Aus dem CharitéCentrum für Neurologie, Neurochirurgie und Psychiatrie der Medizinischen Fakultät Charité – Universitätsmedizin Berlin

DISSERTATION

Der Einfluss nicht-invasiver Motorcortex-Stimulation auf funktionelle Netzwerke bei gesunden älteren Probanden-Eine kombinierte tDCS und fMRT Studie

zur Erlangung des akademischen Grades Doctor medicinae (Dr. med.)

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Inhaltsverzeichnis

Zusamenfassung (deutsch)	3
Abstract (english)	4
Eidesstattliche Versicherung	5
Ausführliche Anteilserklärung an der erfolgten Publikation	5
Eigenanteilserklärung	6
Planung	6
Probandenrekrutierung	6
Datenerhebung	7
Datenverabeitung und –auswertung der neuropsychologischen Daten	8
Datenverarbeitung und –auswertung der MRT Daten	8
Auslesen der logfile Dateien zu Ermittlung der Onsets	9
Konvertierung der fMRT-Dateien mit MRIConvert in 3D- und 4D- Dateien	9
Vorverarbeitung fMRT	10
1st level Analyse	10
2nd level Analyse	11
ROI Analyse	11
SPSS Auswertung	11
Schreib-und Revisionsprozess	11
Auszug aus der Journal Summary List	13
Druckexemplar der Publikation	14
Lebenslauf	23
Publikationsliste	23
Danksagung	

Zusammenfassung (deutsch)

Der Einfluss nicht-invasiver Motorcortex-Stimulation auf funktionelle Netzwerke bei gesunden älteren Probanden, Laura Nachtigall

Mittels transcranieller Gleichstromstimulation (tDCS, engl. transcranial direct current stimulation) kann die neuronale Erregbarkeit des primären Motorcortex (M1) nichtinvasiv moduliert werden. Durch anodale Stimulation kommt es zu einer Heraufregulierung der Erregbarkeit des Areals, während eine cathodale Stimulation eine Herabregulierung bewirkt. Neben solchen unihemisphärischen Ansätzen kommt auch die sogenannte bihemisphärische oder "duale" Stimulation zum Einsatz. Durch diese simultane anodale und cathodale tDCS kann die motorische Lernleistung bei Gesunden sowie die motorische Rehabilitation nach Schlaganfall verbessert werden. Allerdings ist deren Wirkweise nur unzureichend verstanden. Daher untersuchten wir den Einfluss dualer tDCS auf funktionelle Netzwerke (resting state-fMRT). aufgabenspezifische Aktivierungen (fMRT) und deren Zusammenhang mit strukturellen Charakteristika motorischer Bahnen (DTI) bei 20 gesunden älteren Probanden in einer randomisierten, verblindeten, Placebo-kontrollierten Studie mit einem Cross-over Design. Die Teilnehmer wurden in Ruhe und bei Ausführung eines Motortasks in einem 3T-MRT gescannt und gleichzeitig je nach Bedingung dual, anodal oder scheinstimuliert. Mit Hilfe von DTI konnten wir potentielle Funktionsänderungen mit strukturellen Parametern in Verbindung bringen. Die resting-state Analyse zeigte, dass im Vergleich zur Schein-Stimulation sowohl durch duale als auch anodale tDCS die Konnektivität im rechten Hippocampus und dem M1-Areal (kontralateral zur Anode) herabgesetzt wurde, während die Verbindung im linken präfrontalen Cortex gesteigert wurde. Weiterhin verbesserte die duale, allerdings nicht die anodale Stimulation die Verbindungen im linken dorsalen posterioren Cingulum. In den aufgabenspezifischen Aktivierungen im fMRT zeigte sich bei dualer im Vergleich zu anodaler tDCS eine stärkere Aktivierung in beiden M1, wenn die Probanden entweder die linke oder die rechte Hand während der motorischen Aufgaben nutzten. Die entsprechend durch die tDCS hervorgerufenen Veränderungen in der Lateralisation der Aktivierung standen im Verhältnis zum mikrostrukturellen Zustand der motorischen Fasern des Corpus callosum. Zusammenfassend legen unsere Ergebnisse nahe, dass sich der Einfluss von dualer tDCS nicht nur durch rein additive Effekte von anodaler und gleichzeitiger cathodaler Stimulation erklären lässt, sondern durch ein komplexes Regulierungssystem zwischen den Hemisphären und den für die motorische Kontrolle verantwortlichen Bereichen des posterioren Cingulums. Die Kenntnis über die Auswirkungen von dualer tDCS liefert wertvolle Hinweise für weitere Studien zu Therapieansätzen in der Rehabilitation bei Schlaganfallpatienten.

Abstract (english)

Differential Effects of Dual and Unihemispheric Motor Cortex Stimulation in Older Adults, Laura Nachtigall

Bihemispheric transcranial direct current stimulation (tDCS) is thought to upregulate excitability of the primary motor cortex (M1) using anodal stimulation while concurrently downregulating contralateral M1 using cathodal stimulation. This "dual" tDCS method enhances motor learning in healthy subjects and facilitates motor recovery after stroke. However, its impact on motor system activity and connectivity remains unknown. Therefore, we assessed neural correlates of dual and unihemispheric anodal tDCS effects in 20 healthy older subjects in a randomized, sham-controlled study using a cross-over design. Participants underwent tDCS and simultaneous functional magnetic resonance imaging during a choice reaction time task and at rest. Diffusion tensor imaging (DTI) allowed us to relate potential functional changes to structural parameters. The resting-state analysis demonstrated that, compared with sham, both dual and anodal tDCS decreased connectivity of right hippocampus and M1 (contralateral to the anode position) while increasing connectivity in the left prefrontal cortex. Notably, dual but not anodal tDCS enhanced connectivity of the left dorsal posterior cingulate cortex. Furthermore, dual tDCS yielded stronger activations in bilateral M1 compared with anodal tDCS when participants used either their left or right hand during the motor task. The corresponding tDCS-induced changes in laterality of activations were related to the microstructural status of transcallosal motor fibers. In conclusion, our results suggest that the impact of bihemispheric tDCS cannot be explained by mere add-on effects of anodal and concurrent cathodal stimulation, but rather by complex network modulations involving interhemispheric interactions and areas associated with motor control in the dorsal posterior cingulate cortex.

Eidesstattliche Versicherung

"Ich, Laura Nachtigall, versichere an Eides statt durch meine eigenhändige Unterschrift, dass ich die vorgelegte Dissertation mit dem Thema "Der Einfluss nicht-invasiver Motorcortex-Stimulation auf funktionelle Netzwerke bei gesunden älteren Probanden-Eine kombinierte tDCS und fMRT Studie" selbstständig und ohne nicht offengelegte Hilfe Dritter verfasst und keine anderen als die angegebenen Quellen und Hilfsmittel genutzt habe.

Alle Stellen, die wörtlich oder dem Sinne nach auf Publikationen oder Vorträgen anderer Autoren beruhen, sind als solche in korrekter Zitierung (siehe "Uniform Requirements for Manuscripts (URM)" des ICMJE -www.icmje.org) kenntlich gemacht. Die Abschnitte zu Methodik (insbesondere praktische Arbeiten, Laborbestimmungen, statistische Aufarbeitung) und Resultaten (insbesondere Abbildungen, Graphiken und Tabellen) entsprechen den URM (s.o) und werden von mir verantwortet.

Mein Anteil an der ausgewählten Publikation entspricht dem, der in der untenstehenden gemeinsamen Erklärung mit dem Betreuer, angegeben ist.

Die Bedeutung dieser eidesstattlichen Versicherung und die strafrechtlichen Folgen einer unwahren eidesstattlichen Versicherung (§§156,161 des Strafgesetzbuches) sind mir bekannt und bewusst."

Datum

Unterschrift

Ausführliche Anteilserklärung an der erfolgten Publikation

Publikation : Robert Lindenberg, Laura Nachtigall, Marcus Meinzer, Mira Maria Sieg, and Agnes Flöel, Differential Effects of Dual and Unihemispheric Motor Cortex Stimulation in Older Adults, The Journal of Neuroscience, May 22, 2013 • 33(21):9176 – 9183

Eigenanteilserklärung

Der Eigenanteil der vorliegenden Publikation umfasst die Planung, Probandenrekrutierung, Durchführung der Datenerhebung, Datenverarbeitung und -auswertung sowie Einbeziehung in den Schreib- und Revisionsprozess des Papers.

Planung

Bei Beginn der Promotion stand das Studiendesign lediglich in den Grundzügen fest. Ich half bei der Entwicklung des Versuchsaufbaus, der Durchführung der Testmessungen sowie der Entscheidung über den Aufbau und Länge des Motortasks mit. Dieser bestand aus drei unterschiedlichen Symbolen, bei denen die Probanden im MRT einen Antwortknopf entweder mit der linken oder der rechten Hand drücken, bzw. keine Bewegung ausführen sollten (NoGo). Als weitere Bedingung kam ein weißer Schirm als sogenannte Null-Bedingung hinzu. Dieser Aufbau ist bereits in früheren Studien genutzt worden. Die Ergebnisse unserer Testmessungen zeigten, dass eine Präsentation über 10 Minuten anstatt 7 Minuten für unsere Studie besser geeignet ist. Hier ergab sich ein deutlicheres Aktivierungsmuster, welches für die spätere Auswertung der Stimulationsbedingungen besser geeignet war, auch wenn dies eine längere Messdauer im MRT bedeutete. Des Weiteren kümmerte ich mich um die Organisation der Räumlichkeiten, des MRT Scanners sowie die erforderlichen Mitarbeiter und koordinierte die nötigen Termine der Studie.

Probandenrekrutierung

Die Probandenrekrutierung umfasste den Entwurf von Informationsmaterialien wie Flyer und Informationsbroschüren zur Studie, Recherche möglicher Ansprechpartner zur Rekrutierung gesunder, älterer Probanden zwischen 58 und 80 Jahren und Auswertung der AG-internen Datenbank von bereits erfassten potentiellen Studienteilnehmern. Unsere Ausschlusskriterien für die Studie waren neurologische oder psychiatrische Vorerkrankungen, Einnahme psychotroper Medikamente, Linkshändigkeit sowie Kontraindikationen für MRT wie Prothesen, Herzschrittmacher, Stents, Medikamentenpumpen oder Klaustrophobie. Vor allem bei den älteren Probanden gestaltete sich die Suche als sehr aufwendig, da viele die Stimulation mit Gleichstrom oder die relativ langen Messzeiten von etwa 50 Minuten im MRT ablehnten. Mit Hilfe eines Telefonscreenings erfolgte die Information von ca. 200 möglichen Probanden. Bei Interesse an einer Studienteilnahme erfolgte eine weitere Selektion durch einen Fragebogen anhand der genannten Ein- und Ausschlusskriterien. Diese wurden zur detaillierten neuropsychologischen Testung und ausführlichen Aufklärung eingeladen. Ein Termin für die etwa zwei Stunden umfassende Voruntersuchung wurde vereinbart und die drei Termine für die MRT Untersuchungen koordiniert. Diese dauerten jeweils ca. 90 Minuten und fanden für jeden Probanden zu ähnlicher Uhrzeit statt, um einen tageszeitlichen Leistungsunterschied auszuschließen.

Datenerhebung

Vor Einschluss in die Studie wurde erneut ein detaillierteres Screening zum Ausschluss von Kontraindikationen durchgeführt. Ich übernahm die ausführliche Aufklärung der Probanden für die MRT- sowie die tDCS-Untersuchung über die Funktionsweise beider Untersuchungsmethoden, mögliche Nebenwirkungen und den allgemeinen Studienablauf. Weiterhin erfolgte eine Übung des Motortasks. Die Probanden durchliefen eine ausführliche neuropsychologische Testung, die die Handpräferenz nach Oldfield, CERAD-Plus, einen Wortschatz-Test, SASKA sowie den Digit Span-Test umfasste. Der Handpräferenztest diente dem Ausschluss der Linkshändigkeit, da bei etwa einem Drittel dieser Probanden die linke Hirnhälfte nicht die dominante ist und so keine Vergleichbarkeit bei der Hirnaktivität gewährleistet werden kann. Das "Consortium to Establish a Registry for Alzheimer Disease" (CERAD) ist eine neuropsychologische Testbatterie, die acht unterschiedliche Einzeltests umfasst (Verbale Flüssigkeit, Boston Naming Test, Mini-Mental Status, Wortlisten lernen, Figuren abzeichnen, Wortlisten abrufen, Wortlisten wiedererkennen, Figuren abrufen), die Plus Ausgabe schließt zusätzlich den Trail Making Test A und B sowie phonematische Wortflüssigkeit mit ein. Bei dem Wortschatztest muss der Proband aus einer Reihe von fünf ähnlichen Worten das einzig korrekte benennen. Im SASKA Test muss das richtige Synonym für ein Fremdwort erkannt werden. Diese etablierten Tests dienten zum einen dem Ausschluss etwaiger neuropsychologischer Einschränkungen, zum anderen der Einordung des sprachlichen Vermögens der Probanden bei dem ebenfalls im Rahmen dieser Studie durchgeführten Verbaltask. Der Digit Span-Test wurde sowohl in der neuropsychologischen Voruntersuchung als auch jeweils vor und nach der MRT-

Untersuchungen durchgeführt, um gegebenenfalls einen Unterschied in der Aufmerksamkeit zwischen den einzelnen Messungen detektieren zu können. Hierbei muss der Proband eine immer länger werdende Zahlenreihe zunächst vorwärts und später rückwärts wiederholen.

Ich übernahm die Koordiation der Testung im MRT, wobei ich selbst als Basic User mit einem Advanced User zum Bedienen des Scanners anwesend war. Die Ausbildung zum Basic User erfolgte im Berlin Center of Advanced Neuroimaging (BCAN). Meine Aufgaben während der Versuchsdurchführung umfassten die Probandenbetreuung vor, während und nach der Messung im Scanner sowie die Durchführung eines erneuten Probedurchlaufs des Motortasks und der Wortflüssigkeitsaufgabe. Vor Beginn der Stimulation im Scanner übernahm ich das Platzieren der tDCS-Elektroden über dem M1-Areal nach dem 10-20 System sowie das Bedienen des Stimulators. Eine Einschätzung der Stimulationsart, der oben genannte Digit Span Test sowie der Panas und der VAMS Test zum Ausschluss möglicher Einflussfaktoren wurden zudem vor und nach der Messung durchgeführt.

Datenverabeitung und –auswertung der neuropsychologischen Daten

Hierbei umfasste meine Aufgabe das Auswerten aller erhobenen Fragebögen. Der CERAD wurde für jeden Probanden auf eine Abweichung von weniger als 1,5 Standardabweichungen von der Norm getestet. Die vor und nach den Messungen erhobenen Daten des Digit Span, des VAMS und des Panas wurden gemittelt und mit einem t-Test Unterschiede vor und nach der Stimulation für die unterschiedlichen Stimulationsarten ausgeschlossen

Datenverarbeitung und –auswertung der MRT Daten

Einleitend möchte ich kurz die Untersuchungsmethode erläutern. Das fMRT ist ein bildgebendes Verfahren, welches stoffwechselaktive Bereiche im Gehirn mit Hilfe von BOLD (blood oxygenation level dependent) Kontrasten darstellen kann. Hierbei werden die unterschiedlichen, magnetischen Eigenschaften von oxygeniertem und desoxygeniertem Blut unter der Vorstellung genutzt, dass aktivere Hirnareale einen höheren Sauerstoffverbrauch haben. So kann für jeden Bildpunkt (Voxel) in der Aufnahme der Aktivitätsgrad bestimmt werden. Da diese Daten nicht ohne weiteres ausgelesen werden können, müssen unterschiedliche Schritte zur Aufbereitung der Daten sowie statistische Analysen durchgeführt werden.

Ich übernahm die primäre Datenvorverarbeitung sowie die Analyse in Hinsicht auf die aufgabenspezifischen fMRT-Daten. Da wir eine große Fülle an Daten zur Verfügung hatten, musste zunächst die optimale Art der weiteren Auswertung gefunden werden. Dafür arbeitete ich mich in die Programme SPM8 und SPSS mit Hilfe meines Betreuers und dem Manual ein. Die hier genannten Auswertungen sind nur die später auch verwendeten Ergebnisse.

Die für die Publikation relevanten Auswertungsschritte gliederten sich in die folgenden Unterpunkte:

Auslesen der logfile Dateien zu Ermittlung der Onsets

Während der Messung im Scanner wurden die Zeiten der Stimuluspräsentation sowie der Reaktion des Probanden in einem Textfile mit Hilfe des Programms "Presentation" aufgezeichnet. Diese Dateien mussten ausgelesen, in eine Excel-Tabelle transformiert und entsprechend der Reaktionsart (L/R/Null/NoGo) sortiert werden, um die Zeitpunkte der Stimulation und Reaktion, also der Aktivierung im Motorcortex, den entsprechenden Bildern der MRT-Scans zuordnen zu können. Um ausschließlich richtige Antworten in die Analyse aufzunehmen, wurden die Fehler der Probanden herausgefiltert (falsche Taste, nicht gedrückt).

Die resultierende Tabelle mit den Stimulus-, den Tapping-Zeitpunkten und der Reaktionszeit der Probanden stellte die Basis für die folgenden Auswertungsschritte dar.

Konvertierung der fMRT-Dateien mit MRIConvert in 3D- und 4D- Dateien

Da die Rohdaten der fMRT-Dateien im DICOM-Format nicht direkt mit Matlab ausgelesen werden können, mussten sie zunächst mit dem Programm MRIConvert in kompatible 3D-Dateien umgewandelt werden.

Vorverarbeitung fMRT

Um eine Aussage über die Aktivierung des Motorcortex treffen zu können, mussten die Daten weiter vorverarbeitet werden, damit die einzelnen Probandendaten später miteinander vergleichbar waren. Hierfür wurde SPM8 (statistical prametric mapping) in dem Programm Matlab verwendet. Die Vorverarbeitung war in die Schritte Realign, Coregister, Segment, Normalize und Smooth unterteilt.

Realign ist die Bewegungskorrektur. Artifizielle Signale, die durch Bewegung der Probanden während des Scannens auftreten (lineare Bewegungen in x-, y- und z-Richtung sowie rotatorische Bewegungen um diese Achsen) werden ausgeglichen.

Bei der Coregistrierung werden die Daten der (funktionellen) BOLD-Sequenz an die hochaufgelöste strukturelle Sequenz angepasst.

Die Segmentierung dient dazu, die MPRAGE Datei an ein Standard-Hirn anzupassen, was später als eine Art Umrechnungsdatei dient, um miteinander vergleichbare Datensätze zu schaffen.

Bei der Normalisierung wird dies auf die bewegungskorrigierten und coregistrierten BOLD-Dateien angewendet, sodass eine Transformation der individuellen Datensätze in einen "Standard-Raum" und damit die interindividuelle Vergleichbarkeit möglich wird.

Beim abschließenden Smoothen werden die Signalunterschiede zwischen den einzelnen Voxeln ausgeglichen, um interindividuelle Variabilität anatomischer Strukturen zu minimieren.

1st level Analyse

Die 1st level Analyse diente dazu, die Aktivierungen der verschiedenen Events (L/R/NoGo/Null) eines Probanden miteinander vergleichen zu können. Hierfür wurde in SPM eine sog. Designmatrix erstellt, welche auf alle Messungen angewendet werden konnte. In diese wurden die vorverarbeiteten Scans, Regressoren (Bewegungskorrektur, Fehler) und die zuvor bestimmten Reaktionszeitpunkte geladen, wodurch die für die einzelnen Aufgaben spezifischen Aktivierungsmuster verglichen werden konnten. Darüber hinaus ermöglichte diese Art der Analyse einen intraindividuellen Vergleich der drei Stimulationsbedingungen (dual, anodal, sham) mittels gepaartem t-Test.

2nd level Analyse

Die 2nd level Analyse diente dem interindividuellem Vergleich der Aktivierungsmuster. Mit Hilfe einer ANOVA (analysis of variance) mit Korrektur für multiple Vergleiche wurden die drei Stimulationsbedingungen aus der 1st level-Analyse miteinander verglichen.

ROI Analyse

Die region of interest (ROI) Analyse wurde zusätzlich zur Betrachtung spezifischer Hirnareale, in unserem Fall die Brodmann-Areale 4p, 4a und 6, mit Hilfe der Anatomy Toolbox in SPM durchgeführt. Dabei wurden die beta-Werte der Aktivierungsmaxima für die unterschiedlichen Stimulationsbedingungen extrahiert. Diese konnten nun für die einzelnen Bedingungen miteinander verglichen werden.

SPSS Auswertung

Die statistische Auswertung erfolgte mit dem Programm SPSS. Hier wurde zunächst mittels t-Test geprüft, ob die Stimulationsart eine Auswirkung auf die Anzahl der Fehler oder die Reaktionszeiten hat. Anschließend wurden mit einer ANOVA die beta-Werte aus der ROI Analyse für die einzelnen Stimulationsbedingungen miteinander verglichen. Hieraus ergaben sich die im Ergebnisteil beschriebenen Aktvierungsunterschiede in den untersuchten Hirnarealen für die verschiedenen Stimulationsarten.

Schreib-und Revisionsprozess

Nach der Auswertung der oben genannten aufgabenspezifischen fMRT-Daten übernahm ich die Einordung und Interpretation der Ergebnisse nach ausführlicher Recherche im Hinblick auf die aktuelle Literatur. Ich erstellte einen Überblick über signifikante Ergebnisse der Auswertung und stellte mögliche Erklärungshypothesen dar. Dies erfolgte in Absprache mit meinem Betreuer. Bei der Erstellung des Papers war ich vor allem an der Ausarbeitung das Methodenteils beteiligt sowie an der Erstellung des Ergebnisteils im Hinblick auf die aufgabenspezifischen fMRT-Daten. Nach Erhalt der Kommentare von zwei Gutachtern beteiligte ich mich an der Revision des Manuskripts. Unterschrift, Datum und Stempel des betreuenden Hochschullehrers/der betreuenden Hochschullehrerin

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	22	J NEUROSCI	0270- 6474	16091	6.908	7.869	0.978	1668	7.5	0.44129	3.179	
	23	HUM BRAIN MAPP	1065- 9471	13379	6.878	7.032	1.451	226	5.9	0.04195	2.600	
	24	CEREB CORT EX	1047- 3211	21409	6.828	7.463	2.050	258	6.0	0.07548	3.130	
	25	NEUROPSYCHOL REV	1040- 7308	1611	6.420	7.526	0.862	29	5.1	0.00638	2.736	
	26	NEUROIMAGE	1053- 8119	61770	6.252	7.063	1.291	1222	6.0	0.16609	2.290	
	27	J PSYCHIATR NEUROSCI	1180- 4882	2253	6.242	6.473	1.647	34	5.2	0.00696	2.157	
	28	NEUROBIOL AGING	0197- 4580	15479	6.166	6.098	1.583	537	6.1	0.03494	1.808	
	29	CORTEX	0010- 9452	5265	6.161	5.042	2.745	106	5.3	0.01397	1.580	
	30	NEUROTHERAPEUTICS	1933- 7213	1923	5.904	5.720	0.905	63	3.6	0.01032	1.827	
	31	PAIN	0304- 3959	29370	5.644	6.125	1.264	269	9.0	0.04801	1.848	
	32	INT J NEUROPSYCHOPH	1461- 1457	4003	5.641	5.092	0.805	123	3.9	0.01374	1.479	
	33	NEUROSCIENTIST	1073- 8584	3259	5.633	6.417	1.356	45	6.1	0.00999	2.373	
	34	NEUROBIOL DIS	0969- 9961	11288	5.624	5.482	1.493	296	5.1	0.03372	1.731	
	35	BRAIN BEHAV IMMUN	0889- 1591	6137	5.612	5.698	1.082	147	4.3	0.02206	1.721	
	36	HIPPOCAMPUS	1050- 9631	8046	5.492	5.484	1.603	184	6.7	0.02142	2.088	
	37	MOL NEUROBIOL	0893- 7648	2715	5.471	5.535	0.782	101	5.7	0.00759	1.797	
	38	CURR OPIN NEUROL	1350- 7540	4449	5.416	5.035	0.804	97	5.6	0.01384	1.727	
	39	J CEREBR BLOOD F MET	0271- 678X	14671	5.398	5.660	1.093	194	8.0	0.03170	1.871	
	40	FRONT AGING NEUROSCI	1663- 4365	392	5.224	5.169	0.727	33	2.4	0.00199	1.596	

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Druckexemplar der Publikation

Behavioral/Cognitive

Differential Effects of Dual and Unihemispheric Motor Cortex Stimulation in Older Adults

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Bihemispheric transcranial direct current stimulation (tDCS) is thought to upregulate excitability of the primary motor cortex (M1) using anodal stimulation while concurrently downregulating contralateral M1 using cathodal stimulation. This "dual" tDCS method enhances motor learning in healthy subjects and facilitates motor recovery after stroke. However, its impact on motor system activity and connectivity remains unknown. Therefore, we assessed neural correlates of dual and unihemispheric anodal tDCS effects in 20 healthy older subjects in a randomized, sham-controlled study using a cross-over design. Participants underwent tDCS and simultaneous functional magnetic resonance imaging during a choice reaction time task and at rest. Diffusion tensor imaging (DTI) allowed us to relate potential functional changes to structural parameters. The resting-state analysis demonstrated that, compared with sham, both dual and anodal tDCS decreased connectivity of right hippocampus and M1 (contralateral to the anode position) while increasing connectivity in the left prefrontal cortex. Notably, dual but not anodal tDCS enhanced connectivity of the left dorsal posterior cingulate cortex. Furthermore, dual tDCS yielded stronger activations in bilateral M1 compared with anodal tDCS when participants used either their left or right hand during the motor task. The corresponding tDCS-induced changes in laterality of activations were related to the microstructural status of transcallosal motor fibers. In conclusion, our results suggest that the impact of bihemispheric tDCS cannot be explained by mere add-on effects of anodal and concurrent cathodal stimulation, but rather by complex network modulations involving interhemispheric interactions and areas associated with motor control in the dorsal posterior cingulate cortex.

Introduction

Transcranial direct current stimulation (tDCS) is a noninvasive brain stimulation technique that has been successfully used to transiently modulate cortical excitability (Nitsche and Paulus, 2001) and induce long-lasting behavioral changes (Reis et al., 2009). Depending on its polarity, tDCS causes a depolarization (anode) or hyperpolarization (cathode) of neuronal membranes (Nitsche and Paulus, 2000) with after-effects that are mediated by calcium-dependent plasticity of the glutamatergic system (Nitsche et al., 2003). Performance-independent tDCS effects on the primary motor cortex (M1) have been studied extensively using electrophysiological parameters to quantify effect sizes and the time course of tDCS-induced changes (Nitsche and Paulus, 2011). In addition, functional magnetic resonance imaging (fMRI) at rest and during task performance has been used to investigate correlates of unihemispheric M1 stimulation (Baudewig et al., 2001; Stagg et al., 2009; Alon et al., 2011; Antal et

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al., 2011; Kwon and Jang, 2011; Polania et al., 2011b,c). Modulations of resting-state functional connectivity caused by tDCS appear to depend on the site of stimulation and other factors such as stimulation durations, making it virtually impossible to draw a conclusive overall picture so far due to the different designs used in previous studies. With regard to task-related activity, some studies did not detect significant tDCS-induced changes in M1 of either hemisphere during anodal or cathodal stimulation (Antal et al., 2011), whereas other studies demonstrated an increase in task-related activity as an after-effect of anodal stimulation (Stagg et al., 2009) or a decrease as an after-effect of cathodal stimulation (Baudewig et al., 2001). Remote effects in nonprimary motor areas and association cortices seem to be even more variable. However, small sample sizes and a wide spectrum of stimulation durations and intensities make it difficult to directly compare the results.

In addition to unihemispheric stimulation, bihemispheric or "dual" tDCS of left and right M1 has been described to enhance motor learning in healthy subjects (Vines et al., 2008) and to facilitate motor recovery in the chronic phase after stroke (Lindenberg et al., 2010, 2012a; Bolognini et al., 2011). This approach presumably upregulates M1 using anodal stimulation while downregulating the contralateral M1 with concurrent cathodal stimulation (Vines et al., 2008). However, direct comparisons of unihemispheric anodal versus dual stimulation are scarce, and the mechanisms underlying dual tDCS effects on motor system activity and functional connectivity have not yet been examined. Therefore, in this randomized sham-controlled study, we assessed neural correlates of bihemispheric and unihemispheric

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Lindenberg, Nachtigall et al. • Bihemispheric Motor Cortex Stimulation

tDCS using a cross-over design. We hypothesized that both active tDCS conditions would exert similar effects on task-related and resting-state fMRI in the left hemisphere due to identical anode placements (upregulation of activity and strengthening of connections, compared with sham). In contrast, we expected more pronounced dual effects in the right hemisphere due to varied cathode placements (downregulation of activity and functional uncoupling). Because older individuals suffering from stroke or cognitive impairment are the main target group in present and future clinical tDCS trials, a group of healthy older adults was chosen.

Materials and Methods

Twenty healthy older subjects participated in this study (10 women; mean age \pm SD 68.2 \pm 5.0 years; range 61–77). They were all righthanded according to the Edinburgh inventory (Oldfield, 1971). None of the subjects reported use of psychoactive medication or recreational drugs, and none of them had a history of neurological or psychiatric disorders. Using the neuropsychological test battery of the Consortium to Establish a Registry for Alzheimer's Disease (CERAD-Plus; www. memoryclinic.ch), we assured normal cognitive functioning in all participants (scores within \pm 1.5 SD of the mean for the CERAD normative sample in all subtests).

In a crossover within-subjects design, subjects participated in three identical MRI sessions with concurrent dual, anodal or sham tDCS, separated by at least 1 week to prevent carry-over effects. The order of stimulation conditions was balanced across the group so that each order was used for 3–4 participants. The study was approved by the local ethics committee, conducted in accordance with the Declaration of Helsinki, and written informed consent was obtained from all participants.

Transcranial direct current stimulation. Direct current was delivered through a battery-driven MRI-compatible stimulator (DC-Stimulator Plus, NeuroConn) positioned outside the scanner room. Filter boxes (absorbing radio frequency noise) inside and outside the scanner room were placed between stimulator and electrodes, and cables were equipped with 5 k Ω resistors as described previously (Antal et al., 2011; Meinzer et al., 2012). The anode was inserted in a 5×7 cm² salinesoaked synthetic sponge and centered over left M1 according to position C3 of the 10-20 EEG system in all stimulation conditions. For dual stimulation, the cathode was placed over the right M1, according to position C4 (cf. Lindenberg et al., 2010). In the anodal condition, the cathode served as a reference electrode $(10 \times 10 \text{ cm}^2)$ placed over the contralateral supraorbital region. In the sham condition, the electrode setup was pseudo-randomly assigned to participants (either "dual" or "anodal") and balanced across the group. Correct electrode placement was verified on the T1-weighted images in every subject. In all conditions the current was increased over 10 s, eliciting an initial transient tingling sensation on the scalp. During sham stimulation, the current was turned off after 30 s. During active stimulation, a current of 1 mA was constantly delivered for 30 min during resting-state and task-related fMRI. In the sham condition, fMRI commenced after the current was turned off. In between resting-state (5 min) and choice reaction time task (10 min), subjects participated in an overt semantic word-retrieval task (10 min). During the latter task, participants were presented with six categories and asked to generate 10 different exemplars for each category (for a detailed description, see Meinzer et al., 2012).

MRI parameters. All participants underwent MRI using a 3-tesla Siemens Trio MR-System at the Berlin Center for Advanced Neuroimaging. We used a T2*-sensitive echo-planar imaging sequence covering the whole brain for task-related BOLD fMRI (TR/TA = 2000 ms, TE = 30 ms, flip angle: 90°, 32 transverse slices, gap: 0.75 mm, interleaved acquisition, voxel size: $3 \times 3 \times 3$ mm³; 306 volumes), and for resting-state BOLD-fMRI (TR/TA = 2300 ms, TE = 30 ms, flip angle: 90°, 34 transverse slices, no gap, interleaved acquisition, acquisition matrix: 64×64 , voxel size: $3 \times 3 \times 4$ mm³; 150 volumes). A T1-weighted sequence was acquired to facilitate normalization (TR/TA = 1900 ms, TE = 2.52 ms, 192 sagittal slices; isotropic voxels, size 1 mm³). An additional fluid attenuated inversion recovery sequence helped excluding structural ab-

normalities in all participants. Finally, we obtained a diffusion tensor imaging (DTI) sequence to compare functional results with measures of structural connectivity (TR = 7500 ms, TE = 86 ms, 61 axial slices, voxel size: $2.3 \times 2.3 \times 2.3 \times 2.3$ mm³;64 directions with a *b* value of 1000 s/mm² and 10 *b*0). Although fMRI and T1-weighted sequences were acquired *de novo* in all three sessions, subjects underwent DTI only during the sham condition to prevent potential tDCS-induced changes in DTI parameters.

Choice reaction time task. Similar to a previous study (Kloppel et al., 2007), subjects were presented with three different symbols in the center of the visual field and instructed to respond with button presses using either their right or left index fingers, or to withhold a response (no-go condition). The symbols carried no directional information (triangle, square, and hexagon). Symbols were shown for 1000 ms, followed by a blank screen of variable duration. Each symbol was presented 52 times, and there were 52 null events (blank screen) interspersed among the visual cues. The order of events and interstimulus intervals (ISI) were randomized. We used a jittered ISI ranging between 2000 and 5600 ms with an exponential distribution of ISI durations (more short than long ISIs), resulting in a task duration of 604 s (i.e., 302 EPI volumes). Subjects practiced the task before entering the scanner room for \sim 5 min. We deliberately chose this simple visually cued choice reaction time task (Kloppel et al., 2007) to prevent potential tDCS-induced behavioral effects because we intended to detect activity changes caused by the stimulation that could not be explained by performance.

Task-related fMRI data analysis. For the analysis of task-related fMRI data, SPM8 (Wellcome Department of Imaging Neuroscience, London, UK) implemented in MATLAB (Mathworks) was used. Preprocessing comprised motion correction, spatial normalization, and spatial smoothing with a Gaussian kernel of 8 mm (FWHM). Before estimation of the statistical model a high-pass filter of 128 s was applied.

The effects of all three sessions were determined in a single event related-model at the individual subject level to account for sessionspecific effects. The design matrix for the statistical analysis comprised four covariates-of-interest (left tap, right tap, no-go, null) as well as covariates-of-no-interest (movement parameters). The onset of the regressors "left tap" and "right tap" was set to the button presses, whereas the onset of the regressor "no-go" was set to the stimulus presentation. Errors (omissions or false alarms) were modeled as additional regressors; therefore, the analysis comprised only correct trials. Contrasts of interest were estimated for each subject and session (left tap or right tap or no-go versus implicit baseline), and entered into a random effects group analysis with "subject" as random factor. Due to excessive movement one subject had to be excluded from the analysis.

In addition to whole brain statistics, we conducted region-of-interest (ROI) analyses using the Anatomy Toolbox (Eickhoff et al., 2005) implemented in SPM8. We extracted mean β values of primary motor cortices (Brodmann area, BA 4p, which comprised the center of clusters associated with left and right tap) and premotor areas (BA 6) bilaterally for the three contrasts of interest in all three imaging sessions. Differences of contrast-specific β values between sessions were analyzed using repeated-measure ANOVAs with *post hoc* pairwise comparisons (Bonferroni-corrected).

Even though the analysis of data acquired during the verbal fluency task was beyond the scope of this paper, we also assessed the impact of the two active stimulation conditions versus sham on M1 activity during this task using the same ROIs as above (for a detailed description, see Meinzer et al., 2012). This analysis explored whether potential effects of tDCS on motor activity during the language task may explain effects observed during the subsequent motor task (cf. Antal et al., 2007). Two subjects had to be excluded in this analysis due to movement artifacts during the overt word generation (the same subject excluded in the motor task and an additional subject).

Resting-state data analysis. We analyzed the resting-state fMRI data using eigenvector centrality mapping (ECM), an established graph-theory based approach implemented in LIPSIA (Lohmann et al., 2010). In short, ECM allows for a quantitative characterization of network structures by determining the interconnectedness of central brain regions ("hubs") across the entire brain without requiring any a priori

assumptions (Bonacich, 2007). This characterization comprises eigenvector centrality values, which are assigned to each voxel according to its connection strength to other voxels central within a network; higher values indicate stronger connections (Lohmann et al., 2010; Taubert et al., 2011). In line with previous work from our group (Meinzer et al., 2012), we were specifically interested in low-frequency fluctuations, which have been shown to be valuable for assessing interregional dependencies (Salvador et al., 2005). Preprocessing steps included motion correction, spatial normalization to the Lipsia template (voxel size $3 \times 3 \times 3 \text{ mm}^3$), bandpass filtering at 1/90 s, and spatial smoothing with a Gaussian kernel of 6 mm.

To specifically analyze gray matter structures, we used the binary mask of a study-specific template created with FMRIB Software Library (FSL) via segmentation of the T1-weighted images (Smith et al., 2004). Voxelwise spectral coherence analyses were conducted on a single subject level for frequency intervals of 0.03–0.06 Hz for the two active tDCS and sham conditions separately (Meinzer et al., 2012). After *z*-transformation of the resulting matrices to obtain a Gaussian normal distribution (Lohmann et al., 2010), the spectral bands were averaged on an individual basis, and the resulting mean images were compared between the three stimulation conditions by whole-brain pairwise *t* tests. Clusters were considered significant at *p* < 0.05, corrected for multiple-comparisons using a Monte-Carlo simulation (Lohmann et al., 2010).

Probabilistic tractography and correlations with fMRI. FSL was used for preprocessing and fiber tracking (http://www.fmrib.ox.ac.uk/fsl). We applied a 3D affine registration to correct for eddy currents and head motion, performed brain extraction, and calculated a probability distribution of fiber directions for each voxel, allowing estimates of two directions per voxel (Behrens et al., 2007). Because we were specifically interested in connections between M1 hand areas, we chose an approach described previously (Wahl et al., 2007): cubic ROIs were drawn on the individual fractional anisotropy (FA) images in native diffusion space in the white matter underlying the hand knob, and connections between those ROIs were tracked from left to right and vice versa. Sagittal exclusion masks were placed along the midline dorsal to the corpus callosum and axial exclusion masks caudal to the corpus callosum. To test for specificity, we additionally reconstructed the pyramidal tract in both hemispheres using a seed region in the anterior pons as well as waypoint masks in the ipsilateral posterior limb of the internal capsule and the cubic subcortical white matter ROIs also used for tracing transcallosal connections (Lindenberg et al., 2012b; Ruber et al., 2012). The resulting probabilistic streamlines were constrained to voxels with >10% of the individual tract-specific connection probability, and binarized to define tract masks. The masks of left-right and right-left streamlines were then merged to extract tract-specific FA in native space (only non-zero values) for correlation analyses of DTI and fMRI parameters.

Correlation between structure and function. To assess whether some of the variance in expected tDCS-induced modulations of fMRI activation patterns would be explained by the microstructural status of transcallosal motor fibers, we conducted correlation analyses between extracted FA values and the change in laterality indices (LI) of left and right tap. The following equation was used to calculate LI (Seghier, 2008): LI = (contralateral – ipsilateral) / (|contralateral| + |ipsilateral|), where "contralateral or ipsilateral" refer to β values extracted from M1 contralateral to the moving hand. The resulting values range between –1 and 1 with positive values indicating stronger activation of the M1 ipsilateral to the moving hand, and negative values indicating stronger activation of the M1 ipsilateral to the moving hand. tDCS-induced changes in laterality were then defined as: $LI_{dual} - LI_{sham}$ and LI_{anodal} – LI_{sham} to perform the above correlation analyses.

Results

No adverse effects were reported by the subjects or observed by the investigators during or after the stimulation. Subjects were not able to differentiate between the active and sham stimulation conditions, as indicated by questionnaire.



Figure 1. Whole brain conjunction analyses [dual \cap anodal \cap sham]. Results of the taskrelated analysis; surface renderings of clusters associated with (*A*) right and (*B*) left index finger tap compared with the implicit baseline (blank screen). Images are thresholded at p < 0.05, corrected for family-wise error. L, Left; R, right hemisphere.

Choice reaction time task: behavioral analysis

Repeated-measure ANOVAs demonstrated that there was no effect of stimulation condition on the number of errors [left tap: $F_{(2,36)} = 0.174$, p = 0.841; tap right: $F_{(2,36)} = 0.453$, p = 0.639; no-go: $F_{(2,36)} = 1.481$, p = 0.241] or reaction times [left tap: $F_{(2,36)} = 1.567$, p = 0.223; right tap: $F_{(2,36)} = 0.889$, p = 0.420]. These results are in line with previous studies that used simple motor tasks (Kloppel et al., 2007) and allowed us to investigate the neural correlates of stimulation without interaction with behavioral effects.

Task-related fMRI

The whole brain analyses revealed a highly consistent pattern of activation clusters across the three stimulation conditions (Fig. 1). We thus report the results of a conjunction analyses [dual \cap anodal \cap sham] in the following (Nichols et al., 2005). When subjects tapped with their right index fingers, clusters were found in left M1 and SMA as well as in the left fusiform gyrus. Left tap yielded most prominent clusters in the right M1 and SMA as well as additional left-hemisphere clusters in the inferior parietal lobule, fusiform gyrus, and dorsal premotor cortex (PMd). In the no-go condition, positive activation changes involved bilateral inferior and middle occipital gyri (all p < 0.05, FWE-corrected on the voxel level). A more lenient threshold revealed additional clusters in the left SMA and inferior parietal lobule (p < 0.001, uncorrected).

The ROI analysis (Fig. 2) demonstrated that cortical activations during right tap showed an effect of stimulation condition in *right* BA 4 [$F_{(2,36)} = 4.505$, p = 0.018]. *Post hoc* tests applying Bonferroni correction revealed a difference between dual and anodal stimulation with higher β values during dual tDCS (p =0.046), but no differences between dual and sham stimulation (p = 0.345) or anodal and sham stimulation (p = 0.415). In the *left* BA 4, no tDCS-induced differences were found when subjects tapped with their right index fingers [$F_{(2,36)} = 2.157$, p = 0.130].

For left tap, an effect of stimulation became apparent in both *right* BA 4 [$F_{(2,36)} = 5.478$, p = 0.008] and in *left* BA 4 [$F_{(2,36)} = 3.711$, p = 0.034]. *Post hoc* pairwise comparisons using Bonferroni correction demonstrated higher β values of dual compared with anodal stimulation bilaterally (left BA 4, p = 0.034; right BA 4, p = 0.008). No differences were found between β values of anodal and sham stimulation in left BA 4 (p = 0.128) or right BA 4 (p = 0.197). Similarly, no differences between dual and sham stimulation became apparent in left BA 4 (p = 1.000) or right BA 4 (p = 0.632).



Figure 2. ROI analysis. Comparing task-related β values of left index finger tap ("tap L"), right index finger tap ("tap R") and no-go during dual, anodal and sham tDCS.

Neither right tap (all p > 0.54) nor left tap (all p > 0.05) yielded significant differences between the stimulation conditions in left or right BA 6. Furthermore, no effect of stimulation was found in BA 4 or BA 6 in either hemisphere for the no-go condition (all p > 0.11).

With regard to the word-retrieval task, no effect of stimulation was observed in BA 4 in either hemisphere (left: $F_{(2,34)} = 0.372$, p = 0.692; right: $F_{(2,34)} = 0.929$, p = 0.405) or BA 6 (left: $F_{(2,34)} =$ 1.339, p = 0.276; right: $F_{(2,34)} = 1.474$, p = 0.243). None of the *post hoc* comparisons yielded significant results (all p > 0.28, Bonferroni-corrected).

Association between DTI parameters and tDCS-induced functional changes

Correlation analyses between FA values of transcallosal connections and tDCS-induced LI change yielded significant results for left tap (dual: r = 0.47, p = 0.043; anodal: r = 0.73, p < 0.001), whereas FA values did not correlate with LI changes during right tap (dual: r = 0.05, p = 0.840; anodal: r = 0.07, p = 0.696) (Fig. 3). tDCS-induced LI changes differed between dual and anodal conditions for right tap ($t_{(18)} = -2.98$, p = 0.008), but not for left tap ($t_{(18)} = 0.50$, p = 0.620).

There were no significant correlations between LI changes and FA values of pyramidal tracts in either hemisphere. In more detail, LI change during dual tDCS did not correlate with FA of left (left tap: r = 0.039, p = 0.875; right tap: r = 0.021, p = 0.731) or right pyramidal tracts (left tap: r = 0.085, p = 0.731; right tap: r = -0.076, p = 0.759). Similarly, anodal tDCS-induced LI change did not correlate with FA of left (left tap: r = 0.385, p = 0.104; right tap: r = 0.219, p = 0.389) or right pyramidal tracts (left tap: r = 0.301, p = 0.210; right tap: r = 0.186, p = 0.445).

Resting-state fMRI

The resting-state data analysis demonstrated specific differences of low-frequency fluctuations between the three stimulation conditions. Comparing dual with sham stimulation, clusters of significantly higher ECM values were found in bilateral dorsolateral prefrontal cortices (DLPFC) and adjacent PMd, in the left dorsal posterior cingulate cortex (dPCC) and temporoparieto-occipital junction during dual tDCS. In contrast, dual tDCS induced a reduction of ECM values in the right frontal and parietal operculum, bilateral hippocampi/parahippocampal gyri and the cerebellum when compared with sham (Table 1; Fig. 4). The comparison of anodal with sham stimulation yielded clusters of higher ECM values in the left supplementary motor are (SMA), left DLPFC (extending to the ipsilateral rostral frontal area), the left temporoparieto-occipital junction, and the right cuneus during unihemispheric anodal stimulation. Reduced ECM values were found in the right sensorimotor cortex, the left anterior/middle cingulate gyrus, right insula (extending to the ipsilateral parietal operculum), and bilaterally in the hippocampus and parahippocampal gyrus when anodal was compared with sham tDCS (Table 1; Fig. 4).

Finally, the comparison of dual and anodal tDCS revealed higher ECM values in the left dPCC and adjacent SMA during dual stimulation and the vermis of the cerebellum during anodal stimulation (all p < 0.05, Monte-Carlo corrected).

Discussion

This sham-controlled randomized study revealed differential effects of bihemispheric versus unihemispheric motor cortex stimulation on task-related and resting-state fMRI in healthy older adults. Independent of performance, task-related activity was stronger in bilateral M1 during dual compared with unihemispheric anodal tDCS when subjects tapped with left or right index fingers. tDCS-induced activity changes were partly explained by microstructural properties of transcallosal motor fibers, indicating the importance of interhemispheric interactions for tDCS effects. Furthermore, both active tDCS conditions counteracted the age-related lack of inhibition of the nondominant hemisphere during resting-state fMRI, whereas dual tDCS selectively modulated connectivity of the cingulate cortex.

Task-related activity

The main finding of our task-related analysis was more pronounced activity in bilateral M1 during dual compared with unihemispheric anodal tDCS. Those results were specific for M1, because tDCS did not yield significant changes in premotor cortices. We deliberately chose a simple task, in which performance was not modified by tDCS. If behavior had been influenced by tDCS, it would have been virtually impossible to disentangle tDCS and performance effects on BOLD signal changes. Based on electrophysiological investigations that suggest differential effects of anodal and cathodal tDCS on M1 excitability (Mordillo-Mateos et al., 2012), concordant modulations of activity in



Figure 3. Correlations of laterality indices and fractional anisotropy. Correlating FA of transcallosal motor fibers and task-related laterality index changes induced by (*A*) dual and (*B*) anodal tDCS. Tap L, Left index finger tap; tap R, right index finger tap.

bilateral M1 in our study seemed surprising at first glance. We had originally hypothesized that cathodal tDCS of right M1 (concurrently applied with anodal tDCS of left M1 in the dual setup) would yield a more focused pattern of brain activation in this area. However, our results suggest that dual tDCS not simply exerts mutually amplifying "anodal plus cathodal" effects. Likewise, because left M1 received anodal stimulation in both active tDCS conditions, differential effects of anodal and dual tDCS observed in left M1 cannot be merely explained by "direct" polarity-specific alterations of the cortex underneath the electrodes, but rather by modulations of interhemispheric interactions affecting bilateral M1. It should be noted in this context that the interpretation of task-related BOLD signal changes is challenging because both "activation" and "inhibition" can potentially yield BOLD signal increases (Lauritzen et al., 2012). As a complement to the task-related data, our resting state analysis (discussed in detail below) demonstrated connectivity increases in prefrontal areas ipsilateral to the anode and decreases in several areas contralateral to the anode including M1. Those results point toward rebalancing effects on age-dependent deterioration of interhemispheric inhibition (Vallesi et al., 2010), and the observed task-related dual effects can be interpreted as complex, synergistic bihemispheric modulations.

Table 1. Resting-state ECM analysis: results of paired t tests

Region	k _E	Z value	X	у	Ζ
Dual versus sham					
L middle frontal gyrus	31	3.81	-38	42	26
L superior/middle frontal gyrus	33	3.43	-17	18	50
L dorsal posterior cingulate cortex	61	3.76	0	-36	26
R frontal operculum/insula	38	-4.46	46	-3	15
R parietal operculum/insula	46	-3.51	43	-18	20
R hippocampus/parahippocampal gyrus	44	-4.45	17	-24	-10
Culmen (cerebellum)	39	-3.68	0	-48	-2
Anodal versus sham					
L caudate nucleus	41	4.71	-17	27	9
L middle occipital/fusiform gyrus	34	3.57	-38	-60	-2
L middle/inferior frontal gyrus	73	3.40	-49	45	1
R precentral gyrus (somatosensory)	45	-3.78	17	-27	64
R hippocampus/parahippocampal gyrus	33	-3.69	14	-24	-10
R middle/inferior temporal gyrus	35	-3.52	49	-39	6
R precuneus	87	-3.47	6	-66	28
Dual versus anodal					
L dorsal posterior cingulate cortex	35	3.46	-6	-30	31
Culmen (cerebellum)	61	-3.70	-3	-63	-2

Location and size of clusters with significantly increased (positive Z values) or decreased (negative Z values) Eigenvector centrality contrasting the tDCS conditions.

 k_E = cluster extent (number of voxels); x-y-z = coordinates of peak voxels in Talairach space.

Lindenberg, Nachtigall et al. • Bihemispheric Motor Cortex Stimulation

J. Neurosci., May 22, 2013 • 33(21):9176-9183 • 9181



Figure 4. Eigenvector centrality mapping analysis. Paired *t* tests of whole brain low-frequency fluctuation contrasting (**A**) dual versus sham tDCS [Talairach coordinates of slices are x = -39; x = -2; y = -20; x = 45], (**B**) anodal versus sham tDCS [x = -39; x = 4; y = -28; z = 62], and (**C**) dual versus anodal tDCS [x = 0]. Voxels were considered significant at p < 0.05, corrected for multiple-comparisons using Monte-Carlo simulations. Clusters that exhibited enhanced ECM values are depicted in yellow to red (Z = 0 to Z = 4.71), those that exhibited decreased values in light to dark blue (Z = 0 to Z = -4.46).

Correlations between tDCS-induced laterality changes and microstructure of transcallosal M1 connections seen in our study further underline the importance of interhemispheric interplay. Interestingly, significant correlations between FA and changes in laterality were only observed for left tap, which fits well with the hypothesis that tDCS-induced effects on the M1 contralateral to the anode position are mediated by transcallosal fibers (Perez and Cohen, 2009). FA reductions have been linked to age-related white matter degeneration (Sullivan et al., 2010) also present in our group of older subjects (compare FA values with those of young healthy subjects) (Wahl et al., 2007), and more pronounced microstructural deterioration was associated with reduced effects of the stimulation (cf. Lindenberg et al., 2012b).

Two previous studies investigated task-related activity changes of unihemispheric tDCS using simultaneous fMRI and 2 min of continuous stimulation (Kwon and Jang, 2011) or on/off paradigms with even shorter durations (Antal et al., 2011). In our study, the task commenced after direct current had been applied constantly for ~ 20 min and continued throughout the task. Furthermore, we recruited older adults, whereas the previous investigations included younger subjects. Such methodological differences as well as heterogeneous tasks and fMRI designs render direct comparison difficult. During sham, task-related activity yielded intermediate values in between changes induced by dual and anodal tDCS. However, due to substantial interindividual variability (see SEM in Fig. 2), activity in M1 during sham tDCS was not significantly different from either of the active stimulation conditions, as described previously for unihemispheric tDCS (Antal et al., 2011). Therefore, it is even more remarkable that the comparison of unihemispheric and bihemispheric tDCS yielded highly significant results in this study.

A potential limitation of our study may be possible interactions between word-generation and subsequent choice reaction time tasks because cognitive and motor exercises have been shown to change M1 excitability differentially (Antal et al., 2007). However, we demonstrated that M1 *activity* was not influenced by tDCS during the language task participants underwent before performing the motor task. In addition, interactions would have been similar during the two active stimulation conditions in our study. Since in our main analysis of the choice reaction time task-related data, we directly compared those tDCS conditions with each other, it seems unlikely that potential interactions confounded this aspect of the results.

Low-frequency oscillations

The resting-state analysis demonstrated altered connectivity within a multimodal network using an approach that allows investigating spontaneous low-frequency oscillations across the entire brain without a priori hypotheses (Lohmann et al., 2010). Both anodal and dual tDCS yielded widespread polaritydependent network modulations. Compared with sham, various cortical and subcortical left-hemispheric areas (i.e., ipsilateral to the anode during both active tDCS conditions) showed increased ECM values, whereas a decrease was found in several righthemispheric regions (i.e., contralateral to the anode during both conditions and ipsilateral to the cathode during dual tDCS). Contrary, anodal tDCS applied to the left inferior frontal cortex yielded network-specific increases and decreases of ECM values in both hemispheres (Meinzer et al., 2012). Therefore, polaritydependent intrahemispheric modulations of low-frequency fluctuations cannot be considered a general effect of tDCS, but may be specific for M1 stimulation. More specifically, anodal and dual tDCS yielded a decrease of ECM values in right motor cortices compared with sham, indicating a functional decoupling of those areas. Similar effects have been described previously for cathodal tDCS using a seed-based approach (Polania et al., 2011a). As a complement, anodal tDCS has been shown to yield reductions in remote cortical connections of the target M1 using graphtheoretical analyses of resting-state data (Polania et al., 2011c). Notably, although unihemispheric anodal tDCS induced changes in the vicinity of the hand knob (i.e., homologous to the contralateral stimulation site) in our current study, dual tDCS effects were observed in opercular regions. Together with alterations of task-related activity and effects discussed below, this points toward more complex bihemispheric modulations caused by dual tDCS.

Previous resting-state fMRI studies demonstrated decreased functional connectivity of cingulate and premotor areas (Wu et al., 2007) and reduced interhemispheric inhibition in aging (Langan et al., 2010). In this context, tDCS-induced connectivity decreases in M1 and premotor areas of the right hemisphere and ipsilateral hippocampus (i.e., contralateral to the anode in both the dual and anodal tDCS condition), observed in our group of older subjects can be interpreted as reversal of age-related effects on interhemispheric connectivity. "Rebalancing" an imbalance in interhemispheric interplay has also been proposed to be an important feature of tDCS interventions after stroke (Schlaug et al., 2008). It provides the basis for downregulating the contral-

esional M1 in combination with upregulating ipsilesional M1 spared by the lesion in chronic stroke patients (Lindenberg et al., 2010; Bolognini et al., 2011).

In addition to decreased connectivity in regions of the right hemisphere, an increase of ECM values could be observed in the contralateral rostral and dorsolateral prefrontal cortex, i.e., ipsilateral to the anode in both active stimulation conditions. This region is part of a "frontoparietal control network" that links default mode and attention networks (Spreng et al., 2013), so that tDCS-induced modulations can be interpreted as a networkindependent cross-modal effects.

Most strikingly, however, dual tDCS resulted in significantly higher ECM values in the left cingulate cortex compared with sham or anodal tDCS. The cluster comprised the posterior border of the caudal cingulate motor area and extended into the dPCC. This latter region is tightly connected with the cingulate motor areas, which in turn project to premotor cortices (Vogt et al., 2006). Hence, our data indicate that the concurrent modulation of bihemispheric M1 excitability yields a strengthening of the dPCC as a major hub that "regulates skeletomotor functions" (Vogt et al., 2006), a unique feature of dual tDCS.

With physiological aging the PCC becomes less connected structurally (Zhu et al., 2012) and functionally (Andrews-Hanna et al., 2007), a finding even more prominent in neurodegenerative dementia (Greicius et al., 2004). Therefore, future studies may explore potential beneficial dual tDCS effects on cognition as well.

Conclusions

This study suggests that both anodal and dual tDCS can potentially be used to counteract age-related impairment of interhemispheric interactions. Unihemispheric lesions of the motor system yield an even more pronounced imbalance of interhemispheric interactions (Murase et al., 2004), which explains the beneficial effects of unihemispheric (Hummel et al., 2005) or bihemispheric tDCS (Lindenberg et al., 2010) on motor recovery after stroke. Differential effects of bihemispheric compared with unihemispheric stimulation may not merely be mediated by a "simple" add-on effect of anodal and cathodal stimulation, but rather due to synergistic bihemispheric network modulations involving the left dPCC, a major hub mediating motor control.

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Lindenberg, Nachtigall et al. • Bihemispheric Motor Cortex Stimulation

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Lebenslauf

Mein Lebenslauf wird aus datenschutzrechtlichen Gründen in der elektronischen Version meiner Arbeit nicht veröffentlicht.

Publikationsliste

Lindenberg, R., Nachtigall, L., et al. (2013). "Differential effects of dual and unihemispheric motor cortex stimulation in older adults." <u>J Neurosci</u> **33**(21): 9176-9183.

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