



Attribution of surface ozone to NO_x and volatile organic compound sources during two different high ozone events

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Abstract. Increased tropospheric ozone (O_3) and high temperatures affect human health during heat waves. Here, we perform a source attribution that considers separately the formation of German surface ozone from emitted NO_x and volatile organic compound (VOC) precursors during two peak ozone events that took place in 2015 and 2018 which were associated with elevated temperatures. Results showed that peak ozone concentrations can be primarily attributed to nearby emissions of anthropogenic NO_x (from Germany and immediately neighboring countries) and biogenic VOC. Outside of these high ozone episodes, baseline ozone concentrations are attributed primarily to long-range transport, with ozone due to remote anthropogenic NO_x emissions and methane oxidation adding to the tropospheric ozone background. We show that a significant contribution to modeled O_3 coming from German NO_x or VOC emissions occurs mostly in southern Germany, emphasizing that the production of ozone depends on the local interplay between NO_x and VOC precursors. Shipping activities in the Baltic and North seas have a large impact on ozone predicted in coastal areas, yet a small amount of ozone from these sources can also be seen far inland, showing the importance of transported ozone on pollution levels. We have also shown that changes in circulation patterns during the peak O_3 episodes observed in Germany during the 2015 and 2018 heat waves can affect the contribution of different NO_x emission sources to total O_3 ; thus, the possible influence of multiple upwind source regions should be accounted for when mitigation strategies are designed. Our study also highlights the good correlation between ozone coming from German biogenic VOC emissions and total ozone, although the diurnal variation in the ozone coming from biogenic sources is not dominated by the diurnal variation in biogenic emissions, and the peaks of ozone from biogenic sources are disconnected from local emission peaks. This suggests that the formation of O_3 from local German biogenic VOC emissions is not the sole factor that influences the ozone formation, and other meteorological and chemical processes affect the diel variation of ozone with a biogenic origin. Overall, this study helps to demonstrate the importance of a source attribution method to understand the sources of O_3 in Germany and can be a useful tool that will help to design effective mitigation strategies.

1 Introduction

Increased concentrations of ground-level ozone can harm humans and vegetation, especially during hot summer days (Sillmann et al., 2021). As shown by Analitis et al. (2014), the heat wave effect combined with the high ozone episodes is associated with an increase in mortality. Recent Intergovernmental Panel on Climate Change (IPCC) assessment reports (IPCC, 2012; Smith, et al., 2014) associate an increase in the occurrence of extreme heat wave episodes with an increase in socioeconomic costs and with an increase in ozone concentrations that cause morbidity from other diseases.

Several well-documented heat waves associated with high ozone concentrations were registered over central and western Europe, such as in August 2003 (i.e., Vautard et al., 2005; Vieno et al., 2010) and July 2006 (i.e., Struzewska and Kaminski, 2008). Vautard et al. (2005) studied the 2003 heat wave using the CHIMERE model, which reproduced the high observed ozone concentration at European monitoring stations very well. Struzewska and Kaminski (2008) have identified three independent factors that influence the level of photochemical pollution, such as the circulation patterns, the geographical location, and the intensity of anthropogenic nitrogen oxide (NO_x) emissions. Many studies showed that the main meteorological factor which drives high ozone pollution episodes is the temperature (i.e., Lin et al., 2001; Jacob and Winner, 2009; Porter et al., 2015; Pusede et al., 2015, and references therein; Otero et al., 2016, and references therein). Schnell and Prather (2017) showed that extreme events of ozone and temperature over North America often overlap, although consistent offsets in space and in time are occurring, most likely due to advection of emitted precursors.

During the last few decades, global and regional chemical models were used to simulate the enhanced ozone concentrations associated with heat waves (i.e., Guerova and Jones, 2007; Ordóñez et al., 2010) and future high ozone episodes (Shen et al., 2016). Meehl et al. (2018) used a model simulation for a present-day climate and showed that, during heat wave days, the surface ozone enhancement exceeds 10 ppb (parts per billion) over Europe compared to non-heat-wave days. Chemical transport models (CTMs) are an important tool for the simulation of transport and transformation of gases and for studying the relationship between meteorology and high air pollution episodes. More recent developments of CTMs enabled their capacity to tag the emissions by source (e.g., Grewe et al., 2017; Butler et al., 2018, 2020a; Lupaşcu and Butler, 2019; Mertens et al., 2020); hence, they can help to identify the main precursor sources contributing to ozone peaks.

Several studies have quantified the contribution of long-range transport vs. the impact of local emissions on summer peak ozone in Europe. Jonson et al. (2018) showed that, for most of the models used in their intercomparison study, except for the model CHASER_re1 in summer, the contribution to European seasonal mean ozone levels is larger for re-

gions outside Europe than the contribution from European sources. Pay et al. (2019) showed that imported ozone is the largest contributor to the ground-level high ozone concentrations registered in Spain in July 2012. Lupaşcu and Butler (2019) indicated that the local sources (precursors emitted within receptor regions) explain up to 35 % of modeled daily maximum 8 h average ozone (MDA8 O₃) in several receptor regions, while the remote sources accounted for 11 % to 45 % of the total surface ozone. Their work also showed the importance of locally emitted NO_x precursors for the ozone metrics that are especially influenced by high values of ozone, such as MDA8 O₃, AOT40, W126, and the 95th percentile.

Methane (CH₄) oxidation explains a large part of the ozone formation in the troposphere. Wang and Jacob (1998) showed that the historic increase in global methane emissions and its subsequent oxidation led, among other causes, to the increase in tropospheric ozone concentrations, but this increase depends on the local availability of OH radicals. Turnock et al. (2018, 2019) showed that the reduction in CH₄ is a key factor for controlling the increase of future surface ozone. West and Fiore (2005) analyzed the advantages of low ozone due to methane emission reductions on agriculture, forest management, and human health, and they found that the monetized global benefits would justify cutting the methane emissions by ~ 17 %. In addition, Fiore et al. (2008) showed that the CH₄ oxidation has contributed ~ 20 % to the annual mean MDA8 O₃ at nearly all U.S. MDA8 O₃ surface locations since the pre-industrial era, while Butler et al. (2020a) indicated that CH₄ oxidation explains 35 % of their simulated global average tropospheric ozone burden.

Biogenic volatile organic compound (BVOC) emissions contribute strongly to the formation of ozone (e.g., Fiore et al., 2011; Curci et al., 2009; Qu et al., 2013; Tagaris et al., 2014; Zhang et al., 2017). Among them, isoprene is the main component. Biogenic isoprene emissions depend on multiple environmental drivers such as temperature, solar radiation, plant water stress, ozone, CO₂ concentrations, and land cover. Although Simpson et al. (1995) showed that, during summertime, the BVOC emissions exceed anthropogenic emissions in many countries, Simpson (1995) performed several simulations (one in which the isoprene emissions were turned off) and concluded that the isoprene emissions do not strongly influence the long-term average simulated O₃ concentrations. Using long-term measurements acquired at Ispra, Italy, Duane et al. (2002), however, showed that the contribution of locally emitted isoprene to the local ozone formation reached up to 50 %–75 % during the summer of 2000. More recent studies that are mainly using two sets of simulations, one with and one without considering biogenic emissions, assessed the importance of BVOC on O₃ formation. Tagaris et al. (2014) showed that the European BVOC emissions increased the predicted MDA8 O₃ by 5.7 % for July 2006. Jiang et al. (2019) have used two biogenic models, one predicting 3 times more isoprene emissions than the other, and still the BVOC emissions contribute less than 10 % of

the mean O₃ concentration in the summer of 2011. Churkina et al. (2017) indicate that biogenic emissions explain, on average, ~ 12 % of modeled mean ozone in Berlin for two summers (June–August); yet, on hot summer days, these sources are responsible for up to 60 % of the modeled MDA8 O₃ concentrations. Zhao et al. (2016) showed that the use of a newer version of the Model of Emissions of Gases and Aerosols from Nature (MEGAN) better reproduces the observed isoprene than the publicly available version of the MEGAN model integrated into WRF-Chem (Weather Research and Forecasting model coupled to chemistry), which ultimately will impact the surface O₃ concentration. Moreover, Zhang et al. (2021) noted that the use of multiple versions of MEGAN has significantly influenced the ozone concentration due to the changes in simulated biogenic emissions.

One important sink for tropospheric ozone is given by ozone dry deposition, which is dominated by stomatal uptake by vegetation (Turnipseed et al., 2009; Lin et al., 2019, and references therein). Moreover, Lin et al. (2020) showed that a reduced ozone uptake by vegetation worsens the severe ozone pollution during heat wave events. When the water vapor pressure deficit is high, the plants close their stomata to prevent water loss. However, most models use the Wesely deposition scheme (Wesely, 1989), which does not account for the humidity deficit and depends solely on temperature and radiation and, consequently, overpredicts the deposition of ozone under hot and dry conditions. Rydssaa et al. (2016) compared measurements of the stomatal conductance and stomatal ozone flux acquired during three summer field campaigns at three different sites in Italy with the modeled results predicted by using the Wesely scheme embedded within WRF-Chem. It has been shown that the model underestimated nighttime stomatal ozone uptake and consequently overpredicted ozone concentration in the stable nighttime planetary boundary layer. The model also overestimated the daytime stomatal conductance, leading to underestimated midday ozone concentration, highlighting the existence of some systematic biases in the model parameterization.

In this study, we focus on the origin of elevated ozone levels observed over Germany during the periods of 6–13 August 2015 and 1–8 August 2018. The 2015 and 2018 summers have been the subject of several studies due to the severity in terms of temperature and precipitation. The summer of 2015 showed high drought conditions and was characterized by high temperatures in many parts of central and eastern Europe (Hoy et al., 2016; Ionita et al., 2017). Several heat wave episodes occurred from the end of June until September, which were linked to persistent blocking conditions and a northward deflection of Atlantic storm tracks (Ionita et al., 2017). Orth et al. (2016) showed that the 2015 summer was a concurrent dry and hot extreme in most of central Europe. Similarly, other studies have analyzed the summer of 2018 in central Europe, which was characterized by extremely dry and hot conditions (Buras et al., 2020). Recently,

Zscheischler and Fisher (2020) analyzed in more detail the compounding impacts of hot and dry events in Germany during 2018 (March–November). They showed that 2018 was record-breaking in both high temperatures and low precipitation.

The main goal of this study is to determine the contribution of different precursor emission sources to the modeled ozone concentration in Germany during these two periods. For this purpose, we perform a source attribution of tropospheric O₃ to both NO_x and volatile organic compound (VOC) precursors, using the TOAST system (Tropospheric Ozone Attribution of Sources with Tagging), as described by Butler et al. (2018), and implemented in WRF-Chem, as described by Lupaşcu and Butler (2019). Compared to previous studies in which the impact of a certain emission source on total ozone concentration was simulated by switching the emissions on/off or using a source attribution of both NO_x and VOC based on the chemical regime, our tagging methodology allows us to investigate the separate contribution of the anthropogenic NO_x emitted in different European countries and regions of the world and the contribution of anthropogenic and biogenic VOCs emitted in several regions to ozone levels seen in Germany during the aforementioned periods.

We first assess the model's ability to reproduce the hourly observed meteorological parameters and trace gas concentrations at all measurement stations in Germany. Then, using source attribution, we provide information about the contribution of different precursors to the total ozone concentration.

2 Model simulation

2.1 Regional model description and setup

In this study, the WRF-Chem model version 3.9.1 (Grell et al., 2005; Fast et al., 2006) was used to simulate the high ozone concentrations observed in Germany during the 2015 and 2018 heat waves. The analyzed periods are 6–13 August 2015 and 1–8 August 2018. For this purpose, we have set up two nested domains, using a 25 and 5 km grid spacing for a coarser domain that covers Europe and an inner domain that encompasses Germany. The vertical coordinates use 38 σ stretched levels extended up to 20 km above ground level (a.g.l.), with a ~ 50 m grid spacing adjacent to the surface and 11 levels located within 3 km of the ground.

The physics options used for this study include the Morrison double-moment microphysics scheme (Morrison et al., 2009), the Kain–Fritsch cumulus parameterization (Kain, 2004), the fast version of the Rapid Radiative Transfer Model (Iacono et al., 2008) for longwave and shortwave radiation. The surface and boundary layer schemes are the Noah-MP (Chen and Dudhia, 2001) and the Yonsei University planetary boundary layer (YSU PBL) scheme (Hong et al., 2006). Following the approach of Kuik et al. (2016), the CORINE

dataset (EEA, 2014) was used, instead of the default United States Geological Survey (USGS) land cover data set, as land use in Germany. In particular, the characterization of urban areas is better captured by the CORINE dataset (see Kuik et al., 2016; Churkina et al., 2017).

Initial and boundary conditions for the meteorological parameters are taken from the ECMWF (European Centre for Medium-Range Weather Forecasts) reanalysis (ERA-Interim dataset). To limit the divergence of large-scale flow compared to the observed synoptic conditions, we have nudged the temperature and wind above the boundary layer for the outer domain. Biogenic trace gas emissions are calculated online using the MEGAN model (Guenther et al., 2006). Anthropogenic emissions (based on the year 2015) of CO, NO_x, SO₂, non-methane volatile organic compounds (NMVOCs), PM₁₀, PM_{2.5}, and NH₃ are obtained from the TNO-MACC III emissions inventory (Kuenen et al., 2014) and used for both simulated years. Based on the work by Mailler et al. (2013) that investigated the impact of anthropogenic emission injection height in accurately simulating background SO₂, NO₂, and O₃ concentrations, we applied the European vertical emissions profiles from the plume rise model of Bieser et al. (2011).

In this work, the extended tagged MOZART chemical mechanism described in Lupaşcu and Butler (2019) is used to track the ozone produced from NO_x sources. We have also implemented, within WRF-Chem, a system that tracks ozone produced from VOC precursors, similar to Butler et al. (2018, 2020a). As in Lupaşcu and Butler (2019), the tagged O₃ species are advected independently, and to correct the numerical errors associated with the advection scheme, we use a mass fixer in which the sum of all combined tagged tracers is set equal to the corresponding untagged concentration.

As in Butler et al. (2018, 2020a), two simulations are performed, i.e., one with NO_x tagging and another with VOC tagging, using otherwise identical emissions. As the VOC tagged configuration is substantially more computationally expensive than the NO_x tagged configuration, we employ a reduced set of tags in the VOC tagged simulation. The full set of tags (as used in the NO_x tagged simulation) is shown in Table 1. This set of tags enables the simulated ozone in WRF-Chem to be attributed to emitted NO_x from within and outside of Europe. In total, 13 regions are defined for tagging emissions within the WRF-Chem domain, and another four regions are defined outside of the WRF-Chem domain, with tagged emissions and ozone from these regions transported into WRF-Chem via the lateral boundaries (see below). In addition to these geographical tags, which are applied to anthropogenic emissions, we define four global tags (not associated with emissions from any specific geographical region) to track the ozone produced from biogenic and biomass burning emissions, lightning NO_x, and stratospheric input.

For the VOC tagged simulations, we no longer need the lightning tag, but instead, we define an additional tag to ac-

count for methane as an ozone precursor. Instead of tagging anthropogenic VOC emissions with the full set of regions shown in Table 1, we use a reduced set of regions; the anthropogenic VOC emissions are tagged for two regions, namely Europe (ANTE) and the rest of the world (ANTW). Instead of a single global tag for BVOC emissions (as used in the NO_x tagged simulation), we define four regional tags, i.e., Berlin (BIOB), the rest of Germany (BIOG), the rest of Europe (BIOE), and the rest of the world (BIOW). The number of explicitly tagged anthropogenic source regions is reduced in the VOC tagged simulation due to the higher computational requirements of the VOC tagging compared with NO_x tagging (Butler et al., 2018, 2020a). Moreover, as noted by Butler et al. (2018, 2020a), the contribution of anthropogenic VOC emissions to the total ozone during summer is reduced compared to the contribution of anthropogenic NO_x emissions.

2.2 Chemical initial and boundary condition

As in Lupaşcu and Butler (2019), the boundary conditions for several HTAP2 source regions (Asia, North America, oceanic sources, and rest of the world), and natural types (biogenic, biomass burning, lightning emissions, and stratospheric ozone) are obtained from the extended CAM-Chem (Community Atmosphere Model coupled to chemistry) version 1.2 simulations. For this purpose, we performed two model simulations using the extended NO_x and VOC tagging mechanisms described in Butler et al. (2020a) for 2015 and 2018. The HTAP_v2.2 emission inventory (Janssens-Maenhout et al., 2015) was used to provide anthropogenic emissions. Biomass burning emissions for 2015 and 2018 are taken from the FINN inventory (Wiedinmyer et al., 2011). Biogenic emissions of NO_x and VOC are prescribed (Tilmes et al., 2015).

3 Observations

Observations of ozone, NO₂, and NO_x are provided by the German Environment Agency, UBA (Umweltbundesamt), which collects hourly surface pollutant observations from several hundred stations located across Germany that are run by the federal states. Also, the modeled 2 m temperature, mean sea level pressure, and 10 m wind speed and direction were compared with observations obtained from the German Weather Service (DWD; Kaspar et al., 2013). For this purpose, we used the observed meteorological data retrieved from the DWD ftp site (ftp://ftp-cdc.dwd.de/pub/CDC/observations_germany/climate/hourly/, last access: 13 November 2021). Additionally, we have used 2 m temperature from the ECMWF reanalysis version 5, ERA5 (Herbach and Dee, 2016), which provides hourly data on a regular latitude–longitude 0.25° × 0.25° spatial resolution as a proxy for observed daily maximum 2 m temperature (T2MAX) at the air quality station locations.

Table 1. List of tagged European source regions for the NO_x tagging mechanism.

Category	Acronym	Definition of acronym
European source regions	MBS	The Mediterranean and Black seas
	BNS	Baltic and North seas
	CEN	Austria, Hungary, Czech Republic, Slovakia, Estonia, Latvia, Lithuania, and Poland
	ITS	Italy, Malta, and Switzerland
	SEE	Bulgaria, Romania, Moldova, Albania, Slovenia, Croatia, Serbia, Montenegro, Macedonia, Greece, and Cyprus
	IBE	Spain and Portugal
	UKI	The United Kingdom and Ireland
	GER	Germany (without Berlin)
	BER	Berlin
	BNL	Belgium, the Netherlands, and Luxembourg
	SCA	Finland, Norway, Sweden, Denmark, and Iceland
	FRA	France
RBT	Russia, Belarus, Ukraine, Republic of Türkiye, Azerbaijan, Armenia, and Georgia	
HTAP2	ASI	Chemical boundary condition of modeled species coming from Asia
	NAM	Chemical boundary condition of modeled species coming from North America
	OCN	Chemical boundary condition of modeled species coming from shipping activities
	RST	Chemical boundary condition of modeled species coming from the rest of the world
Global	BIO	Biogenic
	BMB	Biomass burning
	LGT	Lightning
	STR	Stratospheric O ₃

4 Results

4.1 Evaluation of the regional model

Due to the strong links between the meteorological parameters and ozone concentrations in the atmosphere (see Coates et al., 2016; Otero et al., 2016), we have assessed the ability of the WRF-Chem model to simulate the temperature, pressure, relative humidity, wind speed and direction, and temporal variability over Germany. To do so, we evaluated the modeled meteorological fields against observations using statistical scores including mean bias, normalized mean bias (NMB), index of agreement (IOA), and the correlation factor between hourly simulated and measured values (r ; see Appendix A).

Tables 2 and 3 show that the WRF-Chem model reproduces the 2 m temperature, surface pressure, and mean sea level pressure over Germany quite well for both periods. On average, WRF-Chem overestimates observed surface pressure by less than 4.3 hPa and the mean sea level pressure by less than 0.8 hPa and reproduces the temporal evolution ($r > 0.92$) very well. The modeled 2 m temperatures are, on average, close to those observed both in terms of predicted values (NMB of -0.3%) and temporal evolutions ($r > 0.84$). At most of the observational sites, the model underestimated the 2 m temperature (Fig. 1). Therefore, the T2MAX is underestimated, on average, by 1.1 °C in 2015 and 1.4 °C in 2018, which is still lower than Wyszogrodzki et al. (2013), Chen et al. (2014), and Karlický et al. (2020). The simulated relative humidity is fairly close to the observed one

(NMB of 14.5 % and 20.1 %; $r > 0.75$). The relatively low NMB of wind direction suggests that the atmospheric flow is quite well reproduced. We note that the NMB of wind speed simulated by WRF-Chem is quite high (35.2 % in 2015 and 31.6 % in 2018). Previous work with the WRF-Chem model has also noted a high bias for simulated wind speed, especially when low wind speeds are observed (Fast et al., 2014; Gómez-Navarro, 2015; Solazzo et al., 2017; Kehler-Poljak et al., 2017; Gao et al., 2018). Tao et al. (2020) showed wind speeds with NMB of 54 % at 5 km resolution, as in our setup. Gao et al. (2018) mentioned the errors in terrain data and re-analysis and the relatively low horizontal and vertical resolution of the model as being among the factors contributing to overestimated wind speeds under calm wind conditions. Hence, we expect that horizontal mixing of ozone and emitted pollutants will be artificially enhanced in our simulations.

Tables 4 and 5 present the evaluation for the modeled trace gases at the background sites located in the inner domain for the 2015 and 2018 simulations. These background sites include urban background, suburban background, and rural background. The model underestimates the observed concentrations and reproduces the hour-to-hour variability in NO₂ and NO_x reasonably well during both analyzed periods. Generally, the NO₂ and NO_x concentrations are slightly overestimated at the rural background stations and underestimated at suburban and urban background stations. This behavior has been also noted by Kushta et al. (2019) and Ghermandi et al. (2020). The underestimation of NO_x at urban stations is mostly an effect of the spatially resolved traffic emission to-

Table 2. Observed mean and simulation summary statistics for meteorological parameters. The bias, normalized mean bias (NMB), correlation coefficient (r), and index of agreement (IOA) are calculated between simulated and observed meteorological parameters at the DWD stations during the 6–13 August 2015 period.

Variable name	Observed mean	Bias	NMB (%)	r	IOA
2 m temperature	22.9	−0.7	−0.3	0.86	0.90
T2MAX	28.5	−1.1	−0.3	0.42	0.56
Relative humidity	65.7	9.5	14.5	0.65	0.76
Pressure	984.01	4.1	0.4	0.91	0.98
Mean sea level pressure	1017.1	0.8	0.07	0.96	0.96
Wind speed	2.9	1.0	35.2	0.47	0.64
Wind direction	178.29	11.1	6.6	0.47	0.73

Table 3. Observed mean and simulation summary statistics for meteorological parameters. The bias, normalized mean bias (NMB), correlation coefficient (r), and index of agreement (IOA) are calculated between simulated and observed meteorological parameters at the DWD stations during the 1–8 August 2018 period.

Variable name	Observed mean	Bias	NMB (%)	r	IOA
2 m temperature	23.9	−0.9	−0.2	0.84	0.86
T2MAX	30.4	−1.4	−0.5	0.22	0.28
Relative humidity	58.7	12.1	20.1	0.67	0.75
Pressure	984.5	4.3	0.4	0.91	0.92
Mean sea level pressure	1017.1	1.1	0.1	0.98	0.97
Wind speed	2.8	0.9	31.6	0.49	0.65
Wind direction	190.1	11.6	6.1	0.41	0.69

tals, while the overestimation at rural stations indicates that the NO_x could be transported from the cities to the nearby rural areas. These discrepancies between modeled and observed NO_x species could be related to the (1) errors associated with the predicted wind speed and direction, which can lead to the displacement of the modeled parcel of air relative to the observed values, (2) errors in the emissions inventory, and (3) relatively low model resolution that could lead to underestimated emission gradients and to the increased diffusion of emissions into grid cells, and therefore, the modeled grid cell concentration may not correctly represent the observed concentrations. Kuik et al. (2018) showed that, during the interval 06:00–17:00 UTC, the traffic NO_x emissions could be underestimated by a factor of 3 in the urban area of Berlin, so we might assume this could be also the case for other German cities. Several other studies, such as Tuccella et al. (2012), Pirovano et al. (2012), and Georgiou et al. (2018), have also noted the overall tendency of chemical transport models to underestimate the NO_x concentrations at the European level.

The modeled O₃ concentration is quite well reproduced (NMB of 2.3 % and −0.9 % in 2015 and 2018). The lower O₃ bias at rural stations compared to those seen at suburban and urban background stations could be a result of an increase in the NO titration effect due to NO_x overestima-

tion at rural stations. The comparison of hourly modeled and observed O₃ at each measurement station (see also Fig. 1) reveals a persistent modeled overestimate of the nighttime concentration and an underestimation of midday concentrations. The errors in accurately predicting the meteorological inputs such as the nighttime boundary layer height could also be associated with the nighttime overprediction of surface ozone. Among the conditions that could lead to the underprediction of the ozone peak value during the daytime, we could also point to the underestimation of T2MAX, uncertainties of emissions, both from anthropogenic (NO_x) and biogenic sources, and an overprediction in wind speeds that will transport the locally emitted precursors further downwind, which ultimately leads to a reduced local O₃ formation rate. During AQMEII-2 (Air Quality Model Evaluation International Initiative), the working groups using WRF-Chem reported an overall underestimation of the summer O₃ (Im et al., 2015). Tuccella et al. (2012) showed that, in August 2007, WRF-Chem tends to overestimate the low O₃ concentrations and also underestimated those situated at the high end of the O₃ concentration distribution (see their Fig. 3).

The model is biased low when predicting surface MDA8 O₃ (NMB of −3.3 % and −9.7 % in August 2015 and August 2018, respectively). The temporal and spatial variability are well reproduced during these two events ($r > 0.74$

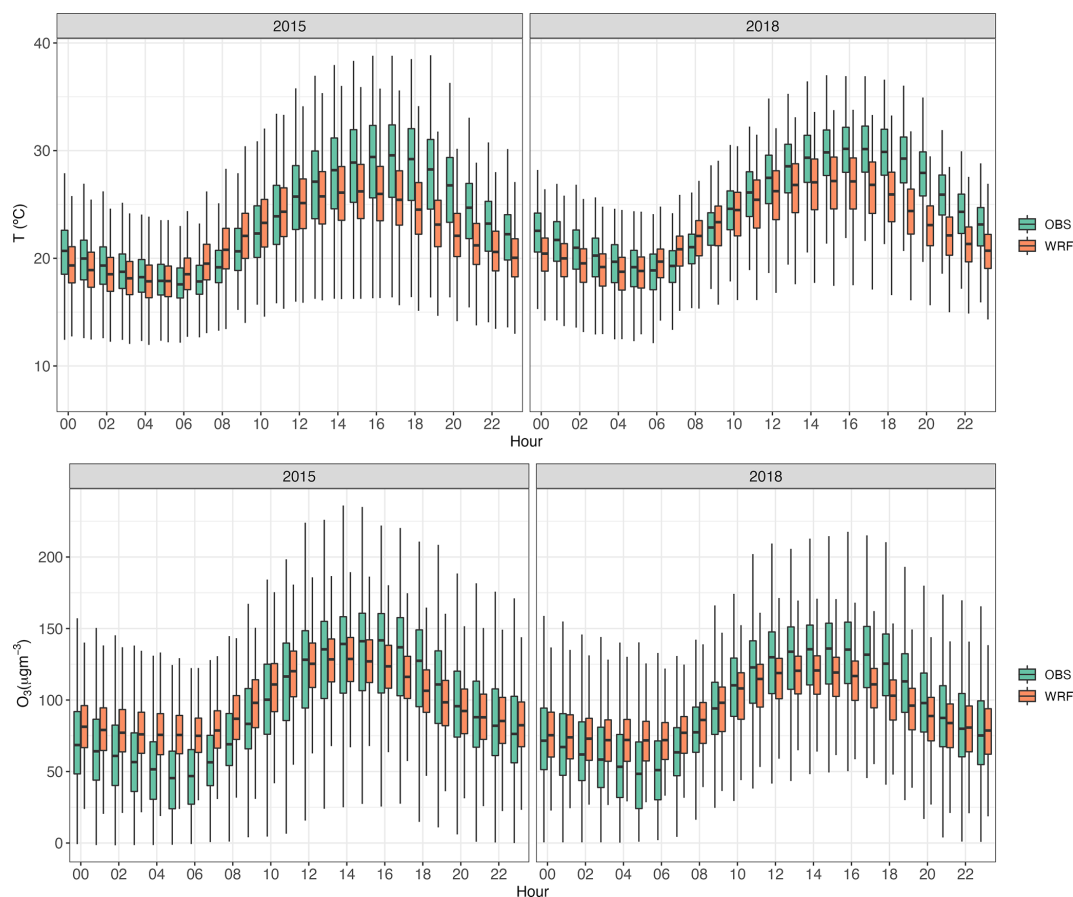


Figure 1. Observed and simulated diel percentile for temperature and O₃ over the analyzed periods at the German background stations. Note that the OBS temperature is given by ERA5 temperature extracted at the German background station locations. Vertical lines denote 5th and 95th percentiles, boxes denote 25th and 75th percentiles, and the black line in the boxes denotes the 50th percentiles.

Table 4. Observed mean and simulation summary statistics for NO₂, NO_x, O₃, and MDA8 O₃ concentrations. The bias, normalized mean bias (NMB), correlation coefficient (*r*), and index of agreement (IOA) are calculated between simulated and observed concentrations at the German background (all), urban and suburban backgrounds (ub), and rural (rb) stations during the 6–13 August 2015 period.

Variable name	Observed mean ($\mu\text{g m}^{-3}$)	Bias	NMB (%)	<i>r</i>	IOA
NO ₂ (all)	13.5	−3.0	−22.3	0.39	0.59
NO _x (all)	15.8	−4.7	−30.2	0.36	0.52
O ₃ (all)	101.4	2.3	2.3	0.69	0.79
MDA8 O ₃ (all)	128.7	−4.3	−3.3	0.74	0.82
NO ₂ (rb)	7.1	0.4	6.3	0.12	0.58
NO _x (rb)	7.9	−0.1	−1.6	0.11	0.54
O ₃ (rb)	101.6	2.1	2.1	0.48	0.79
MDA8 O ₃ (rb)	130.6	−7.8	−6.0	0.59	0.81
NO ₂ (ub)	18.3	−5.6	−30.5	0.1	0.55
NO _x (ub)	21.6	−8.3	−38.2	0.08	0.49
O ₃ (ub)	95.4	13.4	14.0	0.41	0.67
MDA8 O ₃ (ub)	127.72	−2.5	−1.96	0.56	0.83

Table 5. Observed mean and simulation summary statistics for NO₂, NO_x, O₃, and MDA8 O₃ concentrations. The bias, normalized mean bias (NMB), correlation coefficient (*r*), and index of agreement (IOA) are calculated between simulated and observed concentrations at the German background (all), urban and suburban backgrounds (ub), and rural (rb) stations during the 1–8 August 2018 period.

Variable name	Observed mean ($\mu\text{g m}^{-3}$)	Bias	NMB (%)	<i>r</i>	IOA
NO ₂ (all)	12.8	−1.7	−14.0	0.43	0.65
NO _x (all)	14.9	−2.5	−16.5	0.37	0.61
O ₃ (all)	96.9	−0.9	−0.9	0.62	0.74
MDA8 O ₃ (all)	130.5	−12.5	−9.7	0.66	0.73
NO ₂ (rb)	6.7	1.0	14.9	0.13	0.58
NO _x (rb)	7.8	0.5	6.7	0.09	0.55
O ₃ (rb)	103.5	−6.9	−6.8	0.39	0.75
MDA8 O ₃ (rb)	130.4	−15.6	−11.9	0.48	0.74
NO ₂ (ub)	16.7	−4.2	−21.6	0.14	0.61
NO _x (ub)	18.7	−4.0	−21.6	0.10	0.57
O ₃ (ub)	91.43	4.2	4.6	0.39	0.74
MDA8 O ₃ (ub)	128.8	−11.1	−8.6	0.40	0.73

and IOA > 0.73). Since the MDA8 O₃ concentrations are influenced by ozone maxima values, the consistent underestimation of peak ozone values is also noted by several models (Tuccella et al., 2012; Im et al., 2015; Oikonomakis et al., 2018; Visser et al., 2019; Mertens et al., 2020). Visser et al. (2019) showed that, in July 2015, the European mean bias for MDA8 O₃ was $-14.2 \mu\text{g m}^{-3}$, while Oikonomakis et al. (2018) noted that the model consistently underestimates the afternoon (12:00–18:00 UTC) observed O₃ values above 50 ppb for the summer of 2010. Moreover, Tuccella et al. (2012) noted a mean bias (MB) for MDA8 O₃ of $-5 \mu\text{g m}^{-3}$ in 2007. Kryza et al. (2020) showed an NMB for MDA8 O₃ of $\sim -15\%$ during the summers of 2017 and 2018.

In summary, the model simulated the diel and multiday variation in meteorological parameters and trace gases concentrations fairly well and slightly underestimates the peaks of temperature and ozone. Nevertheless, we acknowledge that a more comprehensive evaluation would also require the comparison between modeled and observed isoprene and its oxidation products. These chemical variables play a crucial role in increasing O₃ under summer conditions, but unfortunately, they are not routinely measured. Expansion of routine air quality measurements to include BVOCs or their oxidation products such as formaldehyde could significantly help with model evaluation.

4.2 Characteristics of modeled and observed surface concentration

In this section, we investigate the variability in O₃ concentration levels under two different O₃ peak events in Germany, focusing on the 6–13 August 2015 and 1–8 August 2018 periods and providing a possible explanation of why, although the model captures the mean O₃ concentrations well, it fails

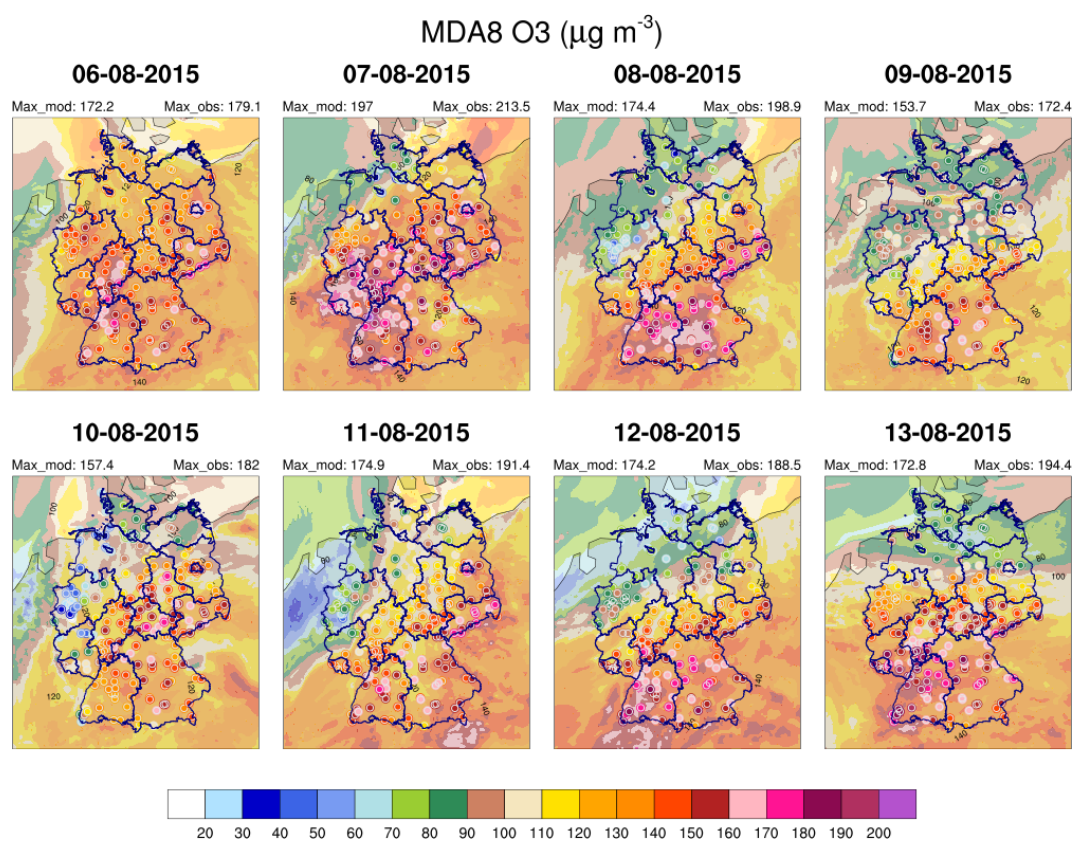
in reproducing the observed O₃ peaks. Table 6 shows the percentage of stations where the daily MDA8 O₃ target value of $120 \mu\text{g m}^{-3}$ was exceeded.

Figures 2 and 3 depict the surface maps of daily observed and modeled MDA8 O₃ concentrations during the high ozone episodes. While the model captures the spatial and temporal observed pattern of MDAO₃, it usually underestimates the peak of MDA8 O₃ concentrations, as also shown in Table 6. This behavior is accentuated when the model fails to simulate the observed precipitation (not shown).

The synoptic situation during the analyzed periods is depicted in Figs. S1 and S2 in the Supplement. Both years present similarities in their synoptic circulation patterns, although there are some small variations. In 2015, at the beginning of the period, the weather in Germany was influenced by a relatively high-pressure field situated in a saddle formed by a ridge of the Azores anticyclone and an anticyclone centered over northeastern Scandinavia. The Azores ridge, which gradually extended towards the east, was transformed into a blocking ridge over central Europe. This blocking pattern has two stagnant low-pressure systems on the edge, i.e., one in the northern Atlantic and the other over northeastern Africa. At the same time, the vertical structure was influenced by a ridge that favors the intrusion of warm tropical air coming from Africa far to the north of Europe. These ridges formed a dome of high pressure that led to stagnant weather conditions characterized by low wind speed, strong insolation and subsidence, and high temperatures. Hence, the atmospheric subsidence associated with the anticyclonic field, leading to particularly stable atmospheric conditions, contributes to explaining the elevated ozone seen over southwestern Germany in the first part of the period. As also shown in Otero et al. (2022), over Germany during the period 1999–2015, atmospheric blocking leads to temperature anomalies $> 5^\circ\text{C}$ that induce MDA8 O₃ anomalies

Table 6. Percentage of stations in each day that exceed the daily MDA8 O₃ target value during the periods of 6–13 August 2015 and 1–8 August 2018.

2015								
Date	6 August	7 August	8 August	9 August	10 August	11 August	12 August	13 August
Percent of observed exceedances	90	82	58	35	55	57	50	69
Percent of modeled exceedances	91	82	59	30	40	42	44	46
2018								
Date	1 August	2 August	3 August	4 August	5 August	6 August	7 August	8 August
Percent of observed exceedances	49	80	92	73	19	49	89	58
Percent of modeled exceedances	48	60	66	41	9	15	63	48

**Figure 2.** Daily surface MDA8 O₃ ($\mu\text{g m}^{-3}$) for the 6–13 August 2015 period. Colored dots represent the observed MDA8 O₃ concentrations at the German background stations.

$> 20 \mu\text{g m}^{-3}$. An occluded front on 9 August 2015 led to reduced ozone values in western Germany, and it was followed by a warm front that affected northern Germany, which explains the low ozone values observed/modeled in this region. In 2018, the observed ridge of the Azores High gradually increased in magnitude, and it became centered over the middle of the Atlantic. This high-pressure field has slowly retreated towards the west; thus, the surface pressure influencing Germany became shallow and favored the appearance of a cold frontal system and moderate winds and cloudy/rainy weather that prevailed on 5–6 August 2018.

Using the scatterplots depicted in Fig. 4, we compare our modeled MDA8 O₃ and the daily T2MAX pair against the observed MDA8 O₃ and ERA5 T2MAX pair. We choose ERA5 T2MAX as a proxy for observed T2MAX since these measurements are not available at air quality station locations. We note that the modeled T2MAX and MDA8 O₃ have relatively low correlations (r of ~ 0.45); for both periods, the slopes of the linear fit to the modeled MDA8 O₃ and T2MAX are comparable (3.24 and $4.04 \mu\text{g m}^{-3} \text{ } ^\circ\text{C}^{-1}$). When the same analysis is applied to the observed MDA8 O₃ concentrations and ERA5 temperatures pair, we note a relatively

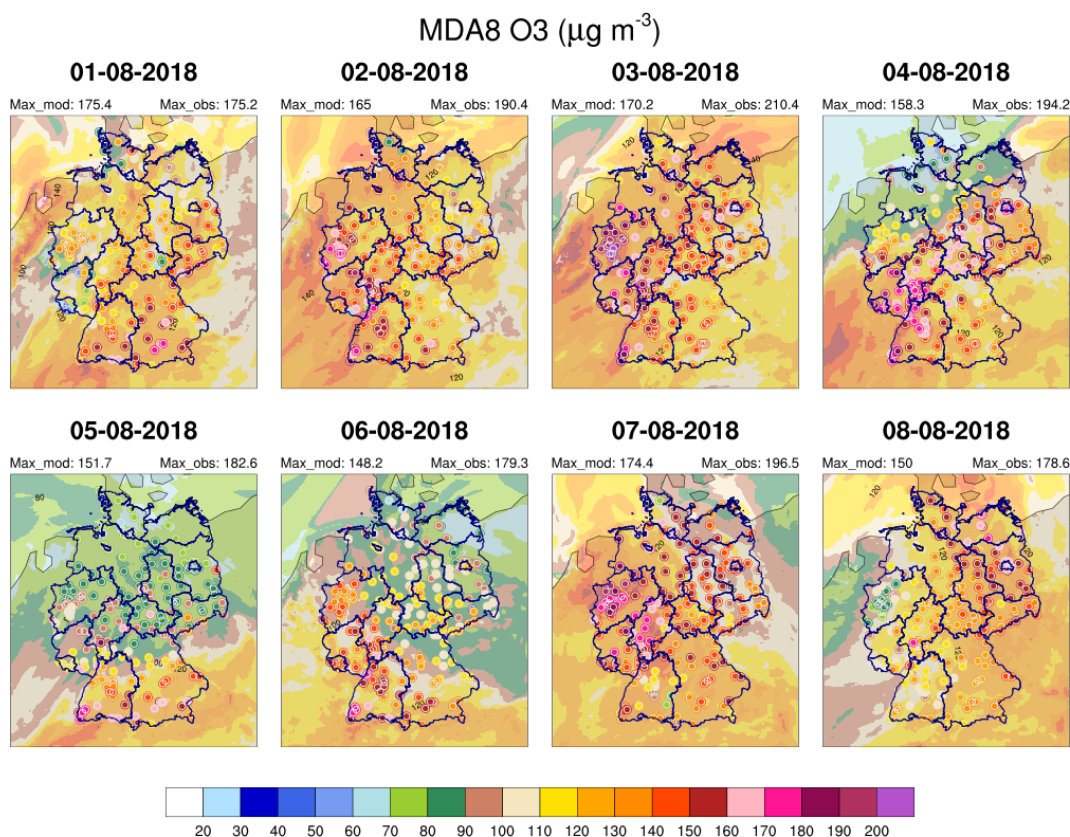


Figure 3. Daily surface MDA8 O₃ (µg m⁻³) for the 1–8 August 2018 period. Colored dots represent the observed MDA8 O₃ concentrations at the German background stations.

high correlation of these variables ($r = 0.76$ and 0.62) and a high ozone–temperature slope (5.6 and $5.2 \mu\text{g m}^{-3} \text{ } ^\circ\text{C}^{-1}$) compared to the modeled ozone–temperature slope. As previously noted, the model’s failure to reproduce the meteorological variables is not conducive to O₃ formation, and this could explain the model’s inability to capture the observed MDA8 O₃ sensitivity to T2MAX. Clearly, the underestimation of T2MAX cannot be the only factor contributing to the differences in the modeled and observed MDA8 O₃–T2MAX slopes and intercept values, as $+30 \mu\text{g m}^{-3}$ biases occur at T2MAX lower than $20 \text{ } ^\circ\text{C}$. A scatterplot of modeled vs. observed MDA8 O₃ and T2MAX (see Fig. S3) shows that, generally, the T2MAX is underestimated. While the observed values of MDA8 O₃ reach more than $120 \mu\text{g m}^{-3}$, the corresponding model values tend to be underestimated; for observed values below $75 \mu\text{g m}^{-3}$, the modeled values are generally overestimated. Pusede et al. (2015) have also shown that other meteorological factors, including the advection and vertical mixing, have an indirect impact on chemistry and, hence, on ozone production.

Otero et al. (2016) showed that, in summer, apart from the temperature that is the main driver that dominates high ozone levels, relative humidity has a negative effect on ozone levels. Hence, the high modeled relative humidity (see Ta-

bles 2 and 3) together with overestimated shallow precipitation (see Figs. S4 and S5) suggest a reduction in ozone production due to enhanced cloudiness. As noted in Sect. 4.1, the overestimation of simulated wind speed could enhance the horizontal mixing of ozone and emitted pollutants in our simulations. It is well known that the ground-level ozone depends, amongst other factors, on the level of ozone precursors, such as BVOCs, especially isoprene, and anthropogenic NO_x. Unfortunately, the isoprene concentrations, one of the main VOC precursors of ozone that reacts to the high NO_x pathway to produce ozone, are not monitored at the German network sites, so we cannot assess the model’s ability to reproduce this pollutant. Fast et al. (2014), using the measurements collected in May–June 2010 during the Carbonaceous Aerosols and Radiative Effects Study (CARES) and the California Research at the Nexus of Air Quality and Climate Change (CalNex), showed that, even if the model reproduces the temporal isoprene variation, daytime mixing ratios of isoprene are still usually a factor of 2 too low. Moreover, the underestimation of the modeled surface temperature could lead to an underestimation of isoprene emissions. Guenther et al. (2012) showed that changes in meteorology could lead to a change of $\sim 15 \%$ in isoprene and terpene emission fluxes. Thus, the lack of isoprene and the underes-

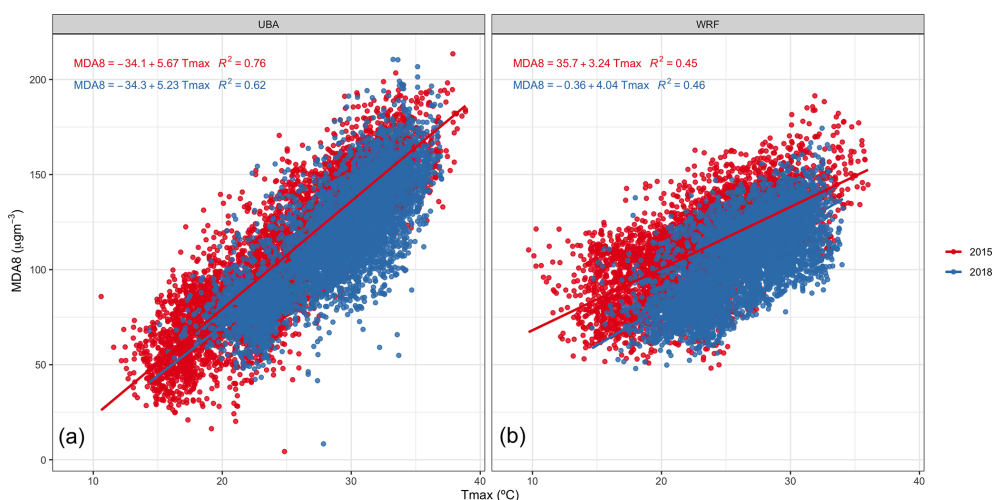


Figure 4. Scatterplots showing the MDA8 O₃ concentration versus the T2MAX for 2015 (red dots) and 2018 (blue dots) for all analyzed stations. Panel (a) depicts the observed MDA8 O₃ vs. ERA5 T2MAX, while panel (b) exhibits the modeled MDA8 O₃ and T2MAX. The solid lines are the lines of best fit.

timation of predicted NO_x (see Tables 4 and 5) could also explain the underestimation of MDA8 O₃.

The accurate prediction of surface ozone concentration remains a challenge because the concentration depends not only on emissions but also on a detailed representation of physical and chemical processes. Rydsaa et al. (2016) showed that WRF-Chem underestimates the modeled nighttime stomatal ozone uptake that leads to too high modeled estimates of ozone concentration in the stable nighttime planetary boundary layer. Also, they showed that, during daytime, the modeled stomatal conductance is higher than the observations and thus the midday modeled ozone concentration is too low. This is also consistent with our simulations (see Fig. 1). As in Rydsaa et al. (2016), Fig. 1 shows a low midday modeled ozone concentration, when compared with observation, and high modeled nighttime ozone. The stomatal resistance in the Wesely scheme is calculated using the first layer (surface) temperature and solar radiation. Thus, the lasting high 2 m temperature in 2018 (maximum of 34.3 °C and median of 21.8 °C) compared to those modeled in 2015 (maximum of 36.1 °C and median of 19.6 °C) leads to high stomatal resistance and, consequently, a reduced ozone uptake from vegetation that ultimately leads to an increased modeled surface concentration. Turnipseed et al. (2009) also showed that a major sink for ozone in the canopy is the direct uptake by vegetation through the stomata. Jiang et al. (2018) showed that a reduction of stomatal conductance leads to an increase in leaf temperature and, consequently, more isoprene emissions from plants. Using a global model, Gong et al. (2020) found that the O₃-induced inhibition of stomatal conductance can increase surface O₃ by 1.0–1.3 ppbv (parts per billion by volume) in western Europe. Visser et al. (2019) also showed that daytime stomatal conductance is overestimated. As noted in Sect. 4.1, several studies showed

a systematic underestimation of WRF-Chem peak O₃ values (Visser et al., 2019; Oikonomakis et al., 2018; Tuccella et al., 2012; Kryza et al., 2020). Stanier et al. (2021) noted that high MDA8 O₃ values were biased low, as in our Fig. 4, while Lu et al. (2021) noted that the MDA8 O₃ was, in general, underestimated in July 2018.

Moreover, as a potential effect of climate change, the high extreme temperatures in the future will hinder the O₃ control; thus, knowing which sources of emissions are contributing to the severe O₃ pollution episodes will help to design an effective emissions control.

4.3 Influence of different emission sources on hourly ozone at individual stations

In the following, we examine the impacts on O₃ concentrations in Germany of NO_x and VOC precursors for 14 individual background stations which are representative of the geographical distribution over the German states (see Table 7). Figures 5 and S6 summarize the mean absolute and relative contribution of NO_x and VOC precursors to hourly surface O₃ for the analyzed periods. We note that when we attribute O₃ to NO_x, the southern stations (Baden-Württemberg, Hesse, Rhineland-Palatinate, and Saarland) show a large contribution from the German source region (up to 35.2 µg m⁻³ (34.4 %) in 2015 and 33.4 µg m⁻³ (34.7 %) in 2018), while for the remaining station, the German NO_x emissions were not seen as a dominant source, with central Europe being one of the most important contributors to the total ozone (up to 30.4 µg m⁻³ (29.1 %) in 2015 and 19.7 µg m⁻³ (22 %) in 2018). When we attribute O₃ to VOC, we note that CH₄ is the most significant contributor to the total O₃ (up to 31.21 µg m⁻³ (36.3 %) in 2015 and 31.5 µg m⁻³ (37.2 %) in 2018), followed by German and European BVOC

emissions. Furthermore, we will analyze the hourly variation in O₃ concentration to examine the impact of the variation in anthropogenic and biogenic emissions, meteorology, and long-range transport. Figures 6 and 7 show the contribution of NO_x precursors to hourly surface ozone in 2015 and 2018, while Figs. 8 and 9 show the contribution of VOC precursors during these periods.

The stratospheric contribution is similar in both simulations. Considering that the stratospheric ozone is advected within the domain through the lateral boundaries, the small differences in the stratospheric contribution noticed between the NO_x and VOC-tagged simulations are related to the difference coming from CAM-Chem, as explained by Butler et al. (2018). The attribution of O₃ to different sources helps to identify the ozone from the stratosphere–troposphere exchange. For both analyzed events, the variability revealed periods in which the stratospheric ozone contribution can reach 70 µg m⁻³ (53 %). As an example, the intrusion of stratospheric ozone seen at the beginning of August 2018 in northern Germany can be associated with the Icelandic Low that reached its minimum pressure and geopotential height on 30 July 2018, which led to a strong downward wind component and, therefore, an increase in stratospheric ozone transported to the surface (see Fig. S2). As shown in Lupaşcu and Butler (2019), the surface stratospheric O₃ is attributed to the transport of stratospheric O₃ concentrations that originates from the lateral boundary concentrations (taken from the CAM-Chem extended model). Clockwise winds at the edge of the Azores High brought air pollutants such as stratospheric ozone and its precursors from Iceland and the northern Atlantic to northern Germany. The blocking situation impedes the westerly winds; therefore, the transport of stratospheric ozone decreased. Kalabokas et al. (2013) showed that summer ozone maxima in Cyprus are observed when air masses that contain very high ozone concentrations subside from the upper troposphere, and consequently, they transport stratospheric O₃. Similarly, our study shows that the stratospheric ozone may also have a large influence when the MDA8 O₃ peak values are observed for the northern regions of Germany. Yet, the prevailing southerly winds modeled in southern Germany block the transport of stratospheric ozone in these regions. Future work should examine the stratospheric O₃ intrusion and their impact on tropospheric O₃ ozone production.

4.3.1 Attribution of ozone to NO_x emissions

Figures 6 and 7 show the German and remote contribution of NO_x emissions to hourly O₃ at individual stations in different German states and the observed O₃ concentrations and modeled wind speed and direction. The time series of observed O₃ concentrations were captured well by the model, although it fails to reproduce the high end of the observed O₃ values, as noted above. It can also be seen that the contribution of different NO_x source regions and source types to the ozone

time series at each station varies greatly over time and space. The average contributions of the global HTAP2 regions associated with long-range transport were ~ 20 % to the total O₃ and remained relatively stable in both years. Emissions from lightning and biogenic NO_x have the least contributions to total O₃ during these episodes.

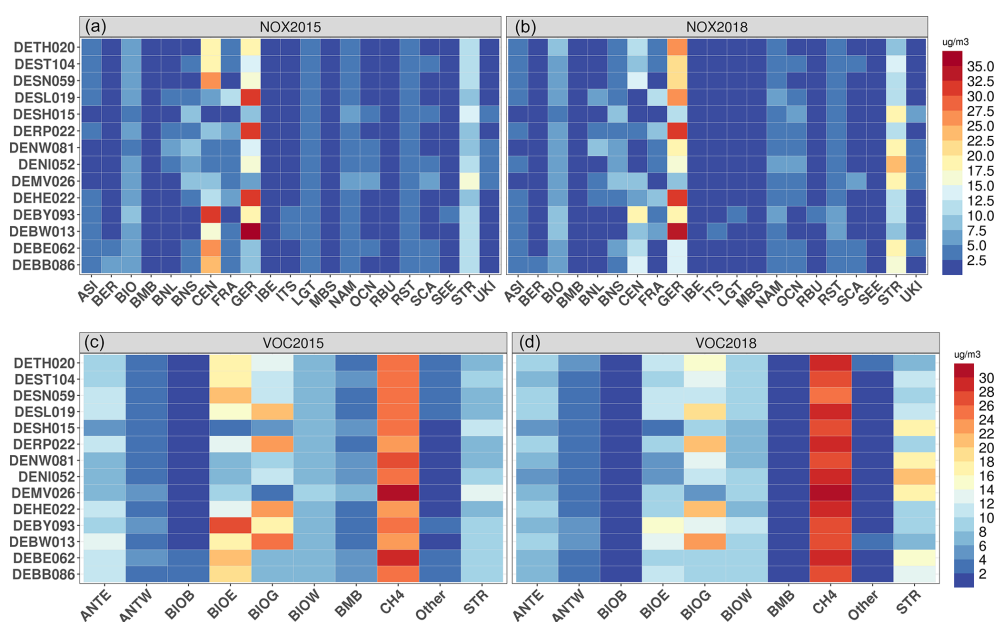
For both episodes, the contribution of German NO_x emissions to the ozone concentration is small most of the time in the northern states (from 6.7 µg m⁻³ (6 %) in 2015 and 7.6 µg m⁻³ (8.3 %) in 2018 in Mecklenburg-Western Pomerania to 9.0 (16 %) in 2015 and 12.5 µg m⁻³ (13.1 %) in 2018 in Brandenburg), whereas the peak ozone events in the southwestern and western German stations are mainly driven by German NO_x sources (up to 35.31 µg m⁻³ (33.6 %) in 2015 and 33.5 µg m⁻³ (33.4 %) in Baden-Württemberg) usually exceeding the total contribution of the surrounding source regions. The peak ozone events in the southern states are also generally higher than the peak ozone events in the northern states. At the analyzed stations, a significant positive correlation between ozone from local NO_x sources and total ozone is found in both years (*r* of 0.57 in Bavaria to 0.89 in Thuringia in 2015 and 0.33 in Mecklenburg-Western Pomerania to 0.84 in Saxony-Anhalt in 2018). This emphasized the importance of NO_x German sources as a key factor that drives high levels of O₃. These results further revealed that the high contribution of NO_x German sources to hourly O₃ is strongly connected with low wind speed values, endorsing the impact of high-pressure systems on serious local O₃ pollution. Furthermore, we note that high wind speeds bring O₃ pollution from regions upwind of the location of our stations.

The main NO_x contributors to the stations differ when we compare the O₃ pollution episodes in 2015 with those in 2018. As can be seen in Figs. 6 and 7, in 2015, the stations in Lower Saxony, North Rhine-Westphalia, Rhineland-Palatinate, Hesse, and Saarland are influenced by transport from sources in Benelux (up to 30 µg m⁻³; 31.7 %), France (up to 63 µg m⁻³; 48.6 %), and Italy–Switzerland (up to 22 µg m⁻³; 14.8 %) at the beginning of the analyzed period, while in 2018 the same source regions have a significant contribution to the same stations at the end of the analyzed period of up to 41 µg m⁻³ (28.9 %), 57 µg m⁻³ (42.9 %), and 29 µg m⁻³ (33.7 %), respectively. The prevailing winds that favor the influence of these source regions are from the southwest. If the dominant wind direction is from the south, then the German sources can explain more than 50 % of the total O₃. Among stations in northern Germany (Schleswig-Holstein, Mecklenburg-Western Pomerania, Berlin, and Brandenburg) we note a sporadic contribution from the Scandinavian Peninsula (SCA) and the Baltic and North seas (BNS) in both years.

The outflow of ozone from other European regions plays a significant role in ozone pollution episodes, as the wind direction shifts from one day to another. Central Europe (CEN) is responsible for a remarkably constant share of ~ 25 %

Table 7. List of selected stations.

Acronym	State	Station code	Station name	Station type
BE	Berlin	DEBE062	Berlin-Frohnau	Background rural
BB	Brandenburg	DEBB086	Blankenfelde-Mahlow	Background suburban
BW	Baden-Württemberg	DEBW013	Stuttgart-Bad Cannstatt	Background urban
BY	Bavaria	DEBY093	Sulzbach-Rosenberg/Lohe	Background suburban
HE	Hesse	DEHE022	Wiesbaden-Süd	Background urban
MV	Mecklenburg-Western Pomerania	DEMV026	Garz	Background rural
NI	Lower Saxony	DENI052	Allertal	Background suburban
NW	North Rhine-Westphalia	DENW081	Borken-Gemen	Background rural
RP	Rhineland-Palatinate	DERP022	Bad Kreuznach	Background urban
SH	Schleswig-Holstein	DESH015	Itzehoe	Background suburban
SL	Saarland	DESL019	Birigen	Background rural
SN	Saxony	DESN059	Leipzig-West	Background suburban
ST	Saxony-Anhalt	DEST104	Domäne Bobbe	Background rural
TH	Thuringia	DETH020	Erfurt	Background urban

**Figure 5.** Contribution to mean surface O₃ at 14 receptor stations from local and other European sources, HTAP2 sources, and other global sources. The upper panels denote the mean contribution of NO_x precursor to total O₃ during 6–13 August 2015 (a) and 1–8 August 2018 (b). The bottom panels denote the mean contribution of VOC precursors to total O₃ during 6–13 August 2015 (c) and 1–8 August 2018 (d).

(ranging from 19 to 30 $\mu\text{g m}^{-3}$) of the total ozone in 2015 at stations in Berlin, Brandenburg, Saxony-Anhalt, Thuringia, Saxony, and Bavaria, while in 2018 it exhibits an erratic contribution to the total O₃ concentration (see Figs. 6 and 7). Among stations within Baden-Württemberg, Bavaria, and Saxony, we distinguish a noticeable influence from the rest of the world (up to 32 $\mu\text{g m}^{-3}$; 23 %) and RBT (Russia, Belarus, Ukraine, Republic of Türkiye, Azerbaijan, Armenia, and Georgia; up to 15 $\mu\text{g m}^{-3}$; 13.8 %) in 2018 and of SEE (Bulgaria, Romania, Moldova, Albania, Slovenia, Croatia, Serbia, Montenegro, Macedonia, Greece, and Cyprus; up to 10 $\mu\text{g m}^{-3}$; 11 %) in 2015. The large contribution of German

and European sources during these events indicates that a reduction in high O₃ pollution could not be achieved without a regional collaboration of controlling emissions sources within Europe. However, we note that the transport of ozone and its precursors could be exacerbated since our model does not capture the stagnant conditions and overestimates the wind speeds observed in calm wind conditions by more than 60 % in both years.

Even though we identified the main source regions that could explain the origin of O₃ in different regions of Germany when the NO_x tagged mechanism is employed, we note that there is a large variability within the same region. For

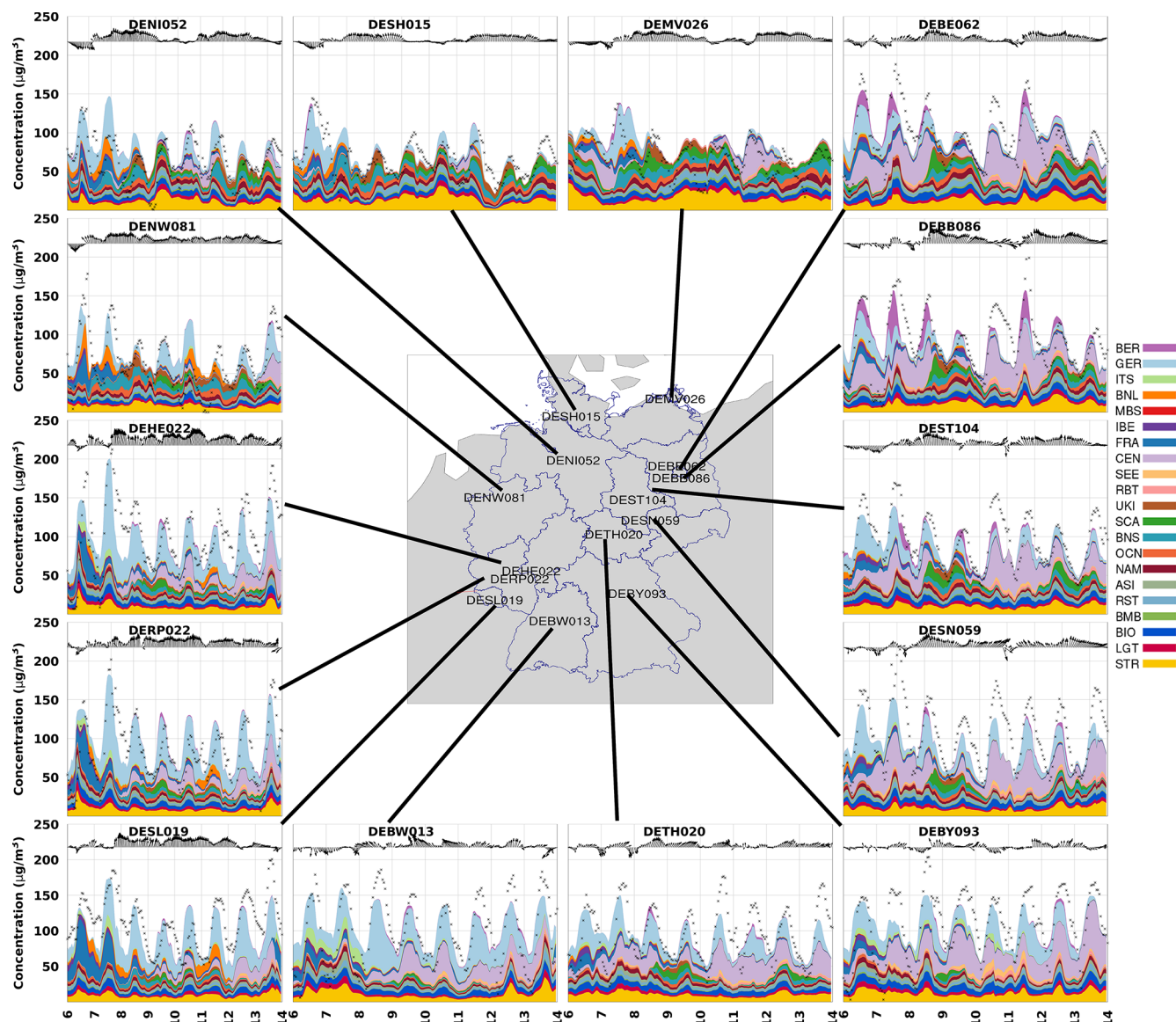


Figure 6. Contribution of regional NO_x sources to hourly O₃ concentrations of local and other European sources, HTAP2 source regions, and other global source types at each station during the 6–13 August 2015 period. In addition, the vectors along the top of each panel represent the calculated wind speed and direction at 10 m a.g.l. The black dots represent the observed O₃ concentrations.

example, in 2018, in Brandenburg, the contribution of the stratospheric ozone is higher in the northern area of this region in comparison with the southern area (see Fig. S7). In Baden-Württemberg (Fig. S7), the stations located in the east of the region are largely influenced by CEN (central Europe), while those located in the west exhibit the largest contribution from German sources and FRA (France).

Moreover, the simulations accounting for two pollution episodes occurring in 2 different years show that changes in circulation patterns between those 2 years can affect the contribution of different NO_x emission sources to total O₃. Mitigation measures targeting anthropogenic NO_x emissions for

the reduction in ambient ozone should focus on widespread regional reductions rather than targeted local reductions.

Shipping activities in the Baltic and North seas have also contributed to the hourly O₃ (see Figs. 6, 7). This is consistent with previous work (i.e., Lupaşcu and Butler, 2019; Pay et al., 2019; Aksoyoglu et al., 2016; Jonson et al., 2020) that highlighted the impact of shipping on ozone production near coastal regions. Apart from reinforcing the role of shipping on ozone predicted in coastal areas, our ozone attribution also shows that the inland regions, such as Bavaria and Baden-Württemberg are also impacted by ozone produced from the emissions of ships (up to 3.7 µg m⁻³ (3.8 %) and 4.7 µg m⁻³ (5.2 %), respectively, in 2015 and 7.2 µg m⁻³ (6.6 %) and

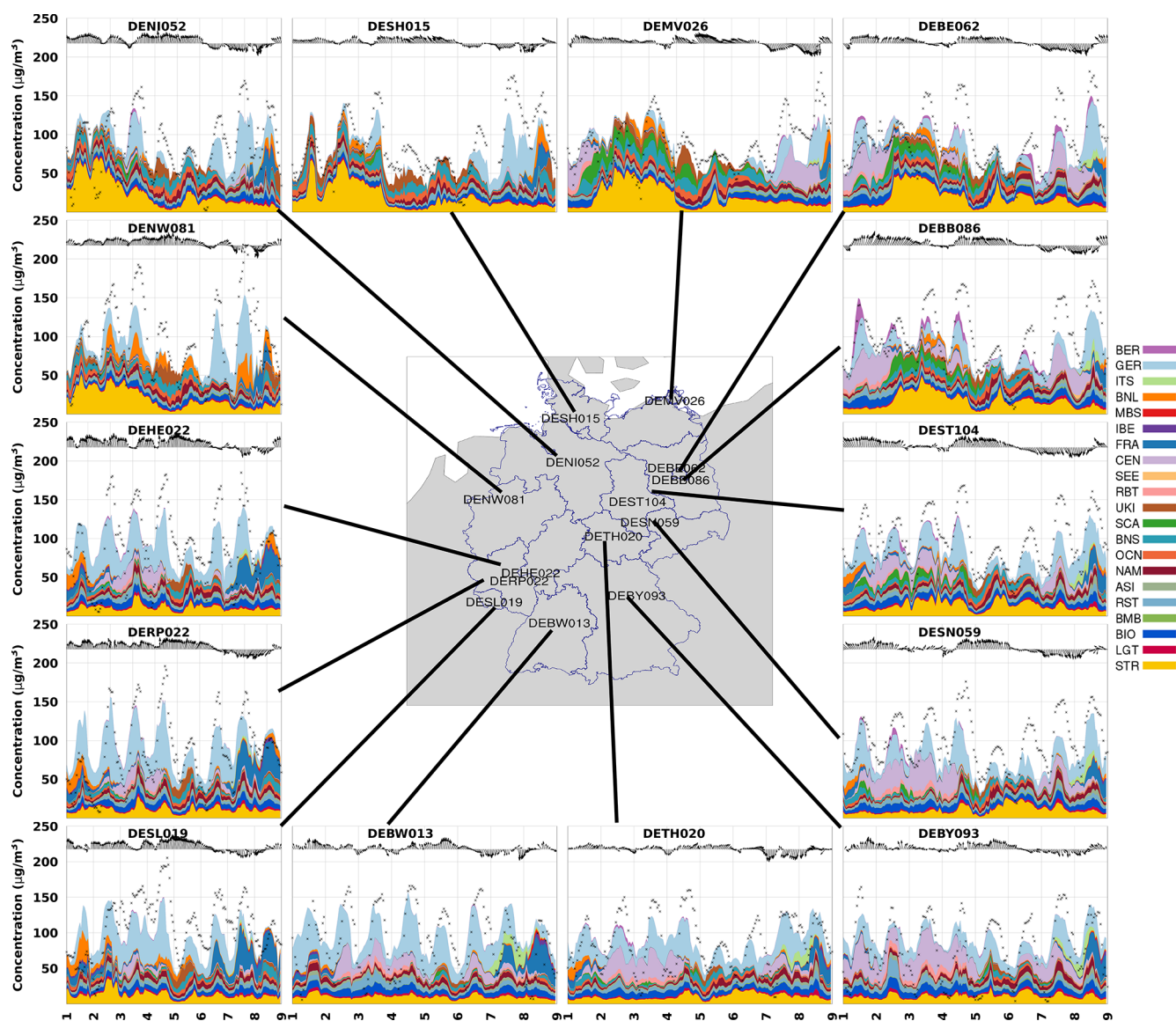


Figure 7. Contribution of regional NO_x sources to hourly O₃ concentrations of local and other European sources, HTAP2 source regions, and other global source types at each station during the 1–8 August 2018 period. In addition, the vectors along the top of each panel represent the calculated wind speed and direction at 10 m a.g.l. The black dots represent the observed O₃ concentrations.

$9 \mu\text{g m}^{-3}$ (9.8 %) in 2018). This underlines the effect of transport of O₃ produced from possibly remote NO_x emissions on total O₃ for mitigation purposes.

4.3.2 Attribution of ozone to VOC emissions

At the same stations, we investigate the impacts of VOC precursors on O₃ concentrations in Germany (see Figs. 8 and 9). As in Butler et al. (2020a), methane oxidation is one of the main contributors to the total ozone, and it has an almost constant contribution throughout the analyzed periods, ranging from $23.3 \pm 5.8 \mu\text{g m}^{-3}$ ($22.7 \pm 2.3 \%$) to $31.2 \pm 5.5 \mu\text{g m}^{-3}$ ($36.4 \pm 5.9 \%$) in 2015 and from $25.6 \pm 6.4 \mu\text{g m}^{-3}$ ($33.7 \pm$

7.3%) to $31.4 \pm 8.4 \mu\text{g m}^{-3}$ ($38.3 \pm 9 \%$) in 2018. Most of the ozone from CH₄ and CH₄ itself is coming from the lateral boundaries. Even though we consider the domain-wide methane emissions in our system, we expect, as in Butler et al. (2020b), that the highest share of ozone coming from methane to be attributed to intercontinental transport. European anthropogenic VOC sources contribute only modestly to the O₃ concentration, with an average contribution of $9.3 \pm 5 \mu\text{g m}^{-3}$ ($10 \pm 3.6 \%$) in 2015 and $7.9 \pm 4.7 \mu\text{g m}^{-3}$ ($8.6 \pm 3.9 \%$) in 2018. Apart from the stratospheric intrusion event in 2018, most of the spatial and temporal variability in peak ozone is driven by the production of ozone from BVOC emissions. The ozone attributed to BVOC emissions also ex-

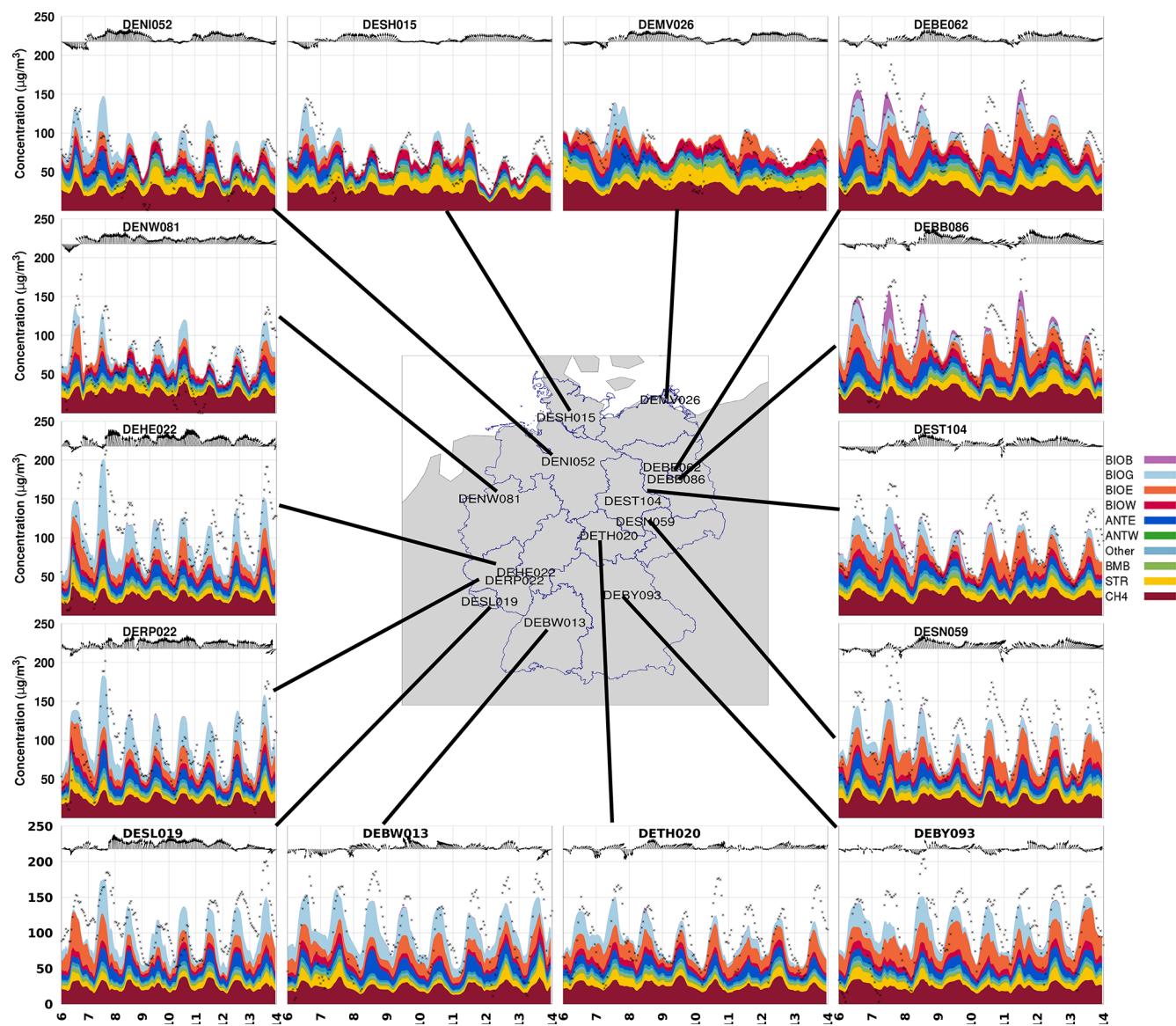


Figure 8. Contribution of regional VOC sources to hourly O₃ concentrations of local and other European sources, HTAP2 source regions, and other global source types at each station during the 6–13 August 2015 period. In addition, the vectors along the top of each panel represent the calculated wind speed and direction at 10 m a.g.l. The black dots represent the observed O₃ concentrations.

hibits a geographical pattern, as for the NO_x tagged simulations. The highest share of ozone from biogenic sources is modeled in the south and southwest of Germany, where peak ozone is also generally higher, as noted for NO_x source attribution. This region is mostly covered by broadleaf deciduous trees (EEA, 2006), a vegetation class that has especially high isoprene emissions (Guenther et al., 2006; Pfister et al., 2008). The high temperatures and the large coverage of biogenic-emitting species in the aforementioned areas can explain the high levels of ozone in these regions. The contribution of BVOCs to total O₃ is higher during the day, reflecting the onset of BVOC emissions that, combined with the high temperatures, promotes the photochemical produc-

tion of O₃ from NO_x and VOC precursors. A comparison of high ozone events in Figs. 6 and 7 with the corresponding events in Figs. 8 and 9 (see also Figs. S8 and S9) shows that BVOCs contribute to O₃ when they react with anthropogenic NO_x from nearby sources.

As for NO_x tagging, the ozone coming from German biogenic emissions correlates relatively well with the total ozone in both years (r of 0.68 in Mecklenburg-Western Pomerania to 0.88 in Lower Saxony in 2015 and 0.43 in Mecklenburg-Western Pomerania to 0.80 in Hesse in 2018). Similar to NO_x source attribution, we note that the outflow of ozone coming from biogenic sources from the rest of Europe has a considerable impact on ozone concentration seen at these sta-

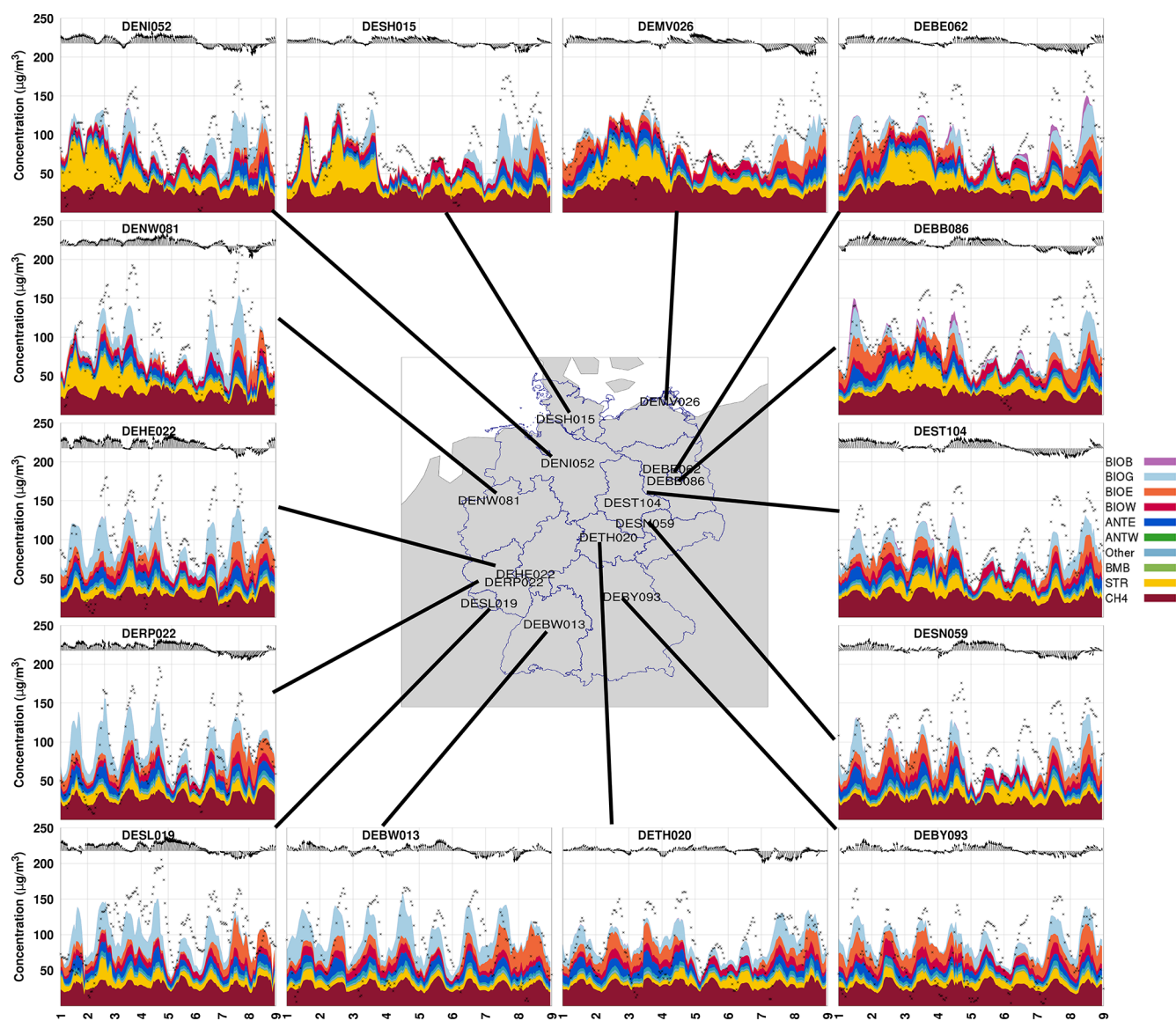


Figure 9. Contribution of regional VOC sources to hourly O₃ concentrations of local and other European sources, HTAP2 source regions, and other global source types at each station during the 1–8 August 2018 period. In addition, the vectors along the top of each panel represent the calculated wind speed and direction at 10 m a.g.l. The black dots represent the observed O₃ concentrations.

tions. Moreover, at the analyzed stations, the ozone coming from biogenic sources outside Germany also displays a good correlation with the total ozone (r of 0.48 in Mecklenburg-Western Pomerania to 0.75 in North Rhine-Westphalia in 2015 and 0.15 in Saarland to 0.62 in 2018 in Thuringia). The low correlation between ozone coming from the German NO_x or VOC precursors and total ozone noticed mostly in Mecklenburg-Western Pomerania is related to a low predicted photochemistry, as also indicated by T2MAX values (not shown).

The average contribution of ozone coming from German biogenic emissions ranges from 4.65 % ($4.1 \mu\text{g m}^{-3}$) for Mecklenburg-Western Pomerania to 25.3 % ($26.1 \mu\text{g m}^{-3}$)

for Baden-Württemberg in 2015 and from 5.6 % ($4.84 \mu\text{g m}^{-3}$) for Mecklenburg-Western Pomerania to 24 % ($23.2 \mu\text{g m}^{-3}$) for Baden-Württemberg in 2018, which is within the range of averaged contribution shown by Mertens et al. (2020). Mertens et al. (2020) used a source attribution method that attributes O₃ to all precursors without distinguishing between NO_x and VOC. They showed that, during extreme ozone events, the contribution of the land transport sector is gaining importance, and, moreover, the contribution of the biogenic emissions to ozone levels is increasing during these events. Their work indicates that 18.8 ± 0.3 % of their modeled O₃ for the June–August 2008–2010 period could be explained by the large contribution

of biogenic emissions (consisting of both BVOCs and soil NO_x). In contrast, our approach can separate the influence of these two distinct sources. At the analyzed stations, we find that, on average, the soil NO_x emissions explain just 7 % of the modeled O₃, while 37 % of the modeled O₃ is attributed to all European BVOC emissions, with 14 % of the ozone due to BVOC emissions just from Germany. These findings suggest that the biogenic emissions that contribute to the high ozone levels seen in Mertens et al. (2020) are mainly attributed to the BVOCs and not to the soil NO_x. Our focus on peak ozone episodes favors the enhancement of biogenic emissions and, consequently, of the predicted O₃ attributed to those sources. Furthermore, Pay et al. (2019) used an O₃ source apportionment method that used all precursors in a single run and utilized the H₂O₂/HNO₃ ratio to determine if O₃ is sensitive to VOCs or NO_x. They showed that their source sector, which includes biogenic emissions, could explain up to 8 % of daily mean modeled O₃ during days when the O₃ target values of 120 µg m⁻³ are exceeded in Spain. The relatively low contribution of biogenic sources to daily mean O₃ suggests that their region of interest was mostly in a NO_x-limited regime throughout the simulation. Moreover, their study highlighted the need to attribute the BVOCs to an individual source since these emissions represent 70 % of VOC emissions in Spain. Our source attribution method allows us to investigate the contributions of these specific emission sources to ozone, and in addition, we can separate contributions from biogenic emissions of NO_x or VOC precursors on ozone levels. Our method also allows a direct quantification of O₃ coming from BVOCs in comparison with other studies in which the emissions of BVOCs were simply turned on and off (i.e., Lee et al., 2014; Churkina et al., 2017; Sun et al., 2021).

Figure 10 shows the relative contribution of BVOC and its precursors from Germany and the rest of Europe to ozone peak events in the hot spot area of Baden-Württemberg, where the average predicted ozone concentration is 115 and 102 µg m⁻³ in 2015 and 2018, respectively. These results are averaged over each grid cell defined as Baden-Württemberg. The average contribution of ozone from German biogenic emissions is higher than 20 µg m⁻³ (~ 23 %) in both years (see Fig. 10). We note that the temporal variation in total O₃ and O₃ coming from German BVOC emissions is in good agreement (*r* of 0.72 and 0.65). The German isoprene concentrations predicted in Baden-Württemberg build up in the early morning and evening and are low during the daytime due to the reaction with OH that ultimately leads to ozone formation in the area. Moreover, the ratio BVOC/NO_x is higher during daytime (not shown), and it favors the production of O₃ by NO_x, leading to an enhanced O₃ formation from German and other European biogenic sources. On the other hand, Fig. 10 also shows that the diurnal variation in the ozone coming from German biogenic sources is not dominated by the diurnal variation in biogenic isoprene emissions, and the peaks of ozone from biogenic sources

are disconnected from local emission peaks. These findings suggest that the formation of O₃ from isoprene oxidation takes place either in the vicinity of the source or occurs in the regions downwind of Baden-Württemberg. Hence, the Baden-Württemberg BVOC emissions are not the sole factor influencing ozone formation, and other meteorological and chemical processes affect the diel variation in ozone with a biogenic origin. Furthermore, Fig. 10 depicts a relatively stable contribution of ozone coming from biogenic sources originating outside of Germany (on average 18.6 µg m⁻³ (16.4 ± 3.7 %) and 13.8 µg m⁻³ (13.3 ± 8.7 %) in 2015 and 2018, respectively) to the baseline ozone, which is a consequence of the long ozone lifetime and the horizontal transport of ozone to Baden-Württemberg. Given the uncertainties in biogenic emissions estimates (Zhao et al., 2016; Zhang et al., 2021), a future study should include the use of MEGAN v2.1, as described by Zhao et al. (2016).

5 Conclusions

The WRF-Chem model was used to perform a source attribution of German surface ozone from emitted NO_x and VOC during two peak ozone events that took place in 2015 and 2018. The results from our simulations demonstrate that the peak ozone concentrations, which are reached during episodes of high temperatures, can be primarily attributed to nearby emissions of anthropogenic NO_x (from Germany and its adjacent neighbors) and biogenic VOCs. Outside of these high ozone episodes, baseline ozone concentrations are attributed primarily to long-range transport, with ozone due to remote anthropogenic NO_x emissions, and methane oxidation adding to the tropospheric ozone background. Anthropogenic NMVOC emissions do not contribute significantly to peak ozone events but rather make a modest contribution to the baseline ozone.

The attribution of modeled O₃ to NO_x emissions at 14 stations in Germany showed that, depending on the geographical location of stations, the nearby sources could have a significant contribution to ozone formation (mostly in southern Germany), whereas in northern Germany the high ozone concentrations are associated with the enhancement of transported ozone or are brought from aloft. Westerly stations are more prone to also being influenced by ozone transported from France and Benelux, while easterly stations show a constant share of O₃ transported from central Europe, which is more pronounced in 2015, highlighting the importance of prevailing winds on ozone pollution levels at a given location. When attributing modeled O₃ to VOC emissions, we find that the German biogenic emissions account for the largest fraction of ozone during these episodes, and they exhibit the same geographical pattern as for NO_x source attribution, stressing that the production of ozone depends on the local interplay between NO_x and VOC precursors. Our study also highlights the good correlation between ozone

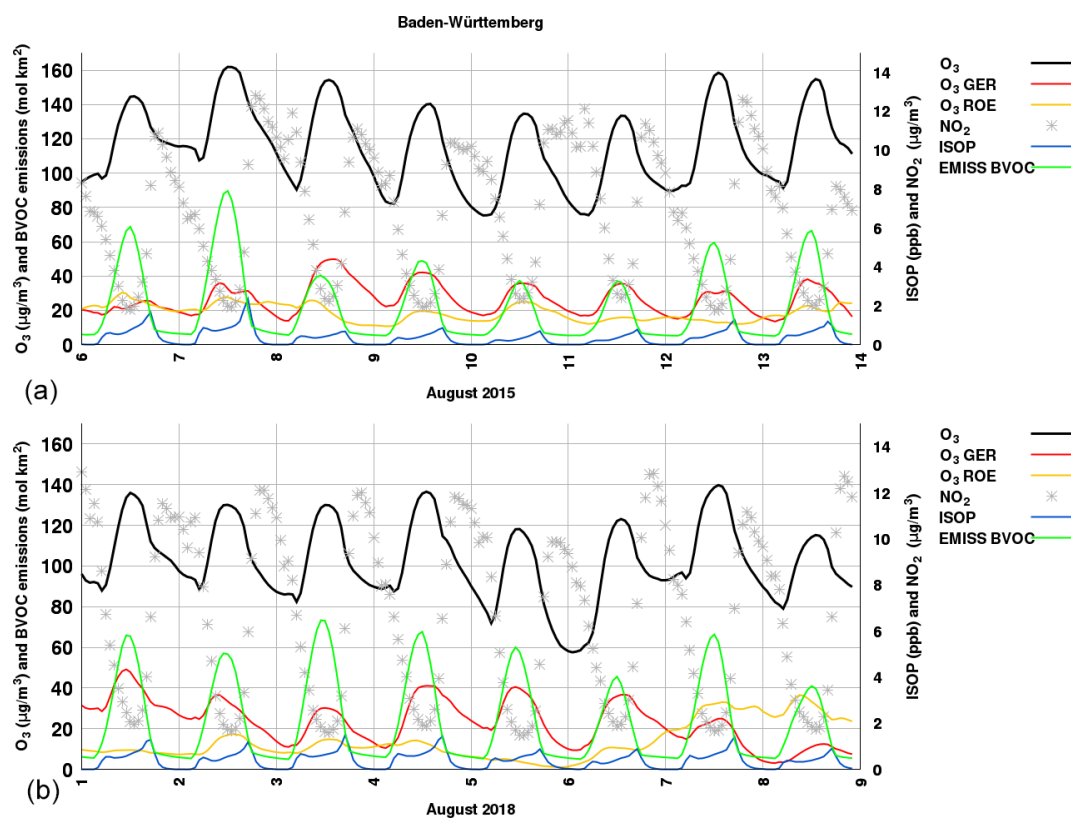


Figure 10. Diurnal variation in total ozone and its German and European components together with the total BVOC emissions and isoprene concentration for 6–13 August 2015 (a) and 1–8 August 2018 (b).

coming from German biogenic emissions and total ozone, although the diurnal variation in the ozone coming from biogenic sources is not dominated by the diurnal variation in biogenic emissions, and the peaks of ozone from biogenic sources are disconnected from local emission peaks. This suggests that the formation of O₃ from local German BVOC emissions is not the sole factor that influences the ozone formation, and other meteorological and chemical processes affect the diel variation in ozone with a biogenic origin. Moreover, the relatively stable contribution of ozone coming from biogenic sources originating outside Germany to the baseline ozone underscores the combination of the long lifetime and horizontal transport of ozone.

Shipping activities in the Baltic and North seas have a great impact on ozone predicted in coastal areas, yet a small amount of ozone from these sources can also be seen far inland, stressing the importance of transported ozone on pollution levels. These findings complement those of previous studies, such as Erikson et al. (2021), and references therein, that showed that regional actions are needed to reduce the peak ozone concentration during high ozone episodes. We have also shown that changes in circulation patterns between different peak O₃ episodes observed in 2015 and 2018 can affect the contribution of different NO_x emission sources to the total O₃; as such, the same source regions significantly im-

part the O₃ concentration at a given location at the beginning of the analyzed period in 2015 and the end of the analyzed period in 2018. Thus, the possible influence of multiple up-wind source regions should be accounted for when mitigation strategies are designed.

Overall, this study provides useful findings on how emissions from local and remote sources influence the predicted O₃ and MDA8 O₃ during two high ozone episodes. BVOC emissions and the NO_x emitted in nearby regions enhance the O₃ production during episodes of higher temperatures. Given the high importance of BVOC in determining the peak ozone concentrations, the lack of VOC measurements for the evaluation of the modeled VOCs is another source of uncertainty in modeled ozone production. Previous studies highlighted that the model strongly underestimates the isoprene concentrations, leading to an underestimation of the total ozone concentration, which might be the case for our study. It is noteworthy that, apart from modeled BVOCs, other factors such as anthropogenic emissions can influence the oxidation mechanism of the BVOC used in our simulation. Thus, the use of a highly resolved emissions inventory and additional VOC measurements, including BVOC, are necessary to improve our understanding of how well the modeled ozone precursors are simulated and, consequently, the total O₃ concentrations.

Appendix A: Statistical scores

The main statistical metrics employed in this study are the Pearson correlation coefficient (r), mean bias (MB), normalized mean bias (NMB), and index of agreement (IOA). These statistical indicators are defined as follows, with n as the number of model–observation pairs, M as the modeled values (with $\bar{M} = \frac{\sum_{i=1}^n M_i}{n}$ the averaged modeled value), and O as the observations (with $\bar{O} = \frac{\sum_{i=1}^n O_i}{n}$ the averaged observed value), as follows:

$$\text{MB} = \frac{1}{n} \sum_{i=1}^n (M_i - O_i)$$

$$\text{NMB} = \frac{\sum_{i=1}^n (M_i - O_i)}{\sum_{i=1}^n O_i}$$

$$r = \frac{\sum_{i=1}^n (M_i - \bar{M})(O_i - \bar{O})}{\sqrt{\sum_{i=1}^n (M_i - \bar{M})^2} \sqrt{\sum_{i=1}^n (O_i - \bar{O})^2}}$$

$$\text{IOA} = 1 - \frac{n \times \sqrt{\frac{1}{n} \sum_{i=1}^n (M_i - O_i)^2}}{\sum_{i=1}^n (|M_i - \bar{M}| + |O_i - \bar{O}|)^2}$$

Code and data availability. The WRF-Chem model is publicly available at http://www2.mmm.ucar.edu/wrf/users/download/get_source.html (last access: 15 December 2020; NCAR, 2020). To access this model, the user has to register before downloading the code. The modification introduced and described in Sect. 2 and the model data can be provided upon request to the corresponding author.

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Author contributions. AL and TB designed the research. AL performed the model runs. The analysis of model runs was performed by AL, with input from each of the co-authors. AL drafted the paper, with contributions from all the co-authors.

Competing interests. At least one of the (co-)authors is a member of the editorial board of *Atmospheric Chemistry and Physics*. The peer-review process was guided by an independent editor, and the authors also have no other competing interests to declare.

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