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## **Altered inhibition and excitation in neocortical circuits in congenital microcephaly**

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### **Running title**

Cdk5rap2 and neocortical E/I balance

1 **Abstract**

2 Congenital microcephaly is highly associated with intellectual disability. Features of autosomal  
3 recessive primary microcephaly subtype 3 (MCPH3) also include hyperactivity and seizures.  
4 The disease is caused by biallelic mutations in the Cyclin-dependent kinase 5 regulatory  
5 subunit-associated protein 2 gene *CDK5RAP2*. In the mouse, *Cdk5rap2* mutations similar to  
6 the human condition result in reduced brain size and a strikingly thin neocortex already at early  
7 stages of neurogenesis that persists through adulthood. The microcephaly phenotype in MCPH  
8 arises from a neural stem cell proliferation defect. Here, we report a novel role for Cdk5rap2 in  
9 the regulation of dendritic development and synaptogenesis of neocortical layer 2/3 pyramidal  
10 neurons. Cdk5rap2-deficient murine neurons show poorly branched dendritic arbors and an  
11 increased density of immature thin spines and glutamatergic synapses *in vivo*. Moreover, the  
12 excitatory drive is enhanced in *ex vivo* brain slice preparations of *Cdk5rap2* mutant mice.  
13 Concurrently, we show that pyramidal neurons receive fewer inhibitory inputs. Together, these  
14 findings point towards a shift in the excitation - inhibition balance towards excitation in  
15 *Cdk5rap2* mutant mice. Thus, MCPH3 is associated not only with a neural progenitor  
16 proliferation defect but also with altered function of postmitotic neurons and hence with altered  
17 connectivity.

18

19 **Key words**

20 Cdk5rap2; microcephaly; neuronal differentiation; synaptic transmission; dendritic  
21 morphogenesis

## 22 **1. Introduction**

23 Autosomal recessive primary microcephaly (MicroCephaly Primary Hereditary; MCPH) is a rare  
24 neurodevelopmental disorder characterized by intellectual disability and microcephaly at birth  
25 due to severe reduction in brain volume that affects especially the neocortex (Kaindl et al.,  
26 2010; Kraemer et al., 2011). So far, twenty genes have been linked to MCPH and are referred  
27 to as MCPH1-20 (DiStasio et al., 2017; Kadir et al., 2016; Moawia et al., 2017; reviewed in  
28 Zaqout et al., 2017b). Some MCPH patients have epilepsy and/or a hyperactivity disorder  
29 (Passemar et al., 2009). Biallelic mutations in the gene encoding centrosomal Cyclin-  
30 dependent kinase 5 regulatory subunit-associated protein 2 (CDK5RAP2) lead to MCPH3  
31 (MIM\*604804) (Bond et al., 2005; Hassan et al., 2007; Issa et al., 2013b).

32 MCPH is seen as model disorder for microcephaly. Thus, unraveling  
33 pathomechanisms of this disease can convey insight into basic mechanisms of physiologic  
34 brain development, particularly of brain growth and cortex formation. One current model for the  
35 microcephaly phenotype in MCPH invokes a premature shift from symmetric to asymmetric cell  
36 divisions and thus premature neurogenesis with a subsequent depletion of the progenitor pool  
37 (Buchman et al., 2010; Fish et al., 2006; Lizarraga et al., 2010). In addition, increased apoptosis  
38 of neural progenitors and postmitotic cells has been reported in MCPH3 mice (Kraemer et al.,  
39 2015; Lizarraga et al., 2010). Premature neurogenesis due to CDK5RAP2 dysfunction may be  
40 secondary to disturbances in cleavage plane orientation of apical neural progenitors (Lizarraga  
41 et al., 2010), altered centriole engagement and cohesion (Barrera et al., 2010), microtubule  
42 organizing dysfunction of the centrosome through interaction with the gamma tubulin ring  
43 complex (Fong et al., 2008), improper spindle formation and chromosome segregation (Bond  
44 et al., 2005). Most studies regarding the pathophysiology of MCPH have focused on division  
45 and survival of neural progenitors. The effect of MCPH proteins on neuronal differentiation and  
46 function within the neural circuit, however, has been largely neglected. Since a smaller brain  
47 does not necessarily imply intellectual disability but MCPH patients often display such condition  
48 together with hyperactivity, and in some cases epilepsy, we reasoned that MCPH proteins may  
49 have additional roles in postmitotic neurons, during synaptogenesis and synaptic transmission.  
50 Also, neurodevelopmental disorders associated with intellectual disability and neuropsychiatric  
51 conditions are often associated with defects in dendritic arborization and spine formation. We

52 have therefore addressed whether loss of *Cdk5rap2* as seen in MCPH3 affects the function of  
53 differentiated neurons in the neocortex by performing morphological and electrophysiological  
54 studies on the established MCPH3 mouse model, *Cdk5rap2* mutant or *Hertwig's anemia* mice  
55 (*an/an*). Our results demonstrate that *Cdk5rap2* is essential for the maturation of the dendritic  
56 arbor and its synaptic connectivity and affects dendritic structure and synaptic connectivity in  
57 the mature brain.

58

## 59 **2. Results**

### 60 **2.1. Preserved neocortical layer organization but thin upper layers**

61 To address the role of *Cdk5rap2* in mature neurons, we used the established *Cdk5rap2* mouse  
62 model (*Hertwig's anemia* mice (*an/an*)) (Lizarraga et al., 2010). *Cdk5rap2* mutant mice (*an/an*)  
63 display reduced overall brain size. Neocortical area was reduced to 46% and parietal cortical  
64 thickness to 67% (Fig 1) at birth, in line with the findings reported by (Lizarraga et al., 2010).  
65 These structural changes persisted into adulthood (reduction in neocortical area to 50% and  
66 parietal cortical thickness to 68%; Fig 1) and were associated with a strong reduction in the  
67 total number of cells in the neocortex of P0 and adult *an/an* mice (Fig 2A and B).

68 Cortical layer organization was grossly undisturbed, as revealed by immunostaining  
69 with the layer 2-4 marker *Cux1* and the layer 5-6 marker *Ctip2* (Fig 2A). While both *Cux1*<sup>+</sup> upper  
70 layers and *Ctip2*<sup>+</sup> deep layers were thinner (Fig 2C), only the relative thickness of upper layers  
71 with respect to the total cortical thickness was reduced in P0 and adult *an/an* mice (Fig 2C and  
72 S1 Table). The relative thickness of deep layers with respect to total cortical thickness remained  
73 unchanged (Fig 2C). Cell counts per view-field were reduced for *Cux1*<sup>+</sup> and *Ctip2*<sup>+</sup> neocortical  
74 neurons (Fig 2D and Table S1). However, the relative number of both *Cux1*<sup>+</sup> and *Ctip2*<sup>+</sup>  
75 neocortical neurons (Fig 2D) with respect to total DAPI<sup>+</sup> nuclei was not changed, suggesting  
76 that neuronal cell fate is unaltered. Together, these findings indicate that despite the large  
77 reduction in cell numbers and cortical area, cortical architecture remains relatively undisturbed.

78

### 79 **2.2. Reduced dendritic complexity of layer 2/3 pyramidal neurons**

80 We next analyzed the dendritic tree of layer 2/3 neocortical neurons of adult *an/an* and  
81 littermate *+/+* mice in Golgi stained brain sections. We quantified the dendritic complexity of

82 individual neurons using Sholl analysis (SHOLL, 1953). Mutant *Cdk5rap2* in *an/an* mice is  
83 associated with a downwards shift of the Sholl curve for both apical and basal dendrites of layer  
84 2/3 pyramidal neurons, indicating a marked reduction in the complexity of these dendrites (Fig  
85 3A, B and Fig. S2). In line, although the number of primary dendrites were similar (+/+ =  $5.3 \pm$   
86  $0.2$  vs. *an/an* =  $4.9 \pm 0.2$  dendrites,  $p = 0.2$ , TT), numbers of secondary and tertiary dendrites  
87 were reduced in *an/an* (secondary: +/+ =  $10.4 \pm 0.3$  vs. *an/an* =  $8.8 \pm 0.3$  dendrites,  $p < 0.002$ ,  
88 TT; tertiary: +/+ =  $18.4 \pm 1.3$  vs. *an/an* =  $10.5 \pm 0.8$  dendrites,  $p < 0.0001$ , TT;  $n = 44$  +/+ and  
89  $39$  *an/an* neurons from  $6$  +/+ and  $4$  *an/an* animals; Fig 3B).

90 As neuronal surface area and input resistance are inversely proportional, the reduction  
91 in dendritic complexity could alter the intrinsic properties of *an/an* layer 2/3 neocortical neurons.  
92 We therefore performed whole cell patch clamp recordings on these neurons. Indeed, when  
93 compared to control neurons, *an/an* neurons showed an increased input resistance ( $R_{in+/+} =$   
94  $81.5 \pm 8.6$  M $\Omega$ ,  $n = 15$  vs.  $R_{inan/an} = 153.5 \pm 15.1$  M $\Omega$ ,  $n = 17$ ,  $p < 0.001$ , MWU, Fig 3C) while  
95 resting membrane potential remained similar ( $V_{M+/+} = -79.1 \pm 2.4$  mV,  $n = 14$  vs.  $V_{Man/an} = -74.9$   
96  $\pm 2.5$  mV,  $n = 10$ ,  $p = 0.1$ , MWU). Accordingly, neuronal membrane capacitance was smaller  
97 in *an/an* ( $C_{+/+} = 125.7 \pm 11.3$  pF vs.  $C_{an/an} = 94.9 \pm 6.8$  pF,  $p < 0.03$ , MWU). As a consequence,  
98 the rheobase, i.e. the minimal current amplitude for action potential induction, decreased ( $I_{theo+/+}$   
99 =  $295.4 \pm 23.6$  pA,  $n = 13$  vs.  $I_{theoan/an} = 186.9 \pm 44.3$  pA,  $n = 13$ ,  $p = 0.04$  TT, Fig 3E and F) and  
100 neuronal gain, given by the slope of the F/I relationship, increased ( $F/I_{slope+/+} = 115.5 \pm 14.9$   
101 AP/nA,  $n = 14$  vs.  $F/I_{slopean/an} = 159.6 \pm 18.9$  AP/nA,  $n = 13$ ,  $p = 0.08$ , TT, Fig 3E and G). Thus,  
102 our data imply that the reduced dendritic arborization of *an/an* neurons is associated with  
103 intrinsic pro-excitatory neuronal properties. While reduced dendritic arborization may impact on  
104 incoming dendritic signals by reducing the catchment area but increasing the electrotonic  
105 compactness (Spruston et al., 1993), the latter properties putatively privilege small and remote  
106 inputs.

107

### 108 **2.3. Increased spine density, excitatory synapse number, and spontaneous** 109 **glutamatergic transmission**

110 Our morphological findings and the fact that MCPH patients can suffer from hyperactive  
111 behavior and seizures prompted us to study the dendritic spine and synapse properties of layer

112 2/3 neurons in adult *an/an* mice. We first analyzed dendritic spines in Golgi-stained pyramidal  
113 neurons and found an increase in the density of spines along apical and basal dendrites (+/+  
114 =  $0.58 \pm 0.04 \mu\text{m}^{-1}$  vs. *an/an* =  $0.76 \pm 0.03 \mu\text{m}^{-1}$ ,  $p = 0.0007$ , TT, Fig 3H and I). We next  
115 assessed whether spine morphology was altered in the mutants. We detected a significant  
116 increase in the number of thin-shaped “immature” spines in *an/an* mice compared to +/+  
117 littermates (+/+ =  $16.2 \pm 2.5 \%$  vs. *an/an* =  $28.5 \pm 3.2 \%$ ,  $p < 0.005$ , TT, Fig 3H and I). Thin  
118 spines, containing long necks and small spine heads, are thought to represent immature spines  
119 (Dailey and Smith, 1996). To address whether the increase in spine density and altered spine  
120 morphology results in a change in excitatory transmission, we recorded spontaneous excitatory  
121 postsynaptic currents (sEPSCs) of layer 2/3 pyramidal neurons in *ex-vivo* adult brain slices (Fig  
122 3J). sEPSCs mainly correspond to spontaneous presynaptic glutamate vesicle release  
123 (Schuster et al., 2015), since spontaneous action potentials in layer 2/3 neurons in slices are  
124 unlikely events. In accordance with the elevated spine density we found an increase in the total  
125 excitatory charge transfer ( $Q_{T+/+} = 1010.4 \pm 135.3 \text{ fC}$ ,  $n = 15$  vs.  $Q_{T\text{an/an}} = 1969.2 \pm 460.2 \text{ fC}$ ,  $n$   
126 = 14,  $p < 0.05$ , MWU, Fig 3K) mainly due to an augmented sEPSCs frequency ( $f_{+/+} = 18.1 \pm 0.8$   
127 events/s,  $n = 15$  vs.  $f_{\text{an/an}} = 29.4 \pm 1.6$  events/s,  $n = 14$ ,  $p < 0.0001$ , TT, Fig 3L), since sEPSC  
128 kinetics were similar (decay:  $\tau_{+/+} = 7.8 \pm 0.6 \text{ ms}$ ,  $n = 15$  vs.  $\tau_{\text{an/an}} = 8.1 \pm 0.8 \text{ ms}$ ,  $n = 14$ ,  $p = 0.6$ ,  
129 MWU). The increase in frequency might be in part due to the reduced neuronal size in *an/an*  
130 mice, making them electrically more compact and less leaky (Spruston et al., 1993).

131 Most of the additional spines in the mutant displayed “immature” thin spine morphology. Since  
132 spine head width has been correlated to the level of AMPA receptors, this could suggest a  
133 reduced number of AMPARs per spine (Matsuzaki et al., 2001). In our study mean EPSC  
134 amplitude and amplitude density, as indirect measures of AMPA receptor number in the  
135 postsynaptic density (Nair et al., 2013) did not differ between groups ( $I_{+/+} = 10.5 \pm 0.6 \text{ pA}$ ,  $n =$   
136 15 vs.  $I_{\text{an/an}} = 8.8 \pm 0.7 \text{ pA}$ ,  $n = 14$ ,  $p = 0.09$ , TT, Fig 3M, inset;  $I/C_{+/+} = 0.092 \pm 0.009 \text{ pA/pF}$ ,  $n$   
137 = 15 vs.  $I/C_{\text{an/an}} = 0.097 \pm 0.008 \text{ pA}$ ,  $n = 14$ ,  $p = 0.65$ , MWU), excluding an overall postsynaptic  
138 phenotype in *an/an* neurons.

139 Survival to adulthood is a very rare event for *an/an* mice. To test whether the changes  
140 in cell morphology was directly caused by the loss of gene function or rather the result of  
141 secondary compensatory effects, we investigated layer 2/3 neuronal activity in *an/an* mice at

142 an early stage of synaptogenesis using *ex-vivo* P6/7 brain slices. At this stage of development,  
143 we did not observe significant changes in membrane resistance, neuronal excitability ( $R_{in+/+} =$   
144  $598.6 \pm 50.7 \text{ M}\Omega$ ,  $n = 29$  vs.  $R_{in an/an} = 657.9 \pm 53.6 \text{ M}\Omega$ ,  $n = 35$ ,  $p = 0.45$ ;  $I_{theo+/+} = 29.2 \pm 3.7 \text{ pA}$   
145 vs.  $I_{theo an/an} = 35.8 \pm 5.6 \text{ pA}$ ,  $p = 1$ , MWU;  $FI_{slope+/+} = 334.5 \pm 21.5 \text{ AP/nA}$ ,  $n = 29$  vs.  $FI_{slope an/an}$   
146  $= 421.1 \pm 44.8 \text{ AP/nA}$ ,  $n = 35$ ,  $p = 0.2$ , MWU;  $V_{M+/+} = 72.6 \pm 1.1 \text{ mV}$ ,  $n = 22$  vs.  $V_{M an/an} = 68.9 \pm$   
147  $1.7 \text{ mV}$ ,  $n = 27$ ,  $p = 0.2$  Fig 4A – D) or neuronal membrane capacitance ( $C_{+/+} = 75.1 \pm 5.4 \text{ pF}$   
148 vs.  $C_{an/an} = 74.5 \pm 4.1 \text{ pF}$ ,  $p = 0.99$ , MWU). This indicates comparable electrotonic compactness  
149 at this stage, enabling us to investigate electrophysiological differences independent of gross  
150 morphological changes. Excitatory synaptic drive was already elevated in the mutant as evident  
151 by the 48% increase in total excitatory charge transfer ( $Q_{T+/+} = 93.3 \pm 16.6 \text{ fC}$ ,  $n = 23$  vs.  $Q_{T an/an}$   
152  $= 138.6 \pm 18.3 \text{ fC}$ ,  $n = 28$ ,  $p < 0.08$ , MWU; Fig 4F) and 53% increase in sEPSC frequency ( $f_{+/+}$   
153  $= 2.18 \pm 0.22 \text{ events/s}$ ,  $n = 29$  vs.  $f_{an/an} = 3.33 \pm 0.27 \text{ events/s}$ ,  $n = 38$ ,  $p = 0.008$ , MWU; Fig 4E  
154 and G). This increase in frequency was not accompanied by a change in amplitude ( $I_{+/+} = 6.24$   
155  $\pm 0.39 \text{ pA}$ ,  $n = 29$  vs.  $I_{an/an} = 5.84 \pm 0.31 \text{ pA}$ ,  $n = 38$ ,  $p = 0.3$ , MWU; Fig 4H), amplitude density  
156 ( $I/C_{+/+} = 0.087 \pm 0.005 \text{ pA/pF}$ ,  $n = 29$  vs.  $I/C_{an/an} = 0.084 \pm 0.005 \text{ pA}$ ,  $n = 38$ ,  $p = 0.89$ , MWU) or  
157 kinetics (decay:  $\tau_{+/+} = 7.8 \pm 0.5 \text{ ms}$ ,  $n = 29$  vs.  $\tau_{an/an} = 8.1 \pm 0.6 \text{ ms}$ ,  $n = 38$ ,  $p = 0.7$ , TT).

158 Changes in sEPSC frequency in P6-7 *an/an* mice are unlikely due to the detection of  
159 more events from distal dendrites because electrical compactness was similar in both  
160 genotypes. The increase could be mediated by changes in spontaneous presynaptic firing (but  
161 see TTX-experiments under 2.5) or may be linked to presynaptic vesicle release probability  
162 (del CASTILLO and KATZ, 1954). We therefore evoked paired pulse responses in layer 2/3  
163 neocortical neurons but found no alteration in the paired pulse ratio of *an/an* cells (Fig 4I and  
164 J), suggesting that presynaptic release probability is unaffected. Finally, immunohistochemical  
165 staining for pre- (VGlut1) and postsynaptic (PSD95) markers revealed a 66% increase in  
166 VGlut1/PSD95-positive synapses already at P6/7 in *an/an* layer 2/3 neurons (Fig 4K and L).  
167 Taken together, these results point towards an increase in excitatory drive of layer 2/3  
168 pyramidal neurons in *an/an* mice regardless of their age or severity of alteration (as indicated  
169 by early death vs. survival).

170

171



172 **2.4 Minor influence of Cdk5rap2 on dendritic complexity or excitatory transmission in**  
173 **cultured neocortical pyramidal neurons**

174 Altered Cdk5rap2 function may contribute, in a cell intrinsic fashion, to reduced dendritic  
175 complexity and elevated excitatory drive of *an/an* mice layer 2/3 neurons. Cdk5rap2 has been  
176 shown to interact with chromatin associated Cdc20-anaphase promoting complex (Cdc20-  
177 APC) protein (Kraemer et al., 2011; X. Zhang et al., 2009) that can influence dendritic  
178 development (Kim et al., 2009). Therefore, we investigated the effect of Cdk5rap2 loss of  
179 function *in vitro*. We first used autaptic (i.e. singly cultured neurons) primary neuronal cultures  
180 from newborn *+/+* and *an/an* cortices that allow for detailed quantification of synaptic properties.  
181 Neurons derived from *an/an* cultures had a reduced soma size ( $A_{+/+} = 148.6 \pm 7.7 \mu\text{m}^2$ ,  $n = 28$   
182 vs.  $A_{an/an} = 126.3 \pm 5.6 \mu\text{m}^2$ ,  $n = 38$ ,  $p = 0.02$ , TT). We found no significant change in the total  
183 length of dendrites ( $L_{d+/+} = 962.9 \pm 92.8 \mu\text{m}$ ,  $n = 28$  vs.  $L_{d an/an} = 878.8 \pm 74.9 \mu\text{m}$ ,  $n = 36$ ,  $p =$   
184  $0.7$ , MWU). The axonal length was reduced by nearly 30% when compared to those from *+/+*  
185 cultures ( $L_{a an/an} = 986.9 \pm 159.8 \mu\text{m}$ ,  $n = 23$  vs.  $L_{a+/+} = 1314.1 \pm 172.1 \mu\text{m}$ ,  $n = 17$ ,  $p = 0.07$ ,  
186 MWU, Fig 5A and C). However, numbers of primary dendrites (*+/+*:  $5.4 \pm 0.6$ ,  $n = 20$ ; *an/an*:  
187  $5.7 \pm 0.4$ ,  $n = 31$ ,  $p = 0.46$ , MWU) and dendritic tips (*+/+*:  $20.9 \pm 2.1$ ,  $n = 20$  *an/an*:  $22.0 \pm 1.6$ ,  
188  $n = 31$ ,  $p = 0.7$ , TT) did not differ between the groups, resulting in a comparable branching  
189 index (*+/+*:  $4.2 \pm 0.4$ ,  $n = 20$ , *an/an*:  $5.7 \pm 0.25$ ,  $n = 31$ ,  $p = 0.57$ , TT, Fig 5A and B).

190 We next analyzed the synaptic transmission of glutamatergic autaptic neurons but  
191 found no significant difference in evoked responses (EPSCs;  $I_{+/+} = 5.54 \pm 0.67 \text{ nA}$ ,  $n = 65$  vs.  
192  $I_{an/an} = 5.15 \pm 0.67 \text{ nA}$ ,  $n = 54$ ,  $p = 0.64$ , MWU, Fig 5D) nor in mEPSC rates or amplitudes  
193 between *+/+* and *an/an* mouse neurons ( $f_{+/+} = 2.3 \pm 0.4 \text{ events/s}$ ,  $n = 52$  vs.  $f_{an/an} = 2.7 \pm 0.4$   
194  $\text{events/s}$ ,  $n = 45$ ,  $p = 0.3$ , MWU;  $I_{+/+} = 24.5 \pm 1.7 \text{ pA}$ ,  $n = 52$  vs.  $I_{an/an} = 23.6 \pm 1.3 \text{ pA}$ ,  $n = 45$ ,  $p$   
195  $= 0.9$ , MWU; Fig 5H to J). Utilizing responses induced by hypertonic solution, we next  
196 determined the size of the readily releasable pool (RRP = the amount of primed synaptic  
197 vesicles; (Rosenmund and Stevens, 1996)) in *an/an* compared to *+/+* neurons and found,  
198 consistent with the unchanged evoked response, no alteration between wildtype and mutant  
199 neurons ( $\text{RRP}_{+/+} = 307.2 \pm 51.4 \text{ pC}$ ,  $n = 51$  vs.  $\text{RRP}_{an/an} = 416.5 \pm 71.5 \text{ pC}$ ,  $n = 44$ ,  $p = 0.3$ ,  
200 MWU; Fig 5E and F). The vesicular release probability (Pvr), as calculated by comparing  
201 evoked response and RRP size, was also comparable between *+/+* and *an/an* neurons ( $\text{Pvr}_{+/+}$

202 =  $11.7 \pm 0.9$  %,  $n = 51$  vs.  $Pvr_{an/an} = 10.6 \pm 0.9$  %,  $n = 44$ ,  $p = 0.9$ , TT, Fig 5G).

203 We further examined synapse formation on cortical neurons in dissociated cultures  
204 and found no differences. In detail, the density of glutamatergic presynaptic punctae was  
205 comparable (Fig 5K; VGlut1;  $+/+ = 3140 \pm 249$  puncta/ $0.15 \text{ mm}^2$ ,  $n = 38$  vs.  $an/an = 3487 \pm$   
206  $300$  puncta/ $0.15 \text{ mm}^2$ ,  $n = 37$ ,  $p = 0.4$ , MWU; from 3 independent cultures). Taken together, our  
207 results from the cultured neurons imply that changes induced by *Cdk5rap2* mutation are not  
208 entirely cell intrinsic and require *in-vivo* like neuron-neuron interactions. Note that these results  
209 do not exclude an astrocytic malfunction in *an/an*, because both, autaptic and continental  
210 neuronal cultures were grown on non-mutated feeder astrocytes.

211

## 212 **2.5. Decreased inhibitory tone in ex-vivo slices of Cdk5rap2 mutants**

213 Given the observed increased excitatory drive at the soma of pyramidal *Cdk5rap2* mutant  
214 neurons *ex-vivo* but not in singly cultured neurons and the known control of pyramidal neurons  
215 by inhibitory neurons, we again drew upon brain slice experiments to analyze the importance  
216 of neuronal interaction for the *Cdk5rap2* phenotype. We first examined the inhibitory tone in  
217 *ex-vivo* brain slices by quantifying miniature inhibitory postsynaptic currents (mIPSC) (Fig 6A).  
218 At P6-7, we found that the *Cdk5rap2* mutation is associated with decreased inhibitory charge  
219 transfer ( $Q_{T+/+} = 126.3 \pm 13.5$  fC,  $n = 30$  vs.  $Q_{T an/an} = 102.4 \pm 12.9$  fC,  $n = 32$ ,  $p < 0.03$ , MWU,  
220 Fig 6B). A comparison of frequency and amplitude of mIPSC events showed that the decreased  
221 tone was most likely due to the decreased frequency of events ( $f_{+/+} = 1.40 \pm 0.05$  events/s vs.  
222  $f_{an/an} = 1.04 \pm 0.05$  events/s,  $p < 0.0001$ , MWU) because mIPSC amplitude ( $I_{+/+} = 11.36 \pm 0.95$   
223 pA,  $n = 30$  vs.  $I_{an/an} = 12.28 \pm 0.94$  pA,  $n = 32$ ,  $p = 0.2$ , MWU, Fig 6C and D), amplitude density  
224 ( $I/C_{+/+} = 0.129 \pm 0.009$  pA/pF vs.  $I/C_{an/an} = 0.131 \pm 0.009$  pA,  $p = 0.86$ , TT), mIPSC kinetics  
225 (decay:  $\tau_{+/+} = 8.34 \pm 0.21$  ms,  $n = 30$  vs.  $\tau_{an/an} = 8.62 \pm 0.46$  ms,  $n = 32$ ,  $p = 0.8$ , MWU) and  
226 neuronal membrane capacitance ( $C_{+/+} = 90.2 \pm 13.1$  pF vs.  $C_{an/an} = 89.0 \pm 8.3$  pF,  $p = 0.7$ ,  
227 MWU) were similar in both genotypes. This indicates that less inhibitory synapses are formed  
228 on pyramidal neurons already in emerging neural networks, which suggests that the density  
229 and/or axonal tree formation of inhibitory neurons is impaired.

230 The ability of synapse formation itself was not disturbed in cultured GABAergic autaptic  
231 neurons (Fig 6E) of *an/an* mice as synaptic transmission was comparable in the two groups. In

232 particular, evoked responses were similar in both genotypes (IPSCs;  $I_{+/+} = 4.81 \pm 1.41$  nA, n =  
233 8 vs.  $I_{an/an} = 4.13 \pm 2.57$  nA, n = 15,  $p = 0.62$ , TT, Fig 6F and G). Consistent with the unchanged  
234 evoked response, RRP size ( $RRP_{+/+} = 2592.8 \pm 650.3$  pC, n = 8 vs.  $RRP_{an/an} = 2179.7 \pm 274.1$   
235 pC, n = 14,  $p = 0.6$ , 77; Fig 6H and I) and Pvr ( $Pvr_{+/+} = 12.1 \pm 3.0$  %, n = 8 vs.  $Pvr_{an/an} = 16.5 \pm$   
236  $2.6$  %, n = 44,  $p = 0.9$ , TT, Fig 6J) were also comparable between  $+/+$  and  $an/an$  mice. Finally,  
237 mIPSC rates or amplitudes of  $+/+$  and  $an/an$  mouse neurons ( $f_{+/+} = 0.7 \pm 0.2$  events/s, n = 4 vs.  
238  $f_{an/an} = 0.9 \pm 0.4$  events/s, n = 9,  $p = 0.5$ , MWU;  $I_{+/+} = 32.7 \pm 9.2$  pA, n = 4 vs.  $I_{an/an} = 27.9 \pm 4.5$   
239 pA, n = 9,  $p = 0.8$ , MWU; Fig 6K - M) and the number of inhibitory synapses (VGat;  $+/+ = 2513.1$   
240  $\pm 197.2$  puncta/0.15 mm<sup>2</sup>, n = 38 vs.  $an/an = 3007.5 \pm 257.9$  puncta/0.15 mm<sup>2</sup>, n = 37,  $p = 0.2$ ,  
241 MWU, Fig 5J) were similar.

242 In search for a morphological correlate of decreased inhibition in *Cdk5rap2* mutant  
243 mice, we stained the cortex for GABA, a marker for interneurons (Uematsu et al., 2008) that is  
244 also expressed in astrocytes (Yoon and Lee, 2014). However, astrocytes do not substantially  
245 contribute to the GABA<sup>+</sup> cells at P6-7 (Fig S4). The total number of GABA<sup>+</sup> cells in P6-7  $an/an$   
246 mice was smaller ( $GABA^{+/+}_{+/+} = 71.0 \pm 5.2$  neurons per view-field, n = 6 animals vs.  $GABA^{+/+}_{an/an}$   
247  $= 50.5 \pm 7.1$  neurons per view-field, n = 3 animals,  $p = 0.048$ , MWU; Fig 7A and B). This  
248 reduction matches the general diminution of the neocortex since the relative proportion of these  
249 neurons (compared to total NeuN<sup>+</sup> cells) was similar ( $GABA^{+}/NeuN^{+}_{+/+} = 4.9 \pm 0.5\%$  n = 6  
250 animals vs.  $GABA^{+}/NeuN^{+}_{an/an} = 6.2 \pm 0.6\%$  n = 3 animals,  $p = 0.1$ , MWU; Fig 7A and B). In  
251 contrast, we found a decrease in both the total number of GABA<sup>+</sup> interneurons in adult  $an/an$   
252 mice ( $GABA^{+/+}_{+/+} = 37.3 \pm 5.2$  neurons per view-field, n = 6 animals vs.  $GABA^{+/+}_{an/an} = 7.8 \pm 1.5$   
253 neurons per view-field, n = 4 animals,  $p = 0.0095$ , MWU; Fig S3A and B) and in the relative  
254 proportion of these neurons ( $GABA^{+}/NeuN^{+}_{+/+} = 2.7 \pm 0.4\%$ , n = 6 animals vs.  
255  $GABA^{+}/NeuN^{+}_{an/an} = 1.2 \pm 0.2\%$ , n = 4 animals,  $p = 0.0095$ , MWU; Fig S3A and B). In line with  
256 the reduced mIPSC frequencies, layer 2/3 from P6-7  $an/an$  mice showed a trend towards  
257 decreased numbers of VGat<sup>+</sup> presynaptic inhibitory terminals in comparison to  $+/+$  littermates  
258 ( $+/+ = 110.3 \pm 18.0$  vs.  $an/an = 73.1 \pm 8.2$ ,  $p = 0.09$ , TT; Fig 7C and D). By co-staining the  
259 same slices with VGlut1 and calculating the ratio of VGlut1 and VGat positive punctae we found  
260 a markedly increased morphological excitation to inhibition (E/I) ratio of layer 2/3 neurons in  
261  $an/an$  mice ( $+/+ = 1.3 \pm 0.2$  vs.  $an/an = 2.4 \pm 0.2$ ,  $p = 0.003$ , TT; Fig 7D) as a result of a

262 decrease in inhibitory relative to excitatory (see also Fig 4K and L) synapses. This is roughly  
263 in line with the functional E/I ratios calculated by dividing excitatory and inhibitory charge  
264 transfers (+/+ =  $1.14 \pm 0.3$  vs.  $an/an = 1.6 \pm 0.2$ ,  $p = 0.056$ , MWU). The reduced inhibition (less  
265 mIPSCs and VGat positive terminals) is likely due to the decreased number of GABAergic  
266 synapses in the cortex, since neither inhibitory quantal amplitude nor IPSC decay kinetic was  
267 altered.

268 We reasoned that the reduced inhibitory influence observed in *an/an* neurons *ex-vivo*  
269 contributes to an elevated frequency of glutamatergic signals at the soma. If our hypothesis  
270 holds true, blocking GABAergic transmission should level out the differences in the number of  
271 excitatory events between the groups (i.e. particularly increase the frequency of glutamatergic  
272 signals in wild-types, similar to finding in *Cdk5rap2* mutants). We therefore applied the GABA<sub>A</sub>  
273 receptor blocker bicuculline (20  $\mu$ M) to block inhibition and the sodium channel blocker  
274 tetrodotoxin (1  $\mu$ M) preventing increased pyramidal action potential firing induced by bicuculline  
275 (Turrigiano et al., 1998) and putative spontaneous interneuronal action potentials. Indeed, this  
276 treatment increased the frequency of excitatory events in +/+ ( $3.9 \pm 0.4$  to  $5.5 \pm 0.4$  events/s,  
277  $n = 24$ ,  $p = 0.02$ , ANOVA-RM-B in +/+) but not in *an/an* littermates at P6/7 ( $5.4 \pm 0.4$  to  $5.8 \pm$   
278  $0.4$  events/s,  $n = 22$ ,  $p = 1$ , ANOVA-RM-B in *an/an* neurons) (Fig 7E - G). Note that in a subset  
279 of experiments TTX alone did not change PSC frequencies in general ( $2.6 \pm 0.3$  vs.  $2.8 \pm 0.3$   
280 events/s,  $n = 17$ ,  $p = 1$ , ANOVA-RM-B) or in on of the genotypes ( $1.8 \pm 0.2$  vs.  $1.9 \pm 0.3$   
281 events/s,  $n = 9$  in +/+, and  $3.6 \pm 0.4$  vs.  $3.8 \pm 0.4$  events/s,  $n = 8$  in *an/an*,  $p = 1$ , ANOVA-RM-  
282 B, respectively). This also renders a contribution of increased presynaptic firing to the elevated  
283 sEPSC frequencies in *an/an* mice (see 2.3) unlikely. We cannot, however, rule out that even in  
284 the electrotonic compact neurons at P6-7 the increase in sEPSC frequency is caused by a  
285 redistribution of the same number of excitatory inputs causing more EPSCs to be detected  
286 somatically. Initial frequencies of sEPSC before blocking of GABA<sub>A</sub> receptors were again  
287 increased in *an/an* compared to wild-type neurons (Fig 7F;  $p = 0.04$ , ANOVA-RM-B). Notably,  
288 the excitatory charge transfers ( $Q_{T+/+} = 151.0 \pm 27.6$  fC,  $n = 24$  vs.  $Q_{Tan/an} = 115.2 \pm 16.3$  fC,  $n$   
289  $= 22$ ,  $p = 0.3$ , ANOVA-RM-B) including mEPSC frequencies ( $p = 0.6$ ), quantal mEPSC  
290 amplitudes ( $I_{+/+} = 6.7 \pm 0.9$  pA,  $n = 24$  vs.  $I_{an/an} = 5.4 \pm 0.8$  pA,  $n = 22$ ,  $p = 0.5$ ) and mEPSC  
291 kinetics (decay:  $\tau_{+/+} = 4.8 \pm 0.3$  ms,  $n = 24$  vs.  $\tau_{an/an} = 4.2 \pm 0.2$  ms,  $n = 22$ ,  $p = 0.2$ , all ANOVA-

292 RM-B) did not differ between neurons from *+/+* and *an/an* animals after blocking inhibition.  
293 Neuronal membrane capacitance ( $C_{+/+} = 70.8 \pm 4.0$  pF vs.  $C_{an/an} = 84.8 \pm 9.8$  pF,  $p < 0.09$ ,  
294 MWU) and amplitude density ( $I/C_{+/+} = 0.088 \pm 0.010$  pA/pF,  $n = 24$  vs.  $I/C_{an/an} = 0.065 \pm 0.010$   
295 pA,  $n = 22$ ,  $p = 0.51$ , ANOVA-RM-B) were comparable in *+/+* and *an/an* neurons. Together  
296 these results suggest that the elevated excitatory drive at the soma detected in *an/an* mice is  
297 related to reduced inhibitory GABA<sub>A</sub> mediated transmission.

298

### 299 **3. Discussion**

300 Reduced brain size in patients with MCPH has been attributed to an abnormal proliferation of  
301 neural progenitors. Here we show that *Cdk5rap2* also regulates the differentiation of neocortical  
302 neurons and the establishment of the neocortical circuit.

303 We demonstrate that the profound developmental reduction in brain size, cortical  
304 thickness and neuron numbers in the *an/an* mouse model of MCPH3 (Lizarraga et al., 2010)  
305 persists into adulthood (Fig 1). Brain size in itself does not necessarily imply dysfunctionality.  
306 Therefore, we investigated whether the malfunction of microcephaly-associated protein  
307 *Cdk5rap2* results in additional cellular and functional defects that contribute to the neurologic  
308 phenotype. Perhaps our most striking finding is that the *Cdk5rap2* mutation impacts the  
309 establishment and function of neocortical circuits, whilst pyramidal cell fate specification and  
310 cortical layering remain grossly unaltered (Fig 2). In particular, *an/an* mice are distinguished by  
311 an enhanced excitatory drive of neocortical pyramidal neurons during synaptogenesis and in  
312 adulthood. We performed multiple experiments to further dissect the underlying mechanism: **1)**  
313 On the *in vitro* level of individual isolated neurons neither morphological nor physiological  
314 differences between neocortical neurons of *an/an* mice and their wild-type litters were present  
315 (Fig 5 and 6). This finding suggests a dysfunction developing network (including astrocytes)  
316 rather than mere cell autonomous mechanisms. **2)** In *ex-vivo* slices from adult *an/an* mice we  
317 found simplified dendritic arbors with increased thin “immature” spines to be accompanied by  
318 an increase in both intrinsic excitability and (extrinsic) excitatory drive on single layer 2/3  
319 pyramidal neurons. **3)** To address the question whether the increase in excitatory drive is a  
320 secondary effect, i.e., results from increased intrinsic excitability, we investigated layer 2/3  
321 neurons at an early stage of synaptogenesis. The overall increased excitatory drive in the

322 mutants seems of primary synaptic origin, since the increased excitatory drive was not  
323 accompanied by a change in intrinsic excitability (Fig 4). The increased excitatory drive was  
324 accompanied by reduced inhibition (Fig 6). 4) In line with the electrophysiological data, we  
325 detected an increase overall number of excitatory synapses and a reduced number of inhibitory  
326 synapses in the cortex (Fig 4 and 6). This imbalance in the number of excitatory to inhibitory  
327 synapses on a morphologic level in the cortex, however, does not specifically pinpoint the  
328 finding to pyramidal neurons. 5) Addressing the role of inhibition in the pathomechanism of  
329 MCPH, we found that blocking of GABAergic inhibition levels the excitatory drive of pyramidal  
330 neurons of *an/an* and wild-type littermates (Fig 7), arguing that the increased excitatory drive  
331 is related to a primary lack of inhibition. We cannot exclude a contribution of astrocytic  
332 malfunction, although at P6-7 no obvious morphological differences were detected (Fig S4).  
333 Altogether, we conclude that the increase in excitability is due to a shift in the balance of  
334 excitation and inhibition and that the predominant effect of *Cdk5Rap2* mutation on the network  
335 level is loss of GABAergic tone onto pyramidal neurons.

336         Reduced inhibition might result either from a decrease in interneuron number or from  
337 a failure of interneurons to integrate into functional networks for instances by an impaired ability  
338 to form functional inhibitory synapses or both. We favor decreased inhibitory synapse formation  
339 as primary cause at early stages (Fig 6) putatively resulting in reduced interneuron density at  
340 later stages (Fig S3). Inhibitory inputs originate from interneurons that play an important  
341 regulatory role in brain development (Cossart, 2011) and migrate tangentially from the  
342 ganglionic eminence into the cortical plate to integrate into local circuits (Guo and Anton, 2014).  
343 Concurrently, *Cdk5rap2* is highly expressed in the neocortex during neurogenesis and  
344 neuronal differentiation (Issa et al., 2013a), but present at lower levels during synaptogenesis  
345 that completes around P21. Loss of *Cdk5rap2* prematurely shifts symmetric to asymmetric cell  
346 division leading to the earlier generation of postmitotic neurons (Buchman et al., 2010). This  
347 might impair the proper integration of interneurons into neocortical circuits on a spatio-temporal  
348 level and lead to increased interneuron death (Tuncdemir et al., 2016). Our results pointing to  
349 an impaired inhibition fit to the actual view on the importance of interneurons during neocortical  
350 development. Interneurons are critical for the integration and transmission of incoming synaptic  
351 inputs that drive maturation (Kilb, 2012), since functional GABAergic connections regulate

352 network connectivity and excitation of pyramidal neurons (Isaacson and Scanziani, 2011). At  
353 early stages of cortical development, i.e. when neurons assume their positions and begin to  
354 mature, GABAergic inputs are required for the proper development of dendritic arbors and  
355 excitatory synaptic inputs (Maric et al., 2001; Wang and Kriegstein, 2009; 2008). Aberrant  
356 synaptic connections may lead to excessive dendritic pruning contributing to the observed  
357 simplified dendritic arbor. Thus, our findings of reduced dendritic complexity and reduced  
358 inhibition are in line with these previous reports.

359         Maturation of inhibitory synaptic contacts on the soma of somatosensory neurons, in  
360 turn, depends on proper circuit function because it is impaired upon deficits in early activity or  
361 sensory experience (Chattopadhyaya et al., 2004; Jiao et al., 2006; Xue et al., 2014). Notably,  
362 in a previous study on adult neocortical layer 2/3 pyramidal neurons (Schuster et al., 2015) we  
363 did not detect an increase in EPSC frequency after blocking inhibition. This supports the view  
364 that during early synaptogenesis in (wild-type) mice GABA release results in more ambient  
365 GABA and suggest that this developmental regulation is impaired in *an/an* mice. Since cortical  
366 processing relies on the fine-tuned interplay between excitation and inhibition, healthy brains  
367 exhibit a tight control of E/I ratio in all cortical areas even after perturbations (House et al.,  
368 2011; Xue et al., 2014). This control is usually ensured by several regulators of E/I adjustment  
369 (House et al., 2011; Lin et al., 2008; Rico and Marín, 2011; Xue et al., 2014). Our results in  
370 *Cdk5rap2* mutants argue for an impaired E/I set point regulation as putatively caused by  
371 improper expression or targeting of molecules that control synaptic specificity. In line, our  
372 results of reduced number of inhibitory contacts while excitatory synapses and EPSC frequency  
373 was increased in *an/an* are mimicked when PSD95 amount was increased experimentally  
374 (Prange et al., 2004). Alternatively, inhibitory synaptogenesis might be regulated without E/I  
375 balance compensation as shown for *Npas4* (Lin et al., 2008).

376         Transient networks involve specific types of interneurons in deep and superficial layers  
377 of the neocortex (Lim et al., 2018) and the 2 most prevalent early born interneuron populations  
378 are SST<sup>+</sup> and PV<sup>+</sup>, both generated in the MGE (Rudy et al., 2011). Since PV<sup>+</sup> neurons largely  
379 synapse on the soma of pyramidal neurons (Buhl et al., 1994) and are easy to recruit (Lazarus  
380 and Huang, 2011) they might represent a major source of early mIPSCs (Soltesz et al., 1995).  
381 PV<sup>+</sup> neurons that do not fulfill their inhibitory role might contribute to cognitive deficits (Marín,

382 2012) due to perturbed perisomatic and axo-axonic inhibition. This might lead to impaired  
383 oscillatory activity in the  $\gamma$ -frequency range (30 - 80 Hz, (Draguhn and Buzsáki, 2004)),  
384 disturbed perisomatic feed-forward inhibition and therewith-reduced temporal precision of  
385 signal transduction in pyramidal neurons (Pouille and Scanziani, 2001) and misguided activity  
386 flow in local circuits (Xiang et al., 1998). However, synapses of PV<sup>+</sup> neurons (on pyramidal  
387 neurons and between PV<sup>+</sup>) appear only at the end of the first postnatal week (Pangratz-Fuehrer  
388 and Hestrin, 2011) and might therefore contribute marginally to the observed effects. For the  
389 GABAergic synapses that are present before that (Luhmann and Prince, 1991), SST<sup>+</sup> provide  
390 a putative source (Takesian and Hensch, 2013). The loss or disturbance of SST<sup>+</sup> may cause  
391 dysfunctional early transient networks and therewith impair the maturation of other interneurons  
392 as PV<sup>+</sup> basket cells (Tuncdemir et al., 2016). It is also conceivable that a SST<sup>+</sup> neuronal loss /  
393 dysfunction would lead to disturbed oscillatory activity in the  $\beta$ -frequency range (15 - 30 Hz,  
394 (Draguhn and Buzsáki, 2004)).

395         Regardless of the cellular source, GABA reduction in the extracellular space might  
396 influence neuronal development by impairing: a) neuronal migration in the embryonic cortex  
397 (López Bendito et al., 2003), b) settlement of interneurons in the cortical plate (Bortone and  
398 Polleux, 2009), c) formation of inhibitory synapses (Oh et al., 2016) therewith setting the  
399 balance between inhibitory and excitatory synapses in early postnatal stages as foundation of  
400 later circuit development (Flores et al., 2015) and the neurogenesis of pyramidal neurons (Silva  
401 et al., 2018).

402         Interpreting the functional role of increased excitatory synapses is not that  
403 straightforward, given the lack of differences in excitatory drive after blocking inhibition (Fig 7).  
404 If surplus excitatory synapses are on pyramidal neurons, they might be immature and silent. If  
405 they are on interneurons, they might represent an insufficient homeostatic mechanism to  
406 increase inhibitory drive. In general, PV<sup>+</sup> neurons receive strong excitatory input from pyramidal  
407 neurons across and within layers and excitatory synapse number is modulated during  
408 development (Chung et al., 2017). This modulation might influence the maturation of working  
409 memory function since PV<sup>+</sup> neurons are key intermediates in a disinhibitory circuit motif for  
410 associative learning (Kepecs and Fishell, 2014).

411



412 Together, our results indicate that *Cdk5rap2* influences E/I balance, dendrite  
413 arborization and spine morphogenesis in layer 2/3 neocortical pyramidal neurons further  
414 highlighting a connection between centrosomal biology and dendritic morphogenesis. These  
415 data are in line with the clinical finding that some MCPH patients suffer from hyperactivity and  
416 seizures. Since small dysregulations in E/I balance of cortical circuits, in particular due to  
417 developmental disruptions of interneuron integration (Bartolini et al., 2013), can have dramatic  
418 effects on entire cortical integration associated with the pathophysiology of neuropsychiatric  
419 (eminently in developmental) diseases (Nelson and Valakh, 2015), further studies in humans  
420 are warranted to analyze the significance of these findings for individuals with MCPH gene  
421 mutations.

422

## 423 **4. Material and methods**

### 424 **4.1. Mice**

425 All mouse experiments were carried out in accordance to state of Berlin rules (registration no.  
426 T0309/09). *Cdk5rap2* mutant or *Hertwig's anemia* mice (*an/an*) carrying an inversion of exon 4  
427 (leading to exon skipping; (Lizarraga et al., 2010)) were generated by crossing heterozygous  
428 (*+an*) mice (*C57BL/6* background; Jackson lab, stock no. 002306). Only 9.5% of the offspring  
429 carried a homozygous mutant genotype (*an/an*) at birth due to *in utero* lethality (Zaqout et al.,  
430 2017a). Most mutants die around postnatal day (P)7. Rare *an/an* mice surviving longer were  
431 also used for morphological studies (n = 7) and electrophysiological recordings (n = 5; P30 -  
432 80). Neurons were regarded as mature (Z.-W. Zhang, 2004) and none of the parameters was  
433 correlated to age (table S2). The breeding was performed during the day, the day of birth was  
434 designated as P0. Genotyping was confirmed by PCR primers for (*+/+*) F 5'-TC ACT GAG CTG  
435 AAG AAG GAG AA-3', R 5'-TGT CTT TCT GCC CTG ACA GT-3' and (*an/an*) F 5'-GC AAT  
436 CAC TAA AAT GTC CGA TT-3', R 5'-TGT CTT TCT GCC CTG ACA GT-3'

437

### 438 **4.2. Nissl staining and neocortical dimension analysis**

439 After dissection, brains were fixed in 4% PFA for overnight, dehydrated in an ethanol series  
440 (50, 70, 85, 90, 100%), cleaned with xylene, and embedded in paraffin. 10 µm sections were  
441 cut on a microtome and collected on Superfrost plus slides®. De-paraffinized coronal brain

442 sections at the level of corpus callosum and anterior commissure were incubated in 1% cresyl  
443 violet (C5042, Sigma-Aldrich, USA) in acetate-buffered solution (pH 4.5) for 5 minutes. At the  
444 level of corpus callosum and anterior commissure, parietal cortical thickness was measured  
445 perpendicularly to pial surface, and neocortical area was estimated using ImageJ software.

446

### 447 **4.3. Golgi staining, dendritic complexity, and spine analysis**

448 Golgi-Cox impregnation of adult brain samples was performed as described (Zaqout and  
449 Kaindl, 2016). Briefly, brains were immersed in the impregnation solution in darkness at room  
450 temperature (RT) for 2 weeks, and transferred into tissue-protectant solution at 4 °C for 4 days.  
451 Brains were cut into 200 µm sections for dendritic complexity analysis and 100 µm sections for  
452 dendritic spine analysis as described previously (Schuster et al., 2015). Sections were collected  
453 on gelatin-coated slides, left to dry for two days, developed, dehydrated through ethanol series,  
454 cleared in xylol solution, and mounted in Eukitt (quick-252 hardening mounting medium; 03989,  
455 Fluka analytical, Germany). For dendritic complexity assessment, Sholl analysis (SHOLL,  
456 1953) was performed for layer 2/3 pyramidal neurons of matched *+/+* and *an/an* somatosensory  
457 neocortical regions. The total intersection number of the dendritic tree with 30 10-µm spaced  
458 concentric circles were counted with cell counter plug-ins in ImageJ. Neurite tracer plug-in in  
459 Fiji/ImageJ was used to draw representative neurons. The number of spines was counted in  
460 20 µm long segments of secondary basal dendrites using ImageJ. Spines were classified to  
461 one of three morphological subtypes: mushroom (short neck, large bulbous end), stubby (no  
462 neck) and thin-shaped (long neck).

463

### 464 **4.4 Immunohistology and immunocytology**

465 Paraffin sections were deparaffinized, exposed to heat-mediated antigen retrieval citrate-based  
466 solution (pH 6.0; H-3300, Vector Laboratories, USA), blocked for 1 hour with 10% donkey or  
467 goat normal serum at RT, and incubated overnight with the primary antibody at RT followed by  
468 an incubation with the corresponding secondary antibodies for 2 hours at RT. The following  
469 primary antibodies were used at specified dilutions: rabbit anti-Cux1 (1:200; Santa Cruz  
470 Biotechnology, Heidelberg, Germany, sc-13024 (Issa et al., 2013a)), rat anti-Ctip2 (1:250;  
471 Abcam, Cambridge, UK, ab18465 (Issa et al., 2013a)), mouse anti-GABA (GABA, 1:100;

472 Chemicon, Temecula CA, MAB316 (Uematsu et al., 2008)), rabbit anti-NeuN (1:200; Merck-  
473 Millipore, Germany, ABN78 (Issa et al., 2013a)), guinea pig anti-vesicular glutamate transporter  
474 1 (VGlut1, 1:500; Merck-Millipore, Germany, AB5905 (Mitchell et al., 2012)), rabbit anti-  
475 vesicular GABA transporter (VGat, 1:500; Merck-Millipore, Germany, AB5062P (Mitchell et al.,  
476 2012)), and rabbit anti-post synaptic density 95 (PSD95, 1:200; Synaptic System, Göttingen,  
477 Germany, 124-002 (Schuster et al., 2015)). Secondary antibodies were used at 1:400 dilution:  
478 donkey Cy3-conjugated anti-rabbit and anti-mouse IgG (Jackson ImmunoResearch, Suffolk,  
479 UK), donkey Alexa Fluor® 488 conjugate anti-rat, and anti-guinea pig IgG (Invitrogen,  
480 Darmstadt, Germany). Nuclei were stained with 40,6-diamidino-2-phenylindole (DAPI, 1:1000,  
481 Sigma-Aldrich, USA). Neuronal cultures were prepared from cortices of P0 – P2 +/+ and *an/an*  
482 mice and stained with vesicular glutamate transporter 1 (VGlut1; excitatory presynaptic marker;  
483 green) or vesicular GABA transporter (VGat; inhibitory presynaptic marker; red). Staining of  
484 microtubule network with microtubule-associated protein Map2a (white) was used to display  
485 soma and dendritic tree of individual neurons and count the number of cells per view field.  
486 Cultures were fixed at DIV 14–16 with 4% PFA for 10 min and permeabilized with 1xPBS +  
487 0.1% Tween 20 (PBS-T). Cells were blocked with PBS-T containing 5% donkey serum for 1 h,  
488 before applying the primary antibody overnight at 4°C (anti-Map2a, Millipore, anti-VGlut1 and  
489 anti-VGat, Synaptic Systems). The secondary fluorophore-conjugated antibody was incubated  
490 for 1 h at RT. All antibodies used in this paper are well established and were previously applied  
491 by others and us on mouse tissue. In addition, negative control staining experiments including  
492 the application of the secondary antibody only have been performed (Fig S1).

493

#### 494 **4.5 Electrophysiology on ex-vivo brain slices**

495 Slices of mouse brains (P6/7 and adult) were used for *ex-vivo* recordings. Mice were  
496 decapitated, brains removed, and transferred to ice-cold artificial cerebrospinal fluid (ACSF)  
497 containing 85 mM NaCl, 26 mM NaHCO<sub>3</sub>, 2.5 mM KCl, 1 mM NaH<sub>2</sub>PO<sub>4</sub>, 0.5 mM CaCl<sub>2</sub>, 7 mM  
498 MgCl<sub>2</sub>, 50 mM sucrose, and 10 mM glucose (290-310 mOsm). Coronal slices (300 µm thick)  
499 containing somatosensory cortex were cut on a Leica VT1200S (Leica Microsystems,  
500 Germany). Slices recovered for 30 minutes at 34 °C and were kept at room temperature  
501 afterwards. Somatic whole-cell recordings were performed in a submerged recording chamber

502 perfused with ACSF containing 117 mM NaCl, 3.5 mM KCl, 1.25 mM NaH<sub>2</sub>PO<sub>4</sub>, 2 mM MgSO<sub>4</sub>  
503 or MgCl<sub>2</sub>, 26 mM NaHCO<sub>3</sub>, 10 mM glucose, and 2 mM CaCl<sub>2</sub>. All ACSF solutions were  
504 constantly gassed with 95% O<sub>2</sub>/5% CO<sub>2</sub>, osmolarity was between 290 and 305 mosmol/l, and  
505 experiments were performed at 32-34 °C. Pyramidal neurons were visually identified in layer  
506 2/3 using an upright microscope equipped with infrared differential interference contrast optics  
507 (Axioskop FS2; Zeiss or Olympus BX51, Germany). Whole-cell patch clamp recordings were  
508 conducted with pipettes (tip resistance 3-5 MΩ) filled with intracellular solution containing 120  
509 mM K-gluconate, 10 mM KCl, 10 mM Na-phosphocreatine, 1 mM MgCl<sub>2</sub>, 1 mM CaCl<sub>2</sub>, 11 mM  
510 EGTA, 10 mM HEPES, 2 mM Mg<sub>2+</sub>-ATP, 0.3 mM Tris-GTP (pH 7.25, 288 mOsm). Only  
511 neurons with resting potentials below –65 mV and spiking characteristics of pyramidal neurons  
512 were included in the analysis. Input resistance was calculated with a linear fit of the current  
513 clamp generated I-V plot in close vicinity of the resting potential. Intersection of the linear  
514 regression of the F-I relationship (estimated in the linear range) and abscissa roughly  
515 approximated the rheobase. Postsynaptic currents were recorded in voltage clamp at a holding  
516 potential of –60 mV. Under these conditions, most spontaneous postsynaptic events are  
517 mediated by activation of glutamatergic, AMPA receptor mediated currents (Schuster et al.,  
518 2015). Miniature postsynaptic currents (mPSCs) were recorded in the presence of 0.5-1 μM  
519 tetrodotoxin (TTX). mEPSCs were analyzed in presence of bicuculline (20 μM) while mIPSC  
520 were analyzed in presence of 10 μM CNQX and 25 μM D-AP5 and with KCl-based internal  
521 solutions (all Tocris Bioscience). Data from patch-clamp recordings were collected with an  
522 EPC-10 double amplifier (HEKA, Germany), digitized (10 kHz, after Bessel filtering at 2.9 kHz),  
523 and stored using PatchMaster software (HEKA). Series resistance (R<sub>s</sub>) was monitored  
524 throughout experiments; neurons were rejected if R<sub>s</sub> was > 20 MΩ or varied > ± 30%. No R<sub>s</sub>  
525 compensation was used. Liquid junction potentials were not corrected for. Synaptic events  
526 were detected offline using the Mini Analysis Program (Synaptosoft Inc., USA) and a threshold  
527 of 3.5 times noise (standard deviation of regions without manually detectable postsynaptic  
528 currents). All events were visually counterchecked. As integrated measure the total charge  
529 transfer was calculated from the equation:  $Q = f \times Q_{PSCs}$ , where  $f$  is the frequency (s<sup>-1</sup>), and  
530  $Q_{PSCs}$  is the average charge transfer for each PSC (Ataka and Gu, 2006). Paired EPSCs (50  
531 ms interval) were elicited by square pulse (100 μs) stimulation of the slice 50–100 μm lateral

532 of the recording electrode at the border between layer 2/3 and 4 with a concentric tungsten  
533 electrode (TM33CCINS, WPI, USA). All compounds used were purchased from Sigma-Aldrich,  
534 Germany unless stated otherwise.

535

#### 536 **4.6. Electrophysiology on dissociated cell cultures**

537 Autaptic and continental primary neuronal cultures from the cortices were prepared from  
538 newborn (P0-P2) mice, and neurons were plated on astrocyte feeder layer from cortices of non-  
539 mutated *C57/Bl6* mouse pups (P0-P2; prepared 2 weeks before plating the neurons) as  
540 previously described (Wu et al., 2015). Briefly, cortices were removed, enzymatically and  
541 mechanically dissociated. Neurons were cultured in Neurobasal-A media containing B-27  
542 Supplement, 10 IU/ml penicillin, 1 g/ml streptomycin, and 2 mM L-alanyl-L-glutamine. The  
543 seeding density for continental cultures was  $1.35 \times 10^4$  cells/cm<sup>2</sup>. Cultures with different  
544 genotypes were generated from siblings that were treated identically during culturing and  
545 incubated at 37 °C with 5% CO<sub>2</sub>. Whole cell voltage-clamp recordings from autaptic cortical  
546 excitatory neurons were obtained between *days in vitro* (DIV) 14-16 at RT. Recordings and  
547 analysis of data were done as previously described (Wu et al., 2015). Extracellular solution  
548 contained in mM: 140 NaCl, 2.4 KCl, 10 HEPES, 10 glucose, 2 CaCl<sub>2</sub>, and 4 MgCl<sub>2</sub>. The pipette  
549 internal solution contained in mM: 136 KCl, 17.8 HEPES, 1 EGTA, 4.6 MgCl<sub>2</sub>, 4 ATP-Na<sub>2</sub>, 0.3  
550 GTP-Na<sub>2</sub>, 12 creatine phosphate, and 50 U/ml phosphocreatine kinase. Both extracellular and  
551 internal solutions were adjusted to pH 7.4 and osmolarity of ~300 mOsm. Borosilicate glass  
552 pipettes had a resistance of 3–4 MΩ. Recordings were performed with a MultiClamp 700B  
553 amplifier, and data were acquired with Clampex 10.0 (Molecular Devices). To verify  
554 glutamatergic responses in autaptic cultures, 3 mM kynurenic acid was applied to the  
555 extracellular solution. Sucrose solution (500 mM added to external solution) was applied for 5  
556 s to assess the size of the readily releasable pool (RRP; (Rosenmund and Stevens, 1996)).  
557 Evoked EPSCs were recorded after somatic depolarization from –70 to 0 mV for 2 ms.  
558 Vesicular release probability (*P<sub>vr</sub>*) was determined by calculating the EPSC charge divided by  
559 the RRP charge of individual neurons. Spontaneous release was determined as mEPSC and  
560 was recorded for 60 s at –70 mV. To subtract background noise, recording was performed with  
561 the application of 3 mM kynurenic acid for the same duration. Traces were filtered at 1 kHz,

562 and events were detected by using a template-based algorithm in AxoGraphX. Data was  
563 collected from two independent cultures.

564

#### 565 **4.7. Imaging**

566 Brightfield images of Nissl-stained brain sections and Golgi-stained dendritic spines were taken  
567 by Olympus BX60 microscope equipped with an AxioCam MRc Zeiss camera and Axiovision  
568 4.8 software (Zeiss, Göttingen, Germany). For studying dendritic arborization, 1- $\mu$ m-spaced Z-  
569 stack brightfield images were taken by an Olympus IX81 microscope equipped with an F View  
570 II (sw) camera (Soft Imaging System GmbH, Münster, Germany). Fluorescent images of layer  
571 markers were taken by Olympus BX51 microscope by an Intas camera and Magnafire 2.1B  
572 software (Olympus, Hamburg, Germany). Fluorescent images of synaptic markers were taken  
573 by an lsm5exciter Zeiss confocal microscope with the software Zen (version 2009, Zeiss, Jena,  
574 Germany). Fluorescent images of dissociated cell culture were taken with an Olympus IX81  
575 epifluorescent microscope. All images were processed using Adobe Photoshop CS6 version  
576 13.0x64 and Fiji/ImageJ software.

577

#### 578 **4.8. Statistical analysis**

579 For *in-vivo* parietal cortical thickness, neocortical area, cortical layers, Scholl analysis, and  
580 spine density statistics, two-tailed Student's t-tests (TT) were applied. Statistics of *ex-vivo* brain  
581 slices electrophysiology were performed using Origin8.5 (OriginLab, USA). For normally  
582 distributed datasets (Shapiro-Wilk test) TT was used. In the case of significant deviations from  
583 normal distribution ( $p \leq 0.05$ ) the non-parametric Mann-Whitney-U test (MWU) was used. The  
584 frequency of mEPSCs before and after application of bicuculline was analyzed with two way  
585 repeated measures ANOVA and *post hoc* Bonferroni multiple-comparison tests (ANOVA-RM-  
586 B). Data of dissociated cell cultures were first tested for a Gaussian distribution with D'Agostino  
587 and Pearson omnibus normality test. If data were normally distributed, one-way ANOVA  
588 followed by Bonferroni multiple-comparison tests were performed. Otherwise, nonparametric  
589 Kruskal-Wallis test followed by Dunn multiple comparison tests were used.

590

591

592 **Authors' contributions**

593 AMK, US, and CR were responsible for project conception. AMK, SZ, KB and US wrote the  
594 manuscript. SZ, KB, YJW, SO, US, LLB, MR and NK performed and analyzed the experiments.  
595 All authors read, revised, and approved the final manuscript.

596

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605

606 **Conflicts of Interest**

607 The authors declare that they have no competing interests.

608

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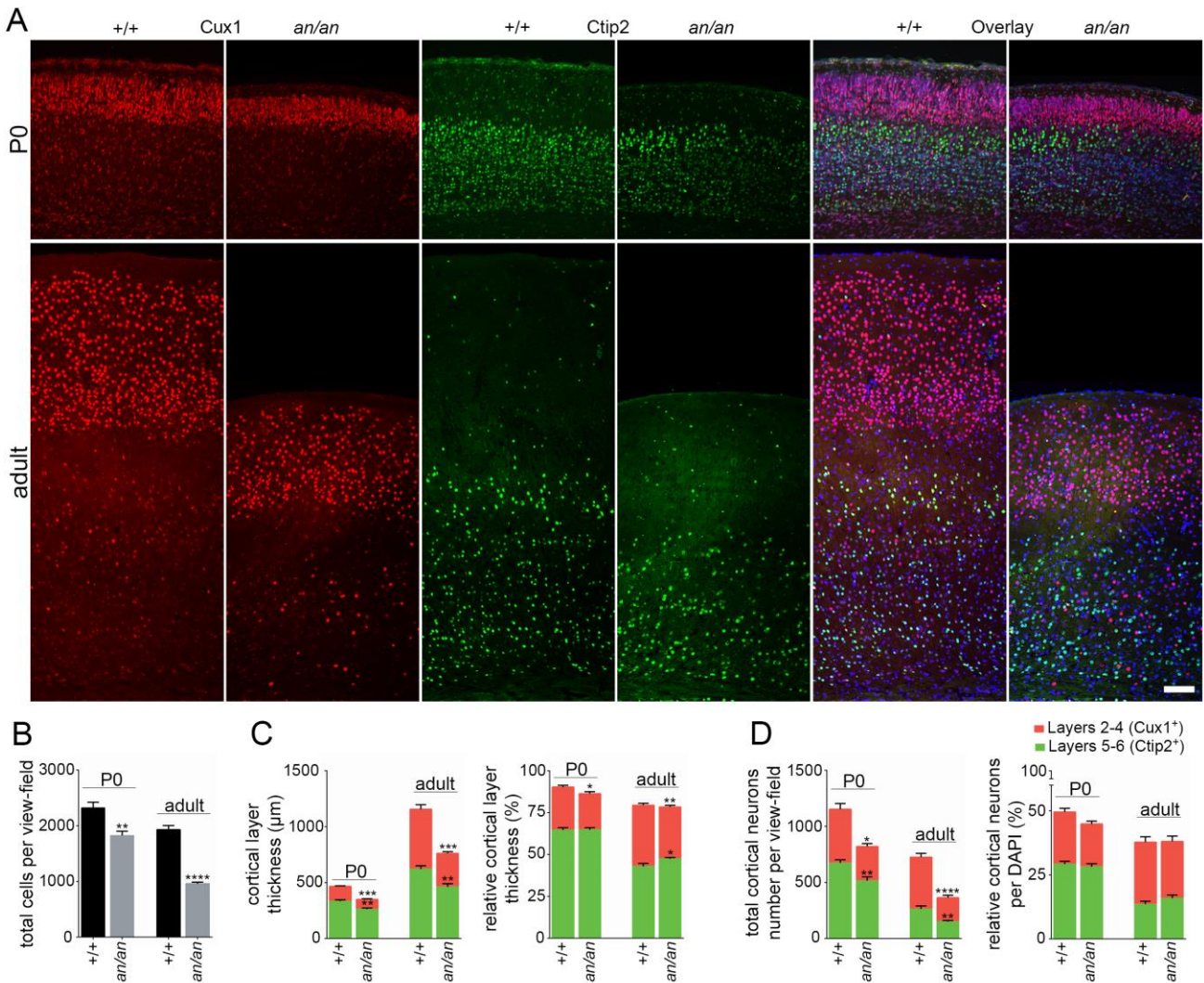
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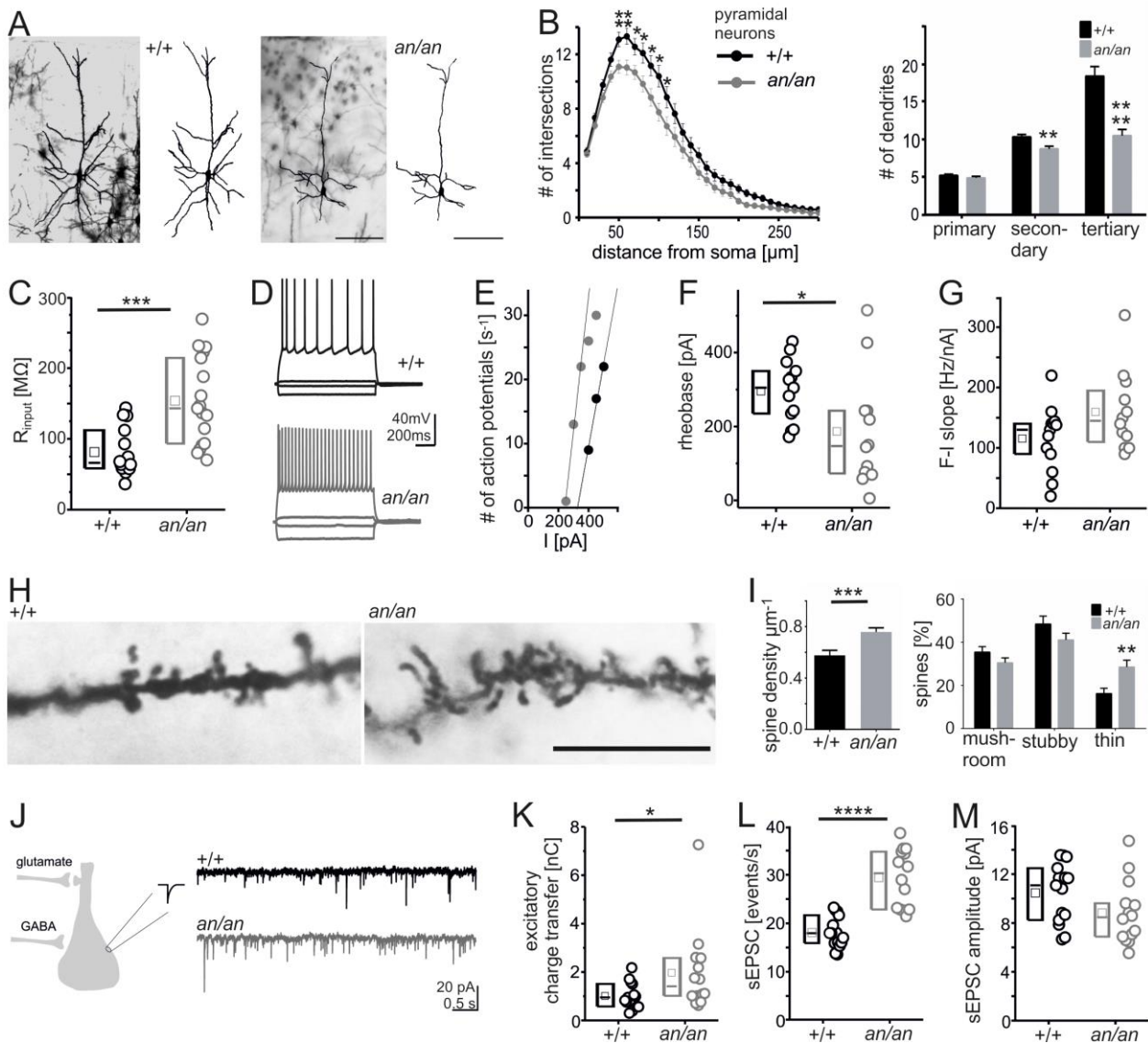
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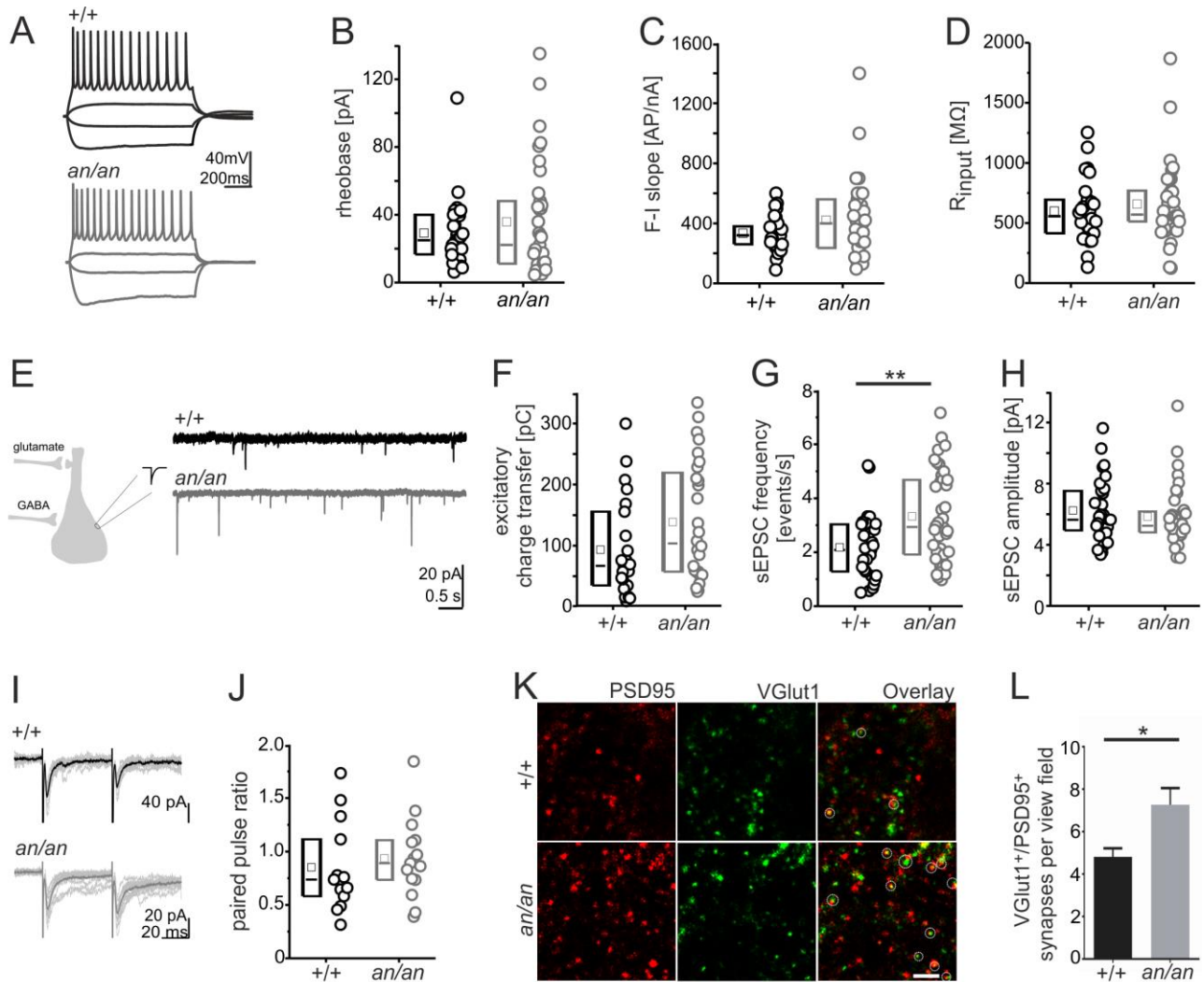
840 **Fig 2.** Preserved neocortical layer organization despite reduced thickness of upper cortical  
 841 layers in *an/an* mice. (A) Coronal brain sections of P0 and adult littermate animals stained for  
 842 upper layer marker Cux1 (layers 2-4) and deeper layer marker Ctip2 (layers 5-6)  
 843 (immunofluorescence images, scale bar 100 μm). (B) Reduction of total DAPI+ nuclei per view-  
 844 field in *an/an* mice. (C) While the Cux1+ upper layers and Ctip2+ deep layers are thinner, only  
 845 the relative thickness of upper layers with respect to the total cortical thickness mice was  
 846 reduced in *an/an* mice. The relative thickness of the deep layers with respect to the total cortical  
 847 thickness was similar. (D) Reduction of the Cux1+ and Ctip2+ cortical layer neurons per view-  
 848 field in *an/an* mice versus +/+ littermates without reduction in the relative number of both layers.  
 849 Throughout these graphs n = 7 animals/group and error bars indicate S.E.M., TT, p < 0.05, \*\*p  
 850 < 0.01, \*\*\*p < 0.001, \*\*\*\*p < 0.0001. For age as additional between subject factor see ANOVA  
 851 at table S1.



852

853 **Fig 3.** Distinctive morphological and physiological characteristics of layer 2/3 pyramidal  
 854 neurons from *an/an* mature neocortex. (A and B) Reduced dendritic complexity in  
 855 photomicrographs / reconstructed neurons (A, Golgi staining, scale bar 100  $\mu\text{m}$ ) as shown by  
 856 less dendritic intersections 50-110  $\mu\text{m}$  from the soma and a reduction of the numbers of  
 857 secondary and tertiary dendrites in *an/an* mice (B, Sholl analysis,  $n = 44$  *+/+* and 39 *an/an*  
 858 neurons from 6 *+/+* and 4 *an/an* animals). (C) Exemplary voltage responses to rectangular  
 859 current injections (-200,  $\pm 50$  and 400 pA) depicting firing behavior (C) and the relation of elicited  
 860 action potentials and the current injected (D). (E and F) Population data showing shifted  
 861 neuronal offset (E) and a trend towards an increased gain in *an/an* neurons (F). (G) Input  
 862 resistance of *an/an* neurons was increased. (H) Magnified image of secondary basal dendrites  
 863 (Golgi staining, scale bar 10  $\mu\text{m}$ ). (I) Average spine density was increased (left) with a larger

864 proportion of thin-shaped immature spines in *an/an* mice (right, n = 410 *+/+* and 373 *an/an*  
865 spines counted in 34 (*+/+*) and 30 (*an/an*) 20  $\mu$ m long dendritic segments from 5  
866 animals/group). (J) Scheme and example traces of sEPSCs recordings in neurons voltage  
867 clamped at -60mV. (K) Box plots showing an increased total excitatory charge transfer in *an/an*  
868 mice due to increased sEPSCs frequency (L). (M) Average amplitudes were not altered. Serial  
869 resistance ( $R_{s+/+} = 9.8 \pm 0.7 \text{ M}\Omega$  vs.  $R_{san/an} = 11.0 \pm 0.6 \text{ M}\Omega$ ,  $p = 0.1$ ; not shown) was  
870 comparable. Population data for E-G and K-M are from 15 *+/+* and 14 *an/an* neurons from 4  
871 animals / group; age: P30 – P80). Boxes in this and the following figures represent 25-75%  
872 plots, means and medians are depicted by an open square and a horizontal line, respectively.

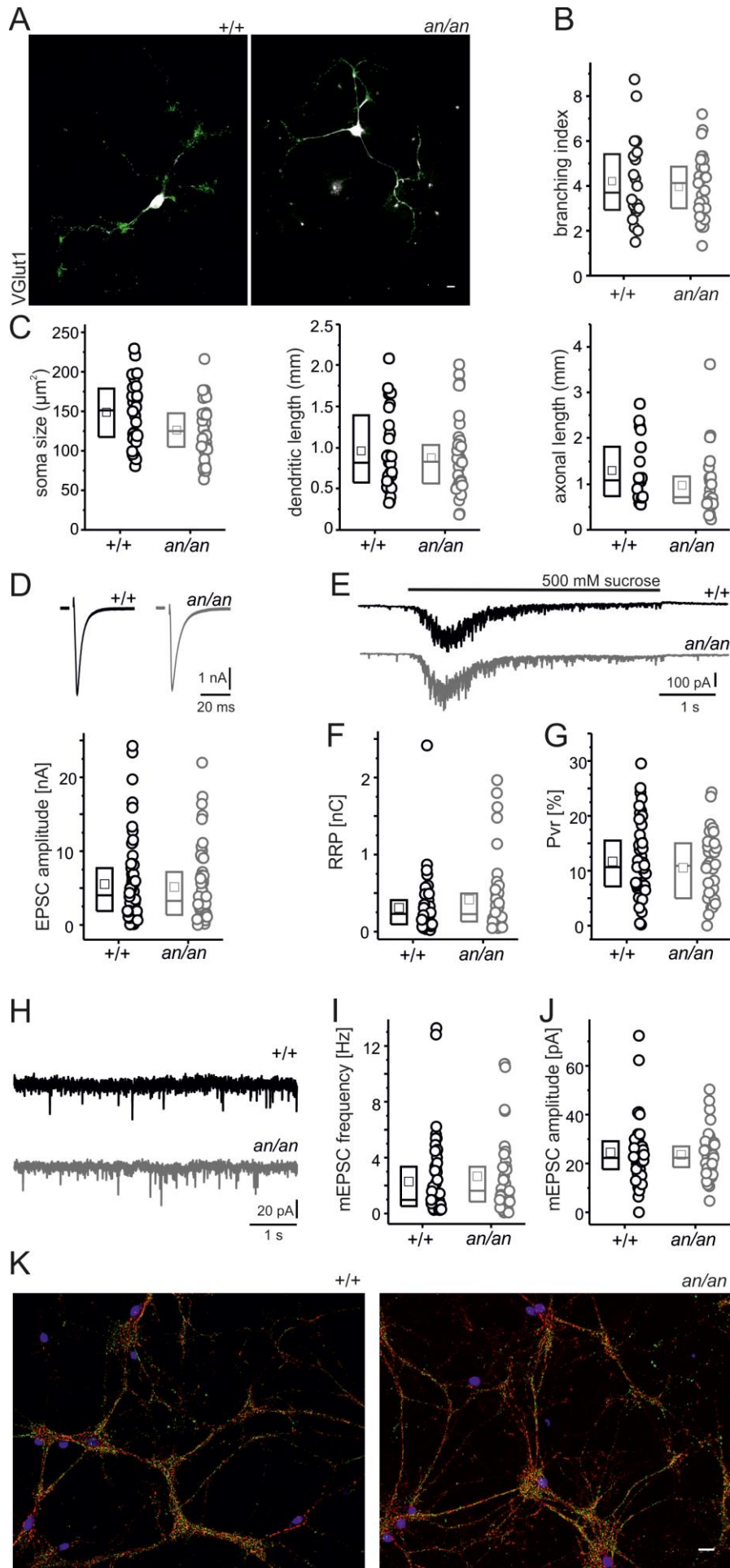


873

874 **Fig 4.** Increased excitatory synapse number and frequency of sEPSCs of layer 2/3 neocortical  
 875 pyramidal neurons at onset of synaptogenesis (P6/7). (A) Voltage changes of exemplary +/+  
 876 (top) and *an/an* (bottom) neurons to rectangular current injections of -100,  $\pm$  25 and 100 pA. (B  
 877 and C) Population data on neuronal offset (B) and gain (C) revealed no differences. (D) Input  
 878 resistance did not vary between +/+ and *an/an* neurons. (E) Scheme and example traces for  
 879 sEPSC recordings in neurons voltage clamped at -60mV. (F and G) Box plot graphs displaying  
 880 values from 29 +/+ and 38 *an/an* neurons from 4 animals/group, showing a trend towards  
 881 increased total excitatory charge transfer in *an/an* mice (F) and an increase in sEPSC  
 882 frequency in neurons from *an/an* mice (G). (H) Average amplitudes remained unchanged.  
 883 Serial resistance ( $R_{s+/+} = 9.1 \pm 0.5 \text{ M}\Omega$  vs.  $R_{s\text{an/an}} = 8.8 \pm 0.4 \text{ M}\Omega$ ,  $p = 0.57$ ; not shown) was  
 884 similar. (I) Synaptic responses evoked by electrical stimulation overlay of 10 individual traces  
 885 recorded with 20 sec intervals and a highlighted average trace. (J) population data of paired

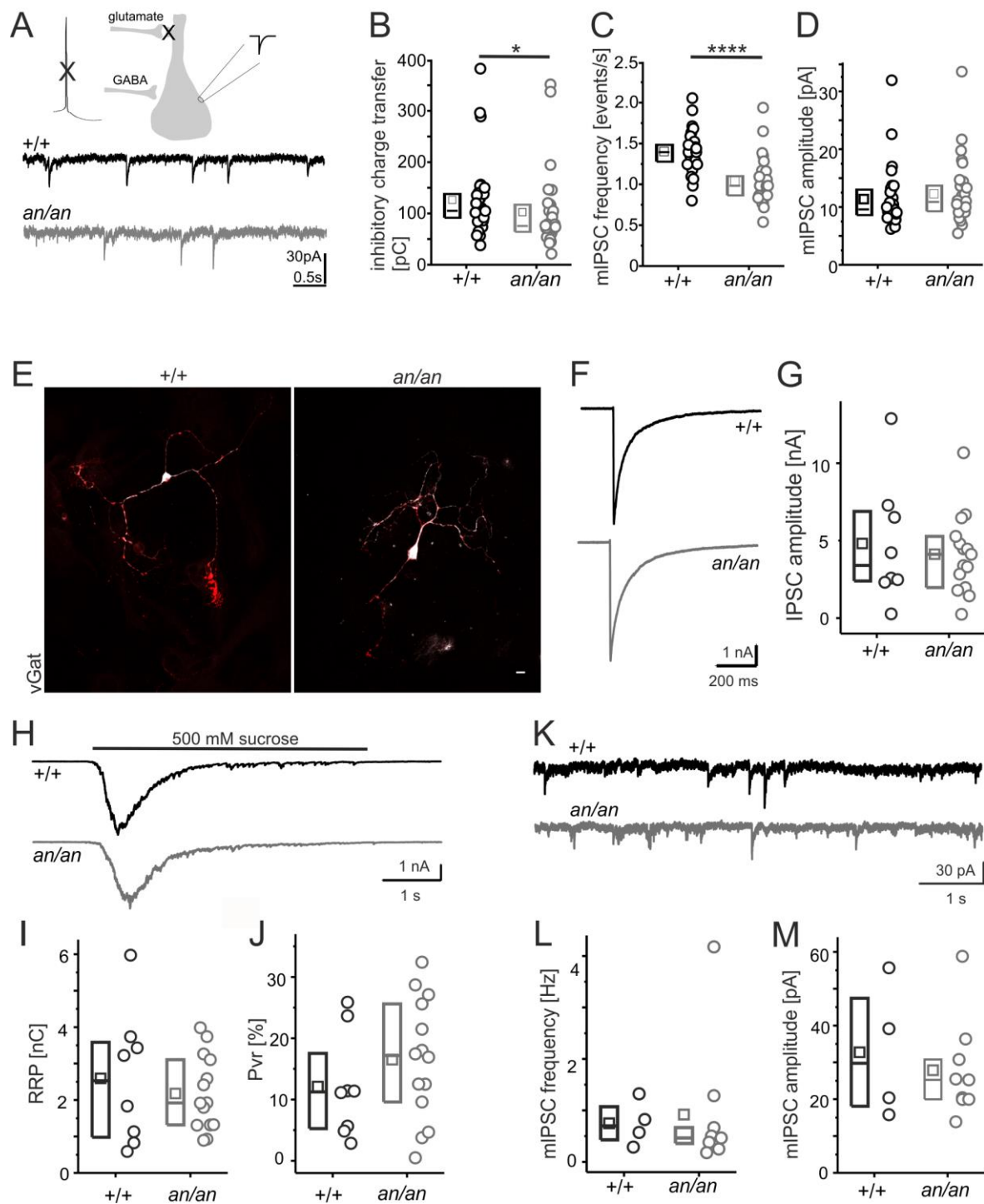


886 pulse ratio ( $PPR_{+/+} = 0.85 \pm 0.11$  vs.  $PPR_{an/an} = 0.93 \pm 0.09$ ,  $p = 0.6$ ). (K)  $100 \mu\text{m}^2$  images of  
887 the upper layer of the parietal cortex at upper layer areas from littermate animals stained for  
888 VGlut1 (excitatory-presynaptic) and PSD95 (postsynaptic) markers. Overlay depicts  
889 VGlut1/PSD95 positive synapses (dotted circles) (confocal images, scale bar  $2 \mu\text{m}$ ). (L) The  
890 number of VGlut1/PSD95 positive synapses at layer 2/3 areas is increased in *an/an* mice ( $+/+$   
891  $= 4.8 \pm 0.4$  vs.  $an/an = 7.3 \pm 0.8$ ;  $n = 18$  images from 4  $+/+$  animals and 28 images from 5 *an/an*  
892 animals).



894

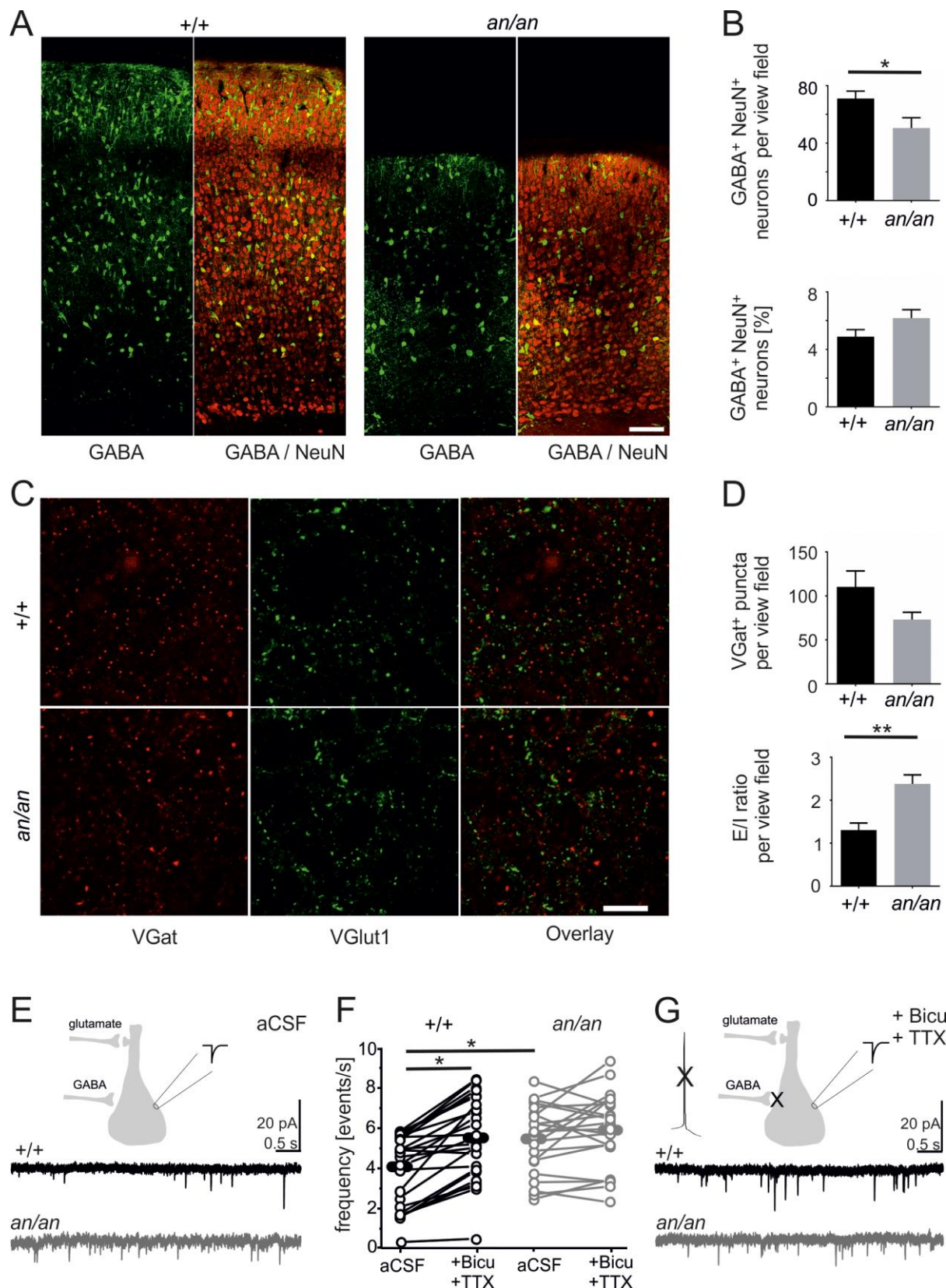
895 **Fig 5.** Neocortical pyramidal neurons derived from *an/an* and *+/+* mice display a comparable  
896 phenotype *in vitro*. (A) Photomicrographs of cultured neurons stained for neuronal cytoskeleton  
897 by microtubule associated protein (Map2 - white, all panels) and VGlut1 (green), scale bar: 10  
898  $\mu\text{m}$ . (B) Box plots of branching index estimated by the ratio of counts of dendritic tips / primary  
899 dendrites confirm a lack of alteration in branching patterns. (C) Box plots illustrating soma size,  
900 dendritic length and axonal length of autaptic cortical excitatory neurons. (D) Traces of  
901 excitatory postsynaptic currents (top) after a 2 ms depolarization (EPSC) and population data  
902 of EPSC amplitudes from 65 *+/+* (black) and 54 *an/an* (grey) autaptic cortical neurons. (E)  
903 Responses from *+/+* (black) and *an/an* (grey) cortical neurons during 500 mM sucrose  
904 application for 5 s. (F) Box plot of readily releasable pool (RRP) and (G) average vesicular  
905 release probability ( $P_{vr} = \text{EPSC charge} / \text{RRP charge}$ ) in autaptic *+/+* (51) and *an/an* (44)  
906 cortical excitatory neurons. (H) Traces of mEPSC from *+/+* (black) and *an/an* (grey) neurons.  
907 (I and J) Box plots of mEPSC frequencies (I) and mEPSC amplitudes (J, *+/+*: 47 neurons;  
908 *an/an*: 43 neurons). Data was collected from 2 independent cultures. (K) Examples of  
909 continental (high density) cultures stained for presynaptic markers VGlut (green), VGat (red)  
910 and the nuclear marker DAPI (blue), scale bar: 25  $\mu\text{m}$ .



911

912 **Fig 6.** Decreased inhibition at the soma of layer 2/3 neocortical ex-vivo pyramidal neurons in  
 913 P6/7 but not in cultured neurons from *an/an* mice. (A) Scheme and example of mIPSCs traces  
 914 recorded at -60 mV using equimolar Cl<sup>-</sup> and blocking excitatory postsynaptic currents with  
 915 CNQX and DAP-5 (n = 30 +/+ and 32 *an/an* neurons from 3 animals/group). (B and C) Box  
 916 plots showing a decreased total inhibitory charge transfer in *an/an* mice (B) due to reduced  
 917 mIPSCs frequency in *an/an* neurons (C). (D) Box plot graph depicting the mean mIPSC

918 amplitudes shows no alteration between neurons from +/+ and *an/an* mice. Note that the slight  
919 reduction in serial resistance of *an/an* cells ( $R_{s+/+} = 11.6 \pm 0.7 \text{ M}\Omega$  vs.  $R_{s\text{an}/\text{an}} = 9.3 \pm 0.6 \text{ M}\Omega$ ,  $p$   
920  $= 0.009$ ; not shown) might attenuate the difference in frequencies between the groups. (E)  
921 Photomicrographs of autaptic inhibitory neurons stained for Map2 (white) and VGat (red), scale  
922 bar: 10  $\mu\text{m}$ . (F) Traces of inhibitory postsynaptic currents evoked by a 2 ms depolarization  
923 (IPSC). (G) Population data of evoked IPSC amplitudes from +/+ (black) and *an/an* (grey)  
924 autaptic cortical neurons. (H) Responses from +/+ (black) and *an/an* (grey) cortical neurons  
925 during 5 s application of 500 mM sucrose. (I) Box plot of readily releasable pool (RRP) and (J)  
926 average vesicular release probability ( $P_{vr} = \text{IPSC charge} / \text{RRP charge}$ ) in autaptic +/+ and  
927 *an/an* neurons. (K) Traces of mIPSCs from +/+ (black) and *an/an* (grey) neurons. (L and M)  
928 Box plots of mIPSC frequencies (L) and mEPSC amplitudes (M). Data was collected from 2  
929 independent cultures.

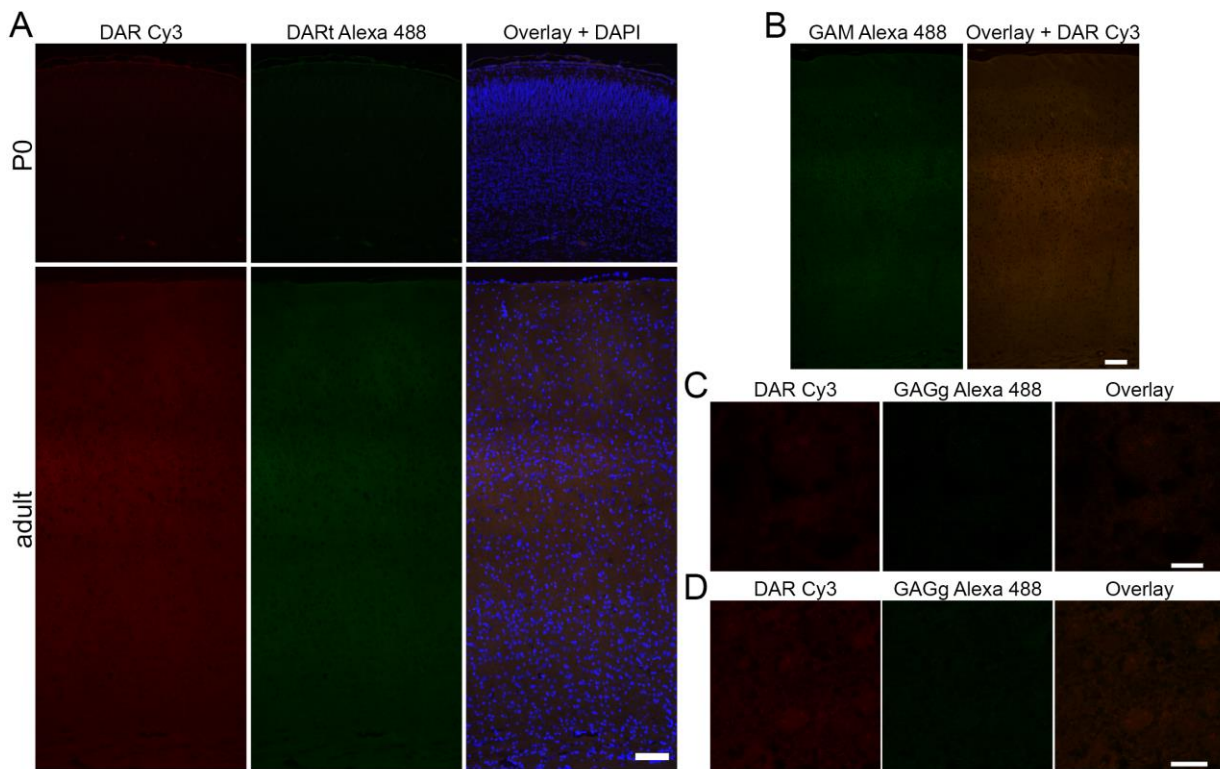


930

931 **Fig 7.** Loss of GABAergic input adjusts excitatory drive in neocortical layer 2/3 pyramidal  
 932 neurons of +/+ and *an/an* animals. (A) Coronal brain sections of P6-7 littermate animals stained  
 933 for the interneuron/astrocyte marker GABA (green) and the neuronal marker NeuN (red)

934 (immunofluorescence images, scale bar 100  $\mu\text{m}$ ). (B) The total number GABA<sup>+</sup> cells per view-  
935 field is reduced in *an/an* mice but the proportion of these cells in relation to total NeuN<sup>+</sup> neurons  
936 per view-field is comparable to *+/+* mice. Note that there is no overlap of GABA and GFAP (Fig  
937 S4), rendering an astrocytic contribution to GABA<sup>+</sup> cells unlikely. (C) Images of layer 2/3 areas  
938 (0.002 mm<sup>2</sup>) of parietal cortices from littermate animals stained for VGlut1 (excitatory-  
939 presynaptic) and VGat (inhibitory-presynaptic) markers (confocal images, scale bar 10  $\mu\text{m}$ ).  
940 (D) The trend towards reduced number of inhibitory synapses contributes to an increased E/I  
941 ratio at layer 2/3 areas in *an/an* mice n = 15 images from 6 *+/+* animals and 20 images from 6  
942 *an/an* animals). (E and G) Comparative example of mEPSCs recorded at -60 mV before (E)  
943 and after blocking spontaneous action potentials and GABA<sub>A</sub> receptors with tetrodotoxin and  
944 bicuculline (+Bicu +TTX) (G) in *+/+* (*black*) and *an/an* (*grey*) neurons. (F) Line series plots of  
945 PSC frequencies before (*aCSF*) and after blocking spontaneous action potentials and  
946 GABAergic transmission (+*Bicu* +*TTX*). In neurons from *+/+* mice the EPSC frequency  
947 increased, whereas EPSC frequency remained comparable in *an/an*. Note that this differential  
948 effect led to similar total excitatory charge transfer (not shown) and mEPSCs frequency. The  
949 average amplitude was similar in *+/+* and *an/an* pyramidal neurons (n = 30 *+/+* and 26 *an/an*  
950 neurons from 4 animals/group). The serial resistance was comparable ( $R_{s+/+} = 12.2 \pm 0.8 \text{ M}\Omega$   
951 vs.  $R_{san/an} = 11.5 \pm 0.8 \text{ M}\Omega$ , p = 0.8, MWU; not shown).

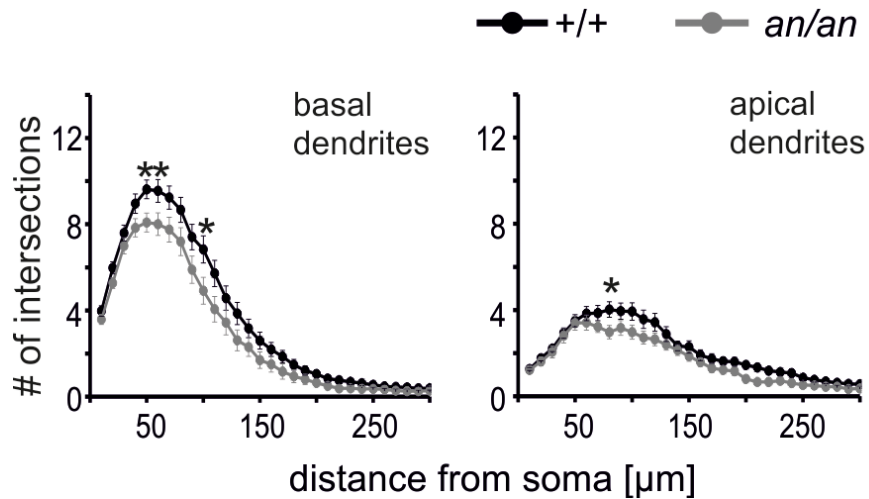
952 **Supplementary figures and figure legends**



953

954 **Fig S1.** Negative control staining for Cux1, Ctip2, GABA, NeuN, PSD95, VGlu1 and VGat and  
 955 antibodies used in this study. (A) Secondary antibody staining for donkey anti-rabbit IgG Cy3  
 956 and donkey anti-Rat IgG Alexa Fluor 488 used to detect Cux1 and Ctip2 in (Fig 2A) respectively  
 957 (immunofluorescence images, scale bar 100  $\mu$ m). (B) Secondary antibody staining for goat  
 958 anti-mouse IgG1 Alexa Fluor 488 and donkey anti-rabbit IgG Cy3 used to detect GABA and  
 959 NeuN in (Fig 7A) respectively (immunofluorescence images, scale bar 100  $\mu$ m). (C) Secondary  
 960 antibody staining for donkey anti-rabbit IgG Cy3 and goat anti-guinea pig IgG Alexa Fluor 488  
 961 used to detect PSD95 and VGlu1 in (Fig 4K) respectively (confocal images, scale bar 2  $\mu$ m).  
 962 Secondary antibody staining for donkey anti-rabbit IgG Cy3 and goat anti-guinea pig IgG Alexa  
 963 Fluor 488 used to detect VGat and VGlu1 in (Fig 7C) respectively (confocal images, scale bar  
 964 10  $\mu$ m).

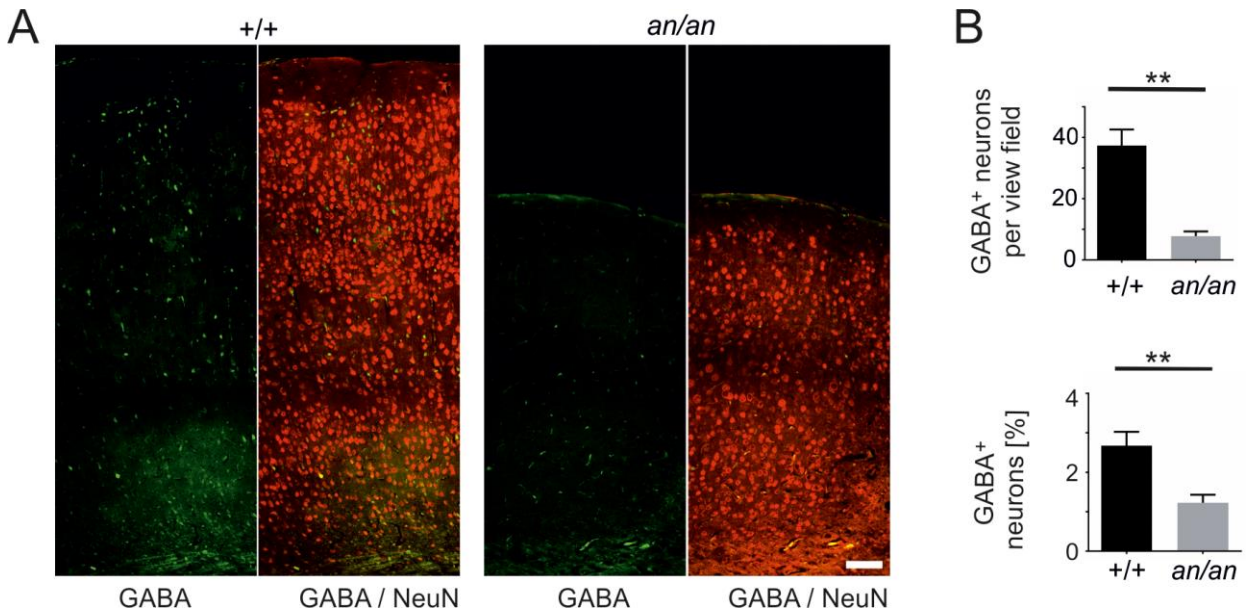




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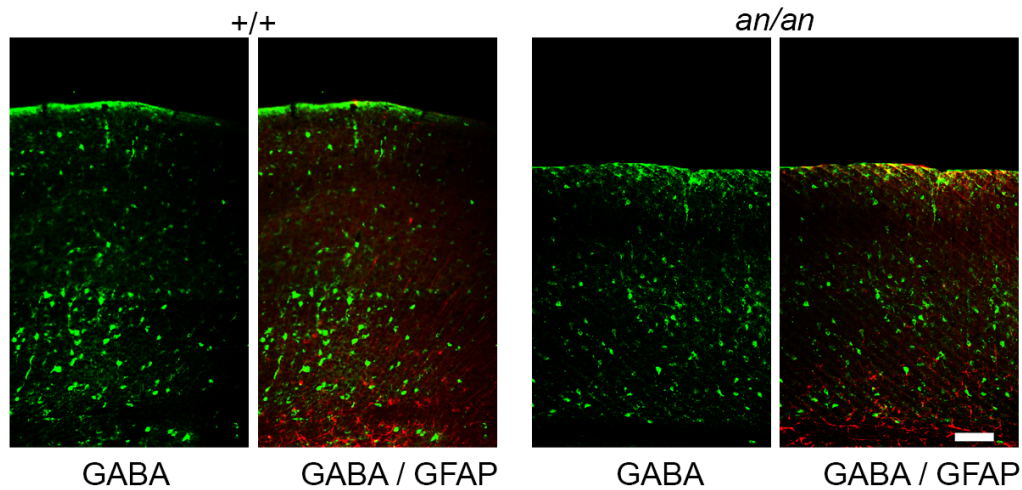
966 **Fig S2.** Reduced dendritic complexity of layer 2/3 pyramidal neurons from *an/an* mature  
 967 neocortex. Sholl analysis shows that less dendritic intersections 50 - 110 μm from the soma in  
 968 *an/an* mice (Fig 3B) is due to a reduction in apical and basal dendrites (n = 44 +/+ and 39 *an/an*  
 969 neurons from 6 +/+ and 4 *an/an* animals).

970



971

972 **Fig S3.** Loss of GABAergic input adjusts excitatory drive in neocortical layer 2/3 pyramidal  
 973 neurons of +/+ and *an/an* animals. (A) Coronal brain sections of adult littermate animals stained  
 974 for the interneuron marker GABA (GABA<sup>+</sup> green) and the neuronal marker NeuN (red)  
 975 (immunofluorescence images, scale bar 100 μm). (B) The total number of interneurons positive  
 976 for GABA per view-field and the proportion of these cells in relation to total NeuN<sup>+</sup> neurons per  
 977 view-field is reduced in *an/an* mice.



978

979 **Fig S4.** No gross difference in the astrocyte distribution between *+/+* and *an/an* animals.

980 Coronal brain sections of P6-7 littermate animals stained for the interneuron marker GABA

981 (GABA<sup>+</sup> green) and the radial glial / astrocyte marker GFAP (GFAP<sup>+</sup> red) (immunofluorescence

982 images, scale bar 100  $\mu$ m). At this developmental age, only very few GFAP<sup>+</sup> astrocytes were

983 detected in the cortex and there was no GFAP/GABA overlap.

984 **Supplementary tables**985 **Table S1.** Data means and two-way ANOVAs for Fig 1 and Fig 2.

Figure and graph	Analyzed data / marker	P0 (Mean $\pm$ SEM)		Adult (Mean $\pm$ SEM)		Two-way ANOVA		
		+/+	<i>an/an</i>	+/+	<i>an/an</i>	Interaction	Genotype	Age
<b>Fig 1B. left</b>	Neocortical area	3.9 $\pm$ 0.1 mm <sup>2</sup>	1.8 $\pm$ 0.2 mm <sup>2</sup>	29.4 $\pm$ 1.0 mm <sup>2</sup>	14.7 $\pm$ 1.0 mm <sup>2</sup>	P < 0.0001	P < 0.0001	P < 0.0001
<b>Fig 1B. right</b>	Cortical thickness	579.1 $\pm$ 13.2 $\mu$ m	388.3 $\pm$ 17.8 $\mu$ m	1381 $\pm$ 48.2 $\mu$ m	934.2 $\pm$ 53.4 $\mu$ m	P = 0.0027	P < 0.0001	P < 0.0001
<b>Fig 2B</b>	DAPI <sup>+</sup>	2321 $\pm$ 102.1 cells	1823 $\pm$ 77.1 cells	1929 $\pm$ 73.1 cells	959.6 $\pm$ 29.5 cells	P = 0.0045	P < 0.0001	P < 0.0001
<b>Fig 2C. left</b>	Cux1 <sup>+</sup>	132.3 $\pm$ 5.2 $\mu$ m	87.1 $\pm$ 6.7 $\mu$ m	533.2 $\pm$ 40.9 $\mu$ m	298.4 $\pm$ 15.6 $\mu$ m	P = 0.0003	P < 0.0001	P < 0.0001
	Ctip2 <sup>+</sup>	333.3 $\pm$ 12.3 $\mu$ m	261.9 $\pm$ 11.5 $\mu$ m	621.4 $\pm$ 29.5 $\mu$ m	462.0 $\pm$ 28.4 $\mu$ m	P = 0.0585	P < 0.0001	P < 0.0001
<b>Fig 2C. right</b>	Cux1 <sup>+</sup>	25.7 $\pm$ 1 %	21.5 $\pm$ 1.2%	36.4 $\pm$ 1.2 %	30.9 $\pm$ 1%	P = 0.5614	P = 0.0002	P < 0.0001
	Ctip2 <sup>+</sup>	64.6 $\pm$ 1.4 %	64.8 $\pm$ 1.2 %	43 $\pm$ 1.7 %	47.5 $\pm$ 0.8 %	P = 0.1183	P = 0.0855	P < 0.0001
<b>Fig 2D. left</b>	Cux1 <sup>+</sup>	477.3 $\pm$ 51.2 neurons	304.4 $\pm$ 26.4 neurons	462.3 $\pm$ 33.3 neurons	213.3 $\pm$ 22 neurons	P = 0.2883	P < 0.0001	P = 0.1431
	Ctip2 <sup>+</sup>	675.0 $\pm$ 27.8 neurons	516 $\pm$ 33.9 neurons	263.3 $\pm$ 28.1 neurons	151 $\pm$ 10.1 neurons	P = 0.3873	P < 0.0001	P < 0.0001
<b>Fig 2D. right</b>	Cux1 <sup>+</sup>	20.3 $\pm$ 1.5 %	16.6 $\pm$ 1.1%	24.2 $\pm$ 2.1 %	22.2 $\pm$ 2.1%	P = 0.6456	P = 0.1169	P = 0.0130
	Ctip2 <sup>+</sup>	29.2 $\pm$ 1.1 %	28.2 $\pm$ 1.2%	13.6 $\pm$ 1.2 %	15.9 $\pm$ 1.3%	P = 0.1737	P = 0.5814	P < 0.0001

986

987 **Table S2.** Age correlation analysis.

Analyzed data	Prob>F	Pearson's r
frequency	0.83	-0.04
amplitude	0.73	0.07
decay time	0.75	-0.06
Cap	0.06	0.36
Rinput	0.46	-0.15
rheobase	0.82	-0.05
slope	0.34	0.21
membr	0.24	-0.28
GABA #	0.60	-0.19
GABA %	0.40	-0.30
neocortical area	0.93	0.02
cortical thickness	0.88	-0.04
Cux1 #	0.53	-0.18
Ctip2 #	0.54	0.18
DAPI #	0.75	-0.09
Cux1 %	0.70	-0.11
Ctip2 %	0.16	0.39
Cux1 thickness	0.87	-0.05
Ctip2 thickness	0.52	0.19
DAPI thickness	0.93	0.03
Cux1 thickness %	0.50	-0.19
Ctip2 thickness %	0.36	0.27
primary dendrites	0.60	0.20
secondary dendrites	0.37	-0.34
tertiary dendrites	0.59	0.21
spine density	0.14	-0.53
Mushroom spines %	0.07	-0.63
Stubby spines %	0.33	0.36
Thin spines %	0.92	-0.04