

1 **Cu<sub>2</sub>ZnSn(S,Se)<sub>4</sub> from Cu<sub>x</sub>SnS<sub>y</sub> nanoparticle precursors on ZnO nanorod arrays**

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7  
8 **Abstract**

9 Solar cells with Cu<sub>2</sub>ZnSnS<sub>4</sub> absorber thin films have a potential for high energy  
10 conversion efficiencies with earth-abundant and non-toxic elements. In this work the  
11 formation of CZTSSe from Cu<sub>x</sub>SnS<sub>y</sub> nanoparticles (NPs) deposited on ZnO nanorod  
12 (NR) arrays as precursors for zinc is investigated. The NPs are prepared using a  
13 chemical route and are dispersed in toluene. The ZnO NRs are grown on fluorine  
14 doped SnO<sub>2</sub> coated glass substrates by electro deposition method. A series of  
15 samples are annealed at different temperatures between 300 °C and 550 °C in  
16 selenium containing argon atmosphere. To investigate the products of the reaction  
17 between the precursors the series is analyzed by means of x-ray diffraction (XRD)  
18 and Raman spectroscopy. The morphology is recorded by scanning electron  
19 microscopy (SEM) images of broken cross sections. The XRD measurements and  
20 the SEM images show the disappearing of ZnO NRs with increasing annealing  
21 temperature. Simultaneously the XRD and Raman measurements show the  
22 formation of CZTSSe. The formation of secondary phases and the optimum  
23 conditions for the preparation of CZTSSe is discussed.

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25  
26 Keywords: kesterite; CZTS; nanoparticle; nanorod; Raman; X-ray diffraction

## 1 **1 Introduction**

2  $\text{Cu}_2\text{ZnSnS}_4$  (CZTS) is a promising nontoxic absorber for solar cells made from earth-  
3 abundant elements. With a direct band gap energy of 1.5 eV and a high absorption  
4 coefficient ( $10^4 \text{ cm}^{-1}$ ) in the visible range CZTS fulfils the requirements for thin film  
5 solar cells.  $\text{Cu}_2\text{ZnSn}(\text{S},\text{Se})_4$  (CZTSSe) based devices have already reached an  
6 energy conversion efficiency of 10.1 % [1]. With absorber layers made from CZTS  
7 nanoparticle (NP) precursors solar cells have reached an energy conversion  
8 efficiency of 7.2 % [2]. The NPs disperse in a solution can be deposited by non  
9 vacuum methods. The films are then annealed to obtain a compact layer with large  
10 grains. For solar cells in superstrate configuration, the absorber thin film can also be  
11 deposited on transparent conducting oxide (TCO) layer, e.g. ZnO. With  
12 nanostructured TCO the surface can increase many times over. In this work CZTSSe  
13 absorber is produced in two steps: (1) ZnO nanorod (NR) arrays are electrodeposited  
14 on fluorine doped  $\text{SnO}_2$  coated glass substrates (FTO) with a compact ZnO seed  
15 layer, followed by a dip coating process of  $\text{Cu}_x\text{SnS}_y$  (CTS) NP. (2) Both serve as  
16 precursors during an annealing process in a Se containing atmosphere. A series of  
17 samples are prepared at different annealing temperatures. The resulting absorber  
18 layers are explored by means of X-ray diffraction and Raman spectroscopy.

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## 21 **2 Experimental details**

### 22 2.1 Preparation

23 The method for the preparation of CTS NPs, which are dispersed in toluene, is  
24 reported elsewhere [3]. The size of the NPs extracted by means of transmission  
25 electron microscopy is  $16 \pm 3 \text{ nm}$ .

26 The ZnO NR arrays (Fig. 1a) are prepared at  $75 \text{ }^\circ\text{C}$  in an aqueous solution of 7 mM  
27  $\text{Zn}(\text{NO}_3)_2$  and 5 mM  $\text{NH}_4\text{NO}_3$  by the electro deposition route. The deposition is  
28 performed in a three-electrode electrochemical cell with Pt counter and another Pt  
29 electrode as reference. FTO is used as working electrode. The deposition is carried  
30 at a polarization potential of  $-1.3 \text{ V}$  versus Pt. Further information about the  
31 preparation and characteristics of ZnO NR arrays can be found in Ref. [4].

32 To coat the ZnO NR array with the CTS NPs (Fig. 1b), the substrates with the NRs  
33 are dipped in the CTS NP solution. The dipping is performed in three steps with a dip

1 robot. The substrates are dipped into the solution with a velocity of 8 mm/s, held in  
2 the solution for 30 s. The sample is pulled out with 2 mm/s to complete one cycle.  
3 To investigate the formation of CZTSSe during annealing a series of 6 samples is  
4 heated between 300 and 550 °C in a tube furnace under Se/Ar atmosphere. The  
5 furnace is heated up with 10 °C/min to the set temperature. The given temperature is  
6 held for 30 minutes and afterwards the furnace is switched off to cool down to room  
7 temperature.

8

## 9 2.2 Analysis

10 The structural properties of the CZTSSe are investigated by means of X-ray  
11 diffraction (XRD) and Raman spectroscopy. The XRD measurements are performed  
12 in grazing incidence mode with an incidence angle of 0.9° in a Bruker AXS D8  
13 ADVANCE. A copper X-ray tube is used to measure with the copper K $\alpha$  line  
14 ( $\lambda_{K\alpha}=1.542 \text{ \AA}$ ).

15 For the Raman measurements a Ti:Sa-ring-laser is used as an excitation. The laser  
16 is fully tunable from 690 nm to 1050 nm. To avoid laser heating the beam power is  
17 kept below 3.5 mW. Raman spectra are recorded with a Horiba T64000 triple  
18 monochromator system in backscattering configuration with a microscope and a  
19 motorized XY stage. The micro-Raman spectroscopy with a 100 $\times$  objective is  
20 performed at room temperature with a wavelength of 800 nm.

21 The morphologies and the compositions of the layers are analyzed in a LEO 1530  
22 GEMINI scanning electron microscope (SEM) of Zeiss. The SEM images are  
23 recorded at an acceleration voltage of 10 kV. The energy dispersive X-ray (EDS)  
24 measurement are performed in the SEM at an acceleration voltage of 10 kV by a  
25 Thermo Noran X-ray silicon drift detector (acquisition and evaluation software Noran  
26 System Seven).

27 Optical measurements are performed with a LAMBDA 950 UV/Vis/NIR  
28 Spectrophotometer of PerkinElmer with wavelengths between 250 and 2500 nm.

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## 31 **3 Results and discussion**

32 Cross section images of the ZnO NR array before and after dipping in the CTS  
33 solution are shown in Fig. 1a and 1b. The NRs are growing perpendicular to the

1 rough FTO surfaces. The mean length of the NRs is around  $350 \pm 50$  nm. From top  
2 view SEM images a packing density of  $76 \times 10^8$  cm<sup>-2</sup> is determined.

3 After the dipping process, the CTS NPs have filled the space between the NRs,  
4 which are completely covered after 4 dips. The NPs form a 300 nm thick top layer.  
5 The thickness of this NP layer cannot increase indefinitely, which can also be  
6 observed on flat and compact substrates with the same NPs. With the length of the  
7 NRs it is possible to control the thickness of the whole layer system. Cross section  
8 images of the annealed samples are shown in Fig. 1c - h. During the annealing  
9 remaining solvent of the NPs is evaporating and leads to a compact top layer of  
10 around 200 nm (Fig. 1c). With increasing annealing temperature the ZnO NR are  
11 disappearing. They seem to transform to small particles. After annealing at 500 °C  
12 the cross section image cannot provide evidence of ZnO NRs (Fig. 1g). Instead, a  
13 double layer with larger crystals on the top of the NPs is obvious. The size of these  
14 crystals is increasing after annealing at 550 °C in Se/Ar atmosphere (Fig. 1h). An  
15 energy dispersive x-ray measurement in the SEM at the last cross section shows,  
16 that Se, S, Zn and Cu are distributed homogeneous in the double layer and Sn has a  
17 higher concentration in the bottom layer. The compositions in atom % extract from  
18 this measurement is for the top layer: Cu  $\approx$  20 %, Zn  $\approx$  30 %, Sn  $\approx$  6 %, Se  $\approx$  30%;  
19 and S  $\approx$  10 %; and for the bottom layer: Cu  $\approx$  16%, Zn  $\approx$  26%, Sn  $\approx$  20 %, Se  $\approx$  26%;  
20 and S  $\approx$  8%. Both layers contain additional carbon ( $\approx$  2 %) and oxygen ( $\approx$  2 %).

21 Fig. 2 shows the XRD pattern of 'as deposited' CTS NPs on ZnO NRs and the  
22 annealed samples. The measured peaks are allocated by using the database of the  
23 International Centre for Diffraction Data (ICDD) [5].

24 We observe an evolution of ZnO peaks, which are decreasing with higher annealing  
25 temperature. After annealing at 550 °C the ZnO signals disappear. The SnO<sub>2</sub> signals  
26 from the FTO are also decreasing slightly with the higher annealing temperatures, but  
27 are still present after annealing at 550 °C.

28 With the ICDD database [5] we allocate Cu<sub>2</sub>SnS<sub>3</sub> as well as Cu<sub>3</sub>SnS<sub>4</sub> (tetragonal  
29 structure), because the peaks are overlapping, as possible CTS phases in our NPs.  
30 The Intensity of the CTS main peak (at  $2\theta = 28.43^\circ$ ) is increasing slightly up to  
31 annealing after 400 °C. This peak is shifting to a smaller  $2\theta = 28.14^\circ$ . Since the  
32 diffraction pattern of CTS, ZnS, and CZTS differ a little from each other [5], we  
33 conclude that the shift indicates the formation of ZnS or CZTS during the annealing.  
34 As the diffraction pattern after annealing at 450 °C shows peaks of CZTSe, the shift

1 may also caused by the diffusion of Se atoms in the CZTS lattice, which leads to the  
2 formation of CZTSSe. This is supported by the fact the intensities of the CZTSe  
3 peaks are increasing with higher annealing temperature.

4 The diffraction pattern after annealing at 550 °C indicates still some CTS, ZnS or  
5 CZTS. It can also not be excluded, that ZnSe is formed. The diffraction positions of  
6 the ZnSe peaks are similar to the peaks of CZTSe [5]. Other indentified phases  
7 shown in Fig. 2 are CuSeO<sub>4</sub> [5] after annealing at 350 °C and CuSe [5] after  
8 annealing at 500 °C. We observed that the CuSeO<sub>4</sub> phase disappears after  
9 annealing at 400 °C.

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11 The measured Raman spectra are shown in Fig. 3 for the 'as deposited' as well as  
12 the annealed samples between 300 °C and 550 °C. The grey colored circles show  
13 the measured and the black solid lines the multi lorentzian fitted spectra. Raman  
14 measurements are also performed on a ZnO NR array grown on FTO without NPs.  
15 But neither the ZnO NR nor the FTO glass substrate are Raman active at least with  
16 an excitation energy of 1.55 eV. The CTS NPs of the 'as deposited' sample show  
17 broad Raman peaks at 294 cm<sup>-1</sup>, 323 cm<sup>-1</sup> and 349 cm<sup>-1</sup>. A plateau between 280 and  
18 260 cm<sup>-1</sup> is also present. By comparison with the work of Fernandes et al. [6] the  
19 peaks is allocated to the Raman shifts of Cu<sub>2</sub>SnS<sub>3</sub> with cubic (*F-43m*) and tetragonal  
20 (*I-42m*) structures as well as to Cu<sub>3</sub>SnS<sub>4</sub> with an orthorhombic (*Pmn21*) structure. We  
21 conclude that the main phase in our CTS NPs is Cu<sub>2</sub>SnS<sub>3</sub> since the XRD diffractions  
22 indicates no orthorhombic and the Raman spectra no tetragonal Cu<sub>3</sub>SnS<sub>4</sub> [7].

23 With increasing annealing temperature these peaks are disappearing in favor of the  
24 appearing of CZTS and CZTSe in the Raman spectra. The characteristic main peaks  
25 (A<sub>1</sub>) of CZTS with a Raman shift of 336 cm<sup>-1</sup> and of CZTSe with a Raman shift of 198  
26 cm<sup>-1</sup> are clearly identified after annealing at 450 °C. With increasing temperature next  
27 to the main peaks other peaks appear at 235 cm<sup>-1</sup>, 250 cm<sup>-1</sup>, 276 cm<sup>-1</sup>, 298 cm<sup>-1</sup>, 352  
28 cm<sup>-1</sup> and 372 cm<sup>-1</sup>. After annealing at 550°C also a shoulder at the CZTSe peak at  
29 198 cm<sup>-1</sup> is identified with a Raman shift of 172 cm<sup>-1</sup>.

30 The Raman spectra of CZTS layers grown by sulfurization of metallic precursor  
31 layers show the main peak at 339 cm<sup>-1</sup> [8]. These layers also show Raman peaks of  
32 CZTS at 287 cm<sup>-1</sup>, 306 cm<sup>-1</sup>, 367 cm<sup>-1</sup> and 375 cm<sup>-1</sup>. In our layers the peaks at 367  
33 cm<sup>-1</sup> and 375 cm<sup>-1</sup> are present in one broad peak at 372 cm<sup>-1</sup> (Fig. 3). The other  
34 broad peak at 298 cm<sup>-1</sup> is allocated to the other two peaks showed in Ref. [8].

1 CZTSe thin films prepared by single-stage coevaporation technique show the  $A_1$  peak  
2 at  $195\text{ cm}^{-1}$  and further peaks at  $172\text{ cm}^{-1}$  and  $231\text{ cm}^{-1}$  [9]. The small shoulder ( $172$   
3  $\text{cm}^{-1}$ ) after annealing at  $550\text{ }^\circ\text{C}$  and the broad peak at  $235\text{ cm}^{-1}$  (Fig. 3) are allocated  
4 to CZTSe. Since the spectra could not fit with only the CZTS and CZTSe peaks, we  
5 conclude that secondary phases are present, indicated by the peaks at  $250\text{ cm}^{-1}$ ,  $276$   
6  $\text{cm}^{-1}$  and  $352\text{ cm}^{-1}$ . These phases are identified as ZnSe ( $253\text{ cm}^{-1}$ ) [10] and ZnS  
7 ( $271\text{ cm}^{-1}$ ,  $352\text{ cm}^{-1}$ ) [11].

8 Our results on XRD and the Raman measurements at different annealing  
9 temperatures confirm the formation of CZTS and CZTSe. From a comparison of our  
10 Raman spectra and the one of CZTSSe, prepared with different sulfur to selenium  
11 ratios [12], we conclude that CZTSSe is more likely present in our layers. There are  
12 several reactions which lead to the formation of CZTSSe. A reaction of the sulfur in  
13 the CTS NPs with our ZnO NRs can form ZnS. In the next step CZTS is formed by a  
14 reaction of CTS with ZnS, which is described with electroplated precursors to form  
15 CZTS [13, 14]. The diffusion of selenium in the CZTS layers can form CZTSSe. The  
16 presence of selenium transforms ZnO and ZnS to ZnSe [15, 16]. The ZnSe can also  
17 react with the CTS NPs to CZTSSe crystals.

18 Additional optical measurements of the sample series show an increasing absorption  
19 (from approximately 40 to 80 %) of the visible light in favor of a decreased  
20 transmission (from approximately 45 to 16 %) . The reflection shows no significant  
21 changes in the visible range. The absorption coefficient extract from these  
22 measurements is in order of  $10^4$  to  $10^5\text{ cm}^{-1}$ .

#### 24 **4. Conclusions**

25 We prepared CZTSSe by annealing of ZnO NRs coated with CTS NPs. XRD pattern  
26 and the Raman spectra show that the CTS NPs react with the ZnO NRs in Se  
27 containing atmosphere, resulting in the formation of intermediate phases such as  
28 ZnS, ZnSe,  $\text{CuSeO}_4$ . After annealing at  $550\text{ }^\circ\text{C}$  the dominant phase is CZTSSe. But  
29 still secondary phases such as ZnS, ZnSe and CuSe are present in the layer. ZnSe  
30 and ZnS are identified by Raman and CuSe by XRD. We believe that the solid state  
31 reaction is not completed due the lack of tin to form CZTSSe. To resolve this problem  
32 another formulation of the CTS precursor with increasing amount of tin or a better  
33 control of the annealing process are required.

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## 8 **References**

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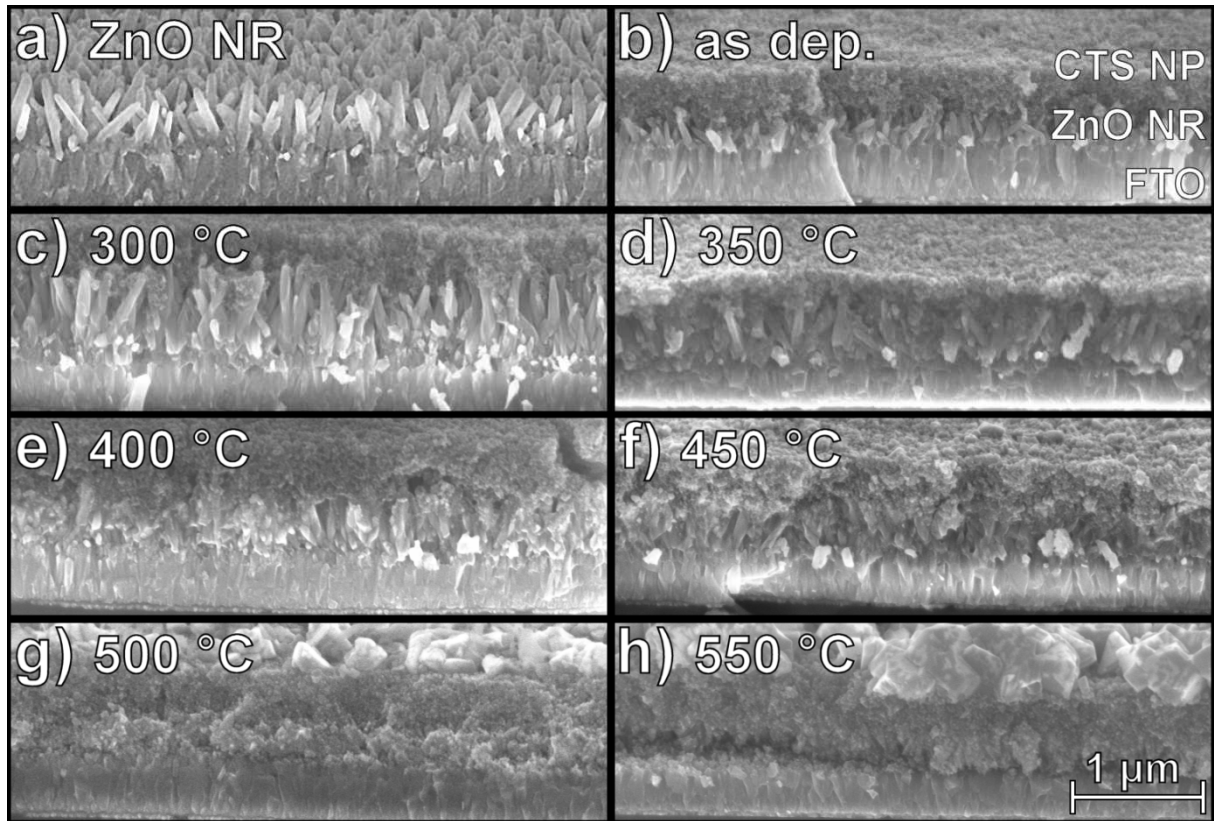
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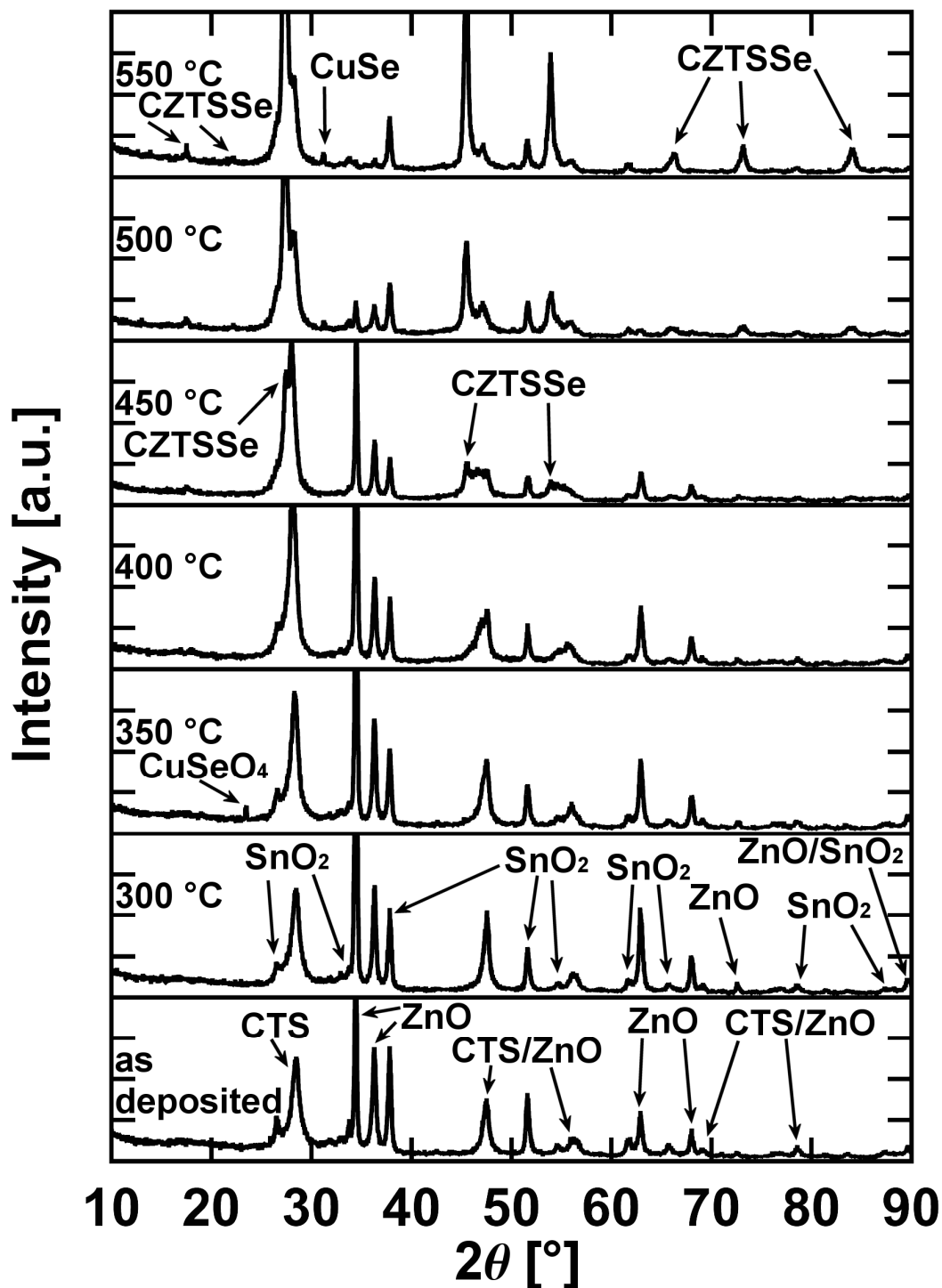
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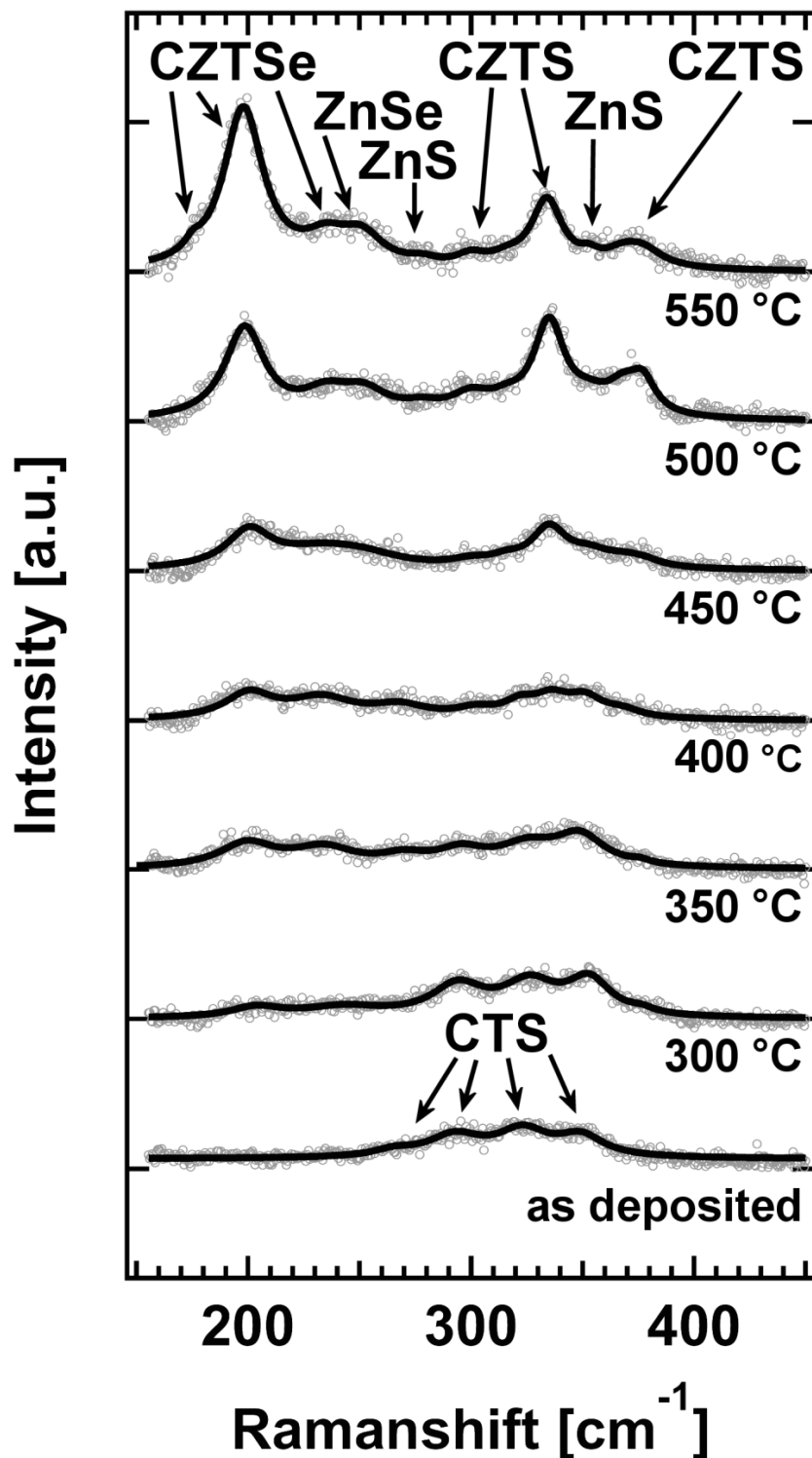




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2 Figure 1:  
3 SEM Images of broken cross sections. The images show a) ZnO NR array deposited  
4 via electro deposition, b) ZnO NR array covered with CTS NP after dip coating, c) - h)  
5 Layers after annealing at temperatures between 300 and 550 °C of ZnO NR arrays  
6 covered with CTS NP.  
7



1  
2 Figure 2:  
3 XRD patterns of not annealed ZnO NR covered with CTS NP (as deposited) and after  
4 annealing between 300 and 550°C in selenium containing atmosphere. With  
5 increasing annealing temperature CZTSe is present in the layers and ZnO is  
6 disappearing. Secondary phases of CuSe (after annealing at 500 and 550 °C) and  
7 CuSeO<sub>4</sub> (after annealing at 350 °C) are identified.



1  
 2 Figure 3:  
 3 Raman spectra of not annealed ZnO NR covered with CTS NP (as deposited) and  
 4 after annealing between 300 and 550°C in selenium containing atmosphere. The  
 5 grey colored circles show the measured and the black solid lines the fitted spectra.  
 6 With increasing temperature CZTS and CZTSe are present in the layers. Secondary  
 7 phases of ZnS and ZnSe are indicated.