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Applicability of Transition State Theory to the (Proton-Coupled) Electron Transfer in Photosynthetic Water Oxidation with Emphasis on the Entropy of Activation

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Abstract: Recent advancements in the study of the protein complex photosystem II have clarified the sequence of events leading to the formation of oxygen during the $S_3 \rightarrow S_4 \rightarrow S_0$ transition, wherein the inorganic Mn₄Ca(µ-O)₆(OH_x)₄ cluster finishes photo-catalyzing the water splitting reaction (Greife et al., Nature 2023, 617, 623–628; Bhowmick et al., Nature 2023, 617, 629–636). During this final step, a tyrosine radical (Tyr_Z), stable for a couple of milliseconds, oxidizes a cluster-bound oxygen while the hydrogen bonding patterns of nearby waters shift a proton away. A treatment of this redox reaction within the context of accepted transition state theories predicts rate constants that are significantly higher than experimentally recovered values (10^{12} s^{-1} versus 10^3 s^{-1}). In an effort to understand this disparity, temperature-dependent experiments have revealed large entropic contributions to the rates with only a moderate enthalpy of activation. We suggest that the entropic source may be related to the observed proton rearrangements, and further possible near isoenergetic variations in the nearby extended H-bonding network delaying the realization of an 'ideal' transition state. In the following, we explore this relation in the context of Eyring's transition state theory and Marcus' electron transfer theory and evaluate their compatibility with the experimental evidence.

Keywords: photosystem II; oxygen evolution reaction; proton-coupled electron transfer; transition state theory

1. Introduction

In oxygenic photosynthesis, water is oxidized at a $Mn_4Ca(\mu-O)_6(OH_x)_4$ complex (denoted here as the Mn_4Ca -cluster) bound to the proteins of photosystem II (PSII) [1,2]. Light drives water oxidation at the Mn_4Ca -cluster indirectly [3,4], with a primary charge separation occurring at the electron donor side of PSII resulting in the formation of a delocalized chlorophyll cation radical (P680⁺). This is followed by the oxidation of a specific tyrosine residue, denoted as Tyr_Z or Y_Z . The subsequent electron transfer (ET) steps from the Mn₄Ca cluster to Tyr_Z^{ox} are closely related to the water oxidation chemistry coupled with proton movements over a large area on various length scales, as can be seen in Figure 1. Herein, we will discuss, in a broad sense, whether the Mn_4Ca cluster– Tyr_Z^{ox} ET can be described favorably by transition state (TS) theory in the framework of the Eyring–Polanyi equation [5–7], or whether elements of ET theories, e.g., the well-known semi-classical Marcus theory [8,9], are applicable or even essential for a correct description. We note that the following Results and Discussion section corresponds in parts to Sections SII.8 and SII.9 of the Supplementary Material of Greife et al. [10]. Our discussion specifically addresses the water oxidation reaction (or oxygen evolution reaction) in PSII, but it may, in parts, also be of relevance for the redox reactions of other proteins, molecules, and redox-active electrodes in electrocatalysis.



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Figure 1. H-bonded protein–water network surrounding the Mn_4Ca cluster of PSII (S₃-state structure, PDB 8EZ5 of *T. elongatus* [11], rendered in Pymol). The water molecules ligated to Mn1 and Ca (W1, W2, W3, and W4) are part of an extended H-bonding network reaching from the redox-active Tyr_Z towards the Glu65/Glu312 'proton gate'. H-bond distances of less than or equal to 3.4 Å are indicated by dashed yellow lines. The depicted amino-acid sidechains are either part of the PsbA (D1) or PsbD (D2) subunits; Tyr_Z denotes Tyr161 of the PsbA subunit.

2. Results and Discussion

2.1. TS Theory Applied to Oxygen-Evolution Step of PSII

In Eyring's TS theory, the reaction rate (k_{TST}) is governed by the Eyring–Polanyi equation [5,6] involving the entropy (ΔS^{\ddagger}) and enthalpy (ΔH^{\ddagger}) of activation:

$$k_{TST} = \frac{k_B T}{h} \kappa e^{\frac{\Delta S^{\ddagger}}{k_B}} e^{\frac{-\Delta H^{\ddagger}}{k_B T}}$$
(1)

with $k_{\rm B}$, h, and T denoting the Boltzmann constant, the Planck constant, and the absolute temperature in Kelvin. The κ parameter describes the transmission probability, i.e., the probability that the reaction will occur once the TS is reached; typically, κ -values of 0.5 or 1.0 are assumed to be reasonable [5,6]. In Equation (1), the activation enthalpy, ΔH^{\ddagger} , describes the temperature dependence of the rate constant and is closely related to the activation energy, E_a , as determined by conventional Arrhenius analysis ($\Delta H^{\ddagger} \approx E_a - \kappa_B T_0$, where the difference between ΔH^{\ddagger} and E_a stems from the weak temperature dependence of $\frac{k_B T}{h}$ in Equation (1), as can be seen in ref. [12]). The activation entropy, ΔS^{\ddagger} , is usually a negative number that corresponds to a temperature-independent reduction in the $k_{\rm TST}$.

In research on PSII water oxidation, Equation (1) has been applied to the rate constant of the oxygen-evolution transition [10,13,14], which is initiated by the third flash of saturating light applied to dark-adapted PSII [15]. The oxygen evolution transition is referred to as the $S_3 \rightarrow S_0$ transition and involves an ET from the Mn₄Ca-cluster to Tyr_Z^{ox}. This ET step precedes the O–O bond formation and O₂-release. It is generally believed that the rate-determining step of the overall reaction is the Mn₄Ca-cluster—Tyr_Z^{ox} ET step, which we suggested to be coupled to the movement of four protons [10], as can be seen in Figure 2.



Figure 2. Scheme of the electron transfer between O6 and Tyr_Z with accompanying proton rearrangements as reported in Greife et al. [10]. Alongside the ET, resulting in the oxidation of O6, three protons move within an H-bond (from H-bond donor to H-bond acceptor) shifting the protonation by about 7 Å from O6 to Asp61. This proton-coupled electron transfer deprotonates and oxidizes O6, thereby allowing for the subsequent peroxide formation between O5 and O6. The ET step is further coupled to the movement of a fourth proton from His190 to Tyr_Z (not shown).

Based on an experimental determination of the rate constants for the O₂-formation at temperatures ranging from -5 °C to 40 °C, we calculated (by application of Equation (1)) the enthalpy and entropy of activation of the rate-determining (proton-coupled) ET step for PSII of the thermophilic cyanobacterium *Thermosynechococcus elongatus* (*T. elongatus*), as can be seen in Extended Data Figure 5 and elsewhere in Greife et al. [10]. We obtained values for the activation enthalpy of 310 meV (7.15 kcal/mol) and the activation entropy of 284 meV (6.55 kcal/mol, value of $-T_0\Delta S^{\ddagger}$ for $T_0 = 293$ K), for $\kappa = 1$ [10]. A variation of the κ -value from 1.0 to 0.5 would leave the activation entropy.

For the PSII of *T. elongatus* and a closely related thermophilic cyanobacterium, *Thermosynechococcus vulcanus* (*T. vulcanus*), detailed crystallographic data are available, not only for the dark-stable state, but also for intermediates of the reaction cycle [11,16–20]. Due to the availability of atomic-resolution structures, computational studies on the mechanism of PSII water oxidation have mostly been based on coordinates obtained for PSII of *T. elongatus* or *T. vulcanus* [21–28]. Therefore, herein we focus on the enthalpy and entropy of activation experimentally determined for the O₂-formation step of *T. elongatus*.

The application of Equation (1) to experimental data is straightforward and has resulted in values for the enthalpy and entropy of activation for the rate-determining ET transfer step in the oxygen evolution transition. The question arises as to how the application of TS theory relates to ET theories.

2.2. Relation to ET Theory

In the framework of the Eyring–Polanyi equation, essentially instantaneous ET would have to occur whenever the appropriate nuclear coordinates are reached, corresponding to a κ -value near unity in Equation (1). In terms of non-adiabatic ET theory, however, the relocation of electron density (and thus spin density) does not always occur once a suitable nuclear geometry has been reached, but with a finite probability that is determined by the electron-tunneling distance. A tunneling probability well below the frequency factor in Equation (1) might mimic an entropic contribution to the activation energy. Therefore, the possibility of whether a non-adiabaticity of the ET step could significantly contribute to the experimentally determined entropy of activation is explored in the following.

Following Moser et al. [29], we estimate the tunneling distance as the molecular edgeto-edge distance between donor and acceptor moiety, where, herein, the acceptor moiety is the tyrosine radical and the donor is the Mn₄Ca cluster. The 'edges' or rather the extension of the relevant orbital systems are not obvious and cannot reliably be identified without using computational approaches. We calculated several potentially relevant interatomic (internuclear) distances, as can be seen in Figure 3 and Table 1. An internuclear distance close to 5.7 Å is obtained between Tyrz-O and O6. This distance could be especially relevant because, in the rate-limiting ET step of the $S_3 \rightarrow S_0$ transition, the formation of the O6[•] radical is facilitated by ET to the oxidized Tyr_Z, with a high spin density expected on the phenolic oxygen. This corresponds to a van der Waals tunneling distance of only about 2.5 Å (estimate obtained by subtracting a van der Waals radius of 1.6 Å [30] for each oxygen atom from the internuclear (Tyr_Z-)O–O6 distance). Other potentially relevant internuclear distances in Table 1 are even shorter, predicting a particularly high tunneling probability. According to Moser et al., the tunneling distance of 2.5 Å corresponds to a free-energy optimized rate constant for electron tunneling on the order of 10^{12} s⁻¹, or a time constant of 1 ps. The frequency that describes nuclear movements in the TS regime is about six times greater ($k_{\rm B}T/h$ in Equation (1), about 6 \times 10¹² s⁻¹ at 20 °C) suggesting that for oxygenevolution steps in PSII, a minor slow-down resulting from a limiting ET probability cannot be excluded. Staying in the framework of TS theory, such a slowdown could be described by a reduced transmission probability (reduced k-value), which would result in only a small reduction in the activation entropy that we calculated from experimental data using the Eyring–Polanyi equation (Equation (1)).



Figure 3. Shortest distances between the sidechain of Tyr161 (TyrZ) and the first-sphere ligand atoms (all oxygen atoms) of the Mn and Ca atoms of the Mn4Ca cluster in the S3 state (PDB 8EZ5 [11], rendered in PyMol). For seven distances, connecting lines are indicated starting at four oxygen atoms, which are W4, W3, O6, and one carboxylate oxygen of the ligating Asp170. The seven corresponding distances and further distances are indicated in Table 1 with comparative distances for the structure PDB 6DHO (2nd flash, predominantly S3 state) [18].

The above considerations involve several approximations and estimates. Presumably, the tunneling probability exceeds the above estimate of 10^{12} s⁻¹ because the 'medium' between the donor and acceptor moieties comprises atom groups (specifically W3 and W4) that could significantly lower the tunneling barrier. In addition, multiple parallel tunneling paths could also increase the overall tunneling probability. Thus, it is well conceivable that the adiabatic limit, where electron tunneling probabilities become irrelevant, is reached.

Atom	Distance to Y _Z O (Å)	
	8EZ5	6DHO
W4	2.7	2.9
	3.6 (to CZ ring)	3.7
W3	3.9	4
	4.1 (to CE2 ring)	4.2
Ca	4.6	4.7
(CA1-Asp170) OD2	4.9 (to CE2 ring)	5.1
	5.3	5.4
(CA1-Ala344) O	5.6	5.7
O6	5.7	5.7
(Mn4-Asp170) OD1	5.8 (to CE2 ring)	5.8
Ō1	6.1	6.2
(Mn1-Glu189) OE2	6.2	6.1
Mn1	7.0	7.1
Mn4	7.4	7.4
Mn2	7.7	7.7
Mn3	8.0	8.1

Table 1. Distances between atoms of the Mn_4Ca cluster and the nearest atoms of Tyr_Z for two S₃-state coordinates sets (8EZ5 of ref. [11], 6DHO of ref. [18]).

In summary, uncertainties remain regarding the exact numerical value of the activation entropy, because of possible limitations due to electron tunneling. However, it is likely that the limiting case of adiabatic ET is almost, or even fully reached. If the adiabatic ET limit were not reached, the activation entropy would be moderately lower than the value formally determined by the application of Equation (1) to experimental data. However, this does not affect the central conclusion that there is a pronounced entropic slowdown of the oxygen-evolution transition.

Based on minimum energy path (MEP) calculations, we proposed that the ET from the Mn_4Ca cluster to Tyr_Z^{ox} is coupled to the movement of four specific protons [10]. However, the used computational approach cannot clarify whether concerted ET and proton transfer (PT) is involved, that is, the simultaneous tunneling of the electron and one or more protons at the TS. This question is of relevance when assessing the significance of activation entropy formally calculated by the application of Equation (1) to experimental data, because, in the case of a truly concerted electron–proton transfer (CEPT), the proton tunneling probability could influence the reaction rate and mimic an entropic slowdown. However, the low value of the experimentally observed H/D kinetic isotope effect (about 1.2 [31,32]) clearly disfavors a limitation of the reaction rate by the proton-tunneling probability.

Further evidence supporting the entropic slowdown hypothesis comes from experimental indications for entropy-enthalpy compensation in the total free energy of activation of the oxygen-evolution step. In PSII of higher plants (spinach) at room temperature, the rate constant is very similar to that of the thermophilic cyanobacterium (*T. elongatus*). However, the Arrhenius activation energy, and consequently, the activation enthalpy, are significantly lower for the higher-plant PSII [14]. For the cyanobacterium *Synechocystis* sp. PCC 6803, again another combination of action enthalpy and entropy has been reported [13]. We propose that the compensation of the decreased activation enthalpy by an increased activation entropy explains the identical rate constant values for differing organisms at similar temperatures in the physiological temperature range. This entropy–enthalpy compensation in the activation energy of the oxygen-evolution step is still insufficiently understood and requires further investigation (work in progress). To this end, the experimental determination of the entropy and enthalpy of activation for various organisms as well as for PSII with genetically modified H-bond networks could address both (i) a possible physiological role in the genetic adaptation of various organisms to different environmental temperatures; and (ii) the elucidation of the molecular determinants (in terms of differing amino acid environments) of the experimentally observable shifts between the enthalpy and entropy

of activation, complemented by computational investigation. In general, entropy–enthalpy compensation is well known, albeit somewhat controversial, for the free energy of activation relating to various catalytic processes in biological and non-biological systems [33–39]. Irrespectively of the explanation of entropy–enthalpy compensation at the molecular level, finding entropy–enthalpy compensation in PSII oxygen evolution supports the existence of a major entropic contribution to the total free energy of activation, and it disfavors alternative explanations like a dominating role of electron or proton tunneling probabilities.

2.3. Origin of Activation Entropy and Its Relation to Marcus Theory

In PSII water oxidation, the environment of the two key protagonists, Tyr_Z and the Mn₄Ca cluster, is characterized by an extended network of H-bonded water molecules and amino-acid residues. See Figure 4 for a two-dimensional mapping of the H-bond network (HBN) within 20 A of the Mn_4Ca cluster. There are over 40 resolved waters to be found, grouped into several different water channels. Some of these groups are likely involved in proton and water transport and have been shown to be variable during the $S_3 \rightarrow S_0$ transition [10,11]. Similarly, it has been shown that a significant amount (>50,000) of quasi-isoenergetic protonation 'microstates' exist in bacterial photosynthetic reaction center photocycle intermediates [40]. We propose that multiple conformations, that is, multiple H-bond patterns with multiple orientations of water molecules and residues, can explain the experimentally found activation entropy in PSII. The weak interactions of this internal network could facilitate the observed compensation in a similar manner to what has been proposed in other systems with surface bound solvation water interactions [33,41–43]. The interplay between evolutionary optimized order (stabilization of favorable conformations) and thermally driven dynamics needs to be considered when discussing the functional relevance of the extended H-bonded protein–water network. Following Greife et al. [10] (Supplementary Information, section SII.9), we point out that:

(1) It is expected and has been verified by extensive molecular dynamics simulations on PSII that the protein internal H-bond networks (HBN) are highly dynamic, especially regarding water positions and H-bonding directionality, with a multitude of roughly isoenergetic conformations reached within nanoseconds at room temperature (see, e.g., ref. [44]). Even though these HBN dynamics may, in part, be conducive regarding PT reactions, they are largely 'inevitable' thermodynamic fluctuations.

(2) The arrangement of the water molecules in the extended H-bonded protein–water network surrounding the Mn_4CaO_5 cluster and the Tyr_Z is well resolved in the crystallographic structures determined at both cryogenic and room temperature. This implies that the HBN dynamics evolve around the mean positions of the individual nuclei that are detected by protein crystallography. The HBN and the related mean-value atom coordinates are likely evolutionarily optimized for efficient (fast) water oxidation.

In light of (1) and (2), the qualitative explanation for the energy of activation in Greife et al. [10] appears plausible: 'Although well-defined coordinates of individual oxygen atoms are resolved in crystal structures, the presence of an HBN that is at the same time and in every detail perfectly arranged for the here discussed proton-coupled ET, will still be a rare event. The limited probability to reach this perfect conformation of all atoms of the HBN explains the entropic contributions to the activation energy.'

We emphasize that, even if the optimal HBN conformation perfectly matches the atomic coordinates resolved by protein crystallography, it will still be rare that all atoms are simultaneously at their evolutionarily optimized positions at physiological temperatures.



Figure 4. Two-dimensional representation of the resolved extended H-bonded water (blue circles) and amino acid (red hexagons) network within 20 Å of the Mn_4Ca cluster. Distances less than 2.7 Å are indicated with a solid line while distances less than 3.3 Å are dashed (distances based of the structure PDB 8EZ5 [11]). A black line marks an association with a sidechain while a grey line indicates a backbone connection. The colored borders of water molecules represent participation in water channels possibly used for water and proton transport [20,28,45,46]. Only oxygen and nitrogen atoms were included in the analysis (H-bond distances measured between O/N donor and O/N acceptor). While the first-sphere ligands of the Mn_4Ca cluster were included in the analysis, connections within are not shown for simplicity. Numbering is based on the structure PDB 8EZ5 and Supplementary Table 1 of ref. [11]. Waters not present in the table are denoted by their chain and residue ID number.

3. Conclusions

Marcus and related theories on ET or CEPT reactions are powerful tools because they can predict rate constants based on a small number of clearly defined parameters, which are often experimentally accessible. The extended H-bonded protein–water network in PSII might be seen as a special case of the solvent environment that in Marcus theory is described involving a quadratic energy dependence with the outer-sphere reorganization energy being the (only) decisive parameter [9]. However, such an approximative treatment does not consider individual solvent molecules. Instead, Marcus theory assumes a homogeneous solvent environment which responds to charges at the redox factors by a linear dielectric response; the total energy of charge solvation is decisive for the contribution of the outer-sphere reorganization to the activation energy.

In contrast to the considerations on the ET in PSII of the Results and Discussion section, in Marcus theory, there is no special conformation of the solvent environment foreseen that uniquely supports the ET step; it is the total electrostatic solvation energy that matters, realized by a multitude of conformations of the solvent environment. Therefore, the underlying approximations of Marcus theory render its applicability to redox reactions in a protein environment with multiple conformations of a specific, evolutionary optimized protein–water network problematic, inter alia because it cannot easily capture the entropic contributions to the free energy of activation discussed herein. Whether the predictive power of ET theory can be fruitfully used regarding the contribution of inner-sphere processes of contributing redox factors (in PSII and other redox proteins), remains to be answered. In conclusion, the common assumption (in ET theories) of nuclear movements in approximately quadratic potentials needs to be scrutinized for redox factors surrounded by a fluctuating protein-internal HBN.

In summary, our considerations support the appropriateness of discussing the ratelimiting proton-coupled ET step in photosynthetic water oxidation in terms of Eyring's TS theory. We point out the important but apparently poorly understood role of activation entropy and hope that future work can shed more light on the interplay between order and statistical dynamics in PSII water oxidation, biological redox processes in general, homogeneous and heterogeneous catalysis.

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