

1 **Structural and optical properties of $\text{Cu}_2\text{ZnSnS}_4$ thin film absorbers from ZnS and Cu_3SnS_4**
2 **nanoparticle precursors**

3 Xianzhong Lin^{1*}, Jaison Kavalakkatt^{1,2}, Kai Kornhuber¹, Sergui Levenco¹, Martha Ch. Lux-
4 Steiner^{1,2}, and Ahmed Ennaoui^{1*}

5 1 Helmholtz-Zentrum Berlin für Materialien und Energie GmbH, Hahn-Meitner-Platz 1, D-14109
6 Berlin, Germany

7 ² Freie Universität Berlin, Berlin, Germany

8 *Corresponding authors: lin.xianzhong@helmholtz-berlin.de, ennaoui@helmholtz-berlin.de

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Abstract

11 $\text{Cu}_2\text{ZnSnS}_4$ (CZTS) has been considered as an alternative absorber layer to $\text{Cu}(\text{In,Ga})\text{Se}_2$ due to
12 its earth abundant and environmentally friendly constituents, optimal direct band gap of 1.4-1.6
13 eV and high absorption coefficient in the visible range. In this work, we propose a solution-based
14 chemical route for preparation of CZTS thin film absorbers by spin coating of the precursor inks
15 composed of Cu_3SnS_4 and ZnS NPs and annealing in Ar/ H_2S atmosphere. X-ray diffraction and
16 Raman spectroscopy were used to characterize the structural properties. The chemical
17 composition was determined by energy dispersive X-ray spectroscopy. Optical properties of the
18 CZTS thin film absorbers were studied by transmission, reflection and photoluminescence
19 spectroscopy.

20 Keywords: $\text{Cu}_2\text{ZnSnS}_4$, CZTS, optical properties, Raman spectroscopy, photoluminescence

21

1 **1. Introduction**

2 $\text{Cu}_2\text{ZnSnS}_4$ (CZTS) has been considered as an alternative absorber layer to $\text{Cu}(\text{In,Ga})\text{Se}_2$ due
3 to its earth abundant and environmentally friendly constituents, optimal direct band gap of 1.4-
4 1.6 eV and high absorption coefficient ($>10^4 \text{ cm}^{-1}$) in the visible range [1,2]. In recent years, great
5 efforts have been focusing on the preparation of CZTS thin films and exploration of their
6 potential application in thin film solar cells [2-10]. The preparation of CZTS thin films can be
7 summarized as two methods, namely vacuum-based processes and non-vacuum solution-based
8 processes [11]. More specifically, vacuum-based processes includes sputtering and evaporation
9 while non-vacuum based processes include electrodeposition, spray pyrolysis, and ink-based
10 approaches [2-10,12]. The solution processes such as ink rolling and printing which allow the
11 large scale deposition of thin films is considered as one of the low cost routes for fabrication of
12 electronic devices. $\text{Cu}_2\text{ZnSnSe}_4$ and $\text{Cu}_2\text{ZnSn}(\text{S,Se})_4$ -based solar cells using a hydrazine-based
13 solution process have already reached an energy conversion efficiency as high as 10.1 % [3,4],
14 demonstrating the effectiveness of the solution processes in CZTSe-based solar cells. In this
15 contribution, we propose a solution-based chemical route for preparation of CZTS thin film
16 absorbers from Cu_3SnS_4 and ZnS nanoparticle precursors. The structural and optical properties
17 have been studied by X-ray diffraction, Raman spectroscopy, transmission, reflection and
18 photoluminescence spectroscopy.

19 **2. Experimental details**

20 2.1 Preparation of Cu_3SnS_4 and ZnS nanoparticle inks

21 ZnS NPs (NPs) were prepared according to the literature [13] with some modification. Briefly,
22 stoichiometric zinc acetate dihydrate and sulfur were mixed together with oleylamine and heated

1 to 240 °C to allow formation of ZnS NPs. Cu₃SnS₄ NPs were synthesized by one pot techniques
2 based on the method reported on reference [14] where copper acetylacetonate, tin chloride, sulfur
3 and oleylamine were mixed together in one vessel and heated to 250 °C to allow the reaction to
4 take place. In this approach, oleylamine was used as both solvent and stabilizer. The inks were
5 formed by mixing certain amount of ZnS and Cu₃SnS₄ NPs dispersed in hexanthiol.

6 2.2 Preparation of thin films

7 Thin films were deposited on different substrates (Mo/glass, 10 nm Sn on Mo/glass and 20 nm
8 Sn on Mo/glass substrates) by spin coating using the precursor inks, followed by sequential heat
9 treatment at 200 °C for 5 min in air to remove the solvent. After that, the resulting precursor thin
10 films were annealed at 540 °C under Ar/H₂S (5 %)-atmosphere for 60 min to allow the formation
11 of CZTS absorber by the reaction of Cu₃SnS₄ and ZnS NP precursors. In order to study the
12 optical transmission and reflection properties, corresponding samples on soda lime glass and tin
13 layer coated soda lime glass were prepared. Note that, prior to the film coating, the glass and
14 Mo/glass substrates were cleaned by subsequent ultrasonication in acetone, ethanol and distilled
15 water, each for 15 min and dried under nitrogen stream.

16 2.3 Characterization

17 The structure of the films was studied by X-ray diffraction (XRD) and Raman spectroscopy.
18 XRD were operated in the 2θ range from 10 to 90° on a Bruker D8-Advance X-ray
19 diffractometer with CuKα radiation (λ=1.5406 Å) using a step size of 0.02° and step time of 0.3
20 second. For the Raman measurement a Ti: Sapphire-ring-laser was used as an excitation. The
21 wavelength of the laser is fully tunable from 690 nm to 1050 nm. To avoid laser heating the beam
22 power was kept below 3.5 mW. Raman spectra were recorded with a Horiba T64000 triple

1 monochromator system in backscattering configuration with a microscope and a motorized XY
2 stage. The micro-Raman spectroscopy with a 100× objective was performed at room temperature
3 with a wavelength of 747 nm. The chemical compositions were obtained by energy dispersive X-
4 ray spectroscopy analysis (EDX) which was performed in a LEO GEMINI 1530 field-emission
5 gun scanning electron microscope (SEM) with the operating voltage of 10 kV and a Thermo
6 Noran X-ray silicon drift detector (acquisition and evaluation software Noran System Seven).
7 The absorption was characterized through transmission and reflection measurements performed
8 with a Lambda 950 UV-Vis spectrometer. Photoluminescence (PL) was performed at room
9 temperature using an excitation diode laser with a wavelength of 660 nm and a silicon CCD
10 camera.

11 **3. Results and Discussion**

12 3.1 Structural properties

13 Figure 1 shows the XRD patterns of as-deposited and annealed samples on different substrates.
14 Bragg peaks belonging to phases of Cu_{2-x}S can be observed in the XRD patterns of as-deposited
15 samples, which may be due to the decomposition of Cu_3SnS_4 precursors during the heat treatment
16 process. However, these Bragg peaks disappear after annealing. The appearance of the Bragg
17 peaks of (020), (101), (110), (103) and (202) which belong to kesterite CZTS (JCPDS data file
18 No.: 26-0575(CZTS)) were observed in all three annealed samples, indicating the formation of
19 kesterite phase of CZTS. Although two of the samples were prepared on molybdenum coated
20 glass substrates with 10 and 20 nm tin layer on top we cannot find any Bragg peaks
21 corresponding to Sn_xS_y after annealing at 540 °C. This suggests that the tin layer converted to
22 CZTS by reaction with H_2S , ZnS and Cu_3SnS_4 . In addition to the kesterite CZTS and Mo Bragg

1 peaks, peaks ascribed to MoS₂ phase were also identified. Note that the relative intensity of MoS₂
2 Bragg peak is much more intensive in Mo coated with tin layer samples than in the bare Mo
3 substrate sample. This phenomenon can be explained as the better penetration of H₂S through the
4 tunnel created by the diffusion of tin underneath the nanoparticle layer during annealing process.
5 It is known that the main XRD peaks among kesterite CZTS, ZnS and CTS are overlapping, as
6 shown in figure 1. Therefore, although no noticeable peak ascribed to the other secondary phases
7 can be observed except for the Mo and MoS₂ Bragg peaks we cannot conclude that both ZnS and
8 CTS NPs precursors have reacted completely and transformed to CZTS.

9 In order to find out the existence of the secondary phases, Raman spectroscopy was performed.
10 Figure 2 shows the Raman spectra of annealed samples on different substrates. The strongest
11 peak P1 in all three samples is located at 337-338 cm⁻¹, which is identified as the main vibrational
12 A1 symmetry mode from kesterite CZTS [9-11,15-16]. The weaker peaks at about 264-266, 288,
13 364-375 cm⁻¹ were also found by fitting the spectra with Lorentzian curves, and can be attributed
14 to kesterite CZTS [9-11,15-16]. Note that the peak at 364-375 cm⁻¹ is split into two peaks, P3 and
15 P4 centered at 364-368 and 374-377 cm⁻¹, respectively. This may due to the use of excitation
16 laser wavelength of 747 nm during the measurements, which is in agreement with the report by
17 Fernandes et al. [10] who studied the effect of excitation laser wavelength on the Raman spectra
18 of CZTS and found that the peak at 368 cm⁻¹ separated into two peaks when using a excitation
19 laser with a wavelength of 785 nm. Moreover, the Raman spectra in all three samples also show
20 additional weaker broad peaks P6 in the region of 305-315 cm⁻¹. The possible contribution of the
21 broad peaks could come from the phase of cubic Cu₂SnS₃, SnS₂ and Cu₃SnS₄ which are reported
22 to have vibration modes at 303, 314 and 318 cm⁻¹ respectively [17,18]. However, the presence of
23 SnS₂ can be ruled out due to the absence of the Bragg peaks of SnS₂ in XRD patterns. The peak

1 P7 located at 411-413 cm^{-1} indicates the presence of MoS_2 , which further confirmed the XRD
2 results [15].

3 3.2 Chemical composition

4 The chemical compositions of the as-deposited and annealed thin films on Mo substrates were
5 studied using EDX measurements, as shown in table 1. Due to the overlap between sulphur $\text{K}\alpha$
6 peak and molybdenum $\text{L}\alpha$ peak, EDX cannot resolve sulphur from molybdenum. Therefore, only
7 the compositions of metal components are shown. As shown in Table 1 (a) the as-deposited
8 samples are slightly Cu-rich and Sn-poor. Table 1 (b) shows the compositions of the
9 corresponding annealed samples. It is found that the compositions of Cu and Sn decrease while
10 the composition of Zn increases in all three annealed samples. The reduction of Cu and Sn are
11 considered to be due to evaporation, since the structural analysis demonstrated that there is no
12 Cu_{2-x}S or SnS_x secondary phases in the annealed CZTS thin films. Note that, the ratio of Zn (33.4
13 at%) in the Mo substrate sample after annealing is far from stoichiometry which may suggests the
14 existence of ZnS secondary phase although there is no noticeable peak attributed to this phase in
15 Raman spectrum.

16 3.3 Optical properties

17 The optical properties of the annealed CZTS thin films were studied by optical transmission,
18 reflection and photoluminescence measurement at room temperature. The optical absorption
19 coefficient (α) was determined from the measured transmittance (T) and reflectance (R) using the
20 formula^[19]

$$21 \quad \alpha = \frac{1}{t} \ln \left[\frac{(1-R)^2}{T} \right] \quad (1)$$

1 where t is the thickness of the film. The absorption coefficient of the three samples is larger than
2 10^4 cm^{-1} in the visible region. The plot of $(\alpha h\nu)^2$ versus the photon energy ($h\nu$) for the annealed
3 CZTS thin films prepared on three different substrates, namely glass substrate, 10 nm tin layer
4 coated glass substrate and 20 nm tin layer coated glass substrate, is presented in Fig. 3. The
5 optical band gap is obtained using the following equation [19]

$$6 \quad (\alpha h\nu) = A(h\nu - E_g)^n \quad (2)$$

7 where α is the optical absorption coefficient, A is a constant, E_g is the optical band gap and $n=1/2$
8 for direct transition. The optical band gap was obtained by extrapolating the linear region of the
9 plot $(\alpha h\nu)^2$ versus photon energy ($h\nu$). Therefore the estimated optical band gaps of the CZTS
10 thin films on glass, 10 nm tin coated glass and 20 nm tin coated glass substrates are 1.55, 1.50
11 and 1.48 eV respectively, which are in good agreement with the previously reported values of
12 1.4-1.6 eV [1,2,9,20]. When comparing the optical band gaps with compositions of the CZTS thin
13 films we found that there is decrease in optical band gap with increasing Cu/(Zn+Sn) ratio. This
14 is in accordance with previous reports [20,21] where the optical band gap shifted toward higher
15 energies as the Cu/(Zn+Sn) ratio of the CZTS thin film decreased. The optical band gap of
16 semiconductor materials is determined by the valence band maximum and the conduction band
17 minimum. The valence band maximum of CZTS is related to the antibonding of Cu 3d and S
18 3p[22,23]. Therefore, the shift of the band gap may due to the increase of valence band maximum
19 with increasing Cu/(Zn+Sn) ratio.

20 Figure 4 compares the PL spectra of annealed samples deposited on different substrates. All
21 three samples show broad PL band centered at about 1.35 eV, 1.30 eV and 1.28 eV, which are
22 smaller than the corresponding optical band gaps. This observation points to the defect related

1 type recombination in CZTS thin films. The absence of transitions from band to band in PL
2 spectra may be attributed to the fact that defect type recombination is dominant recombination
3 channel in this material due to the large number of possible intrinsic defects in CZTS material
4 [24]. It is interesting to note, the peak positions suffer red shift as Cu/(Zn+Sn) ratio of the CZTS
5 thin films increased, which shows the same variation trend with the optical band gaps determined
6 by transmission and reflection measurements. The found correlation between PL and absorbance
7 results may be ascribed to the presence of the same defect, which has a state with an energy
8 separation from one of the band edges which is only weakly composition dependent. The
9 excitation power dependent low temperature measurements on the sample prepared on Mo
10 substrate coated with 10 nm tin layer show that the peak positions exhibit a blue shift of about 5
11 meV per decade with increasing of the excitation power. This blue shift of the PL band peak
12 positions reveals a donor-acceptor recombination process [25,26].

13 **4. Conclusions**

14 CZTS thin films have been prepared via spin coating using Cu_3SnS_4 and ZnS nanoparticle
15 inks followed by annealing under Ar/ H_2S atmosphere. The structural analysis reveals the
16 formation of CZTS absorbers with a kesterite structure after annealing at 540 °C under Ar/ H_2S
17 atmosphere. Optical analysis indicates that the optical band gaps of the three samples are 1.55,
18 1.50 and 1.48 eV, which is ideal for solar energy conversion. The optical band gaps as well as the
19 PL peak positions shifted toward higher energies as Cu/(Zn+Sn) ratio of the CZTS thin films
20 decreased.

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1 **Figure caption**

2 Figure 1. X-ray diffraction patterns of: as-deposited precursor thin films prepared on (a)Mo/glass
3 substrate, (b)Mo/glass substrate coated with 10 nm Sn layer, (c) Mo/glass substrate coated with
4 20 nm Sn layer and corresponding annealed samples: (d), (e), (f). For reference, the XRD
5 patterns of CZTS (JCPDS 26-0575), Cu₃SnS₄ (JCPDS 33-0501) and ZnS (65-5476) are shown
6 below.

7 Figure 2. Raman spectra of annealed CZTS thin films prepared on different substrates: (a)
8 Mo/glass substrate, (b)Mo/glass substrate coated with 10 nm Sn layer, (c) Mo/glass substrate
9 coated with 20 nm Sn layer. Seven peaks were observed in all three samples (P1 (338 cm⁻¹), P2
10 (288 cm⁻¹), P3 (366 cm⁻¹), P4 (376 cm⁻¹), P5 (264 cm⁻¹), P6 (305-315 cm⁻¹) and P7 (412 cm⁻¹)).

11 Figure 3. Plot of $(\alpha h\nu)^2$ versus $h\nu$ for annealed CZTS thin films prepared on glass and tin layer
12 coated glass substrates.

13 Figure 4. PL spectra of annealed samples on (a) Mo/glass substrate, (b) Mo/glass substrate coated
14 with 10 nm Sn layer and (c) Mo/glass substrate coated with 20 nm Sn layer measured at room
15 temperature.

16 Table 1 Chemical composition of metal components of: (a) as-deposited precursor thin films; (b)
17 annealed CZTS thin films using EDX measurements.

18

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2
3

Table 1

(a) As-deposited

Substrates	Cu (at%)	Zn (at%)	Sn (at%)	Cu/(Zn+Sn)	Zn/Sn
Mo/glass	54	28	18	1.17	1.55
10 nmSn/Mo/glass	52	26	22	1.08	1.18
20 nmSn/Mo/glass	51	25	24	1.04	1.04

(b) After annealing

Mo/glass	49	33	18	0.96	1.83
10 nmSn/Mo/glass	50	30	20	1.00	1.50
20 nmSn/Mo/glass	51	27	22	1.04	1.23

4