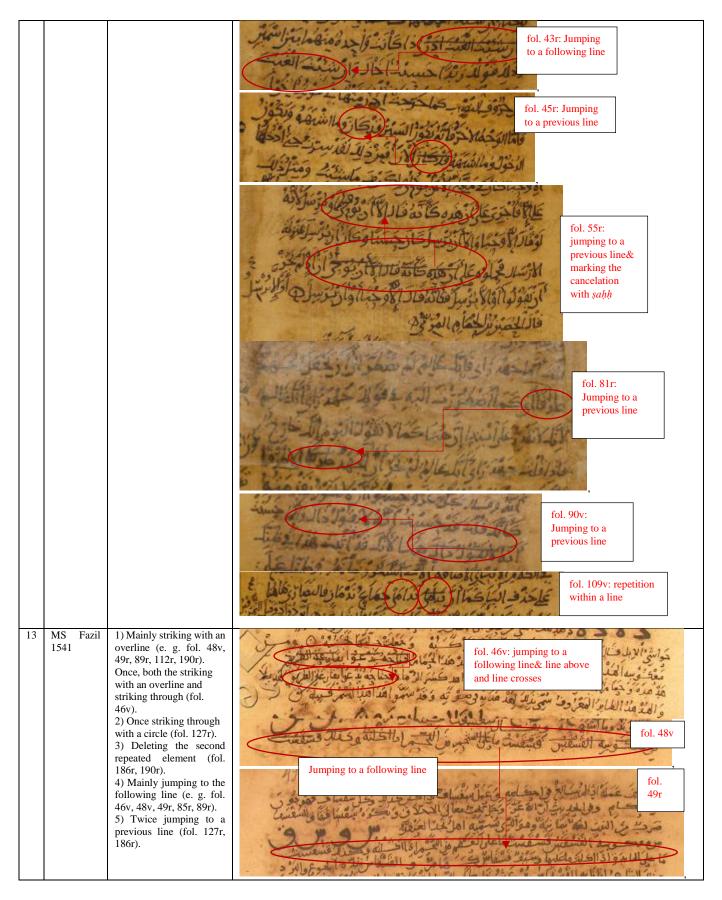


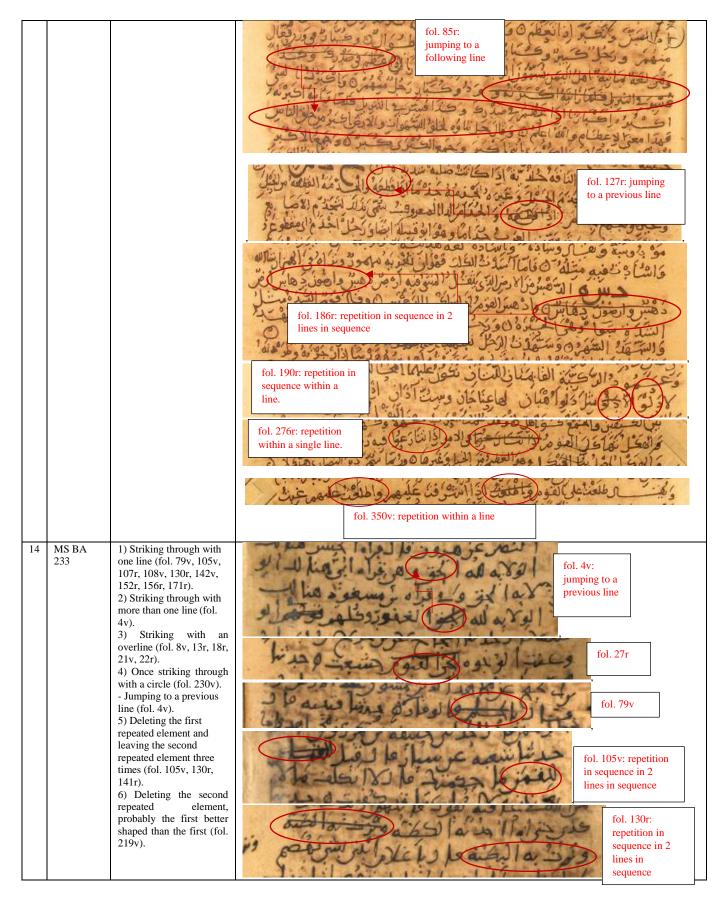


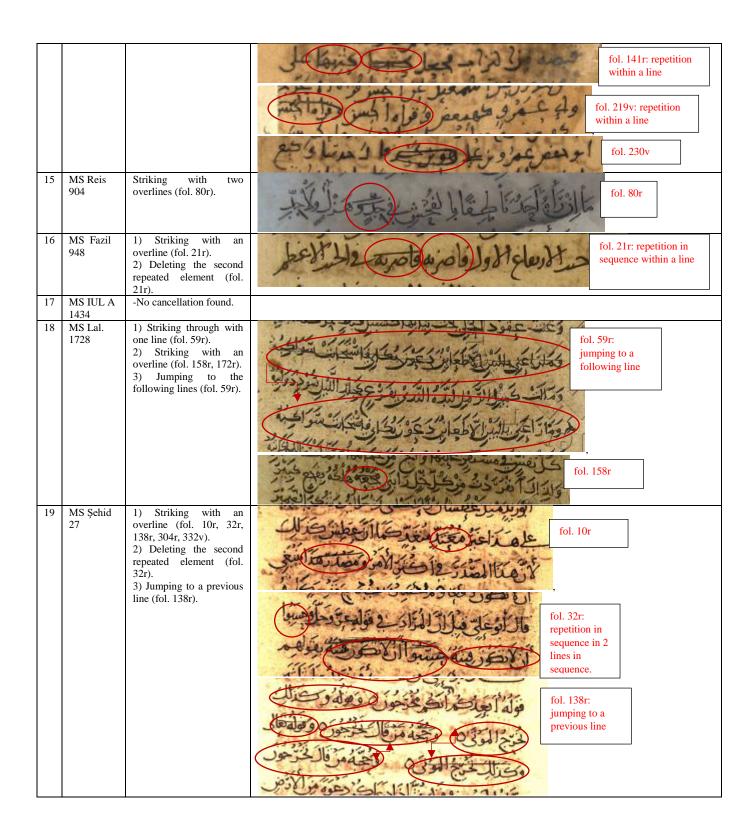


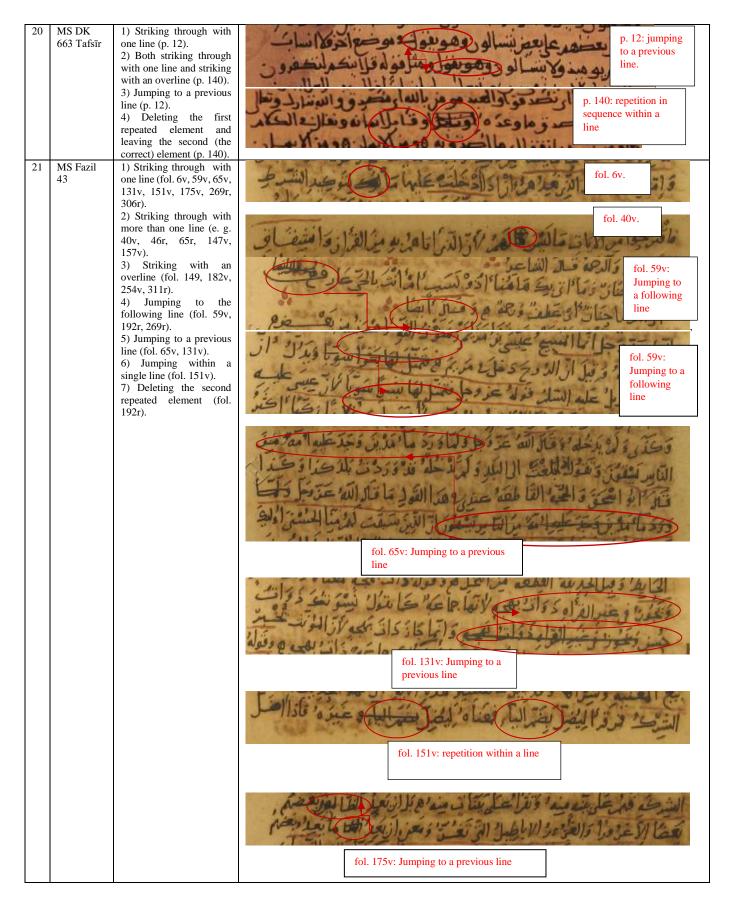


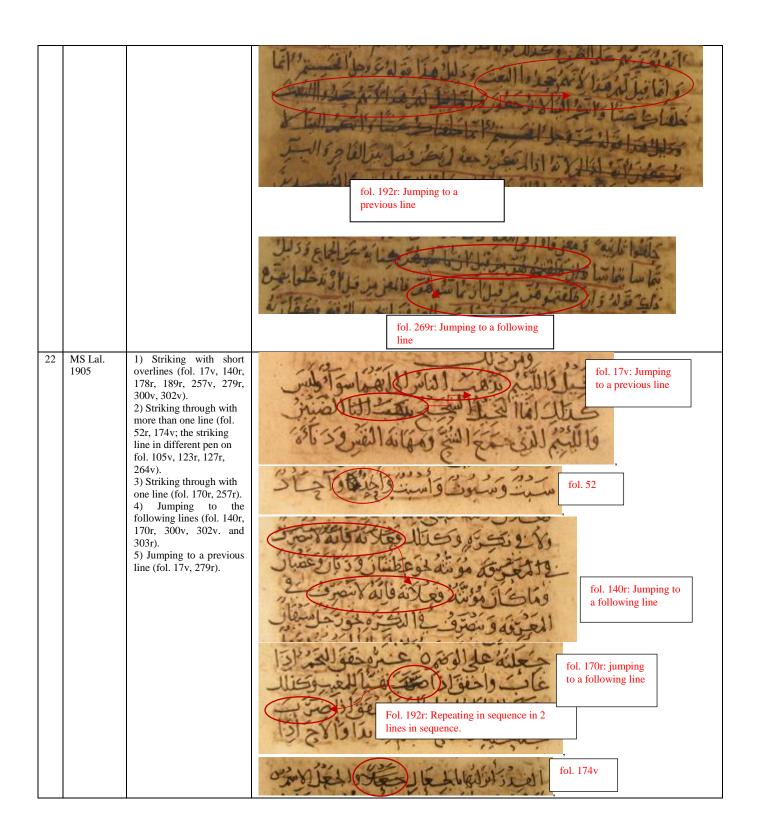


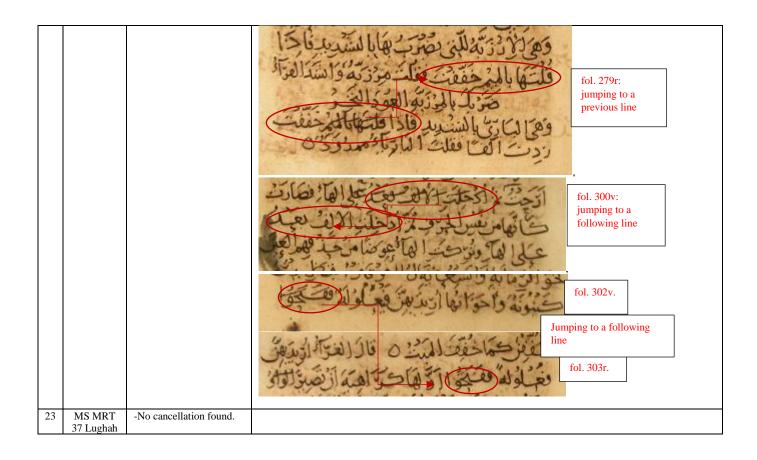












4.5. Insertion of omission

Copyists were sometimes prone to accidently skipping parts of the text during the copying process. Thus, the missed part was required to be inserted later on. The missed part was often inserted between lines or in the margin. In the fourth/tenth century, al-Rāmahurmuzī suggests *altakhrīj 'alā al-ḥawāshī*, that is writing the missed part in the margin, as the best method of inserting omitted elements. Here, al-Rāmahurmuzī seems to suggest inserting both short and long omissions in the margin. On the other hand, al-Khaṭīb al-Baghdādī suggests inserting the omitted text in the text itself and above the place where the text is missing – if space allows. Otherwise, the omission should be inserted in the margins next to the line where the omission occurred. Here

Inserting an omission in the margin includes marking the place of omission in the text itself, marking the end of the insertion in the margin, as well as organizing the insertion. These elements are discussed in the following paragraphs.

For marking the place of omission, al-Rāmahurmuzī, in the fourth/tenth century, suggests drawing a line from the omission point to the insertion in the margin. Al-Khaṭīb also transmits this suggestion without any comment on it. Hence, this practice is likely to have been in operation until the time of al-Khaṭīb in the fifth/eleventh century. In the sixth/twelfth century, al-Qāḍī 'Iyāḍ disapproves of this practice. Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍ suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍī suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍī suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍī suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍī suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāḍī suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāðī suggests the 'atfah, Instead of the connecting line, al-Qāḍī 'Iyāðī suggests the 'atfah, Instead of th

Writing a line from the place of the omission, ascending to the upper line. Then, it [the line] turns towards the insertion in the margin referring to it [the insertion]. After that, it [the insertion] begins in the margin facing the line turning between the lines.¹⁷²

¹⁶⁶ For studies on insertion of omission, see p. 28.

¹⁶⁷ Al-Rāmahurmuzī, *al-Muhaddith al-fāsil*, 606-7.

¹⁶⁸ Al-Khatīb al-Baghdādī, *al-Jāmi*, 1: 279.

¹⁶⁹ Al-Rāmahurmuzī, *al-Muḥaddith al-fāṣil*, 606.

¹⁷⁰ Al-Qādī 'Iyād, *al-Ilmā*', 162-4.

¹⁷¹ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 163.

¹⁷² Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 162.

Al-Qāḍī 'Iyāḍ disapproves of connecting the place of omission in the text with its insertion in the margin. Although he admits that this method explains (*fīhi bayān*) the place of the omission and its connection in the margin, he believes that this is akin to *taskhīm li-l-kitāb wa-taswīd* ("sooting and blackening of the book"), and in particular when there are multiple omissions. ¹⁷³ This view of al-Qāḍī 'Iyāḍ makes sense, since such a connecting line, can potentially touch words in text body, which may be seen as a *ḍarb* (see section 4.4 above).

For marking the end of the insertion in the margin, al-Rāmahurmuzī suggests copying a word from the text after the missed part at the end of the insertion of the omission in the margin. This helps to place the omission accurately.¹⁷⁴ Later, in the sixth/twelfth century, al- Qāḍī 'Iyāḍ disapproves of this practice:¹⁷⁵

A word may come to be [already] repeated twice or three times to indicate a correct meaning. Furthermore, if we repeated the word at the end of each insertion of omission, it would not be guaranteed that it [the repeated word at the end of the insertion] is similar to what is already repeated, or it [the repeated word at the end of the insertion] may be a matter of confusion, which, consequently, causes doubt or more of confusion.¹⁷⁶

Instead, al-Qāḍī 'Iyāḍ suggests writing ṣaḥḥ at the end of the insertion in the margin. Al-Qāḍī 'Iyāḍ also mentions that some of his contemporaries wrote ṣaḥḥ wa-raja 'a ("it was correct and went back"), and others wrote intahā al-laḥaq ("the insertion of omission ended"). These expressions distinguish the insertion of omission from any other marginal note such as a comment or an explanation. If a copyist were to copy a manuscript containing omitted insertions in its margins, he would supposed be able to identify them based on the expression ṣaḥḥ or the like. He

¹⁷³ Al-Qādī 'Iyād, al-Ilmā', 164.

¹⁷⁴ Al-Rāmahurmuzī, al-Muhaddith al-fāsil, 606-7.

¹⁷⁵ Al-Qādī 'Iyād, *al-Ilmā*', 162-3.

¹⁷⁶ Al-Qādī 'Iyād, *al-Ilmā*', 163.

¹⁷⁷ Al-Qādī 'Iyād, *al-Ilmā*', 162-3.

¹⁷⁸ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 162.

would then be able to include them in the text body in his new copy. The expression ṣaḥḥ waraja 'a, mentioned above, indicates returning the omitted insertion to its rightly place in the text.

The omitted insertions in the margin should be organized, especially when they are long. This organization prevents confusion and keeps the margins well-shaped, especially if there are multiple insertions. Organizing the margins is not discussed in the normative sources until after the fifth/eleventh century. In the sixth/twelfth century, al-Qāḍī 'Iyāḍ suggests that insertions should be placed in the right-hand margin if possible; if there is another omission on the same line, the omission can be placed in the left-hand margin. ¹⁷⁹ According to al-Qāḍī 'Iyāḍ, if the first omission is placed in the left-hand margin and another omission occurs and is placed the right-hand margin, the 'atfah of the first omitted insertion faces the 'atfah of the second omitted insertion and hence, the text between them looks like a cancelled text. ¹⁸⁰ In addition, al-Qāḍī 'Iyāḍ explains that if the omission is at the end of the line it must be inserted in the left-hand margin "because the place of the omission is close to the insertion of the omission" (*li-qurb al-takhrīj min al-laḥaq*). This facilitates finding the insertion of omission quickly. Furthermore, there is no possibility of any other omissions on this line. ¹⁸¹ Concomitantly, an omission at the beginning of the line should be inserted in the right-hand margin. ¹⁸²

Concerning organizing the direction of the lines of the omitted insertions in the margins, al-Qāḍī 'Iyāḍ suggests drawing the lines ascending upwards. This would leave space in the margin for any omission that may occur in the following lines.¹⁸³

In the manuscripts under examination, when an omission consists of a few words, in most cases, it is inserted between the lines above the place of omission. It is sometimes marked with an 'atfah, i. e. a curved line marking the place of omission in the line (see cases 3, 4, 7, 9-12, 15, and 19) or sometimes without (see cases 1-3, 6, 8, 10, 13-16, and 18-21). Marking the place of

¹⁷⁹ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 163-4.

¹⁸⁰ Al-Qādī 'Iyād, *al-Ilmā*', 163-4.

¹⁸¹ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 164.

¹⁸² Al-Qādī 'Iyād, al-Ilmā', 164.

¹⁸³ Al-Qādī 'Iyād, al-Ilmā', 163.

¹⁸⁴ On the 'atfah, see AMT, 100; Gacek, Vademecum, 250.

¹⁸⁵ In the insertion in the margin, as explained below, the 'atfah's line points to the margin in which the omission is inserted. The earliest source, as far as I know, mentioned the 'atfah is al-Qāḍī 'Iyāḍ, al-Ilmā', 163-4 in the sixth/twelfth century.

the omission with an 'atfah seems to have occurred when defining the place of the omission is difficult as the space between the words is very narrow.

An insertion in the margin is exercised in most of the manuscripts under examination, except for case 23, in which neither short nor long omissions occur.

Some of the specimens show variances from the aforementioned common practice. In three cases, part of the omission is inserted between lines, and the rest is inserted in the margin (see cases 1, 3, and 10). The copyist seems to have begun writing the insertion between the lines, but realized that the omission was long, and hence continued to insert the rest of the omission in the margin. Another practice is inserting the omission at the beginning or end of the line when the omission is at the beginning or end of the line. In case 9, the omission is inserted at the beginning of a line, which causes the line to stretch to the right margin. Similarly, a few omissions are inserted at the end of a line, stretching the line to the left margin (see cases 3, 5, 8, 10, 12, and 19).

To avoid confusing the connecting line with the overline of cancellation (see section 4.4 above), a third/ninth-century copyist marks the connecting line with the word sahh. This is because the connecting line touches the top of some of the words, which may lead a reader to assume it to be a cancellation line. ¹⁸⁶

We can conclude from the normative sources presented above that there are two ways of connecting and referring to an omitted insertion in the margin: 187 with a connecting line (from al-Rāmahurmuzī) or with an 'atfah (from al-Qāḍī 'Iyāḍ). When we look at the manuscripts under examination, the 'atfah is utilized far more than the connecting line. The connecting line is used in one third/ninth-century manuscript (see case 1), but the 'atfah is used in most manuscripts under examination (see cases 3-22). The copyists seem to have preferred the 'atfah since it does not lead to confusion in the way a connecting line potentially can, as explained above.

Marks that are not discussed in the normative sources to define the omission place are found in the some fourth/tenth-century specimens. ¹⁸⁸ In case 5, instead of the '*atfah*, the copyist marks the omission place with a small vertical dotted line and a small horizontal line above it. In case 14

¹⁸⁶ For all the cases of marking the connecting line with sahh, see MS DK 41 Uṣūl Fiqh, fol. 22v, l. 17, fol. 36r, l. 13 between 10^{th} and 11^{th} words, fol. 40v, l. 10, between 7^{th} and 8^{th} words.

¹⁸⁷ On the marks used to define the place of the omission in the line, see Gacek, *Vademecum*, 250.

¹⁸⁸ On the marks used to define the place of the omission in the line, see Gacek, *Vademecum*, 250.

a small horizontal line with a small loop at one end is drawn above the omission place. ¹⁸⁹ In case 16 the omission place is marked with a v-like shape with a dot above it. The scribe also draws this shape at the beginning of the insertion in the margin. ¹⁹⁰

Our scribes mark the end of insertion in the margin in different ways. In some cases, the insertions in the margin are marked by copying one or more words from after the missed part. This is consistent with what al-Rāmahurmuzī suggests (see cases 1, 4, 6-9, 12-15, 17, 20, and 21). In other cases, the insertion in the margin is marked with saḥḥ (without writing any words after the missed part). This is coherent with what al-Qāḍī 'Iyāḍ suggests (see cases 3, 5, 7, 9-11, 15, 17-19, and 22). Some copyists copy words from after the missed part and add saḥḥ at the end of the insertion (see cases 3, 4, 8-10, 12, 13, 15, 19, and 22). In some cases, copyists use both methods interchangeably. They both mark the end of the insertion with words after the missed part and saḥḥ in the same manuscript (see cases 9, 7, 10, 11, 15, 17, and 22). Marking the end of the omissions does not seem to have been exercised by following any strict rules but as a matter of taste.

The organization of margins in the third/ninth and fourth/tenth centuries is broadly consistent with what al-Qāḍī 'Iyāḍ mentions. In most of the examined manuscripts, the omissions are inserted in the right-hand margin when near it (see cases 1, 3, 4, and 6-22). Similarly, omissions are inserted in the left-hand margin when near it (see cases 1, 3, 4, 6-12, 14-17, and 19-21). However, occasionally, this pattern is not followed: some omissions near the left-hand margin are inserted in the right-hand margin (see cases 1, 4, 8, 11-18, 19, 21, and 22) and vice versa (see cases 5, 14-16, and 19-21). Cases 12 and 14 do not follow this pattern because of space, which does not allow the omission to be inserted in the closer margin.

The organization of the direction of insertion in our third/ninth and fourth/tenth manuscripts is widely coherent with al-Qāḍī 'Iyāḍ. In five cases, all of the insertions in the margins are written upwards (see cases 6, 8, 9, 12, and 20). In other cases, the insertions are written both upwards and downwards (see cases 1, 3, 4, 7, 10, 11, 14-19, 21, and 22). In most cases, the text is written upwards rather than downwards (see cases 3, 4, 10, 11, 14, 15, 16, 18, 19, 21, and 22).

Mention should be made here of a particular case of insertion (case 17). Despite having the omission in line 7, the copyist begins writing the omission in the margin from the place next to

¹⁸⁹ Gacek mentions this mark, see Gacek, *Vademecum*, 250.

¹⁹⁰ Gacek deals with marking the omission's place with a v-like shape, see Gacek, *Vademecum*, 250.

line 17. The 'atfah does not refer to the opposing side of the beginning of insertion. Furthermore, the copyist seems to have insisted on writing the insertion upwards, even though the space does not allow for it. ¹⁹¹ This copyist was perhaps keen on leaving space in the margin for any further possible omission that might need to be inserted.

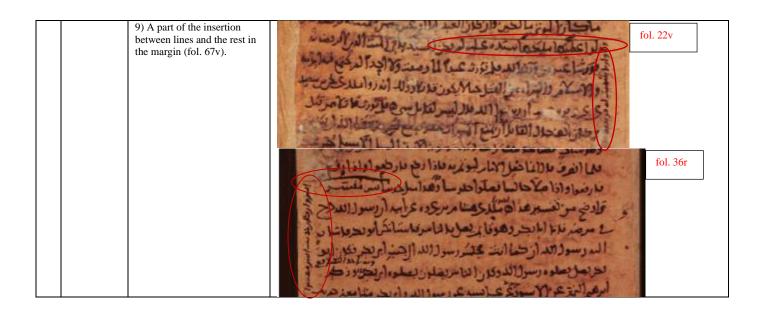
Our manuscripts show that the text is sometimes written downwards because the space in the margin does not allow it to be written upwards. We can see this in cases 1 and 11. However, occasionally, space in the margin does allow for the text to be written upwards but is written downwards (see cases 1, 7, 13, 17, and 18). Again, this issue does not seem to have been exercised with a strict rule but as a matter of taste.

Table 22. Insertion of omission

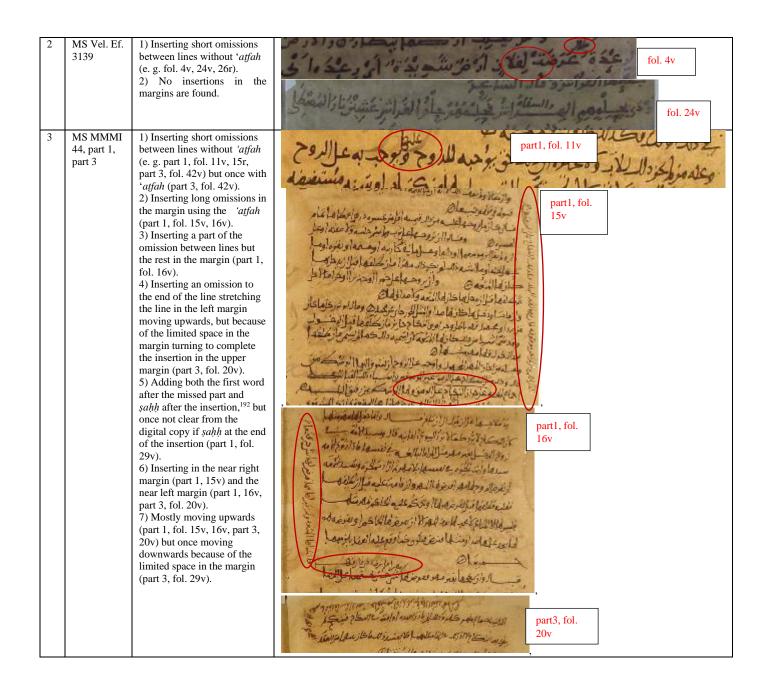
	Manuscripts	Phenomenon	Samples
1	MS DK 41 Uşūl Fiqh	1) Inserting short omissions between the lines usually without 'atfah (e. g. 6v). 2) Inserting a short omission once in the margin (fol. 17v). 3) The connecting line mainly used for inserting the long omissions in the margin (e. g. 22v). 4) The 'atfah once used instead of the connecting line (fol. 17v). 5) Marking by saḥḥ above the connecting line (fol. 22v, 36r). 6) The first word after the missed part frequently given (e. g. fol. 17v, 36r) but once not (fol. 22v, 36r, 67v). 6) Inserting in the near right margin (e. g. fol. 17v, 36v, 37r) and near the left margin (fol. 36r) but, twice, in the far right margin; thus, the connecting line touches above some words; so saḥh written above the line (fol. 22v). 7) The insertion is occasionally moving upwards (fol. 17v) but mostly downwards (e. g. fol. 22v, 36r, 36v). 8) Moving downwards is occasionally because of the space (fol. 36v).	fol. 6v: Inserting the omission between lines without 'atfah Fol. 17v

¹⁹¹ MS IUL A 1434, fol. 7r.

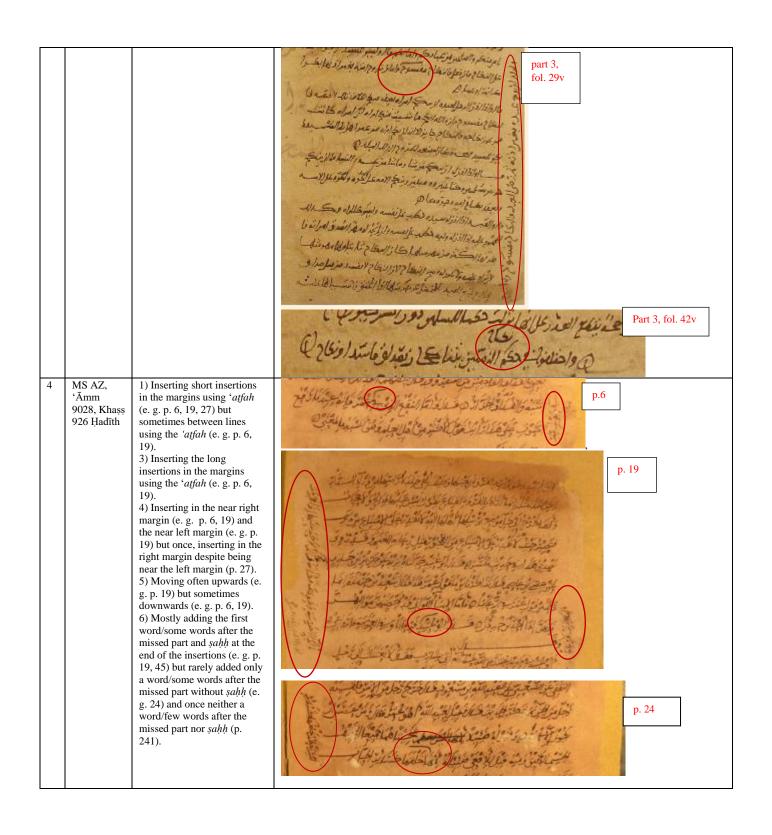
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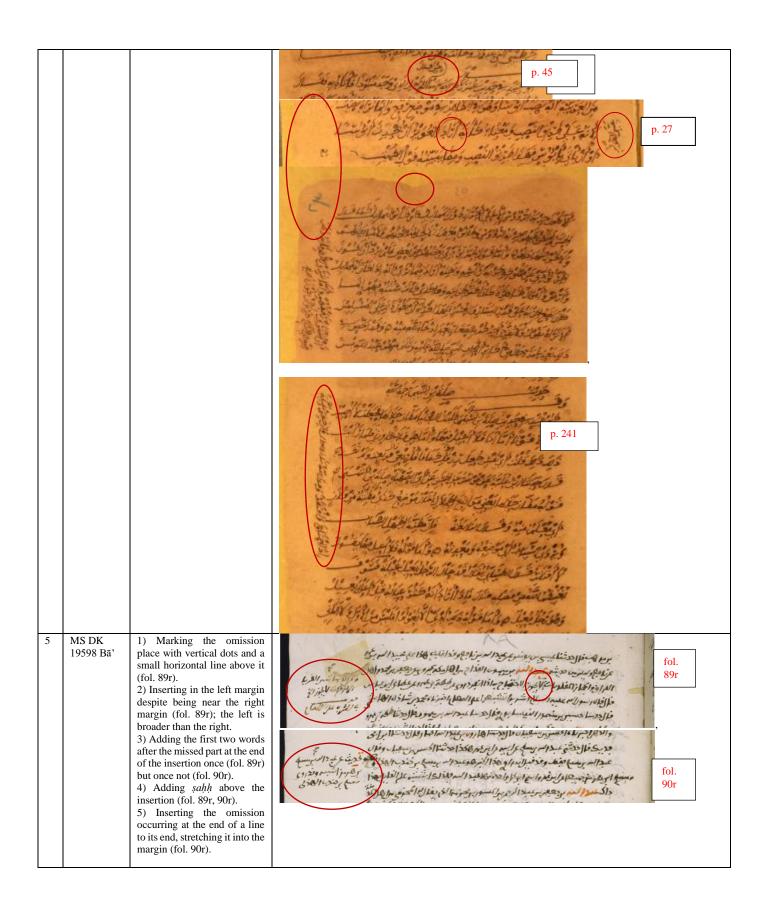


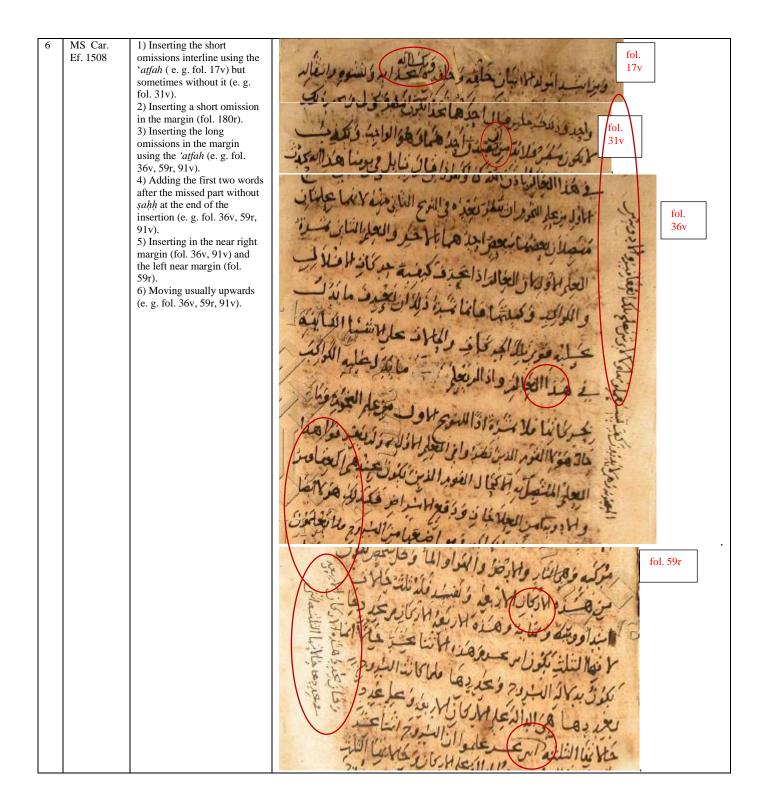
ومرحلهم الاجالي الممار طروا دور صار الوركوالال كازدستا ومداد ومرتبعر الماشر تعاد الجوارة الانصراف دانسا fol. 36r واحن عدسة كسطها عررجل معزبة الروام عدالا بشد المنله عيد عُل در دنيه لا وقور [درسدى حواساً والعلا اللها و إلى المسامن الناس والمسوخ وم عدادلالدعرما عان ومنامعا عاارمنك الدو كذلالداشاه وكاد الد قرومعنا العقنعا و كاما مناومات معرى إحظار المرانة الشعم مواضع فالمازعرين الاط - التلازال والديها عليان والسوع والحد بمادهت البسنها دورسامك فتلت فندور ترهداا مسركون مكملوء الموو بوردان الرتاع مقف بدالعد و لديد لا عبر صلومياذا العُدُولِ والدالظالد الار يصالح الرفع الرفعة الرفعة عمد يشد جالسا والتو النفسهد نعبكم بعده وروابر عدعن المالم كالعاوه المونكاف هذه العلوه ويدم اسها فعالم وعد لطابعة وطريف ببيدوييز العدى إنعرف الكاسد الروراه و دلاندسيد وبي العدة وحاد الطابعة الرائد ومكابعد الرحمة الماعنة عليدمن عاكد وسركا العرنوافقت والعادروا الوعيات في الرازال لي الوري من مان وكلد والولد مية وبين العبله فقطالنا عمر المعد معامر كم ووطعما معالم الكرف غير معد طابعة ولكرمونه وطاب فلا قارمزا العود سيدالهزير الدوار ما الدوار والدوال و بامر عدا العرد والرسالا بنية مثله علاصا حلما فلا مدر والعد مع المحد بصلوء المن ومردان الماع دوزعيزها و تعلد المحديث الريم السرودابر علور الدور وحديد الولااذ يرك إن منكل المتب الذرك ما تعد العاد و علاوما عدياب ق كار رسد (الله والعدة والله ما مرود المرساء وما ر منه لعيدا و عمر أواسعد المنطقية لعلمة المنا و حضر وسوال عداد الاغلامة الأماس المالية مفر توالعصر اصاب النه إذا قلار أبد لووا والدوية اذانظر نعاومك اربكوا اعله مصرسم قول اصاب السربواددو فتقامت إهدانهم وادف مراده المعماداء يتذكرار التاسط المركترف مشاهرهم لعمر أعادر واعط منعدواددا تغيل سلدادوراجيها انعماسه كوزام المرام ووزعد والإخلاف وحد عليه المكلك ما الرسلوا لفعه-والاخركة الموالد كالم الم ومن مر ما وكالوس

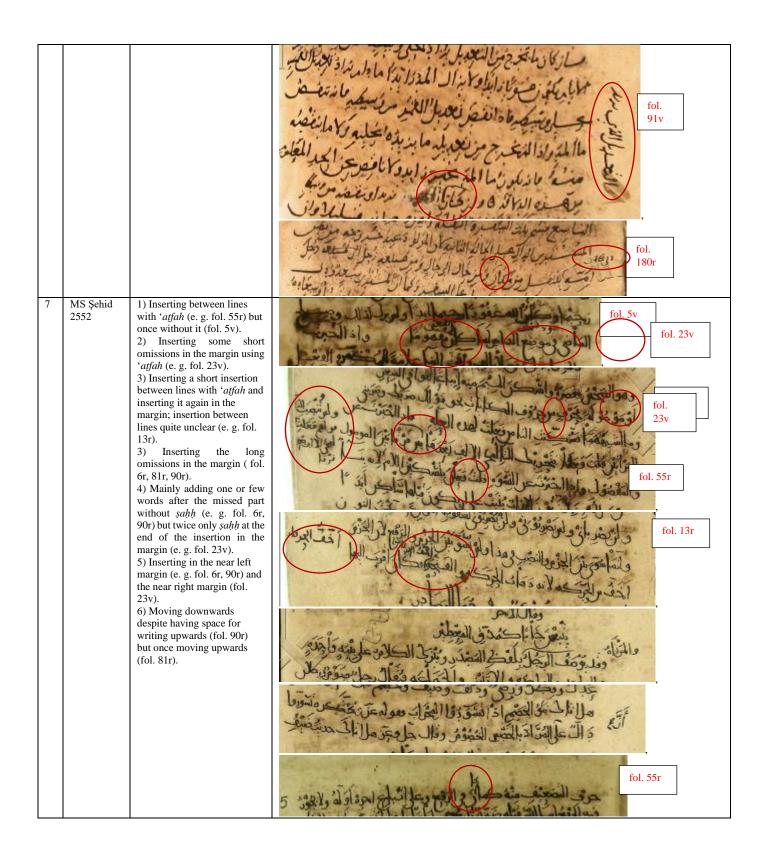


¹⁹² MS MMMI 44, part 1, fol. 15v, 16v.







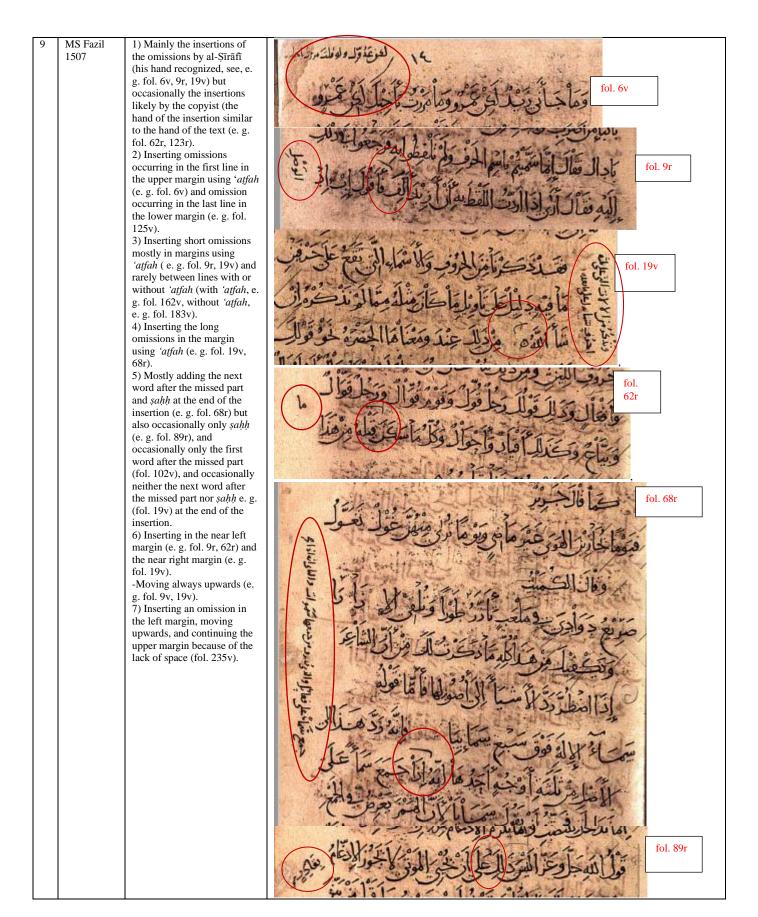


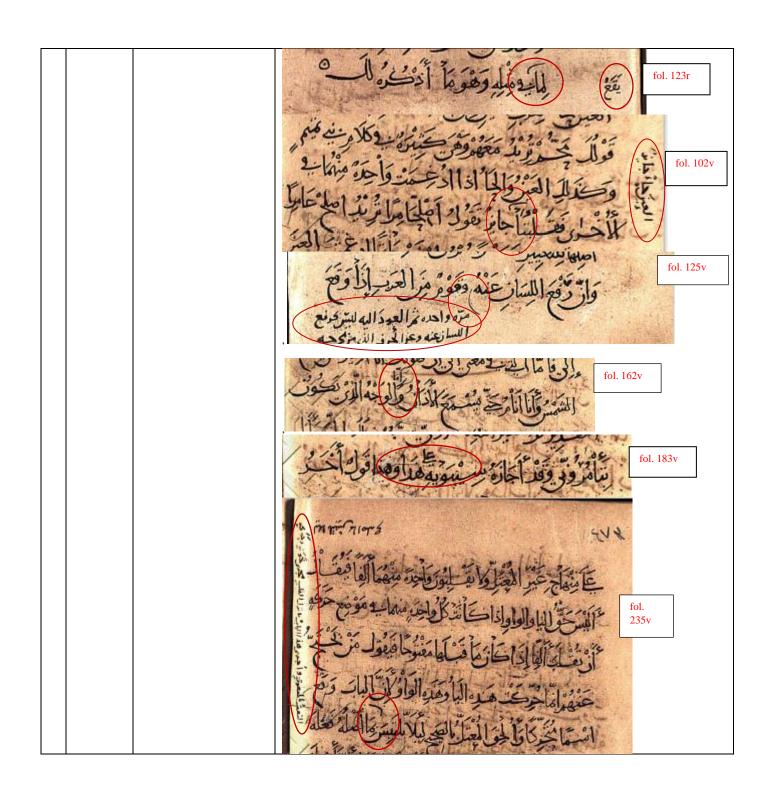


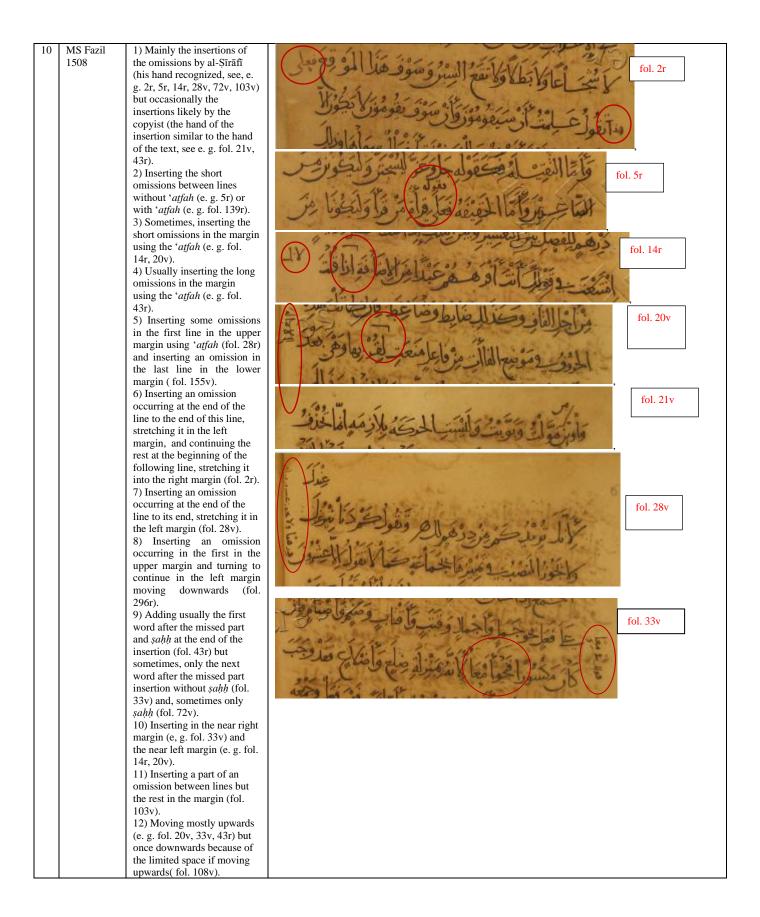


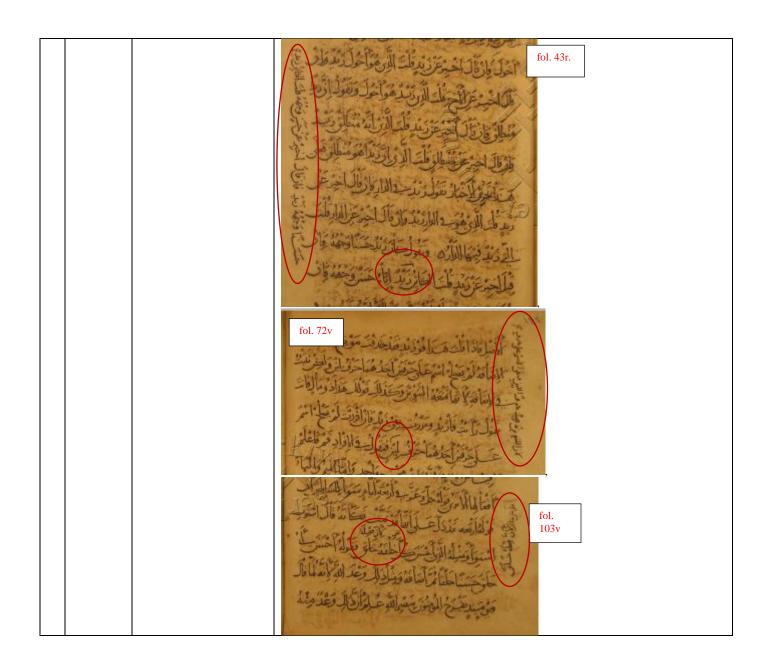


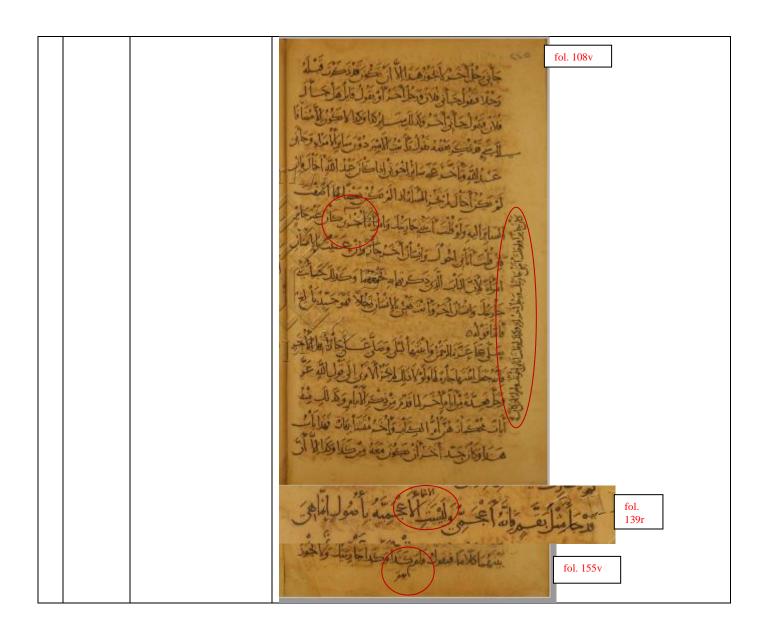


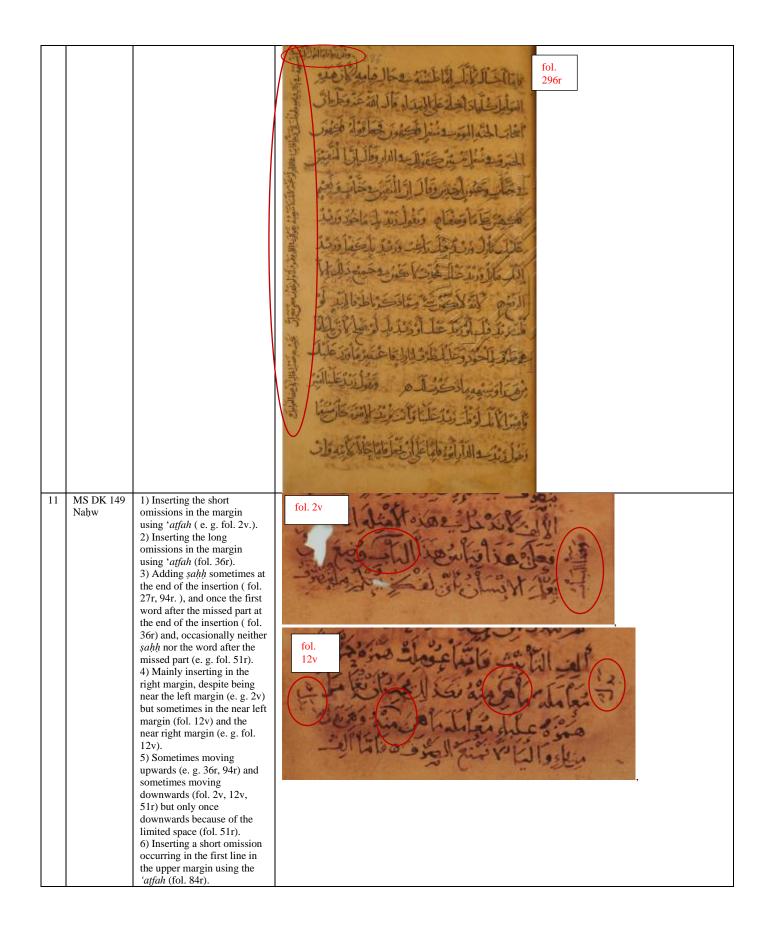




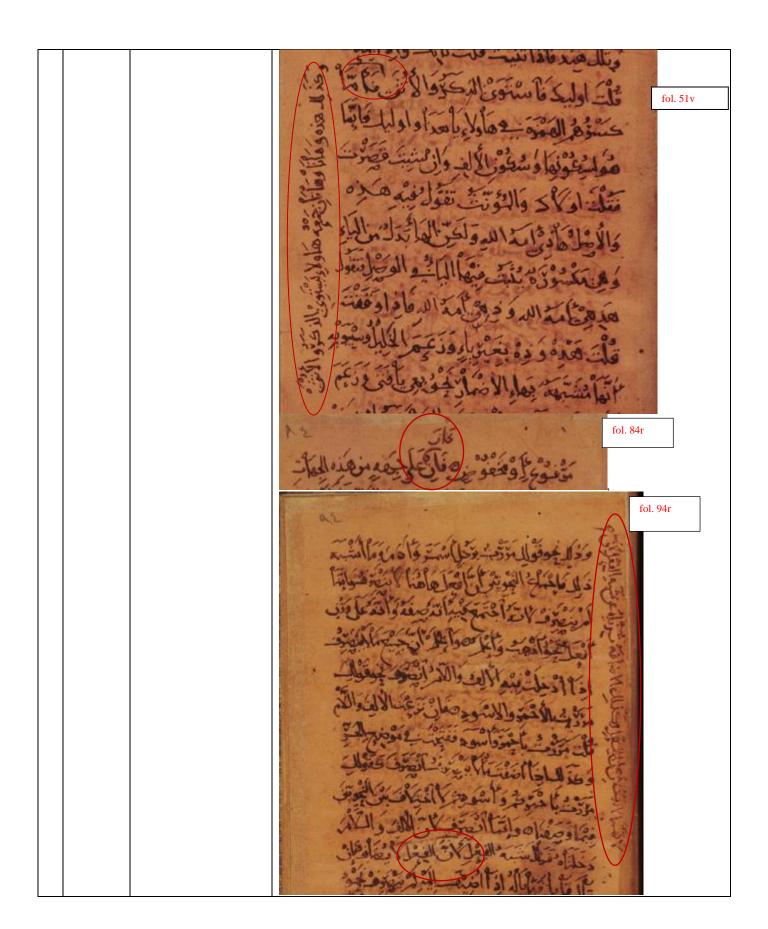


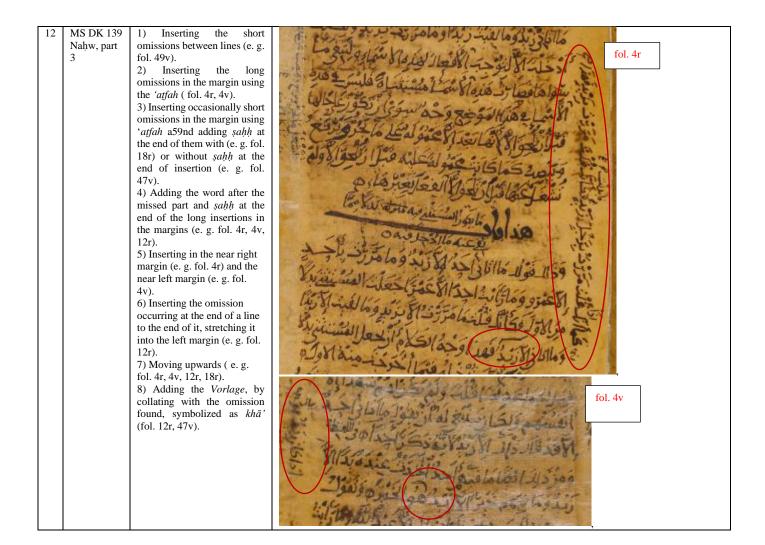


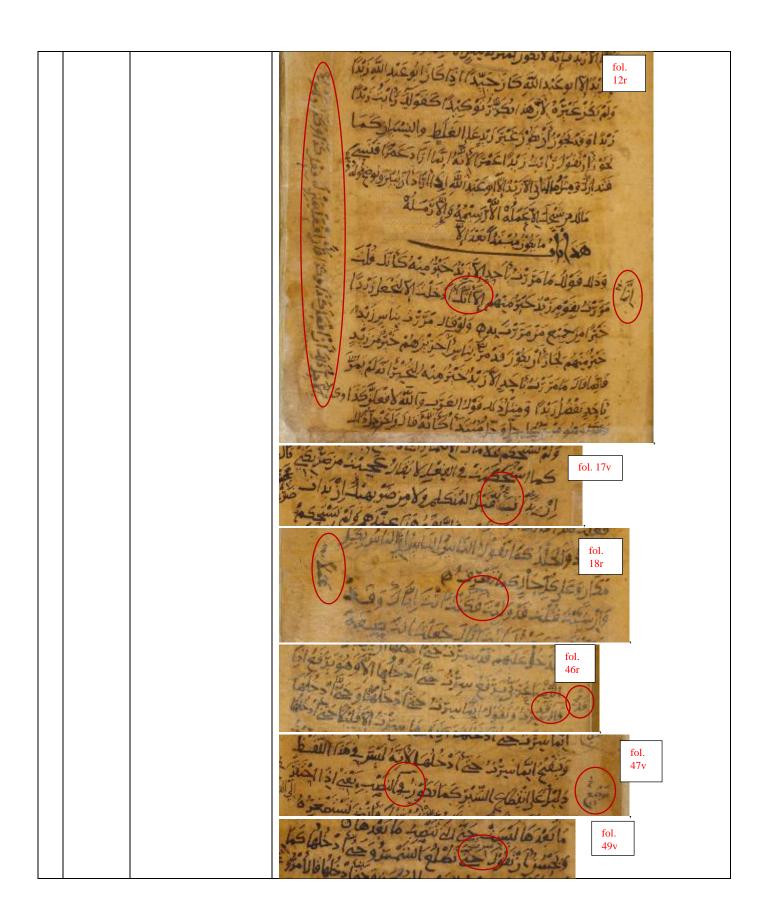


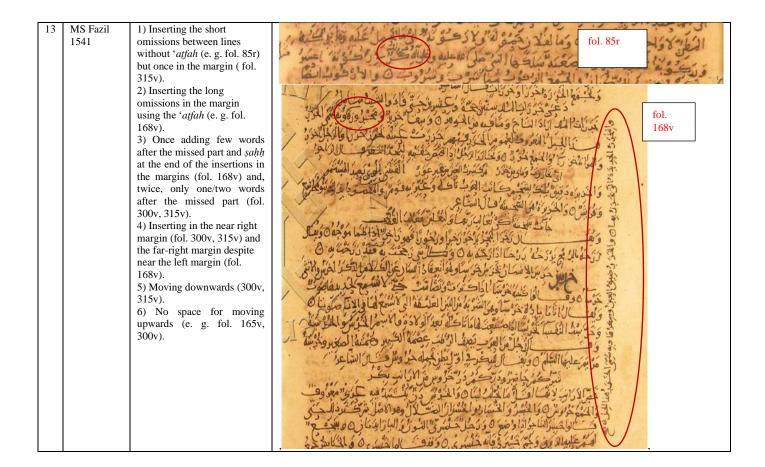


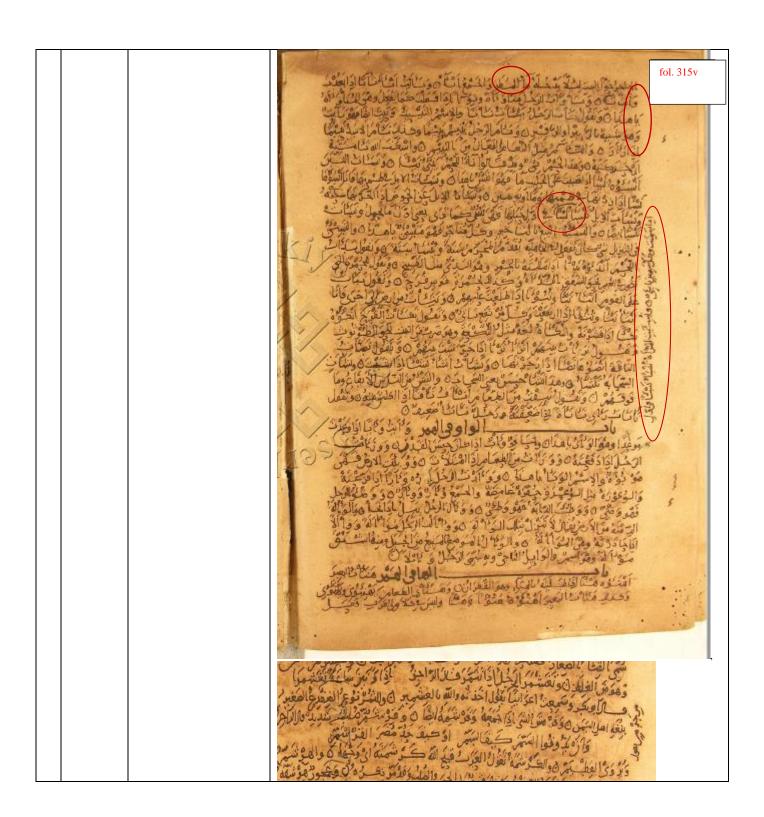




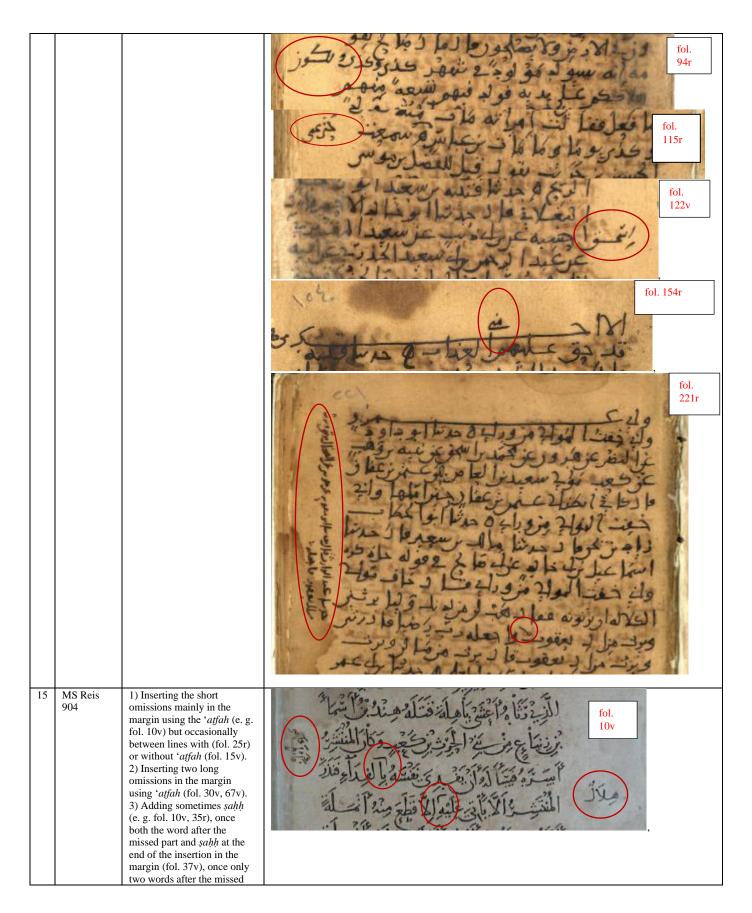


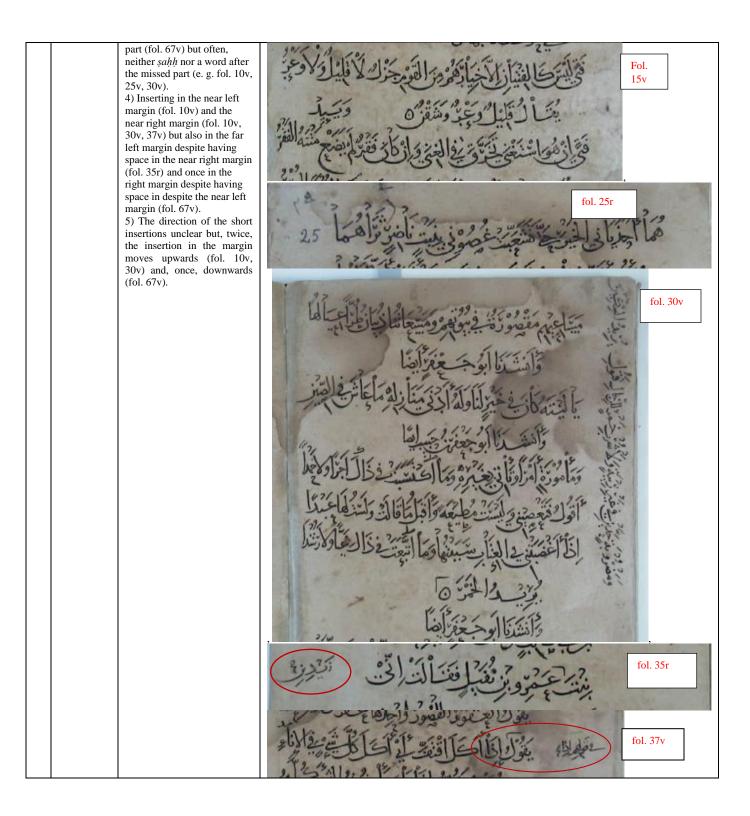


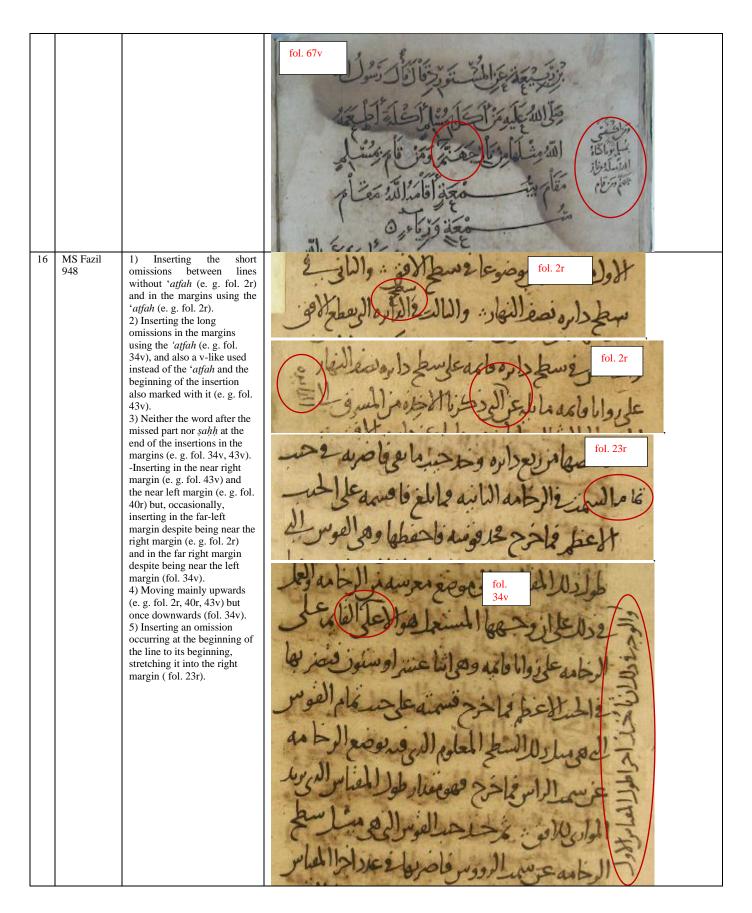


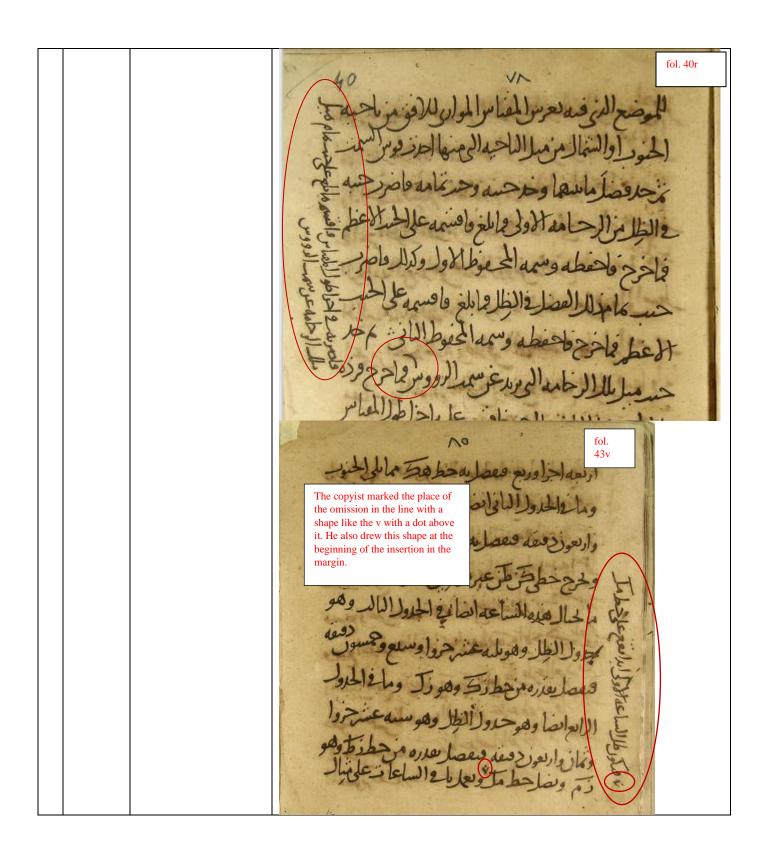




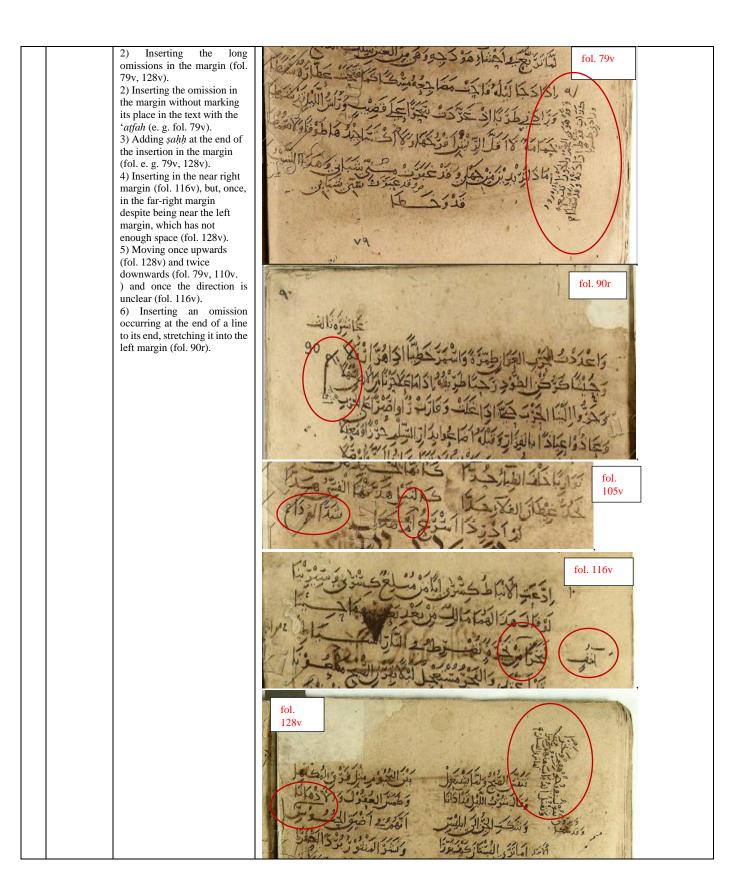


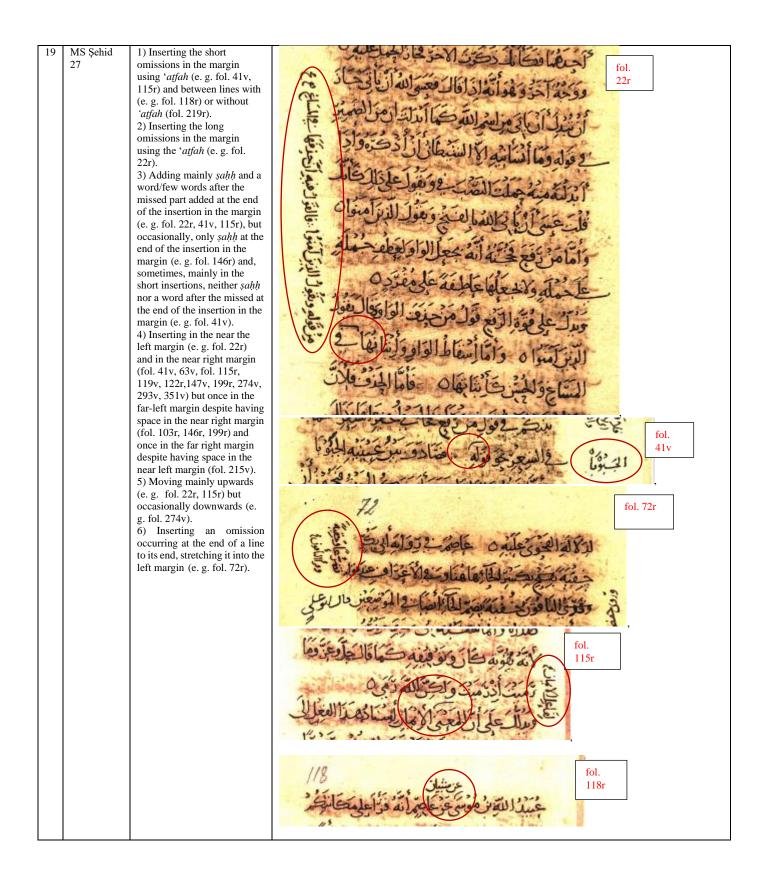


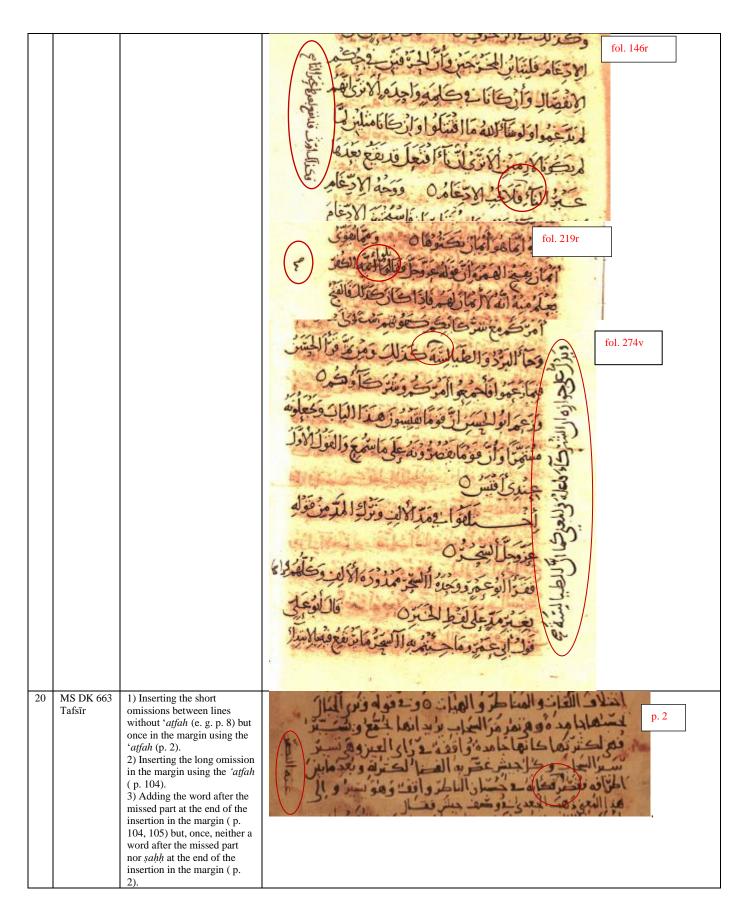




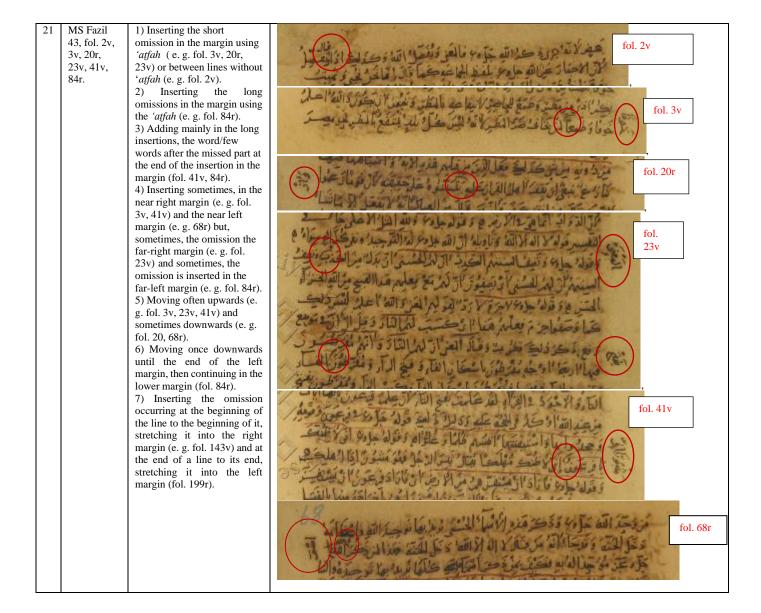
18	MS IUL A 1434	1) Inserting the long omission in the margin using the 'atfah (fol. 7r). 2) Inserting the short omissions in the margin using 'atfah (fol. 95v, fol. 149v). 3) Inserting in the near left margin (fol. 7r) and the near right margin (fol. 95v) but once in the far-right margin despite being near the left margin (fol. 149v). 4) Moving upwards (fol. 7r) and downwards (fol. 149v), and once the direction unclear (fol. 95v). 5) On fol. 7r, despite having the omission in line 7, inserting the omission in the margin from the place next to line 17. The 'atfah does not refer to the beginning of the insertion, probably because of keeping the upwards and overcoming the lack of space. 6) Adding once the word after the missing part (fol. 7r), and once only sahh (fol. 95v) but once, neither the word after the missed part nor sahh at the end of the insertion (fol. 149v).	وهي الإنتراق المنتوان المنتوا الناج من الناج من الناج من الناف و منتون المنتون و المنتون الناف
	1728	omissions between lines without 'atfah (fol. 2v) but once in the margin using the 'atfah (fol. 116v).	والما الما الما الما الما الما الما الما

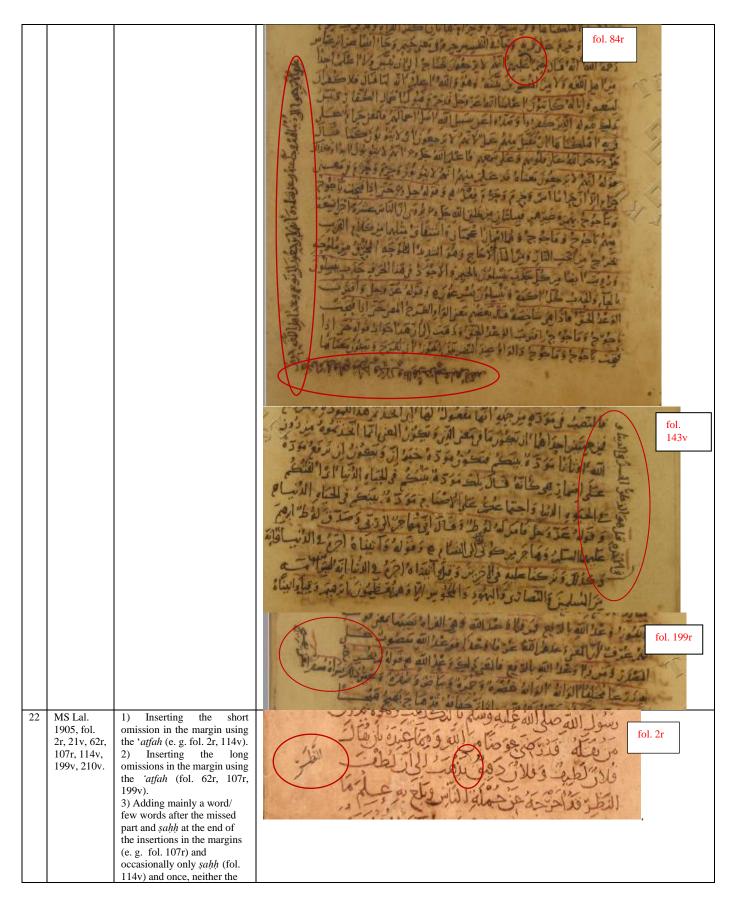


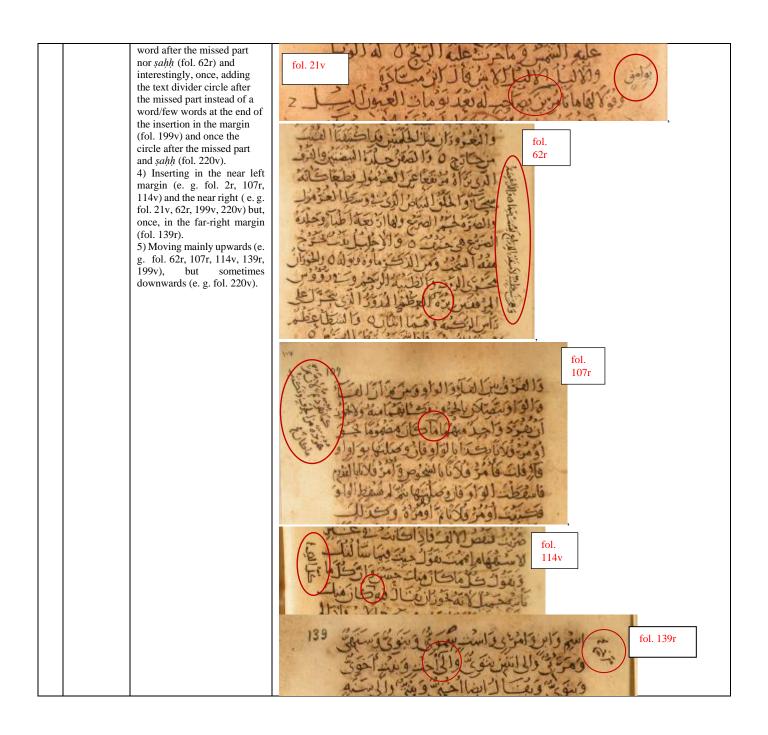


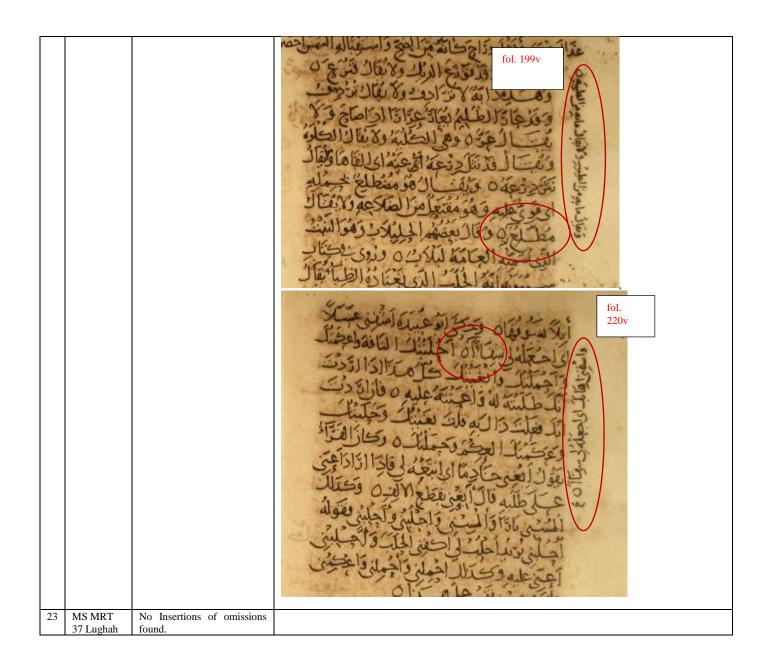












4.6. Correcting mistakes and preventing misinterpretation¹⁹³

In most of the examined manuscripts, mistakes are simply corrected by cancelling the wrong word and writing the correct version above it, under it (1-6, 8, 9, 10, 12, 14-16, 18, 19, and 22) or in the margin (11, 12, 14, 15, 19, 21, and 22). The correct version, in most cases, is not marked with \$\santah\hat{h}\$. However, this does occur in cases 4 and 12 where \$\santah\hat{h}\$ emphasizes the correctness of the correct version. This practice is not discussed in the normative sources, perhaps because it was considered common knowledge. This is in regard to mistakes that the copyists themselves make while copying. But what happens when the copyist finds a mistake in the *Vorlage*? What if a correct word can potentially be interpreted as being wrong? Or if a word is illegible?

4.6.1. Indicating text as problematic (tamrīd or tadbīb)

According to al-Qāḍī 'Iyāḍ, when a scribe finds a text problematic in terms of its language or accuracy with regards to the *Vorlage* (e.g. there is a change in the wording of $had\bar{\imath}th$ or a word missing that changes the meaning), he should indicate it ($tamr\bar{\imath}d$, lit. "declaring to be sick," or $tadb\bar{\imath}b$, "marking with the dabbah sign"). ¹⁹⁵ For the dabbah ("door bolt"), the scribe draws a line with the initial form of $s\bar{\imath}d$ above the text. ¹⁹⁶ Al-Qāḍī 'Iyāḍ explains that the dabbah is an incomplete form of the sahh mark (sahh consists of $s\bar{\imath}d$ and $h\bar{\imath}a$ ', but the dabbah has only the initial form of $s\bar{\imath}ad$). Thus, it indicates the problematic status of the text. ¹⁹⁷ The text marked by the dabbah may be correctly copied (or transmitted) from the Vorlage, but the scribe finds it incorrect in terms of its meaning. ¹⁹⁸ Although the copyist considers the text to be wrong, he should faithfully copy it from the Vorlage and add a dabbah, since another person from a different perspective may find it correct. ¹⁹⁹ As far as I know, the earliest normative source that discusses the $tadb\bar{\imath}b$ is the sixth/twelfth-century al- $llm\bar{a}$ '. ²⁰⁰ However, the practice goes back to the third/ninth and the fourth/tenth centuries, as many of the manuscripts under examination show (see cases 1, 3, 4, 11, 12, 14, 15, 18, 19, and 22).

¹⁹³ For studies on the measures of correcting mistakes and preventing misinterpretation, see p. 29.

¹⁹⁴ Gacek, "Taxonomy," 226-7; *Vademecum*, 283.

¹⁹⁵ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 166.

 $^{^{196}}$ Al-Qāḍī 'Iyāḍ, $al\text{-}Ilm\bar{a}$ ', 166.

¹⁹⁷ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 166.

¹⁹⁸ Al-Qādī 'Iyād, *al-Ilmā*', 166-7.

¹⁹⁹ Al-Oādī 'Iyād, *al-Ilmā*', 167.

²⁰⁰ Al-Qāḍī 'Iyāḍ, *al-Ilmā* ', 166-8. After al-Qāḍī 'Iyāḍ, Ibn al-Ṣalāḥ mentions this practice, see Ibn al-Ṣalāḥ, '*Ulūm al-ḥadīth*, 197-8.

Al-Qāḍī 'Iyāḍ mentions that the dabbah's line must not touch the text; otherwise, it might be interpreted of as a strike through line (darb). In all of the cases of the $tadb\bar{t}b$ attested in the manuscripts, its line does not touch the text, which is coherent with al-Qāḍī 'Iyāḍ's rule.

The normative sources do not discuss changing the dabbah to sahh when doubts are dispelled. However, case 18 shows that when doubts about the text already marked with dabbah are dispelled, the copyist changes the dabbah to sahh. This is achieved by adding a $h\bar{a}$ to the dabbah. Three dabbah are altered to read sahh this way. Furthermore, a word is marked with dabbah above it and sahh under it. Also found in case 18, to emphasize that he finds the word marked with dabbah correct, the copyist marks it underneath with sahh. Moreover, the copyist marks an unclear version of a word with dabbah and then gives a clear version marked with sahh underneath it. Underneath it. However, the copyist marks are unclear version of a word with dabbah and then gives a clear version marked with sahh underneath it.

Similarly, case 12 shows that a word is corrected when the copyist finds the word he doubted actually being wrong. The copyist provides the correct version in the margin marked with sahh. In the text body, he marks the word he finds wrong. Likewise, in the same manuscript, besides the $tadb\bar{t}b$, the copyist sometimes gives the correct version of words in the margin marked with $kh\bar{a}$ above them. Here the $kh\bar{a}$ likely indicates $nuskhah\ ukhr\bar{a}$ (another copy), i.e. that the copyist found a different version of the word he considered to be correct in another manuscript.

The <code>dabbah</code>, as two manuscripts show, is also used to mark words written unclearly. In case 9, the illegible word is marked with the <code>dabbah</code>, and a new clearer version of the word is written in the margin, also marked with the <code>dabbah</code>. Marking the new version in the margin with <code>dabbah</code> indicates that the copyist also doubted this new version. In case 11, the illegible word

²⁰¹ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 166. On the striking through, see section 4.4. above.

²⁰² There is no mention of changing the <code>dabbah</code> to <code>saḥḥ</code> in the normative sources until the sixth/twelfth century, but later it is mentioned in Ibn Jamā'ah, <code>Tadhkirah</code>, 132; al-Ghazzī, <code>al-Durr</code>, 449; al-'Almawī, <code>al-Mu'īd</code>, 136. On changing the <code>dabbah</code> to <code>saḥḥ</code>, see Rosenthal, <code>Technique</code>, 15; Gacek, "Taxonomy," 226; Gacek, <code>Vademecum</code>, 285.

²⁰³ MS Lal. 1728, fol. 14v, l. 1, 49v, ult.

²⁰⁴ MS Lal. 1728, fol. 7r, l. 5.

²⁰⁵ MS Lal. 1728, fol. 14v, l. 1, 49v, ult.

²⁰⁶ MS DK 139 Nahw, part 3, e. g. fol. 24r, l. 3, fol. 37r, l. 3, fol. 43v, l. 19, fol. 53v, l. 15, fol. 66r, l. 11.

²⁰⁷ MS DK 139 Nahw, part 3, e. g. fol. 18r, l. 9, fol. 21r, l. 12, fol. 21v, l. 4, fol. 22r, l. 7, fol. 27v, l. 3.

²⁰⁸ Gacek, Vademecum, 4.

²⁰⁹ MS Fazil 1507, e. g. fol. 6r, l. 17.

²¹⁰ Gacek, "Taxonomy," 226; Vademecum, 285.

is marked with the *dabbah*, but the legible version in the margin is marked with *ṣaḥḥ*. ²¹¹ *Ṣaḥḥ* here indicates that the new legible version is correct, although the reader may doubt it.

4.6.2. Stressing correctness (taṣḥīḥ)212

According to al-Qāḍī 'Iyāḍ, when the copyist thinks that the reader may have doubts about the correctness of a text, the copyist should write ahh above. This practice, called ahh is supposed to stop the reader, particularly those less educated, from amending the text when they have doubts about its correctness. Like in the case of the ahh the earliest source that discusses the ahh is the sixth/twelfth-century al-Ilma '. However, the practice of the ahh goes back to the fourth/tenth century, as it is attested in some of the manuscripts under examination (see cases 4, 9-12, 15, and 18).

4.6.3. Legible for the illegible

According to al-Qāḍī 'Iyāḍ, when a word in the text body is illegible, a legible version of it should be provided in the margin.²¹⁶ This is to avoid confusing the reader. This rule is not mentioned in the normative sources until the fifth/eleventh century. However, the practice in our manuscripts is broadly coherent with this rule. When a word is illegible, a legible version of it is written in the margin (see cases 1, 3-9, 11-15, and 19-22) or above the illegible one (see cases 1, 4, 5, 10, 12, 15, 18, and 19). Mention should be made of case 12, in which the legible version is written in the margin and marked with ṣaḥḥ.²¹⁷ Ṣaḥḥ here indicates that this legible version is correct. Another interesting case is 18, in which the copyist marks an illegible word with the dabbah and writes the legible version underneath, marking with ṣaḥḥ.²¹⁸

In conclusion, the measures of correcting mistakes and preventing misinterpretation can be traced in our third/ninth and fourth/tenth-century manuscripts. However, the earliest source that

²¹¹ MS DK 149 Nahw, fol. 67r.

²¹² On taṣḥīḥ, see Gacek, "Taxonomy," 226-7; Gacek, Vademecum, 283.

²¹³ Al-Qāḍī 'Iyāḍ, *al-Ilmā* ', 166-8. After al-Qāḍī 'Iyāḍ, Ibn al-Ṣalāḥ mentioned this, see Ibn al-Ṣalāḥ. '*Ulūm al-ḥadīth*, 196

²¹⁴ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 166-8. On ṣaḥḥ and taṣḥīḥ, see Gacek, "Taxonomy," 224-7; Gacek, Vademecum, 283.

²¹⁵ Al-Qāḍī 'Iyāḍ, *al-Ilmā*', 166-8.

²¹⁶ Al-Qādī 'Iyād, al-Ilmā', 157.

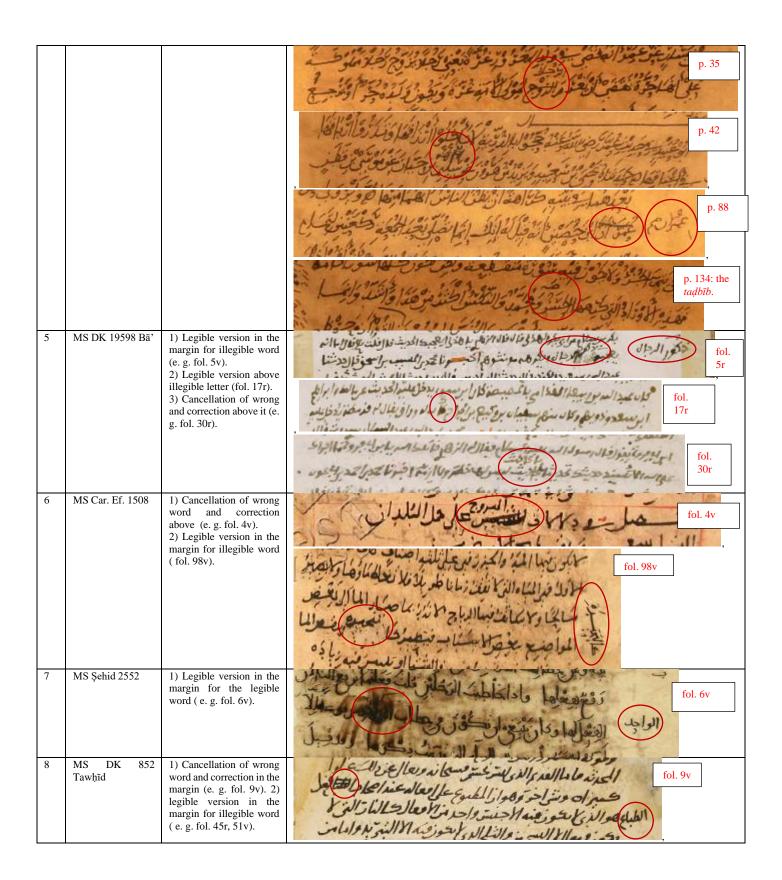
²¹⁷ MS DK 139 Naḥw, part 3, e. g. fol. 2r, l. 6, fol. 6r, l. 13, fol. 7r, l. 12, fol. 8, l. 5, fol. 15r, l. 12.

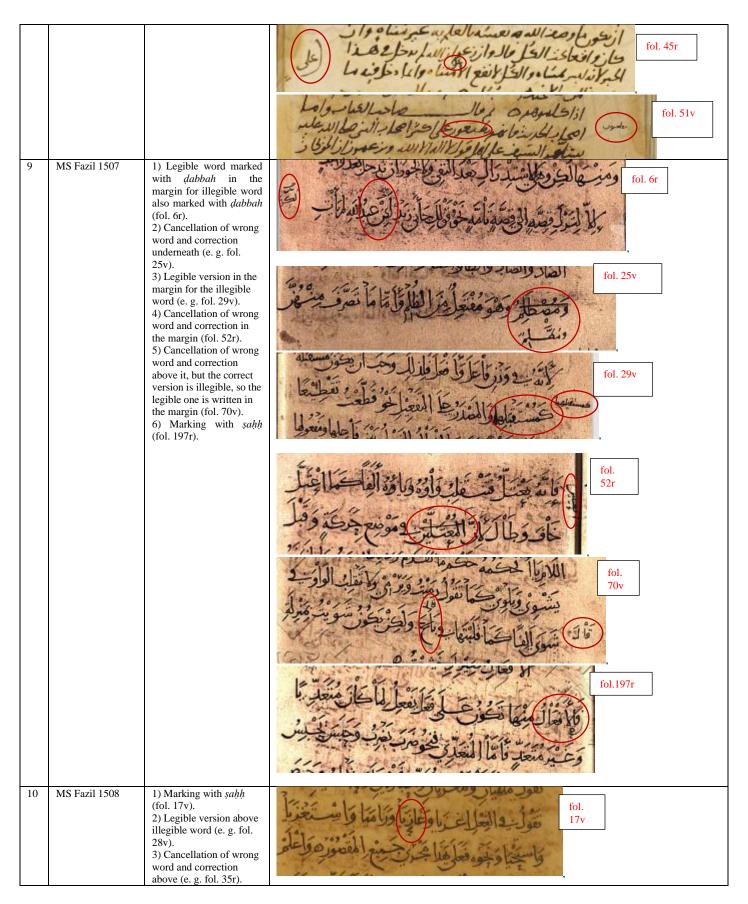
²¹⁸ MS Lal. 1728, fol. 14v, 1. 1, 49v, ult.

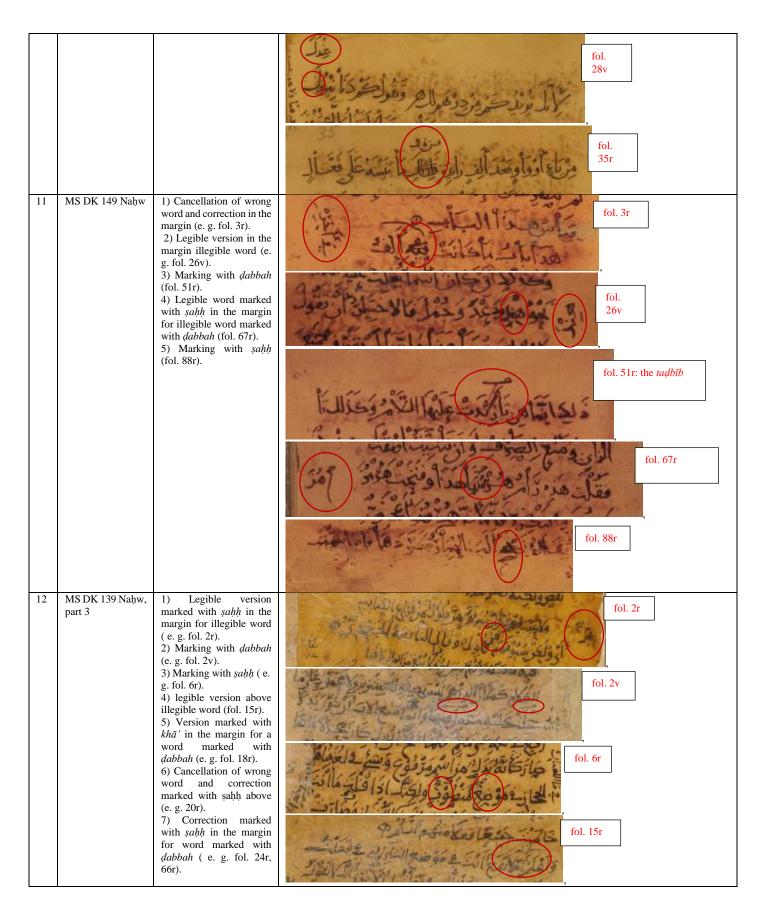
discusses these measures dates to the sixth/twelfth century ($al\text{-}Ilm\bar{a}$ by al-Qādī 'Iyād). When a word is written by mistake during the copying, it is cancelled, and the correct version is written above it or in the margin. Moreover, when the copyist finds a mistake in the Vorlage, he still copies it but indicates that it is problematic by marking it with the dabbah. This practice is called $tadb\bar{t}b$ or $tamr\bar{t}d$. In addition, when the copyist believes the reader might have doubts about a particular word, sahh is written above the word to stop the reader from amending the correct word. For illegible words, a legible version is written above or in the margin to avoid confusing the reader.

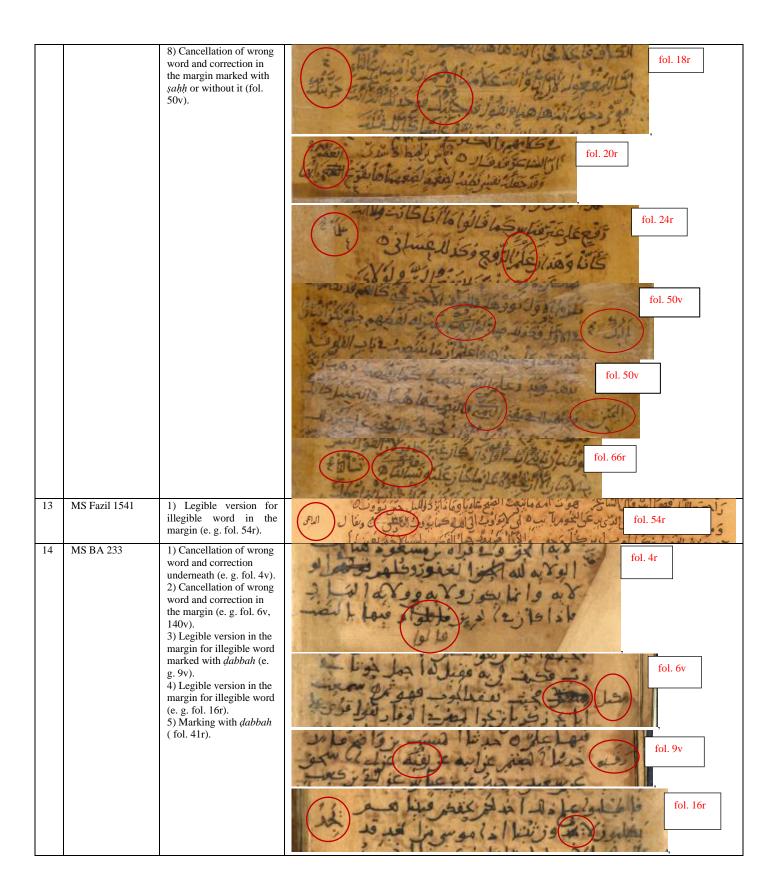
Table 23. Correcting mistakes and preventing misinterpretation

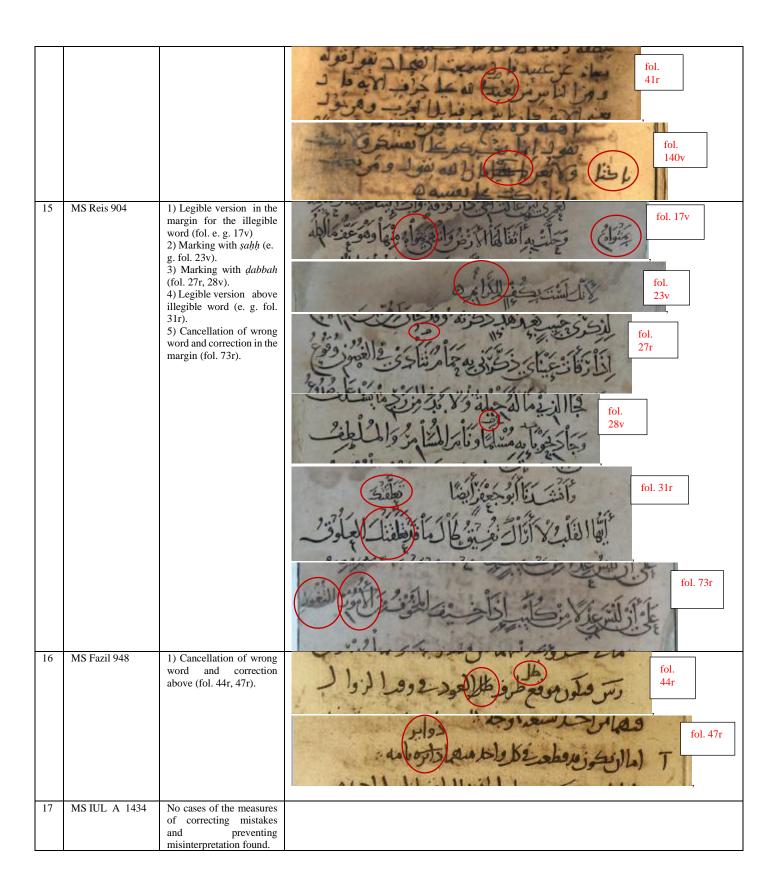
	Manuscripts	Phenomenon	Samples
1	MS DK 41 Uşūl Fiqh	1) legible version above illegible word (e.g. fol. 8v). 2) Correction on margin (e. g. fol. 11r). 3) Marking with <i>dabbah</i> (fol. 14v). 4) Cancellation of wrong word and correction above (e. g. fol. 14).	fol. 8v fol. 11r fol. 11r fol. 14v: the tadbīb.
2	MS Vel. Ef. 3139, fol. 24v.	1) Cancellation of wrong word and correction above (fol. 24v).	fol. 24v
3	MS MMMI 44, part 1, part 3	1) Legible version under the illegible word (part 1, fol. 5v). 2) Cancellation of wrong word and attempt of correction above, but the correct version illegible and legible version of it written in the margin (part 1, fol. 8r). 3) Cancellation of wrong word and correction above (part 3, fol. 5v). 4) Marking with <i>dabbah</i> (part 3, fol. 20r).	part1, fol. 8r part1, fol. 8r part1, fol. 8r part3, fol. 20r: the part1, fol. 5v part1, fol. 8r part3, fol. 5v part3, fol. 5v
4	MS AZ, 'Āmm 9028, Khaṣṣ 926 Ḥadīth	1) Legible version for the legible word in the margin (e. g. p. 2). 2) Legible version above the illegible word (e. g. p. 33). 3) Cancelation of wrong word and correction above (e. g. p. 35). 4) Marking with <i>şaḥḥ</i> (e. g. p. 42, 88). 5) Cancellation of wrong word and correction marked with <i>ṣaḥḥ</i> in the margin (e. g. p. 88). 6) Marking with <i>ḍabbah</i> (e. g. p. 134).	p. 2

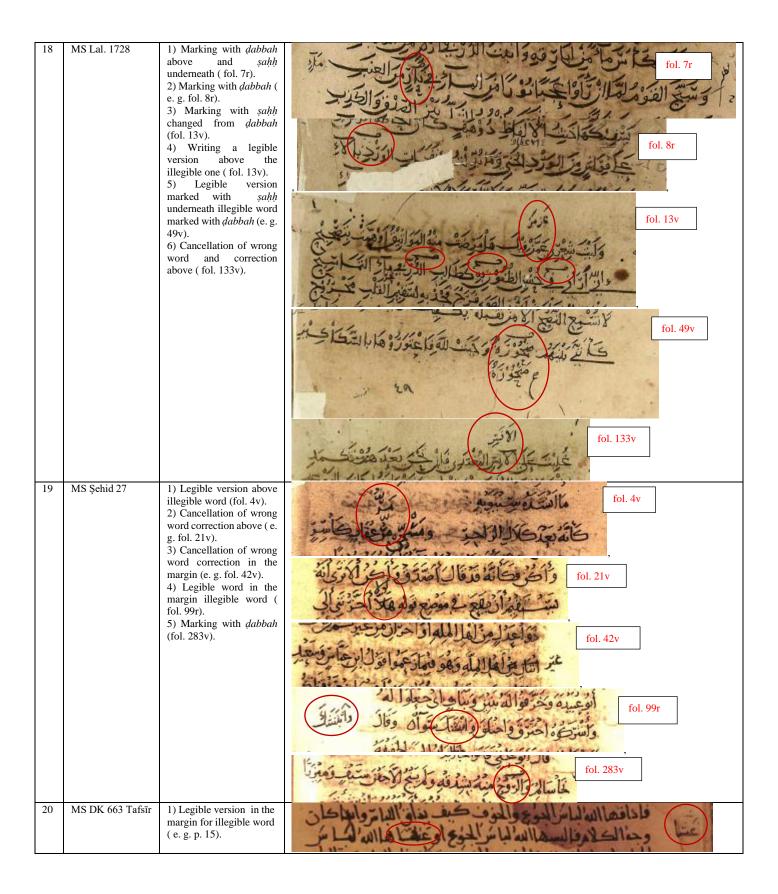












21	MS Fazil 43	1) Cancellation of wrong word and correction in the margin (fol. 17r). 2) Legible version in the margin for illegible word (fol. 240r).	fol. 17r
22	MS Lal. 1905	1) Legible version in the margin for illegible word (e. g. fol. 7r). 2) Marking with <i>dabbah</i> (e. g. fol. 56r). 3) Cancellation of wrong word and correction in the margin (fol. 58v). 4) Cancellation of wrong word and correction underneath (fol. 216v).	fol. 7r fol. 56r fol. 56r fol. 58v
23		No cases of the measures of correcting mistakes	
		and preventing misinterpretation found.	

5. Conclusion

The third/ninth and fourth/tenth centuries witnessed a revolution in Arabic book production. The extant manuscripts from those centuries are the most copious testimonies of Arabic Islamic civilization from these early periods. Scholars of Arabic and Islamic studies who deal with these manuscripts in their research need to develop an in-depth understanding of the scribal practices. Thus, third/ninth-fourth/tenth-century manuscripts deserve special scholarly attention that considers both their practical and theoretical aspects.

The objective of this study is to improve our understanding of scribal practices in Arabic non-Qur'ānic manuscripts of the third/ninth and fourth/tenth centuries. More specifically, the two primary areas of study are the paratexts and the elements of clarity and correctness. These two areas are significant not only for scholars of Arabic manuscripts but also for other scholars who use Arabic manuscripts in their research. In this study, the normative sources and manuscript evidence have been examined comparatively.

The details of scribal practice in terms of the paratexts and the elements of clarity and correctness discussed in this thesis are essential when dealing with manuscripts. The elements relating to the paratexts, particularly in the early period, are not easy to comprehend, especially when compared with modern printed books. Understanding various elements of the paratext enables a user of an Arabic manuscript to answer questions relating to the title, the identity of the author and copyist, and when and where the manuscript was copied. In addition, any user of an Arabic manuscript needs to be familiar with the elements of clarity and correctness. For example, he or she should be aware of the tradition of cancellation to precisely distinguish a cancelled element from a correct one. Likewise, knowing the structure of omitted elements in the manuscript is crucial to identifying changes therein, such as distinguishing marginal notes that are part of the text from comments written by a later manuscript user.

The temporal scope of my study is the third/ninth and fourth/tenth centuries. Thus, for the examination of the normative sources, one would suppose that the focus of this study would be limited to this period. However, this is not possible because the normative sources that date to this period are scarce, and furthermore, the contents of the ones available are insufficient for our purposes here. Therefore, I expanded my investigation to include normative sources from the fifth

and sixth/eleventh and twelfth centuries. This is reasonable since we can trace in the actual manuscripts practices that are depicted in the later works such as al-Jāmi' by al-Khaṭīb al-Baghdādī (d. 463/1071) and *al-Ilmā* 'by al-Qādī 'Iyād (476/1088-544/1149). The practices discussed in these later normative sources are not mentioned in earlier normative sources. For example, many details regarding collation in our manuscript corpus are more accurately represented in al-Jāmi 'while the earlier source of al-Rāmahurmuzī (d. 360/971) does not deal with them in detail. Regarding the insertion of omissions in the margins, al-Rāmahurmuzī suggests a practice that is different from what is actually traced in the manuscripts. This practice found in the manuscripts is coherent with the knowledge presented by al-Khatīb al-Baghdādī and al-Qādī 'Iyād. For instance, for marking the end of insertions in the margin, al-Rāmahurmuzī suggests writing a word from the text after the missed part at the end of the insertion in the margin. ²¹⁹ Al-Qādī 'Iyād, however, disapproves of this practice and suggests writing sahh at the end of the insertion. 220 In some examined manuscripts, the insertion is marked with sahh, which is coherent with al-Qādī 'Iyad. Thus, fifth/eleventh and sixth/twelfth-century normative sources can help understand earlier third/ninth-fourth/tenth-century scribal practices. That the late normative sources describe older practices suggests that the authors who wrote about such scribal practices were often more conservative in their own approach. The authors of the normative sources, namely al-Khaṭīb al-Baghdādī and al-Qādī 'Iyād, describe practices that were no longer fashionable in their own time. The first part of this thesis is dedicated to the paratext, which includes the title page, introductory section, and colophon. The second part of this thesis focuses on the elements of clarity and correctness. This includes practices such as keeping constructions together, collation, providing diacritical points, the *ihmāl* sign, and vocalization. The cancellation of dittographies, the insertion of omitted elements, and the measures undertaken to correct mistakes and prevent misinterpretation in the text are also discussed in this part.

As elaborated in chapter 4, the normative sources can be very useful in understanding elements that relate to clarity and correctness, such as, the collation also marked with dots or lines inside the circles drawn as "text dividers." Al-Khaṭīb al-Baghdādī explains that scholars of hadīth initially left these circles free. After the collation of a particular section of hadīth, they then put a

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²¹⁹ Al-Rāmahurmuzī, *al-Muḥaddith al-fāṣil*, 606-7.

²²⁰ Al-Qādī 'Iyād, *al-Ilmā*', 162-3.

dot or line inside the circle.²²¹ I have come to understand that marking these circles with dots or lines is a part of the collation process when we see, in some specimens from both the third/ninth and the fourth/tenth centuries, that some marked circles are also accompanied by the expression *balagha* next to them in the margin. This solidifies our understanding that marking circles was for the purpose of indicating collation.

However, even in the study the elements of clarity and correctness, analyzing manuscript evidence remains essential and the most important method for actually understanding scribal practice in the third/ninth-fourth/tenth century. Examining manuscript specimens reveals specific details that the normative sources do not discuss; some of the marks used for the *ihmāl* in the manuscripts are not presented in the normative sources, as shown in section 4.2.2.

The normative sources are efficient tools to help us in our analysis of the elements of clarity and correctness. However, this is not the case for the paratexts. The study of the paratexts has depended on an analysis of the actual manuscripts. Both the paratexts and the elements of clarity and correctness are primarily analyzed by focusing on specific details of the actual manuscripts.

Most elements of scribal practice discussed in my thesis that were in use in the third/ninth century continued to the fourth/tenth century. However, one practice that was discontinued was the use of a connecting line which referred to an omitted insertion in the margin, as discussed in chapter 4.5.

In addition, some practices are noticed in the fourth/tenth century-manuscripts which do not appear in third/ninth-century specimens. For instance, book titles became embellished from the beginning of the fourth/tenth century, with titles such as *Jāmi ʻal-bayān ʻan ta'wīl āy al-Qur ʾān*, as discussed in section 2.1.2.6. Colophons from the fourth/tenth century, especially in the first half of it, tend to include more details than colophons from the third/ninth century. For example, a fourth/tenth century colophon indicates not only the date of copying, which contains the part of the day, the day of the week, the day of the month, and the year, but also historical context of when the manuscript was copied, as elaborated in chapter 3.3. Furthermore, writing a statement at the end of the manuscript to indicate that the collation was executed begins to appear from the second half of the fourth/tenth century. This practice was not observed in any of our third/ninth century manuscripts as discussed in chapter 4.3.

²²¹ Al-Khaṭīb al-Baghdādī, *al-Jāmi* ', 1: 273.

Moreover, the second half of the fourth/tenth century witnessed discernible developments with regards to the elements of clarity and correctness. A combination of two marks were used to indicate the *muhmal* letters (especially the $s\bar{\imath}n$ and the $s\bar{\imath}ad$), as explained in section 4.2.1.2.5. The use of a mark which consists of the $kh\bar{a}$ and $f\bar{a}$ $\dot{\imath}$ $\dot{\imath}$ $\dot{\imath}$ $\dot{\imath}$ (*khiff* or *khaffa*) above a letter to emphasize that the consonant is not geminated also appeared in the same period, as discussed in section 4.2.2.2. One manuscript shows that $tahw\bar{\imath}q$ ("drawing a semicircle around the first and last words that are to be deleted") was used in cancellation during time frame, as shown in chapter 4.4.

Regarding geographical developments, the only remarkable feature is the different dotting of the $f\bar{a}$ and $q\bar{a}f$ in the $Maghrib\bar{\iota}$ and the $Andalus\bar{\iota}$ manuscripts under examination. In contrast to the conventional use of $f\bar{a}$, the $f\bar{a}$ in those manuscripts are written with a single point underneath the $f\bar{a}$. The $q\bar{a}f$ in the $Maghrib\bar{\iota}$ and the $Andalus\bar{\iota}$ manuscripts are written like the regular $f\bar{a}$, i. e. with a single point above the letter, as elaborated in section 4.2.1.1.

The production of Arabic manuscripts can be studied in various ways. So far, most studies have chosen either to focus on the literature that speaks about scribal practice or through the study of manuscripts. The present study takes into account both kinds of sources. My research only focuses on two aspects of scribal practice from manuscripts dating to the third-fourth/ninth-tenth centuries, the elements of the paratext and the elements relating to clarity and correctness. Other aspects of scribal practice also deserve separate investigation in the future. Our specimens show evidence of the Abbasid book hand or the "new style" as well as the *naskh* script. In the fourth/tenth century, these scripts continued to be used.²²² The scripts of third/ninth-fourth/tenth-century manuscripts can be a fruitful topic of future study.

The page layout of third/ninth-fourth/tenth-century manuscripts can also be a rewarding avenue for scholarly research. This work could deal with such elements as the ruling (the use of the *misṭarah*), the writing of headings and how these headings are distinguished from the text body, and the use of catchwords. A question that is worth asking in this regard is whether the topic of a manuscript has any implications on its layout. Finally, one could also compare scribal practices in early manuscripts with later ones or Qur'ānic manuscripts with non-Qur'ānic manuscripts.

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²²² See appendix 1.

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7. Illustrations

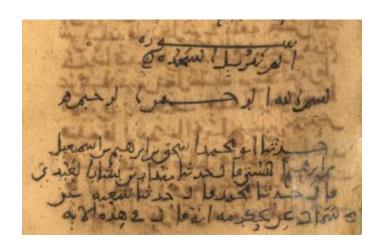
7.1. Illustrations of core corpus chapter



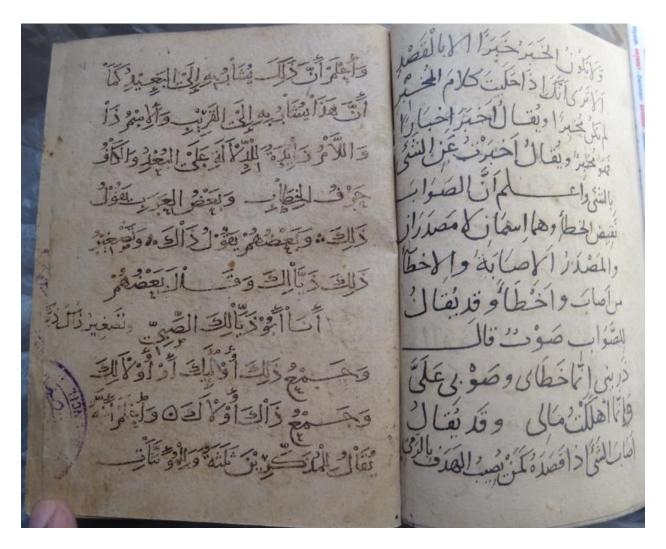
Illus. 2.1. MS DK 41 Uṣūl Fiqh, fol. 35r: different users dealt with the text.



Illus. 2.2. MS BA 233 in a glass box.



Illus. 2.3. MS BA 233, fol. 115r.



Illus. 2.4. MS MRT 37 Lughah, fol. 6v-7r: two different hands.

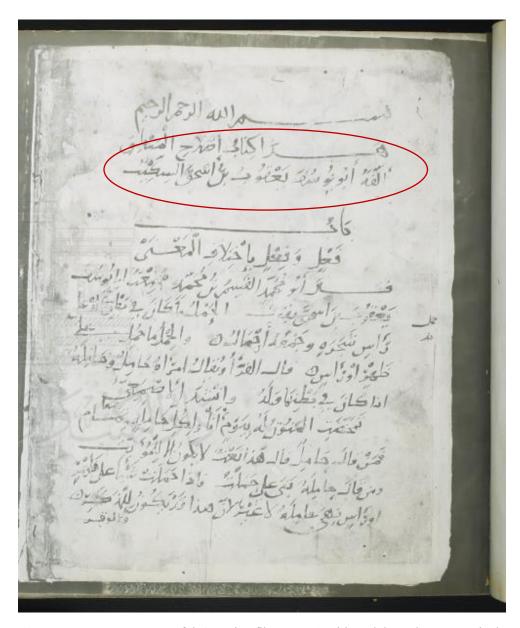
7.2. Paratexts



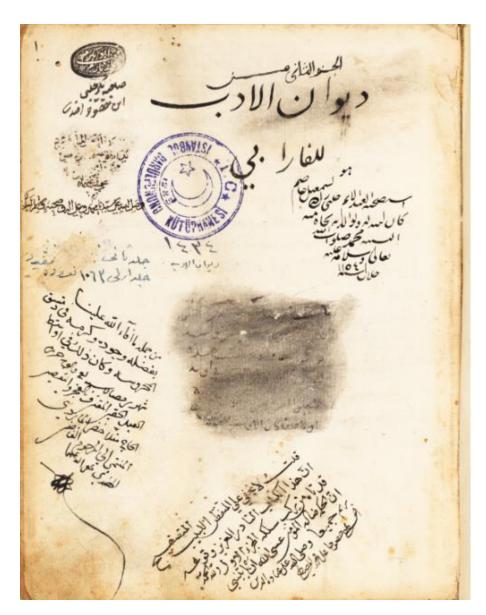
Illus. 3.1: the title page that is provided by mistake to MS BA 233.



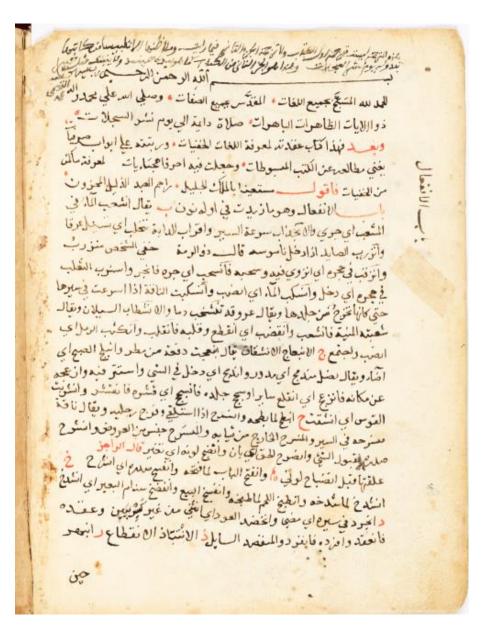
Illus. 3.2: MS BA 233, fol. 1v-2r: the beginning of the manuscript.



Illus. 3.3: MS, DK 6155 $\mbox{H$\bar{a}$}$ ', fol. 1v, Microfilm copy: the title and the author's name in the preface.



Illus. 3.4: MS IUL A 1434, fol. 1r.



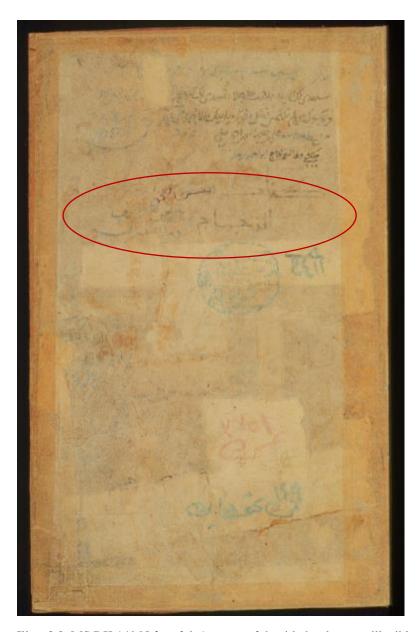
Illus. 3.5: MS IUL A 1434, fol. 1v.

إِيَّ انْشُقُ وَفَالَ مِنْ مَارِو حِيتُمْ وَوَامِ مُنْسَلِعِ ۗ وَفَيْنَعُنِ الْبِزَيْزِ السِّيَّا _

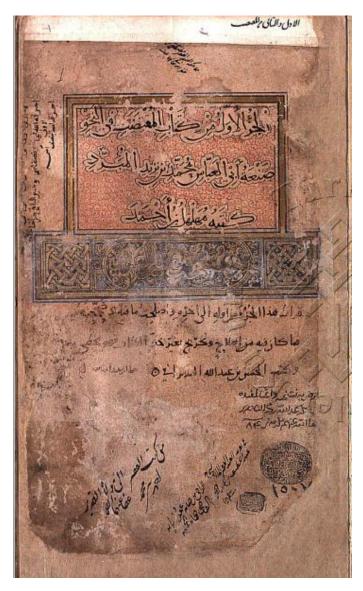
Illus. 3.6: MS IUL A 1434, fol. 2r.



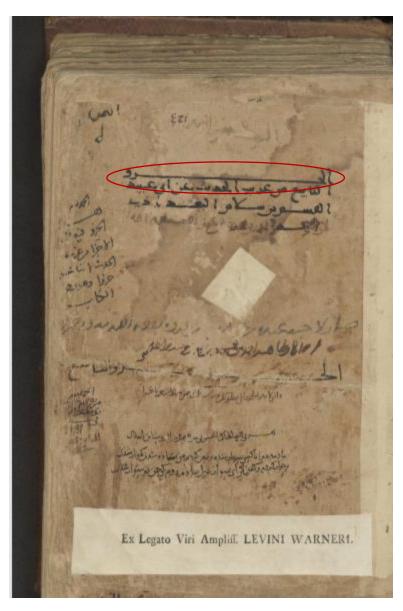
Illus. 3.7: MS Fazil 948, fol. 1r: the title page.



Illus. 3.8: MS DK 149 Naḥw, fol. 1r: most of the title has become illegible.



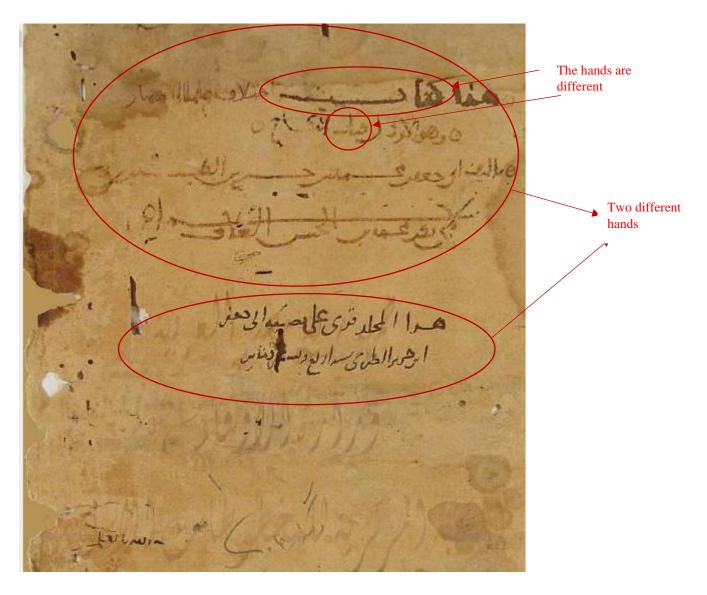
Illus. 3.9: MS Fazil 1507, fol. 1r: the title page.



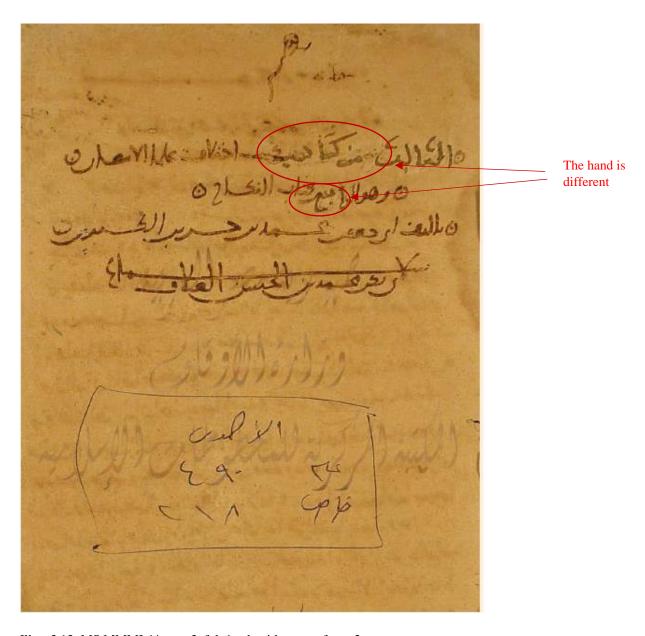
Illus. 3.10: MS UL Or. 298, fol. 1r: the word *al-juz*' is elongated.



Illus. 3.11: MS DK 852 Tawḥīd, fol. 1r.



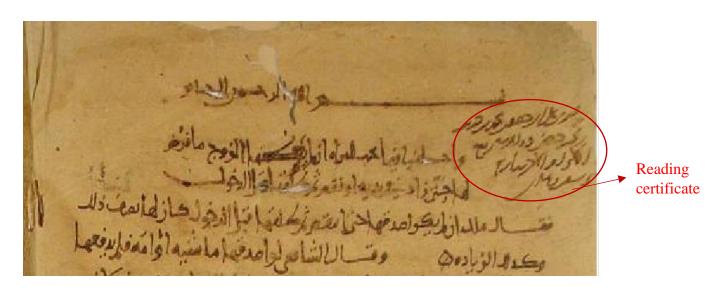
Illus. 3.12. MS MMMI 44, part 1, fol. 1r: the title page of part 1.



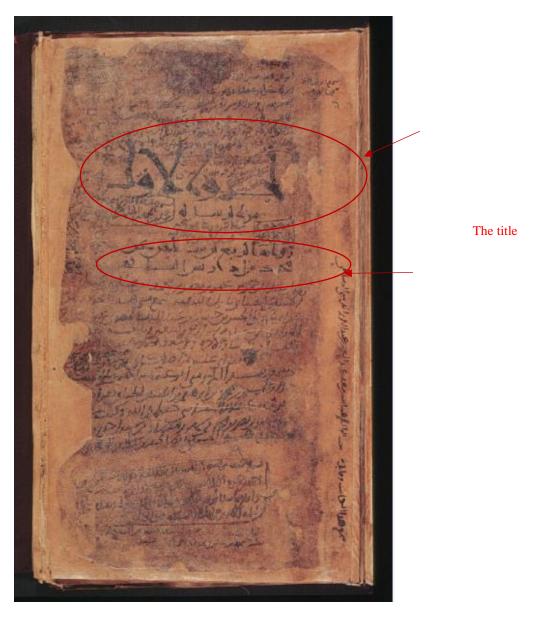
Illus. 3.13: MS MMMI 44, part 3, fol. 1r: the title page of part 3.



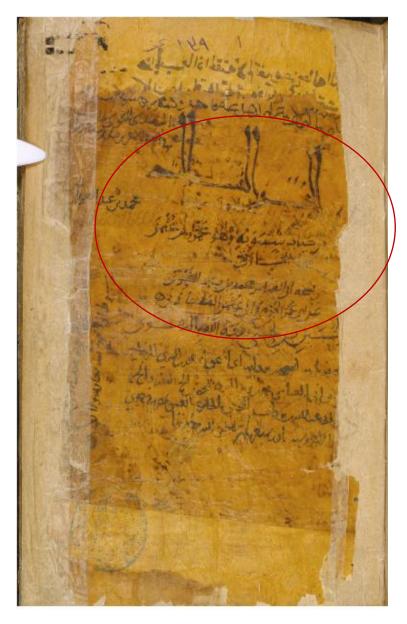
Illus. 3.14: MS MMMI 44 part 1, fol. 1v: the incipit.



Illus. 3.15: MS MMMI 44 part 3, fol. 1v: the incipit.

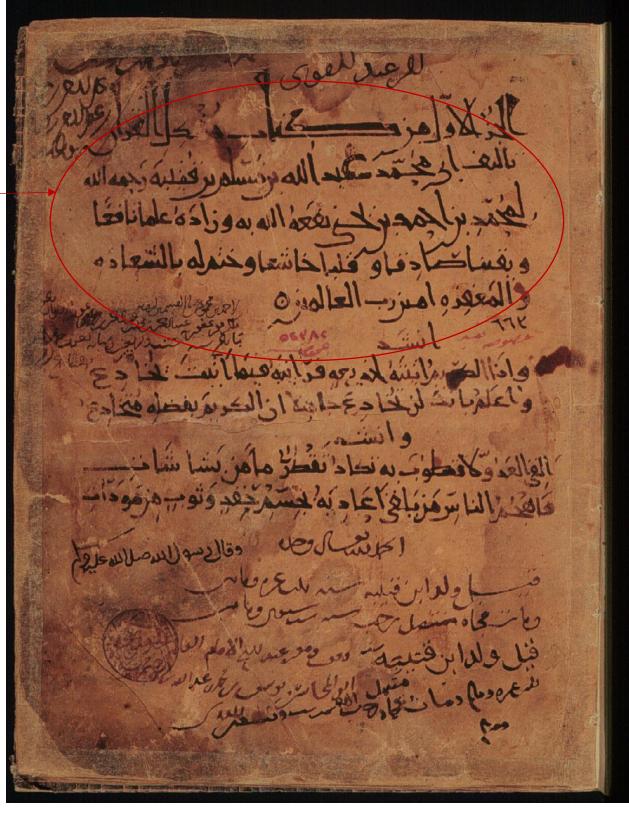


Illus. 3.16: MS DK 41 Uṣūl Fiqh, fol. 6r: the title page of the main text of the first part.



Illus. 3.17: MS DK 139 Naḥw, part 3, fol. 1r: title page.

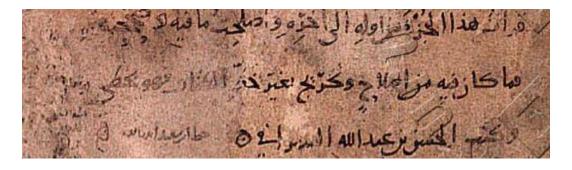
Title, author, and the copyist



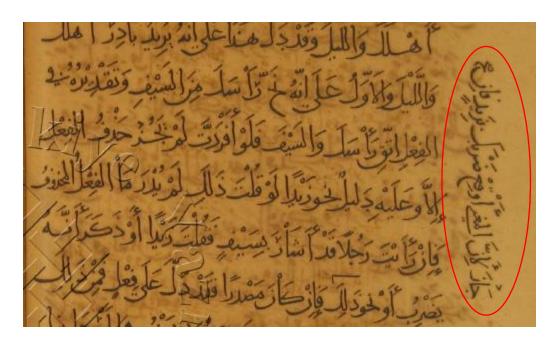
Illus. 3.18: MS DK 663 Tafsīr, the title page (without numbering).



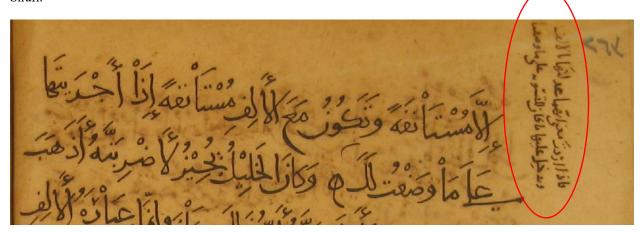
Illus. 3.19: MS Reis 904, fol. 1r.



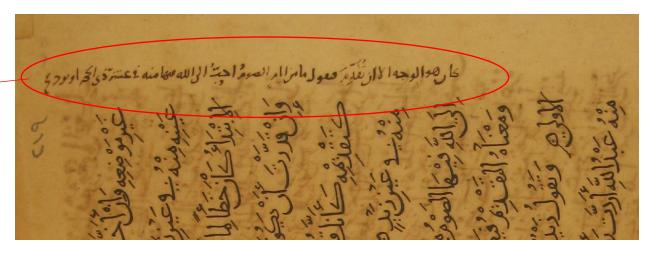
Illus. 3.20: MS Fazil 1507 fol. 1r: note by al- Sīrāfī on the title page.



Illus. 3.21: Fazil 1508 fol. 97v: an insertion of omission in the same hand as the text body, thus, not the hand of al-Sīrāfī.

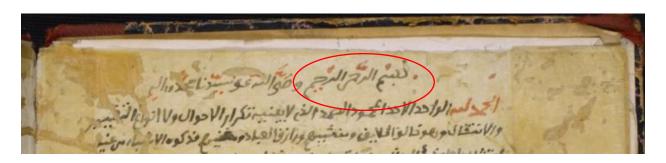


Illus. 3.22: MS Fazil 1508 fol. 132 v: an insertion of omission in the hand of al-Sīrāfī. This is because this insertion of omission is different from the hand of the text, but similar to al-Sīrāfī's hand as seen in illus illus. 3.20.

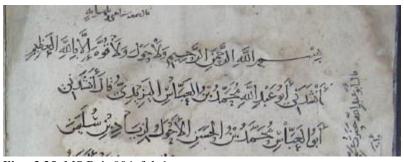


The hand of al-Sīrafī

Illus. 3.23: MS Fazil 1508 fol. 110 v: Illus. 3.22: MS Fazil 1508 fol. 132 v: an insertion of omission in the hand of al-Sīrāfī as it is different from the text body's hand and similar to al-Sīrāfī's hand when comparing to his note in illus. 3.20.



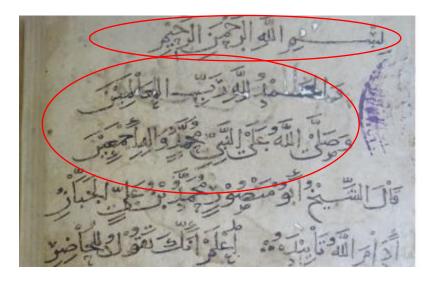
Illus. 3.24: MS DK 19598 Bā', fol. 1v: the basmalah without "Allāh."



Illus. 3.25: MS Reis 904, fol. 1v.



Illus. 3.26: MS Şehid 1842, fol. 1v: the *basmalah* is divided into two lines, and the $s\bar{t}n$ is very close to the $b\bar{a}$ '.



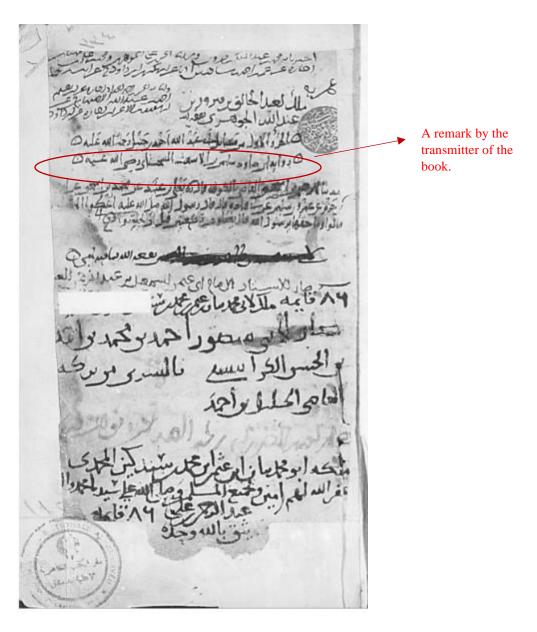
Illus. 3.27: MS MRT 37 Lughah, fol. 1v.



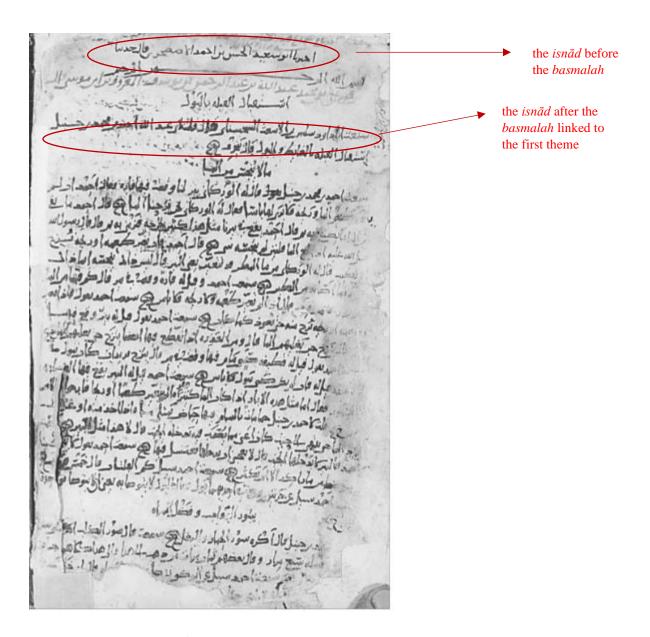
Illus. 3.28: MS MMMI 44, part 3, fol. 1v.



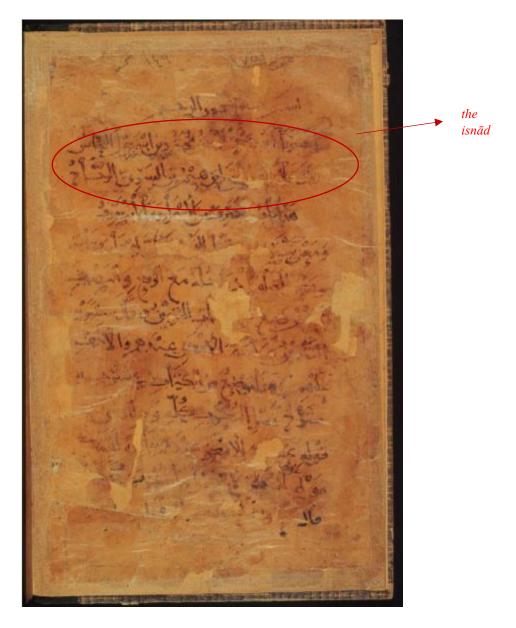
Illus. 3.29: MS DK 41 Uṣūl Fiqh, fol. 6v.



Illus. 3.30: MS ANL1125, fol. 1r.



Illus. 3.31: MS MAW 1125, fol. 1v.



Illus. 3.32: MS DK 149 Naḥw, fol. 1v.



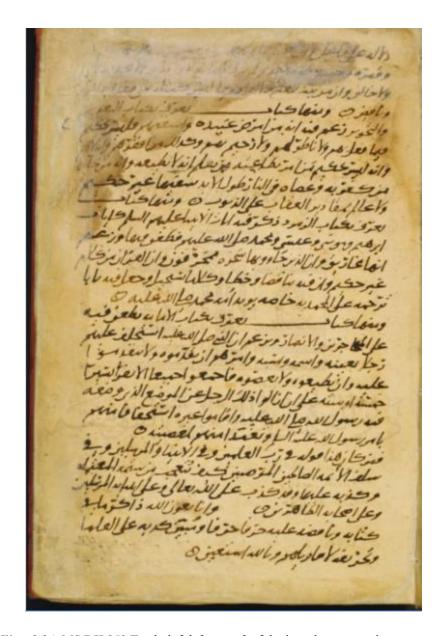
Illus. 3.33: MS DK 663 Tafsīr, p. 1: part of the introductory section.



Illus. 3.34: MS DK 149 Naḥw, fol. 98v: part of the preface, including the methodology.



Illus. 3.35: MS DK 852 Tawhīd, fol. 1v: part 1 of the introductory section.



Illus. 3.36: MS DK 852 Tawhīd, fol. 2r: part 2 of the introductory section.



Illus. 3.37: MS Car. Ef. 1508, fol. 1v, 2r.



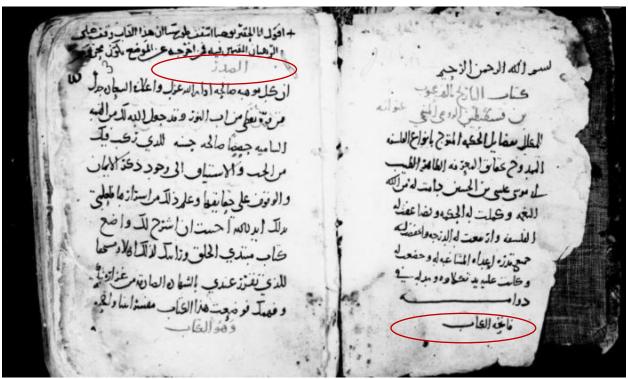
Illus. 3.38: MS Lal. 1905, fol. 1v, 2r.



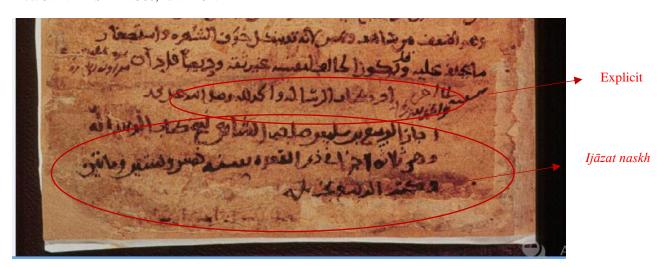
Illus. 3.39: MS Fazil 1541, fol. 1v, 2r.



Illus. 3.40: MS Fazil 1541, fol. 2v, 3r.



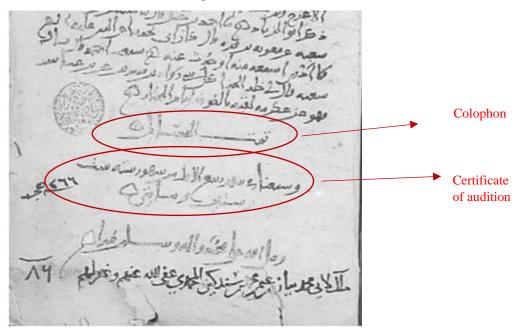
Illus. 3.41: MDSK Ar. 580, fol. 2v-3v.



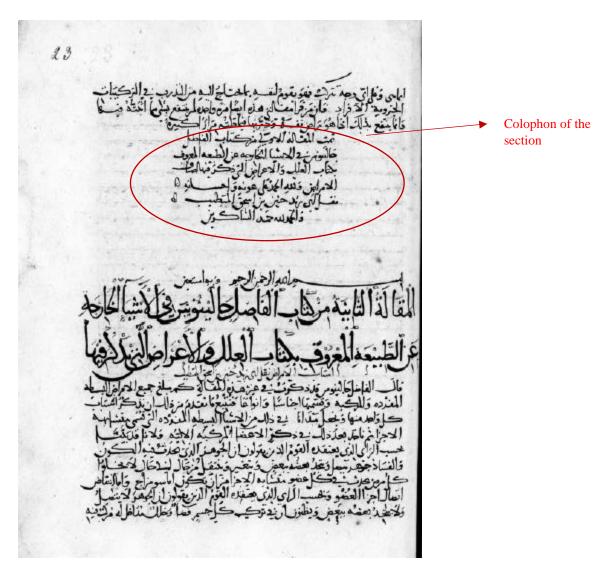
Illus. 3.42: MS DK 41 Uṣūl Fiqh, fol.75r: colophon and *ijāzat naskh* by al-Rabī'.



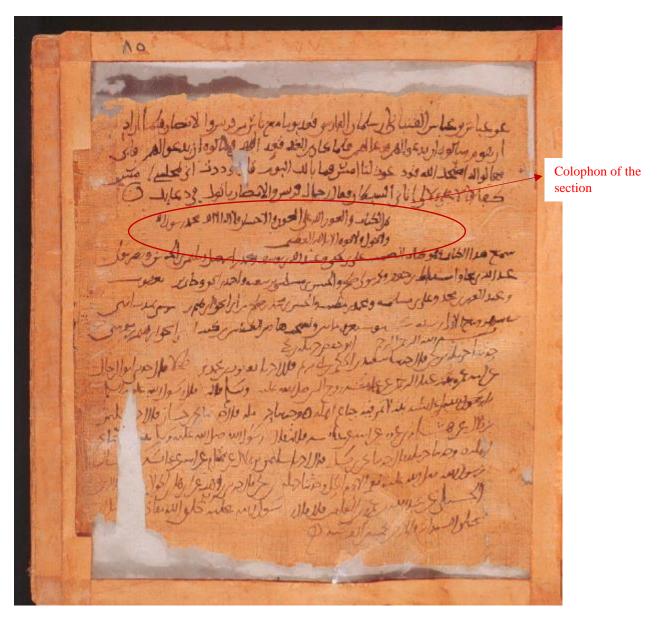
Illus. 3.43: MS UL Or. 298: the colophon.



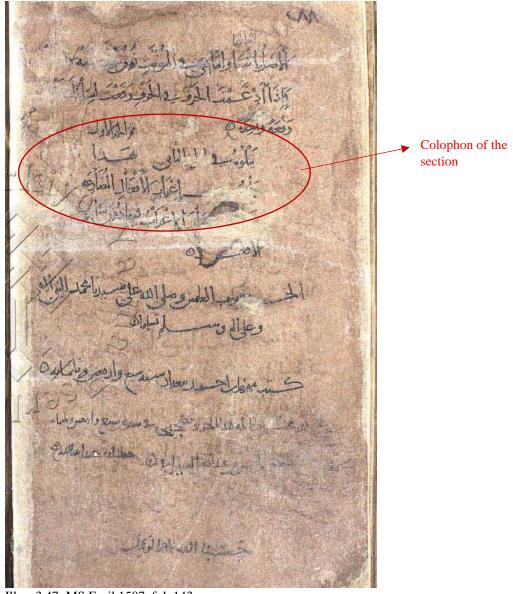
Illus. 3.44: MS MAW 1125, fol. 89r.



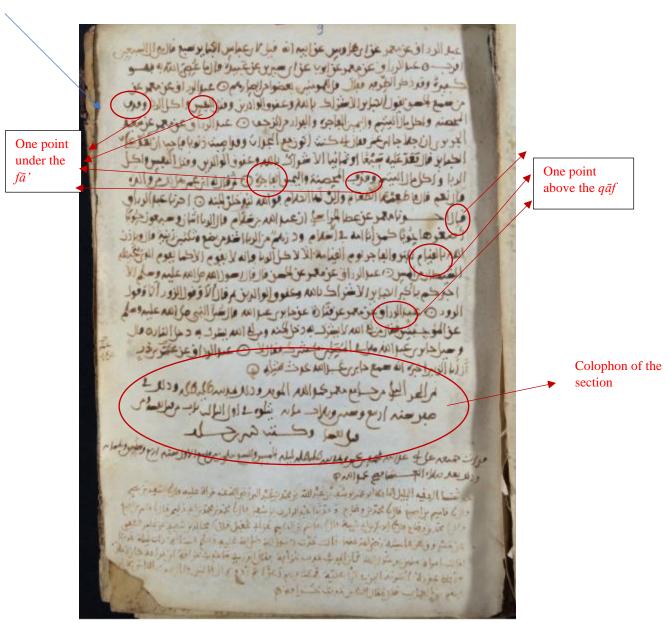
Illus. 3.45: MS BNF arabe 2859, fol. 23r.



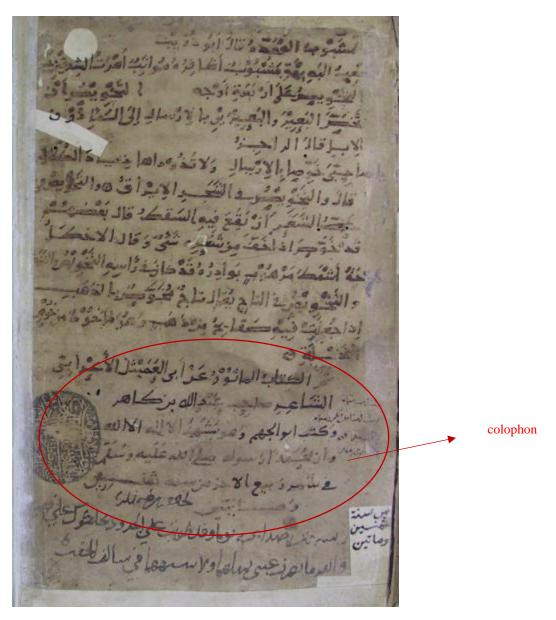
Illus. 3.46: MS DK 2123 Ḥadīth, p. 85.



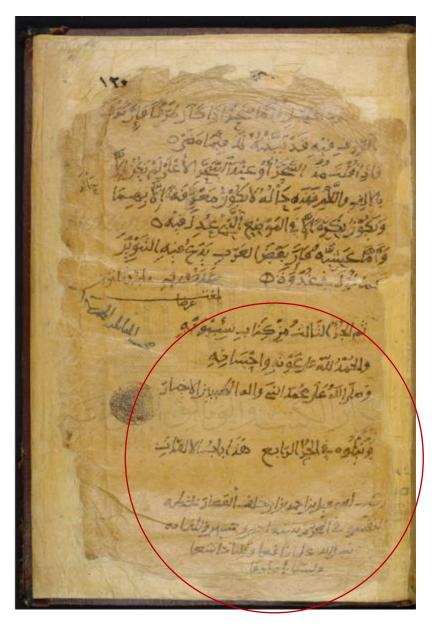
Illus. 3.47: MS Fazil 1507, fol. 143v.



Illus. 3.48: MS Saib 2164, fol. 9r: the pointing of the $f\bar{a}$ and $q\bar{a}f$, and the colophon.



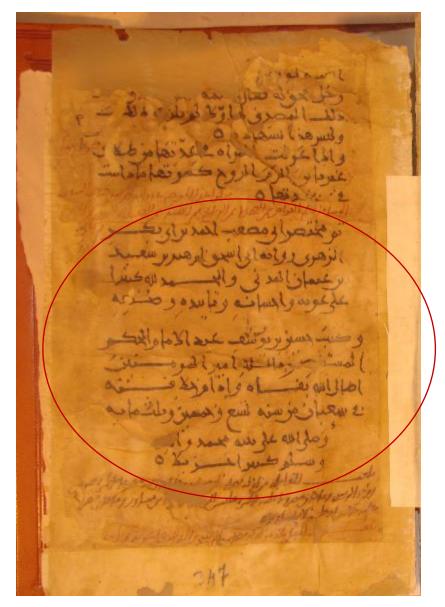
Illus. 3.49: MS Vel. Ef. 3139, fol. 33v.



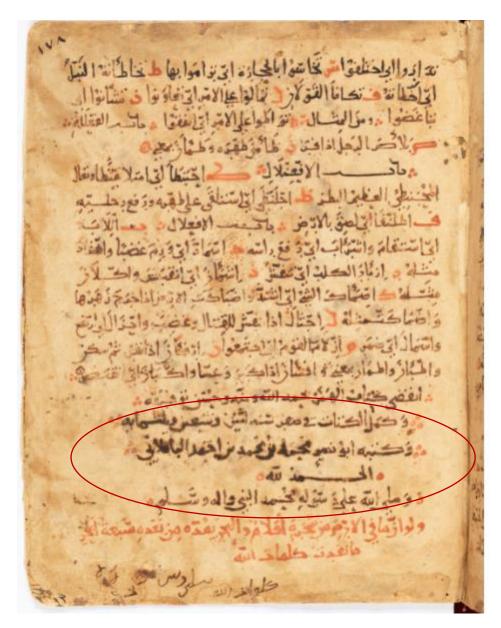
Illus. 3.50: MS DK 139 Naḥw, part 3, fol. 120r: the colophon.



Illus. 3.51: MS DK 663 Tafsīr, p. 165: the colophon.



Illus. 3.52: MS Qar. 874/62, p. 347: the colophon.



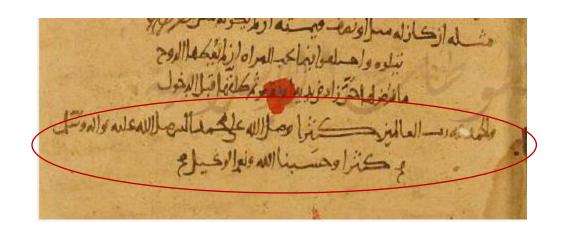
Illus. 3.53: MS IUL A 1434, fol. 178r: the colophon.

Illus. 3.54: MS Şehid 2552, fol. 146r: part of the colophon.



Illus. 3.55: MS Saib 2164, fol. 79r: explicit and colophon.

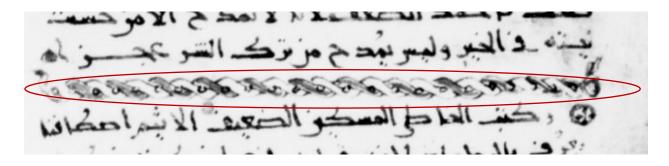
ʻaqibi



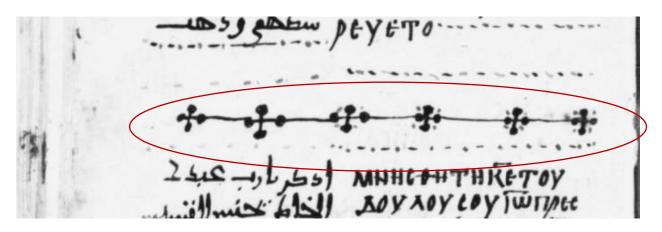
Illus. 3.56: MS MMMI 44, part 1, fol. 22r: the colophon and two saḥḥ marks.



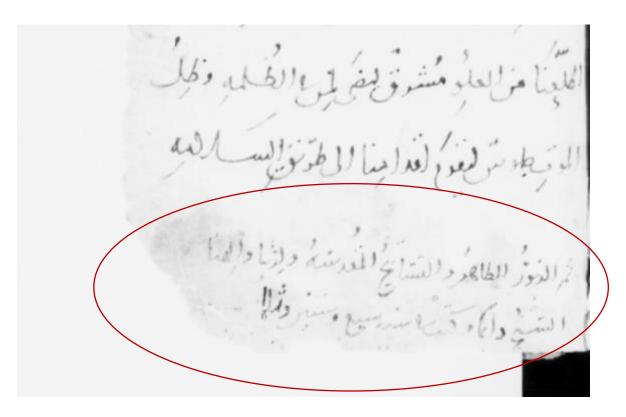
Illus. 3.57: MS Vat. Ar. 13, fol. 102v: the colophon.



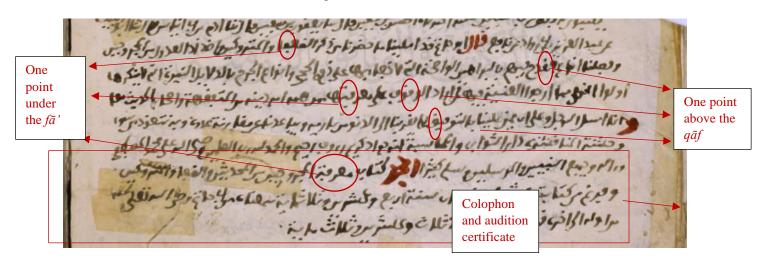
Illus. 3.58: MS MDSK Ar. 72, fol. 118v: a decoration band indicates the completion.



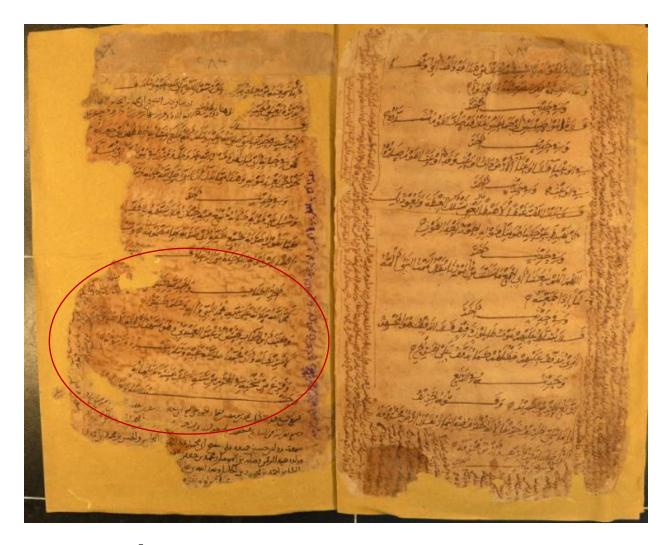
Illus. 3. 59: MS MDSK Ar. 116, fol. 205v: a band decorated with the sign of the cross to indicate the completion.



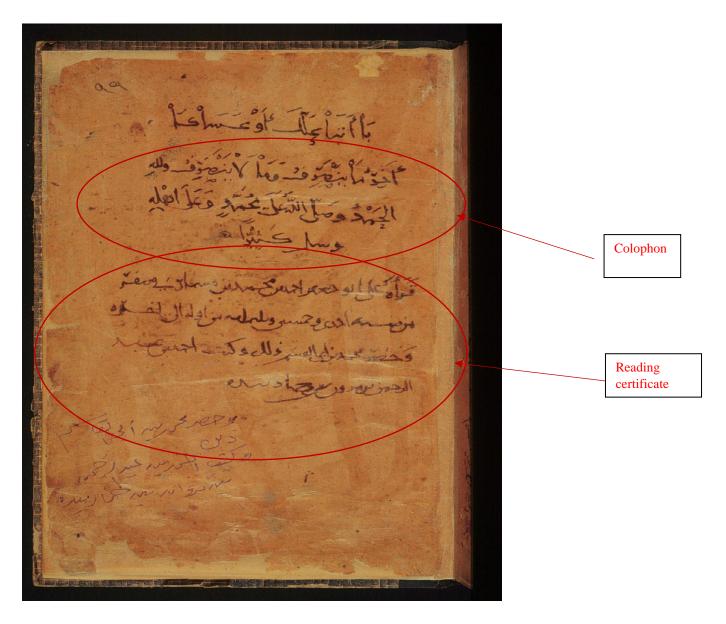
Illus. 3.60: MS MDSK Ar. 30, fol. 190r: the colophon.



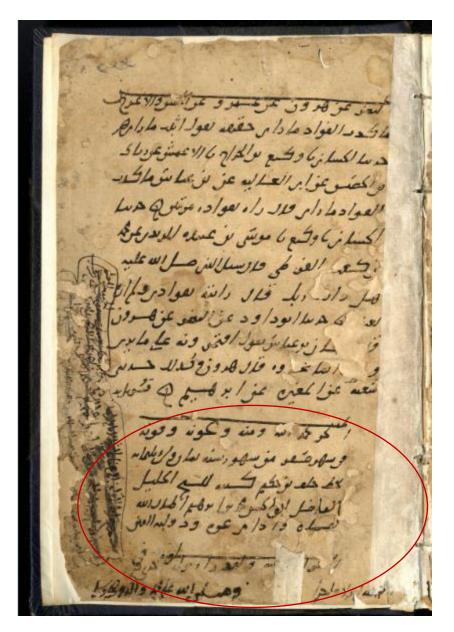
Illus. 3.61: MS DK 19598 Bā', fol. 183v: the pointing of the $f\bar{a}$ ' and $q\bar{a}f$, and the colophon and audition certificate.



Illus. 3.62: MS AZ, ' \bar{A} mm 9028, Khaṣṣ 926 Ḥadīth, p. 289: the colophon.



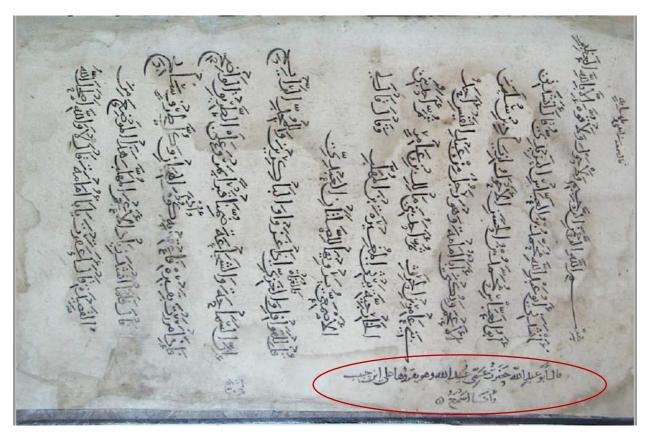
Illus. 3.63: MS DK 149 Naḥw, fol. 99r: the colophon and the reading certificate.



Illus. 3.64: MS BA 233, fol. 233r: the colophon.

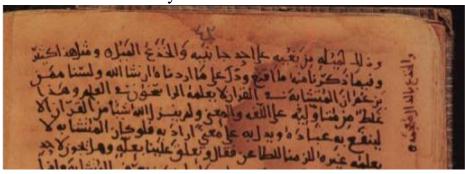


Illus. 3.65: MS BA 233, fol. 230v-231r: two different hands in the manuscript.

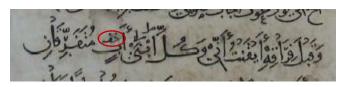


Illus. 3.66: MS Reis 904, fol. 1v: certificate of the audition.

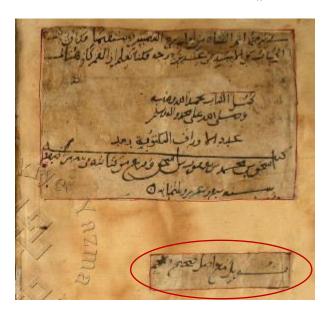
7.3. Elements of clarity and correctness



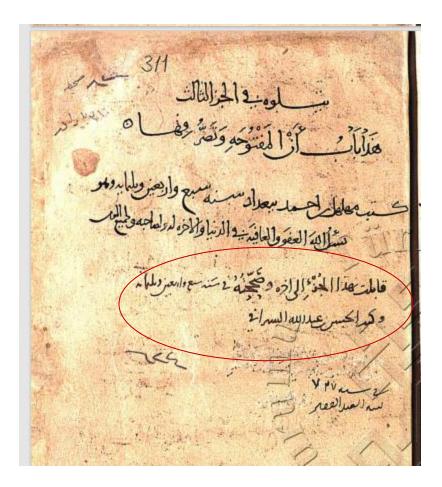
Illus. 4.1: MS DK 663 Tafsīr, p,34: writing the *dabt* in the right margin.



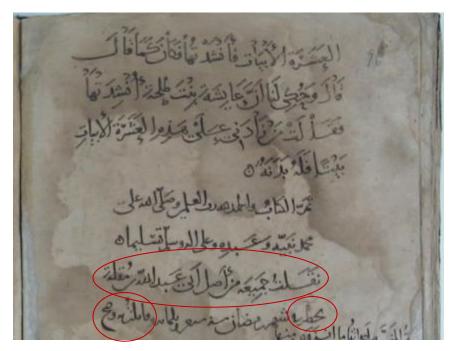
Illus. 4.2: MS Reis 904, fol. 24r: the mark khiff.



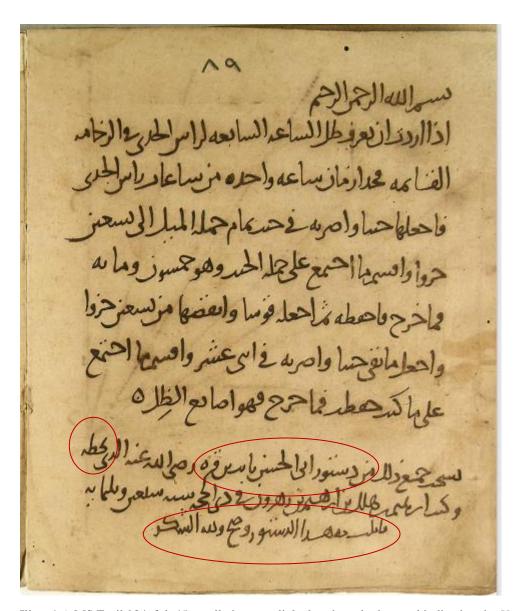
Illus. 4.3: MS Car. Ef. 1508, fol. 244v: collation statement at the end of the manuscript.



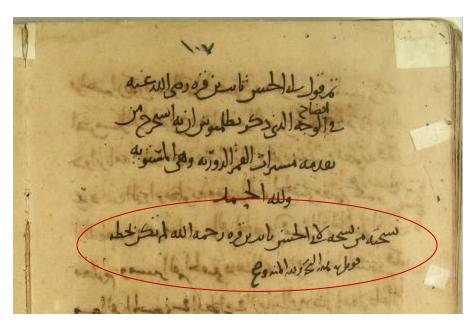
Illus. 4.4: MS Fazil 1507, fol. 311r: al-Sīrāfī's note of collation and correction at the end of the manuscript.



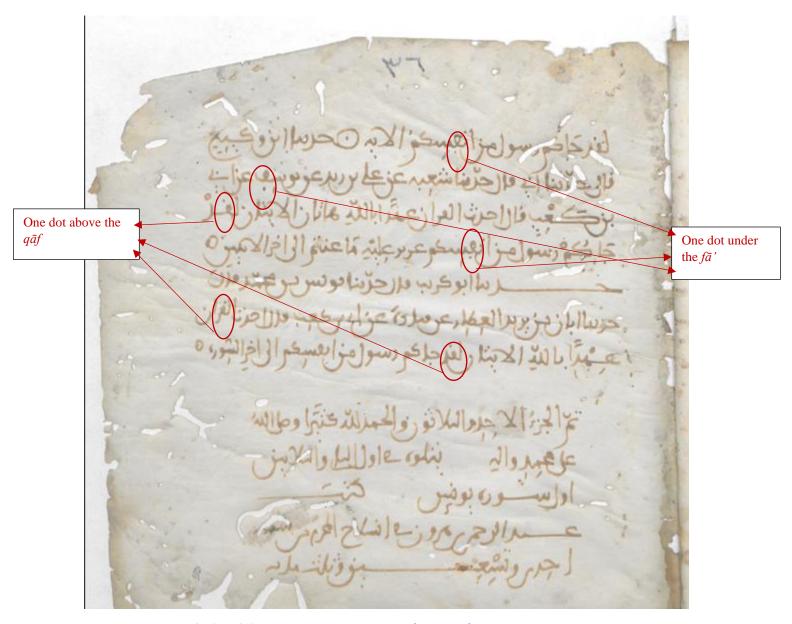
Illus. 4.5: MS Reis 904, fol. 96v: collation note linked to the colophon and indicating the Vorlage.



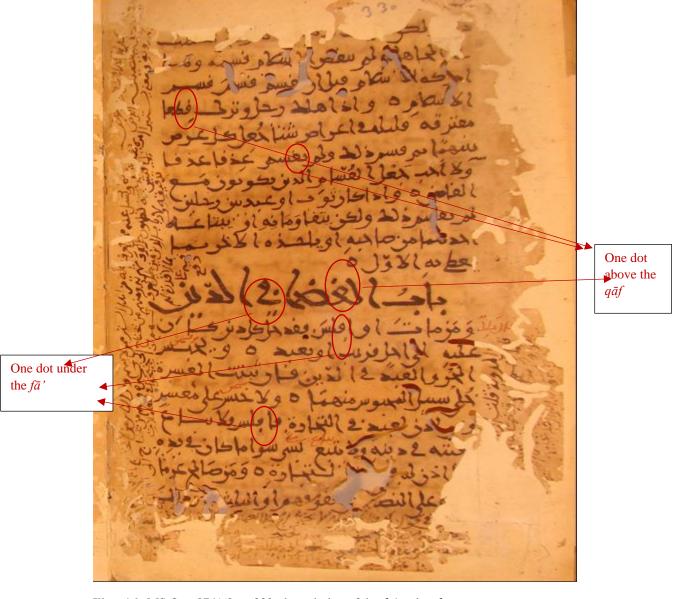
Illus. 4.6: MS Fazil 984, fol. 45v: collation note linked to the colophon and indicating the *Vorlage*.



Illus. 4.7: MS Fazil 984, fol. 54v: collation note after the colophon indicating the *Vorlage*.



Illus. 4.8: MS Qar. 791 (Jīm 31), fol. 36r: the pointing of the $f\bar{a}$ and $q\bar{a}f$.



Illus. 4.9: MS Qar. 874/62, p. 330: the pointing of the $f\bar{a}$ and $q\bar{a}f$.

Appendix 1: Charting the core corpus briefly in chronological order¹

Here I chart the specimens of the core corpus in a table that summarizes the data, including the shelfmark, the date of copying, the author, the title, and the copyist. The details and the illustrations that belong to each item are indicated. In addition, the table provides a short description of the manuscripts in terms of the corrections and notes, the layout, and the script. The items are arranged chronologically. The subjects of the manuscripts are also given.

Shelfmark	Page item details and/or Illustrations	Date of copying	Author	Title	copyist	corrections & notes	Layout	Script	Topic
MS DK 41 Uşūl Fiqh	(p. 39/illus. 2.1, 3.16, 3.29, 3.42)	Before 30 Dhū al-Qaʻdah 265/[24 July 879]	Muḥammad ibn Idrīs al- Shāfiʻī	Al-Risālah ("The Epistle")	Al-Rabīʻ ibn Sulaymān (direct student)	Many corrections and notes	- Plain layout - Title page - No frame - No catchwords - Chapter division: leaving space before the new heading - Text division: small space; dotted circle	- Naskh - One hand - Routined - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Vertical script - Script moving from baseline - Stable stroke thickness	Fiqh
MS Vel. Ef. 3139	(p. 41/illus. 3.49)	Rabī' al-Ākhar 280/ [June-July 893]	Abū al- 'Amaythal 'Abd Allāh ibn Khulayd (d. 240/854)	Al-Ma'thūr fīmā ittafaqa lafzuhu wa- ikhtalafa maʻnāhu ("The	Abū al-Jahm	Few corrections and notes	- Plain layout - No title page - No frame	- Abbasid book hand - One hand - Professional	Lexicography

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¹ This chart is based on list of labels provided by prof. Gruendler. Many thanks to her.

				Transmitted			- No	- Medium	1
1				[Book] on			- No	 Medium thickness 	
				Homonymous")			- Chapter	(neither	
				Tiomonymous)			division:	thick nor	
							leaving space	thin line)	
							before the	- Medium	
							new heading	size (neither	
							- Text	large nor	
							division:	small)	
							small space;	- Medium	
							dotted circle	line spacing	
								-Narrow	
								word	
								spacing	
								- Vertical	
1								script	
								(oblique	
								stroke: <i>tā</i> '	
								/zā')	
								- Script	
								adhering to	
								baseline	
								 Stable stroke 	
MS MMMI	(p. 42/illus	204/006	Muhammad	Ikhtilāf 'ulamā'	Not given	Fow	Dlain lavout	thickness New style	Figh
MS MMMI	(p. 42/illus.	294/906	Muḥammad	Ikhtilāf 'ulamā'	Not given	Few	- Plain layout	- New style	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al-	al-amṣār ("The	Not given	corrections	- Title page		Fiqh
		294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement	Not given		- Title page - No frame	- New style - One hand -	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al-	al-amṣār ("The Disagreement among the	Not given	corrections	- Title page - No frame - No	- New style - One hand - Professional	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords	- New style - One hand -	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the	Not given	corrections	- Title page - No frame - No catchwords	- New style - One hand - Professional - Medium	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter	- New style - One hand - Professional - Medium thickness (neither	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by	- New style - One hand - Professional - Medium thickness (neither thick nor	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading.	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small)	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text division:	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text division: small space;	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text division:	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text division: small space;	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text division: small space;	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text division: small space;	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Vertical	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text division: small space;	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Vertical script	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text division: small space;	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Vertical script - Script	Fiqh
44, part 1	3.12-15,	294/906	ibn Jarīr al- Ṭabarī (d.	al-amṣār ("The Disagreement among the Scholars of the	Not given	corrections	- Title page - No frame - No catchwords - Chapter division: title marked by separate line, leaving space before the new heading Text division: small space;	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Vertical script	Fiqh

								- Stable stroke thickness	
MS AZ, 9028 'Āmm 926 Khaşş Ḥadīth	(p. 43/illus. 3.62)	al-Muḥarram 311[/April- May 923]	Abū 'Ubayd al-Qāsim ibn Sallām (d. 224/838)	Gharīb al- ḥadīth ("The Rare Vocabulary of Ḥadīth")	Abū al- Khaṭṭāb al- Ḥusayn ibn 'Umar al- 'Aydī (hadīth scholar)	Many corrections and notes	- Plain layout - No title page - No frame -No catchwords - Chapter division: titled marked by a separate line - Text division: small space; circle with stroke; hā' for intahā	- Naskh? - one hand - Routined - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Oblique script - Script moving from baseline - Stable stroke thickness	<i>Ḥadīth</i> philology
MS DK 19598 Bā'	(p. 42/illus. 3.24, 3.61)	Shaʻbān 324[/May-June 936]	Ibn Hibbān (d. 354/965)	Ma'rifat al- majrūhīn min al-muhaddithīn ("The Knowledge of the Impugned Transmitters of Prophetic Traditions")	Not given	Few corrections and notes	- Plain layout - Title page - No frame - No catchwords - Chapter division: titled marked by coloured ink - New chapter: unmarked - Text division: Not found - Text highlighting: coloured ink	- Abbasid book hand - One hand - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Oblique script - Script moving	Ḥadīth

MS Car. Ef. 1508	(p. 44/illus. 3.37)	Şafar 327 [/November- December 938]	Abū Ma'shar al- Balkhī (d. 272/886)	Kitāb al- Madkhal ilā 'ilm aḥkām al- nujūm ("The Book of the Introduction to the Science of the Decrees of the Stars")	Ishāq ibn Muḥammad ibn Yaʻqūb ibn Ishāq	Few corrections and notes	- Plain layout - Title page - Table of contents - No frame - No catchwords - Chapter division: titled by the thick pen - New chapter marked by new line - Text division: small space; dotted circle	from baseline - Stable stroke thickness - New Style? - One hand - Routined - Medium thickness (neither thick nor thin line) - Large script - Wide line spacing - Narrow word spacing - Vertical script - Script moving from baseline - Stable stroke sickness	Astronomy
MS Şehid 2552	(p. 45/illus. 3.54)	9 Dhū al-Ḥijjah 338/[30 May 950]	Al-Qāsim Muhammad Ibn Saʻīd al- Mu'addib (fl. 338/949)	Kitāb Daqā'iq al-taṣrīf ("Details of Morphology")	The copyist is likely the author	Few corrections and notes	- Plain layout - Title page (added later) - No frame - No catchwords - Chapter division: elongated headings - New chapter: marked by new line - Text division: small space; dotted circles	- New style - One hand - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Vertical script	Grammar

MS DK 852	(p. 45/illus.	Jumādā I 347/	Abū al-	Kitāb al-Intisār	Not given	Few	- Text highlighting: elongation	(oblique stroke: $t\bar{a}$ ' / $z\bar{a}$ ') - Script moving from the baseline - Stable stroke thickness - Naskh	Theology
Tawḥīd	3.11, 3.35- 6)	[April-May 976]	Husayn 'Abd al- Raḥīm ibn Muḥammad al-Khayyāṭ (d. ca. 300/913)	wa-l-radd 'alā Ibn al-Rāwandī al-mulhid mā qaṣada bihi min al-kadhib 'alā al-Muslimīn wa-l-ta'n 'alayhim ("The Book of the Triumph and the Refutation of Ibn al-Rāwandī the Heretic Concerning the Lies He Aimed at Muslims and Attacking Them")		corrections and notes	- Title page - No frame - No catchwords - Chapter division: the text contains the views of Ibn al-Rāwandī and the commentary of al-Khayyāt. Ibn al-Rāwandī's views begin with elongated qāla ("he said") Text division: small space; dotted/circle - Text highlighting: by elongation	- One hand - Routined - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Oblique script - Script moving from baseline - Stable stroke thickness	
MS Fazil 1507, 1508	(p. 46/illus. 3.20-3, 3.47)	347/[358-9]	Al- Mubarrad (d. 285/898)	Al-Muqtaḍab fī al-naḥw ("The Epitome on Grammar")	Copyist: Muhalhil ibn Aḥmad Corrector: Al-Sīrāfī	Few corrections and notes	 Plain layout Title page No frame No catchwords Chapter division: titled marked by a separate line; elongating the word bāb 	- Naskh similar to the unique Qurānic MS of Ibn al- Bawwāb (MS Chester Beatty Is 1431) - One hand	Grammar

							("chapter") in the heading - Text division: dotted circle/circle with a stroke	Professional - Medium thickness (neither thick nor thin line) - Large script - Wide line spacing - Wide word spacing - Vertical - Script seems to be Moving from baseline - Stable stroke thickness	
MS DK 149 Naḥw	(p. 47/illus. 3.8, 3.32, 3.34, 3.63)	Before Şafar 351/[March- April 962]	Ibrāhīm ibn al-Sarī al- Zajjāj (d. 311/923)	Mā yanşarifu wa-mā lā yanşarif ("Triptotically and Diptotically Inflected Nouns")	Not given	Few corrections and notes	- Plain layout - Title page - No frame - No catchwords - Chapter division: titled marked by a separate line - Text division: small space; dotted circle	- Naskh similar to the unique Qurānic MS of Ibn al-Bawwāb (MS Chester Beatty Is 1431) - One hand - Professional - Medium thickness (neither thick nor thin line) - Large script - Wide line spacing - Wide word spacing - Vertical script (oblique	Grammar

								stroke: $t\bar{a}'$ / $z\bar{a}'$ / - Script seems to be Moving from baseline - Stable stroke thickness	
MS DK 139 Naḥw, part 3	(p. 48/illus. 3.17, 3.50)	351/[962-3]	'Amr ibn 'Uthmān Sībawayh	Kitāb Sībawayh ("The Book of Sībawayh")	Ismāʻīl ibn Aḥmad ibn Khalaf al- Qasṣār (scholar copied it for himself)	Many corrections and notes	- Plain layout - Title page - No frame -No catchwords - Chapter division: titles marked by headings include elongating and writing the words hādhā bāb ("This is the chapter") in thick pen - New chapter marked by new line - Text division: small space; dotted circle/circle with a stroke	- Naskh - Routined - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Vertical script (oblique stroke: tā' /zā') - Script moving from baseline - Stable stroke thickness	Grammar
MS Fazil 1541	(p. 49/illus. 3.39-40)	353/[964-5]	Ibn Durayd (321/933/4)	Kitāb al- Jamharah ("The Book of the Multitude")	Not given	Few corrections and notes	- Plain layout - Title page (added later?) - No frame - No catchwords - Chapter division: marked by thick pen and	- New style - One hand - Professional - Thick line - Small script - Narrow line spacing	Lexicography

MS DA 222	(n. 40/illus	Sofon	Jakāa ika	A fragment of	Vholof ihn	Four	a separate line; elongating the word bāb in the heading Text division: small space; dotted circle - Text highlighting: elongation of words such as qāla	- Narrow word spacing - Vertical script - Script moving from the baseline - Stable stroke thickness	Ourtzaio
MS BA 233	(p. 49/illus. 2.2, 2.3, 3.1, 3.2, 3.64)	Şafar 358/[December 968 - January 969]	Isḥāq ibn Ibrāhīm ibn Ismāʿīl Abū Muḥammad al-Qādī al- Bustī (d. 307/919-20)	A fragment of Tafsīr al-Bustī ("Commentary of al-Bustī")	Khalaf ibn Hakam (professional copyist; for a patron)	Few corrections and notes	- Plain layout - No title page - No frame - Catchwords: e. g. 23v Chapter division: titled by a separate line - New chapter marked by new line - Text division: circles provided with stroke; small space - Text highlighting: elongation	- Abbasid book hand - Two hands (one hand: fol. 1r-177v, 219r-231r; the second: 178r-218v, 231v-233r) - Professional - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - First hand: Vertical script (oblique stroke: \$ta^{\tilde{a}}\$); second hand: all	Qur'ānic exegesis

MS Reis 904	(p. 51/illus. 3.19, 3.25, 3.66, 4.2)	Ramaḍān 370/[March- April 981]	Ibn al- 'Abbās al- Yazīdī (d. 310/922)	Marāthī wa- ash'ār fī ghayr dhālika wa- lughah ("Dirges and Poems on Other Themes, Accounts, and Language")	Muḥammad ibn Asad ibn 'Alī al-Qāri'(d. 410/1019; teacher of Ibn al-Bawwāb)	Many corrections and notes	- Plain layout - Title page - No frame - No catchwords - Chapter division: titled by a separate line - Chapter marked by a new line - Text division: dotted circles/circles provided with stroke; small space	oblique script - Script moving from the baseline - Stable stroke thickness -Naskh similar to the unique Qurānic MS of Ibn al- Bawwāb (MS Chester Beatty Is 1431) - One hand - Professional - Medium thickness (neither thick nor thin line) - Large script - Wide line spacing - Narrow word spacing - Vertical - Script moving from the	Literature
MS Fazil	(p. 52/illus	370/Huna July	Thābit ibn	Kitāb Abī al-	Ibrāhīm Ibn	Few	Plain layout		Astronomy
MS Fazil 948	(p. 52/illus. 3.7)	370/[June-July 981]	Qurrah (d. 288/901)	Kitab Abi al- Hasan Thābit ibn Qurrah fī ālāt al-sā'āt allatī tusammā rukhāmāt ("The Book of Abū al- Ḥasan Thābit	Hilāl ibn Ibrāhīm ibn Hārūn al- Şābi' al- Ḥarrānī (d. 384/994)	corrections and notes	- Plain layout - Title page - No frame - Traces of mistarah: fol. 42r - No catchwords	- Naskh - One hand - Routined - Thick line - Large script - Wide line spacing	Astronomy and time measurement

	ı	ı	ı			1			
				ibn Qurrah			- Chapter	- Narrow	
				Timekeeping			division:	word	
				Machines that			titled marked	spacing	
				Are Called			by a separate	 Vertical 	
				Sundials"),			line	script	
				'Amal shakl			- New	 Script 	
				mujassam dhī			chapter:	moving	
				arba'a 'ashrata			marked by	from	
				qāʻidah fī kurah			new line	baseline	
				maʻlūmah			- Text	- Stable	
				("The			division:	stroke	
				Construction of			three dots;	thickness	
				a Solid Figure			dotted circle;	unckness	
				with Fourteen			small space		
				Faces Inscribed			-		
				into a Given			Illustrations:		
				Sphere"),			fol. 44v, 45r,		
				Qawluh fī īḍāḥ			58v		
				al-wajh alladhī					
				dhakara					
				Baṭlaymūs anna					
				bi-hi istakhraja					
				man					
				taqaddamahu					
				masīrāt al-					
				qamar al-					
				dawriyyah wa-					
				hiya al-					
				mustawiyah					
				("His Utterance					
				about the					
				Explanation of					
				the Way that					
				Ptolemy					
				mentioned That					
				by It His					
				Predecessor					
				Worked out the					
				Regular Cycles					
				of the Moon					
				and They Are					
				Similar")					
MS IUL. Ar.	(p. 53/3.4-	Safar	Ishāq ibn	Dīwān al-adab	Abū Nasr	Few	- Plain layout	- Abbasid	Lexicography
1434	3.6, 3.53)	372/[July-	Ibrāhīm al-	("The Diwan of	Muḥammad	corrections	- No title	book hand	J
1	3.0, 2.00,	August 982]	Fārābī (d.	Literature")	ibn	and notes	page	(main text)	
		- 108000 7027	350/961)		Muḥammad	3114 110100	- No frame	- Two	
			330,731)		ibn Aḥmad		- No	hands: only	
					al-Bāqillānī		catchwords	one page	
					(professional		- Chapter	written in a	
					copyist)		division:	withen in a	
	l	l	l	l	copyist)		GIVISIOII.		

	1	1	1							
								titles marked	later hand	
								by elongation	(fol. 1v)	
								the word <i>bāb</i>	-	
								in the	Professional	
								heading;	- Medium	
								three dots at	thickness	
								the beginning	(neither	
								and end of	thick nor	
								the heading	thin line)	
								- Text	- Medium	
								division:	size (neither	
								dotted circle	large nor	
								- Text	small script	
								highlighting:)	
	1							coloured ink;	- Narrow	
								elongating	line spacing	
								the word qāla	- Narrow	
	1							before the	word	
								verses of	spacing	
								poetry	- Vertical	
									script	
									- Script	
									tends to be	
									adhering to	
									a baseline	
									- Stable	
									stroke	
) (C T 1	(54)	D1 - 10 111	.1 - 1	4171	() 1 1	1	3.4	DI I I .	thickness	¥ *
MS Lal.	(p. 54)	Dhū al-Qa'dah	Abū al-	Al-Juz' al-	'Abd	al-	Many	- Plain layout	- New style	Literature
1728		372/[April-	'Abbās	thālith/al-rābiʻ	Malik	ibn	corrections	- Title page	- One hand	
		May 983]	'Abd Allāh	min shiʻr Abī	'Abd	al-	and notes	- No frame	- D	
			Ibn al-	al-'Abbās 'Abd	'Azīz	ibn		- No	Professional	
			Mu'tazz [(d.	Allāh ibn	Muḥam	naa		catchwords	- Medium	
			296/998)]");	Muḥammad Ibn				 Chapter division: 	thickness (neither	
			collected by	al-Mu'tazz ("The Third and				titled marked	thick nor	
			Abū Bakr	`						
			al-Ṣūlī (d.	Fourth Parts of				by thick pen - New	thin line)	
			355/947)	The Poetry of Abū al-'Abbās					- Medium	
	1			'Abd Allāh ibn				chapter marked by	size (neither large nor	
				Muhammad Ibn				marked by new line	large nor small)	
	1			al-Mu'tazz [(d.				new line - Text	smail) - Narrow	
				296/998)]")				division:	line spacing	
				270/330)] <i>]</i>				small space	- Narrow	
								- Text	word	
								highlighting:	spacing	
	1							elongation: in	- Vertical	
	ĺ							particular	script	
								-		
								$q\bar{a}la$ at the	- Script	

	I	1	1		I		tanainai C	£	
							beginning of	from	
							a new poem	baseline	
								- Varying	
								stroke	
								thickness	
MS Şehid 27	(p. 54)	Sha'bān 374/[Al-Ḥasan	Kitāb al-Ḥujjah	Al-'Abbās	Many	- Plain layout	- Naskh	Qur'ānic
		December 984-	ibn Aḥmad	li-l-a'immah al-	ibn Aḥmad	corrections	- Title page	similar to	Readings
		January 985]	ibn 'Abd al-	sab'ah min	ibn Mūsā ibn	and notes	- No frame	the unique	
			Ghaffār al-	qurrā' al-amṣār	Abī		- No	Qurānic MS	
			Fārisī al-	("The Book of	Mawwās al-		catchwords	of Ibn al-	
			Naḥwī (d.	Evidence of the	Kātib (d.		 Chapter 	Bawwāb	
			377/987)	Seven Most	401/1010 -		division:	(MS	
				Eminent	11)		titled marked	Chester	
				[Qur'ān]			by a separate	Beatty Is	
				Readers of the			line	1431)	
				Capital Cities")			- New	- One hand	
				,			chapter	_	
							marked by	Professional	
							new line	- Thick line	
							- Text	- Large	
							division:	script	
							circle; small	- Wide line	
							space	spacing	
							- Text	- Narrow	
							highlighting:	word	
							elongation of	spacing	
							the words	- Vertical	
							gāla;	script	
							ikhtalafü	- Script	
							("they had	moving	
							different	from	
							views") to	baseline	
							highlight	- Stable	
							different	stroke	
							opinions	thickness	
MS DK 663		Rabī' II	Ibn	Mushkil al-	Muḥammad	Many		- Abbasid	Qur'ānic
		379/[July-	Outaybah	Mushkii al- Our'ān	ibn Ahmad	corrections		- Abbasid book hand	~
u u							Layout		philology
55/illus.3.18,		August 989]	(d. 276/889)	("Difficulties in	ibn Yaḥyā	and notes	- Title page	- One hand	
3.33, 3.51,				the Qur'ān")			- No frame	- D	
4.1)							- No	Professional	
							catchwords	- Medium	
							- Chapter	thickness	
							division:	(neither	
							titled marked	thick nor	
							by thick pen	thin line)	
							- New	- Medium	
							chapter	size (neither	
							marked by	large nor	
							new line	small)	

							- Text division: small space; dotted circle	- Narrow line spacing - Narrow word spacing - Vertical script -Script tends to be adhering to the baseline - Stable stroke thickness	
MS Fazil 43	(p. 56)	Jumādā I 395/[March- April 1005]	Ibrāhīm ibn al-Sarī al- Zajjāj (d. 311/923)	Maʻānī al- Qur'ān ("Meanings of the Qur'ān")	Not given	Few corrections and notes	- Plain Layout - Title page (added later) - No frame - No catchwords - Chapter division: titles marked by a new line and thick pen; three dots before and after the heading (likely added later) - New chapter marked by new line - Text division: small space; circle with a stroke - Text highlighting: underlying with a red line (likely added later)	- Naskh? - One hand - Routined? - Medium thickness (neither thick nor thin line) - Medium size (neither large nor small) - Narrow line spacing - Narrow word spacing - Vertical script - Script tends to be adhering to the baseline - Stable stroke thickness	Qur'ānic philology
MS Lal. 1905	(p. 56/illus. 3.38)	Jumādā I 396/[March- April 1006]	Ibn Qutaybah (d. 276/889)	Kitāb al-Kuttāb ("The Book of the Scribes"),	Al-'Abbās ibn Aḥmad ibn Mūsā ibn	Many corrections and notes	- Plain layout - Title page - No frame	- Naskh similar to the unique	Etiquette and philology

				also known as Adab-al-Kātib ("The Rules of Conduct of the Scribe")	Abī Mawwās al- Kātib (d. 401/1010 - 11)		- Catchwords (likely added later) - Chapter division: titles marked by a separate line; elongating the word bāb in the heading - New chapter marked by a new line - Text division: small space; undotted circle - Text highlighting: by elongation, in particular	Qurānic MS of Ibn al- Bawwāb (MS Chester Beatty Is 1431) - One hand - Professional - Thick line - Large script - Wide line spacing - Wide word spacing - Vertical - Script moving from baseline - Stable stroke thickness	
MS MRT 37 Lughah	(p. 57/illus. 2.4)	398/[1007-8]	Abū Manṣūr Muḥammad ibn 'Alī al- Jabbān (fl. 416/1025)	Sharḥ Faṣīh Tha 'lab ("Commentary of The Eloquent of Tha 'lab")	Muḥammad ibn Aḥmad al-Ṭālibānī	Few corrections and notes	qāla - Plain layout - Title page: added later - No frame - No catchwords - Chapter division: titled by a separate line, elongating the word bāb in the heading - New chapter: marked by new line - Text division: small space; dotted circle	- Naskh similar to the unique Qurānic MS of Ibn al-Bawwāb (MS Chester Beatty Is 1431) - Two hands (the second is a later hand of someone who filled the missed part: fol. 3r-6v.) - Professional - Thick line	Philology

				- Large	
				script	
				- Wide line	
				spacing	
				 Wide word 	
				spacing	
				 Vertical 	
				 Script 	
				tends to be	
				adhering to	
				the baseline	
				- Stable	
				stroke	
				thickness	

Appendix 2: Secondary corpus

C1. 16 1	D. J. G. C. C.
Shelfmark	Date of copying
MS BNF arabe 2859 (illus.	232/[846-7]
3.45)	252/1066/73
MS UL Or. 298 (illus. 3.10)	252/[866-7]
MS MDSK Ar. 151	253/[867]
MS MAW 1125 (illus. 3.30-	266/[879-80]
31, 3.44)	25 (1000 047
MS DK 2123 Ḥadīth (illus.	276/[880-81]
3.46)	204/5007-03
MS MDSK Ar. 72 (illus. 3.58)	284/[897-8]
MS Vat. Ar. 13 (illus. 3.57)	Dated to the 3 rd /9 th century based on stylistic
Managara	criteria
MS MDSK Ar. 2	328/[339-40]
MS MDSK Ar. 4	353/[964-5]
MS Qar. 874/62 (illus. 3.52)	359/[969-70]
MS Saib 2164 (illus. 3.48,	Rabī' I 364/[January-February 975]
3.55)	
MS Berlin Petermann II 589	364/[974-5]
MS MDSK Ar. 30 (illus. 3.60)	367/[977-8]
MS Ch. B. Ar. 3051	Rabī' I 370/[September-October 980]
MS DK 6155 (ill. 3.3),	Before 372/[982-3]
4580 Ӊа'	
MS Şehid 1842 (illus. 3.26)	Jumādā I 376/[September-October 986]
MS MDSK Ar. 580 (illus.	Salkh Jumādā II 379/[4-5 October 989]
3.41)	
MS Leipzig Vollers 505-01,	380/[990-1]
02, 03	
MS MDSK Ar. 116 (illus. 3.	385/[995-6]
59)	
MS Qar. 791 (Jīm 31), 403	391/[1000-1001]
(illus. 4.8), MS Qar. 912 (Jīm	
2), 65, MS Qar. 912 (Jīm 4),	
66, MS Qar. 912 (Jīm 8), 66,	
MS Qar. 912 (Jīm 12), 66, MS	
Qar. 912 (Jīm 19), 66, MS	
Qar. 912 (Jīm 31), 67, MS	
Qar. 912 (Jīm 36), 67, MS	
Qar. 912 (Jīm 42), 67, MS Qar. 912 (Jīm 47), 6 al-Ţabarī,	
Jāmi ' al-bayān 'an ta'wīl āy	
al-Our'ān	
ui-Qui un	