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DISSERTATION

CCI-779 (Temsilolimus) exhibits increased anti-tumor activity in
low EGFR expressing HNSCC cell lines and is effective in cells
with acquired resistance to cisplatin or cetuximab

zur Erlangung des akademischen Grades
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Zusammenfassung

Die wesentlichen ätiologischen Risikofaktoren für Plattenepithelkarzinome des Kopf-Hals-Bereiches (SCCHN) sind der aktive Tabakkonsum bzw. die passive Exposition mit Tabakrauch, sowie Alkoholabusus. Zusätzlich häufen sich oropharyngeale Fälle aufgrund von zunehmenden Infektionen mit humanen Hochrisiko-Papillomaviren (HPV). Biologisch sind insbesondere HPV-negative SCCHN durch Mutationen im *TP53*, *CDKN2A* und Genen des PI3K/Akt/mTOR-Signalweges sowie Amplifikationen des epidermalen Wachstumsfaktor-Rezeptors EGFR charakterisiert.

Abhängig vom Krankheitsstadium finden chirurgische Maßnahmen, Strahlentherapie und Chemotherapie, allein oder in Kombination Anwendung, wobei für inoperable, lokal fortgeschrittene Tumore weiterhin die simultane Cisplatin-basierte Radiochemotherapie den Goldstandard darstellt. Für Patienten, die eine zytotoxische Chemotherapie nicht tolerieren, ist die Zugabe des anti-EGFR-Antikörpers Cetuximab zur Strahlentherapie die einzige von der FDA zugelassene Alternative seit 2006. Diese minimalen Verbesserungen der Behandlung haben das Überleben von Patienten mit fortgeschrittenen Stadium III-IV Tumoren verlängert, jedoch befinden sich die 5-Jahres-Überlebensraten weiterhin bei nur 50% oder darunter. Ein Hauptproblem stellen Rezidive mit erworbener Resistenz gegenüber Cisplatin und Cetuximab dar. Daher müssen zusätzliche molekulare Targets als Grundlage für neue therapeutische Ansätze evaluiert werden.

In dieser Doktorarbeit wurde der niedermolekulare Inhibitor Temsirolimus, welcher gezielt den PI3K/Akt/mTOR-Signalweg blockiert, *in vitro* an SCCHN Zelllinien getestet. Die initiale Fragestellung der Untersuchung war die Beurteilung des Radiosensitierungs-potenzials des mTOR-Inhibitors Temsirolimus (CCI-779). Primäre Experimente zeigten keine radiosensitierende Wirkung von Temsirolimus, jedoch eine hohe Aktivität des Wirkstoffes als Monotherapie in *TP53* mutierten Tumorzellen sowie Zellen mit primärer Cisplatinresistenz, die beide klinisch eine Herausforderung darstellen. Zusätzlich wurde die Rolle der EGFR-Expression im Zusammenhang mit CCI-779-Sensitivität untersucht und zeigte eine Assoziation von hoher EGFR-Expression mit Resistenz gegenüber Temsirolimus, welche durch kombinatorische Behandlung mit Cetuximab umgangen werden konnte. Um weiterhin zu testen ob auch

Zellen mit erworbener Resistenz gegenüber Cisplatin und Cetuximab auf Temsirolimus Behandlung ansprechen, wurden Resistenzmodelle durch langfristige medikamentöse Behandlung von primär sensitiven Zellen etabliert. Experimente zeigten, dass Zellen mit erworbener Cisplatinresistenz eine erhöhte Sensitivität gegenüber Temsirolimus aufwiesen, während das Ansprechen der Cetuximab-resistenten Zellen gegenüber Temsirolimus reduziert war, was wiederum durch kombinatorische Behandlung umgangen werden konnte [Niehr et al. 2015].

Abstract

The most important etiological risk factors for squamous cell carcinoma of the head and neck region (SCCHN) are exposure to tobacco and its smoke as well as heavy alcohol consumption. Additionally oropharyngeal cases are accumulating due to increasing incidence of high-risk human papillomavirus (HPV) infections. Biologically especially HPV-negative SCCHN are characterized by mutations in *TP53*, *CDKN2A*, and genes of the PI3K/Akt/mTOR pathway as well as amplifications of the epidermal growth factor receptor EGFR.

Depending on the disease stages, surgery, radiotherapy, and chemotherapy, alone or in combination are being used whereas for unresectable, locally advanced tumors the gold-standard is still cisplatin-based concurrent radiochemotherapy. For patients who cannot tolerate cytotoxic chemotherapy, addition of the anti-epidermal growth factor receptor antibody cetuximab to radiotherapy has been the only FDA approved option since 2006. These small improvements of treatment have prolonged survival of patients presenting with advanced stage III-IV tumors, but 5-year survival rates are still at 50% or below. A main problem represents the recurrent disease with acquired resistance to cisplatin and cetuximab. Therefore, additional targets have to be evaluated as groundwork for new therapeutic approaches.

In this doctoral research study the small molecule inhibitor temsirolimus targeting the PI3K/Akt /mTOR pathway has been tested *in vitro* in SCCHN cell lines. The initial focus of the study was the evaluation of the radiosensitizing potential of the mTOR inhibitor temsirolimus (CCI-779). Initial experiments revealed no radiosensitizing effects of temsirolimus but its high activity as single agent in *TP53* mutated tumor cells as well as cells with primary cisplatin resistance, which are both clinically challenging. Additionally, the role of EGFR expression in the context of CCI-779 sensitivity was studied and showed an association of high EGFR expression with resistance to temsirolimus, which could be circumvented by combinatorial treatment with cetuximab. To further test if also cells with acquired resistance to cisplatin and cetuximab respond to temsirolimus treatment, a model of acquired resistance was established through long-term drug treatment of primarily sensitive cells. Experiments showed that cells with acquired cisplatin resistance demonstrated an increased sensitivity to temsirolimus whereas the

sensitivity of cetuximab-resistant cells to temsirolimus was reduced, which again could be reversed by combinatorial treatment [Niehr et al. 2015].

Eidesstattliche Versicherung

„Ich, Franziska Niehr, versichere an Eides statt durch meine eigenhändige Unterschrift, dass ich die vorgelegte Dissertation mit dem Thema: „CCI-779 (Temsilolimus) exhibits increased anti-tumor activity in low EGFR expressing HNSCC cell lines and is effective in cells with acquired resistance to cisplatin or cetuximab“ selbstständig und ohne nicht offengelegte Hilfe Dritter verfasst und keine anderen als die angegebenen Quellen und Hilfsmittel genutzt habe.

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Mein Anteil an der ausgewählten Publikation entspricht dem, der in der untenstehenden gemeinsamen Erklärung mit dem/der Betreuer/in, angegeben ist.

Die Bedeutung dieser eidesstattlichen Versicherung und die strafrechtlichen Folgen einer unwahren eidesstattlichen Versicherung (§156,161 des Strafgesetzbuches) sind mir bekannt und bewusst.“

Datum

Unterschrift

Ausführliche Anteilserklärung an der erfolgten Publikation

Publikation:

Niehr F, Weichert W, Stenzinger A, Budach V, Tinhofer I.

CCI-779 (Temsirolimus) exhibits increased anti-tumor activity in low EGFR expressing HNSCC cell lines and is effective in cells with acquired resistance to cisplatin or cetuximab.

J Transl Med. 2015 April.

Planung der Experimente, Literaturrecherche (90%)

Realisierung und Durchführung der Experimente: Auswahl & Kultivierung der Zelllinien, Durchführung MTT und klonogene Assays, DNA Sequenzierung, RNA Expressions-Assays, Western Blot und durchflusszytometrische Analysen (90%)

Datenanalyse inklusive statistische Auswertung (90%)

Erstellung des Manuskripts (90%)

Korrespondenz und Korrekturen im Reviewverfahren (90%)

Unterschrift, Datum und Stempel des betreuenden Hochschullehrers/der betreuenden Hochschullehrerin

Unterschrift des Doktoranden/der Doktorandin

Journal Summary List (ISI Web of KnowledgeSM)

Fachzeitschriften der Kategorie: Medicine, Research & Experimental



Journal of Translational Medicine: *Platz 26 von 123*

Impact Factor: 3,930

Eigenfaktor: 0,018

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<input type="checkbox"/>	33	CURR MOL MED	1566-5240	3303	3.621	3.788	0.463	108	5.5	0.00825
<input type="checkbox"/>	34	EXP MOL MED	1226-3613	2212	3.446	2.831	0.661	56	5.6	0.00532
<input type="checkbox"/>	35	AM J TRANSPL RES	1943-8141	788	3.402	3.519	0.425	80	3.3	0.00320
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RESEARCH

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CCI-779 (Temsirolimus) exhibits increased anti-tumor activity in low EGFR expressing HNSCC cell lines and is effective in cells with acquired resistance to cisplatin or cetuximab

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Abstract

Background: The mammalian target of rapamycin (mTOR) signaling pathway plays a pivotal role in numerous cellular processes involving growth, proliferation and survival. The purpose of this study was to investigate the anti-tumoral effect of the mTOR inhibitor (mTORi) CCI-779 in HNSCC cell lines and its potency in cisplatin- and cetuximab-resistant cells.

Methods: A panel of 10 HNSCC cell lines with differences in *TP53* mutational status and basal cisplatin sensitivity and two isogenic models of acquired resistance to cisplatin and cetuximab, respectively were studied. Cell survival after treatment with CCI-779, cisplatin and cetuximab alone or in combination was determined by MTT assays. Potential predictive biomarkers for tumor cell sensitivity to CCI-779 were evaluated.

Results: We observed considerable heterogeneity in sensitivity of HNSCC cell lines to CCI-779 monotherapy. Sensitivity was observed in *TP53* mutated as well as wild-type cell lines. Total and p-EGFR expression levels but not the basal activity of the mTOR and MAPK signaling pathways were correlated with sensitivity to CCI-779. Resistant cells with increased EGFR activation could be sensitized by the combination of CCI-779 with cetuximab. Interestingly, cell lines with acquired resistance to cisplatin displayed a higher sensitivity to CCI-779 whereas cetuximab-resistant cells were less sensitive to the drug, but could be sensitized to CCI-779 by EGFR blockade.

Conclusions: Activity of CCI-779 in HNSCC cells harboring *TP53* mutations and displaying a phenotype of cisplatin resistance suggests its clinical potential even in patients with dismal outcome after current standard treatment. Cetuximab/mTORi combinations might be useful for treatment of tumors with high expression of EGFR/p-EGFR and/or acquired cetuximab resistance. This combinatorial treatment modality needs further evaluation in future translational and clinical studies.

Keywords: HNSCC, mTOR, EGFR, Temsirolimus, CCI-779, Cetuximab, Cisplatin, Resistance

Background

Cancer of the head and neck region is the sixth most common cause for cancer-related mortality worldwide with 600,000 new cases and 300,000 deaths per year. Tobacco and heavy alcohol drinking are the most important risk factors [1,2]. Depending on the disease stages,

surgery, radiotherapy, and chemotherapy, alone or in combinations, have been used as therapeutic options over the past decades. New treatment strategies such as epidermal growth factor receptor (EGFR) inhibition by cetuximab have been shown to prolong survival, but 5-year survival rates of patients with locally advanced cancers are still at 50% or below [3]. Therefore, new therapeutic approaches like second generation- or multi-target tyrosine kinase inhibitors, proteasome inhibitors, hypoxia-modifying agents, or antiangiogenic agents have been or are being evaluated in clinical trials [4-7]. Among these, one of the most

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promising approaches is the inhibition of the phosphatidylinositol 3-kinase-related kinase (PI3K) pathway, since it represents the most frequently mutated oncogenic pathway in HNSCC [8].

The mammalian target of rapamycin (mTOR) is a serine/threonine protein kinase and belongs to the PI3K-related kinase protein family. It is involved in many cellular processes like cell growth, proliferation, and survival [9]. Different mTORi including rapamycin, RAD-001 (everolimus) and CCI-779 (cell cycle inhibitor-779, temsirolimus), but also dual mTOR inhibitors that target both of the two mTOR complexes, mTORC1 and mTORC2 have been evaluated [10,11]. In HNSCC, rapamycin [12,13], everolimus [14] and temsirolimus [15], as well as dual inhibitors [16] have already shown promising effects *in vitro* and *in vivo*. Their combination with irradiation, cisplatin or reagents targeting the epidermal growth factor receptor (EGFR) like cetuximab and erlotinib [17,18] has been evaluated in preclinical models [11,19,20] and clinical trials [21]. However, the molecular mechanisms of sensitivity/resistance of HNSCC cells to mTORi remain poorly characterized and no predictive biomarker for patient selection has been established so far.

To evaluate the activity of the mTORi CCI-779 in HNSCC in more detail and to identify predictive biomarkers, the genetic profile, as well as mRNA- and protein expression of genes involved in the mTOR pathway were characterized in 10 HNSCC cell lines and correlated to their sensitivity to CCI-779. Furthermore, the effectiveness of CCI-779 in cells with primary/acquired resistance to cisplatin and cetuximab was evaluated.

Results and discussion

Characterization of the growth-inhibitory potential in HNSCC cell lines

In all tested HNSCC cell lines treatment with CCI-779 (100 ng/ml) for 72 h reduced cell viability, ranging from a reduction of 69% in UM-SCC-11B cells down to 40% in UT-SCC-15 cells compared to solvent-treated cells (Figure 1 A). In long-term studies (7 days of treatment) we were able to clearly distinguish sensitive from resistant cells, with UT-SCC-23 representing the most resistant (95% viability after treatment with 100 ng/ml) and UM-SCC-25 (16% viability) the most sensitive cell line (Figure 1 B). Of note, the IC₅₀ of cisplatin, a widely used radiosensitizer in HNSCC, only slightly differed between

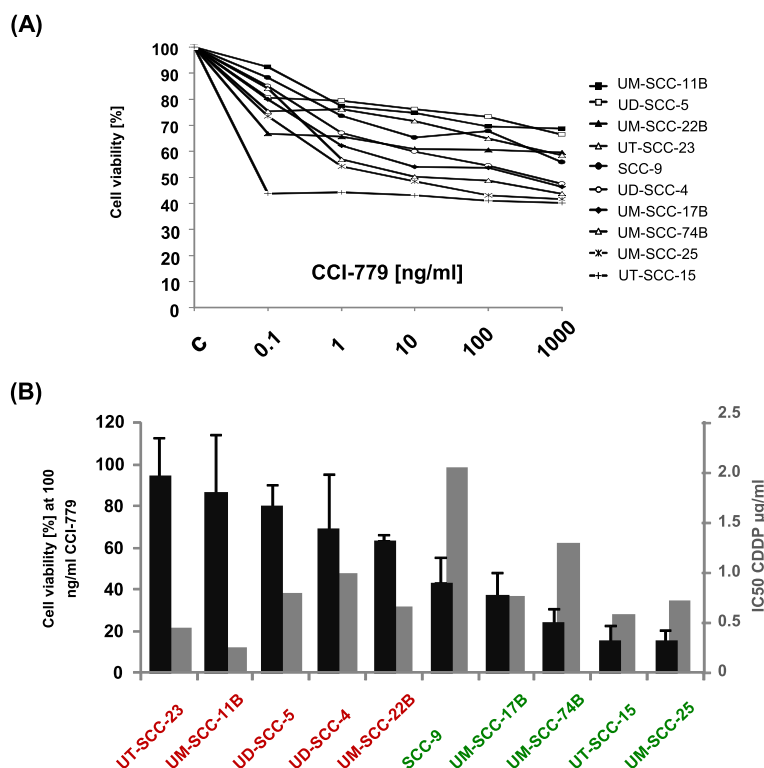


Figure 1 CCI-779 shows an inhibitory effect on cell survival of cisplatin-sensitive and -resistant HNSCC cell lines. **(A)** HNSCC cell lines were treated with increasing doses of CCI-779 (0.1 to 1000 ng/ml). After 72 h cell survival was determined by the MTT assay. **(B)** The same cell lines were treated with CCI-779 at 100 ng/ml for 7 days in long-term MTT assays (black bars, left scale). Their IC₅₀ for cisplatin was as well evaluated in MTT assays (grey bars, right scale). Cells were then grouped in CCI-779-resistant (red) and -sensitive (green) lines using a cutoff of 50% cell survival. (A, B) Survival fractions for given treatments were calculated on the basis of the survival of non-treated cells. Each sample was done in triplicate and experiments were performed thrice.

these two cell lines (0.5 and 0.7 µg/ml, respectively), speaking against cross-resistance between the two drugs (Figure 1 B). This finding together with the high efficiency in most of the cell lines tested speaks for CCI-779 as potentially effective therapeutic option in HNSCC cells with primary resistance to cisplatin.

Neither TP53 status nor mutations in HNSCC-associated oncogenic pathways predict sensitivity to CCI-779.

In search for predictive biomarkers for mTOR inhibition the involvement of *TP53* and other genes from HNSCC-related oncogenic pathways for CCI-779 sensitivity was determined. For this purpose, *TP53* gene and transcript sequences were analyzed by panel next-generation sequencing (NGS) and Sanger sequencing, respectively. In addition, the expression and functional status of the p53 protein was determined. Sequencing revealed distinct mutations of *TP53* in the cell lines tested, with Sanger sequencing and panel NGS giving the same results (Tables 1 and 2). The cyclin-dependent kinase inhibitor 1 (p21) represents one of the p53 targets. Its elevated expression after irradiation served as a readout for functional activity of p53. There was no significant correlation observed between the expression of p53 transcripts ($p = .988$) or proteins ($p = .990$) or its transcriptional function ($p = .607$) and the sensitivity of cells to CCI-779 (Table 1). Previously, reduced sensitivity of HNSCC cell lines carrying a *TP53* mutation to a dual PI3K/mTOR inhibitor was reported [22]. In line with this previous study, wt *TP53* was exclusively detected in the group of sensitive cell lines, displaying decreased viability after treatment with 100 ng/ml of temsirolimus compared to mutated cells (mean viability \pm SD: wt *TP53* group [N = 3], 0.36 ± 0.19 vs mutated *TP53* group [N = 7], 0.65 ± 0.27). However, this difference in viability did not reach significance level ($p = .139$) which might be due to the limited number of cell lines carrying wt *TP53* in our subset.

Panel NGS revealed further mutations in key oncogenic pathways including receptor tyrosine kinase, PI3K or MAPK signaling in our cell lines (Table 2). Mutations were also found in genes involved in cell cycle control and cell death regulation, as well as in the tumor suppressor *SMAD4* and the transmembrane receptor gene *NOTCH1*. None of these mutations was associated with sensitivity to CCI-779. Since only one cell line within our panel carried a *PI3KCA* mutation, the involvement of this alteration in sensitivity to mTORi, as discussed in other studies [8,22], could not be addressed.

CCI-779 sensitivity does not correlate with mTOR/MAPK signaling pathway activity.

As potential biomarker for mTORi activity, the basal activation of the mTOR or MAPK-pathway has been discussed as well as the ability of mTORi to inhibit downstream signaling of mTOR. It is thought that high activity of the mTOR pathway renders tumor cells more dependent on mTOR and results in higher sensitivity to mTORi, whereas high activity of MAPK signaling has been associated with reduced sensitivity to mTOR inhibition [8,23,24]. We therefore evaluated basal protein expression levels of p-S6 (a downstream target of mTOR) and p-Erk (a member of the MAPK pathway) as well as their expression levels after mTOR inhibition in four cell lines with highest and lowest sensitivity to CCI-779 (Figure 2 A). No correlation could be found between sensitivity of cells to CCI-779 and their basal expression levels of p-S6 or p-Erk, with all cell lines expressing phosphorylated, activated forms of these kinases. Furthermore, CCI-779 was able to inhibit phosphorylation of S6, downstream of p70S6K in all tested cell lines regardless of their sensitivity to the drug in the proliferation assays (Figure 2 A). To validate these findings, we included all cell lines used in the proliferation assays. Again, no correlation was found between basal expression of total or activated proteins

Table 1 Characteristics of HNSCC cell lines

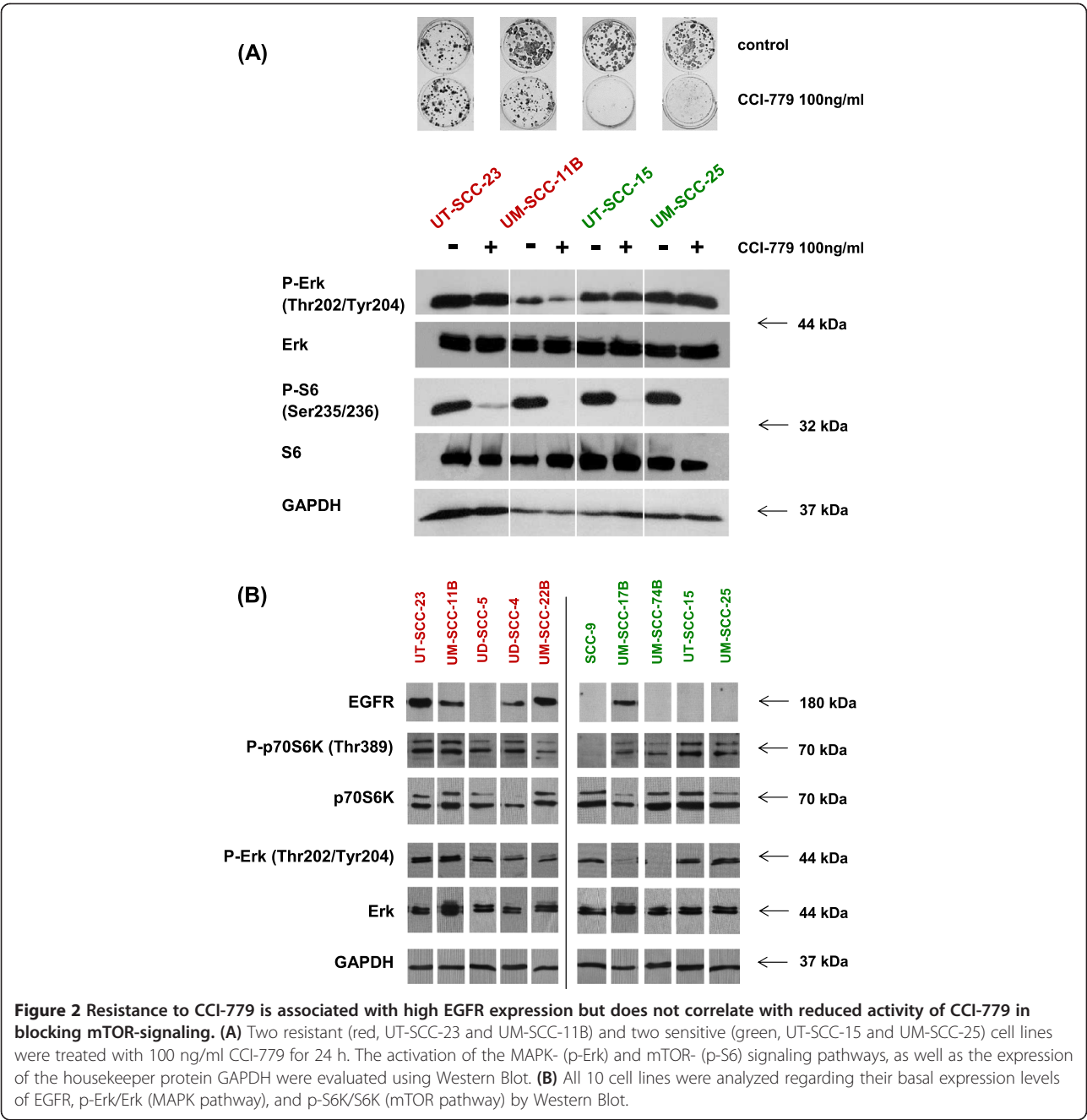
Cell line	<i>TP53</i> genotype	p53 transcript	p53 protein	function	CCI-779 100 ng/ml
UT-SCC-23	Loss of transcript	-	-	-	95
UM-SCC-11B	missense mutation	+	+	-	87
UD-SCC-5	missense mutation	+	+	-	81
UD-SCC-4	delete, fs (stop codon)	+	-	-	70
UM-SCC-22B	missense mutation	+	+	-	64
SCC-9	delete, fs	+	+	-	44
UM-SCC-17B	wt	+	+	+	38
UM-SCC-74B	wt	+	+	+	25
UT-SCC-15	Incorrectly spliced transcript, fs	+	+	-	16
UM-SCC-25	wt	(+)	-	-	16

Cell lines were ordered by their resistance to CCI-779 at 100 ng/ml. Presented are their *TP53* genotype, transcript- and protein expression. P53 function was assessed by measuring p21 and porphobilinogen deaminase (PBGD) mRNA expression before and after irradiation, using a cut-off of 1.5 fold induction.

Table 2 Mutations identified by panel next-generation sequencing for cell lines (upper panel) and resistance models (lower panel) used in this study

Cell line	Cell cycle control	TP53	Cell death regulation	RTK signaling	PI3K-akt signaling	MAPK-signaling	Others
UT-SCC-23		DEL					SMAD4 , FAT1
UM-SCC-11B		Cys110Ser					
UD-SCC-5		His47Tyr		ERBB4, PDGFR			FAT1, PCDH15
UD-SCC-4		DEL		KDR, MYC			FAT1, PCDH15
UM-SCC-22B		Tyr88Cys	CASP8	KIT			NOTCH1, SMAD4 , FAT1, PCDH15,
SCC-9		Val142fs		KDR, RET			FAT1, Notch1, PCDH15
UM-SCC-17B				EGFR, KDR, KIT	PIK3CA	HRAS	SMAD4 , FAT1
UM-SCC-74B				KDR, KRAS			CDH1, FAT1, NOTCH1, PCDH15
UT-SCC-15	CDKN2A	Arg282Trp	CASP8				CDH1, FAT1
UM-SCC-25	CDKN2A			ERBB4			SMAD4 , FAT1
FaDu _{CDDP-S/R}	CDKN2A	Arg248Leu 2% → 47%					SMAD4
UD-SCC-4 _{CDDP-S/R}		DEL		MYC, KDR 70% → 100%			FAT1, PCDH15, NSD1 0% → 38%
UT-SCC-9 _{CET-S/R}	CDKN2A	DEL		MET 35% → 50%			FAT1
UM-SCC-22B _{CET-S/R}		Tyr88Cys	CASP8	KIT			NOTCH1, SMAD4 , FAT1, PCDH15

Mutations which affect protein function, as predicted by the SIFT [41] and PolyPhen [42] program are presented in bold, percentages of allele frequency are given if they differed between parental and resistant cells.

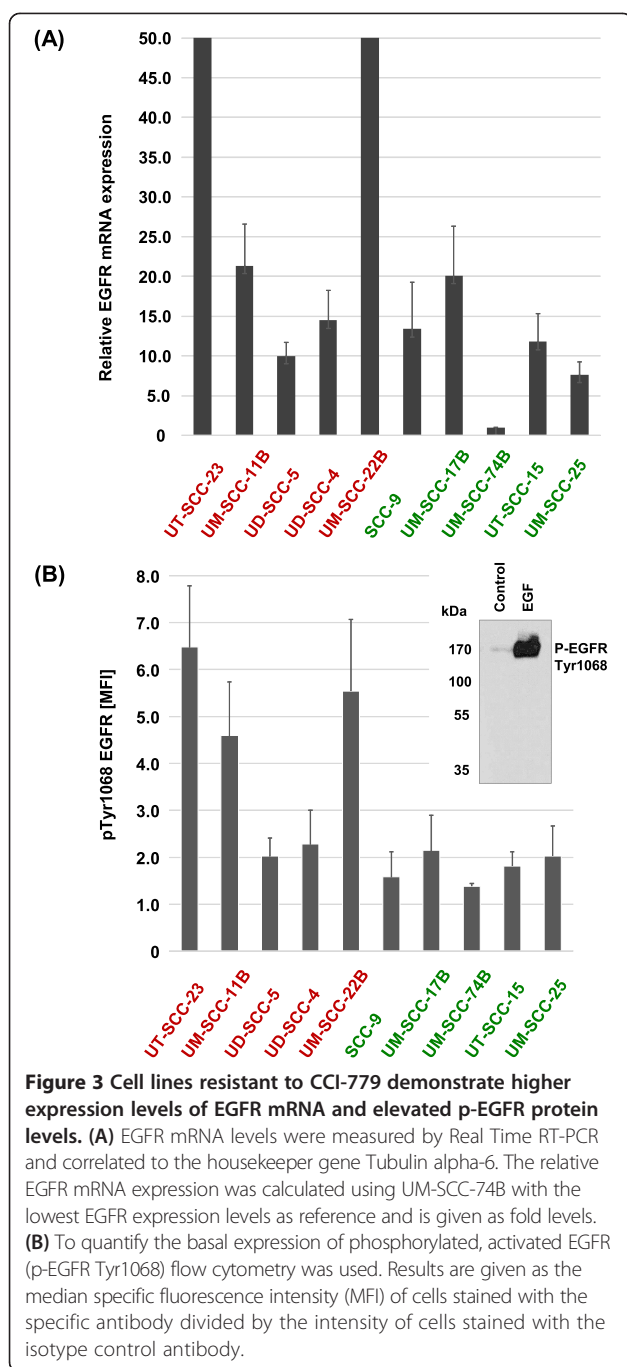


of Erk/p-Erk or p70S6K/p-p70S6K and sensitivity to CCI-779 (Figure 2 B).

HNSCC cells with primary resistance to CCI-779 display high EGFR expression and can be sensitized by EGFR blockade.

High EGFR protein and mRNA expression were detected almost exclusively in resistant cells (Figures 2 B and 3 A). Interestingly, p-EGFR expression was elevated in the group of cell lines with reduced sensitivity to CCI-779 (Figure 3B; mean p-EGFR expression \pm SD: resistant group [N = 5], 4.18 ± 1.97 vs sensitive group [N = 5], 1.78 ± 0.29).

Statistical analysis revealed borderline significance ($p = 0.052$) for this association. Basal MAPK- (p-Erk) and mTOR- (p-p70S6K) pathway activity did not differ between resistant and sensitive cells, therefore other downstream targets of EGFR might be involved in resistance to CCI-779. To test the hypothesis that overexpression of p-EGFR contributes to resistance to CCI-779, three resistant cell lines, expressing high levels of EGFR and p-EGFR, and three sensitive lines, expressing low EGFR/p-EGFR levels, were treated with increasing concentrations of CCI-779 alone or in combination with a



fixed low dose of cetuximab. All of the resistant cell lines could be sensitized to CCI-779 by the combination whereas no further sensitization of the sensitive cell lines was observed (Figure 4). The greatest effect was seen in the most resistant cell line UT-SCC-23 with the highest EGFR/p-EGFR expression. Cell viability after CCI-779 treatment was reduced from 91% to 15% after combining CCI-779 with cetuximab. This finding suggests that patients with high EGFR/p-EGFR expressing tumors could benefit from a combined mTORi/anti-EGFR treatment. In

support of these results, targeting of mTOR and EGFR in preclinical models of HNSCC has previously been shown to result in a higher growth inhibition than treatment with each agent alone [18,25,26]. Several studies have already been initiated for the identification of biomarkers of mTORi efficacy, with a focus on activation of the mTOR and the MAPK pathway before or after treatment (NCT00195299, CCI-779; NCT01195922, rapamycin; both advanced disease). Moreover, EGFR expression among others is being evaluated as biomarker for RAD001 activity in refractory recurrent locally advanced HNSCC (NCT01051791).

In our model of acquired cetuximab resistance, we showed that cetuximab-resistant cell lines either did not change their sensitivity or became more resistant to CCI-779 (temsirolimus) compared to their sensitive counterparts. This is in line with results from the PII MAESTRO study in which metastatic HNC patients with documented progression on cetuximab were treated with either temsirolimus alone or its combination with cetuximab [27]. This study revealed modest and short activity of temsirolimus as single agent in this scenario. The addition of temsirolimus to cetuximab was able to overcome acquired cetuximab resistance in only a small subgroup of patients (12.5%), however, these responses were more durable than the response to the previous cetuximab-containing regimens [27]. In our model of acquired cetuximab resistance, the combined treatment of cetuximab-resistant cells with cetuximab and CCI-779 was more effective than CCI-779 alone, supporting potential clinical value of this combination. It will be important to evaluate in future studies whether expression levels of EGFR/p-EGFR which we established here as predictive factor for low CCI-779 sensitivity in cetuximab-naïve HNSCC cells might also be useful as predictive biomarker for clinical benefit of cetuximab/temsirolimus combinations in the cetuximab-refractory setting.

HNSCC cells with acquired resistance to cisplatin or cetuximab show differential sensitivity to CCI-779 and can be resensitized by combinatorial treatment.

Cisplatin and cetuximab, each of them in combination with radiotherapy, are effective drugs in the primary treatment of locally advanced HNSCC. In the recurrent disease setting the tumor response to these agents is reduced, most likely due to selection of drug-resistant cells during primary treatment. To evaluate the potential of CCI-779 in the recurrent disease, we established four models of acquired resistance to cisplatin (FaDu and UD-SCC-4) and cetuximab (UT-SCC-9 and UM-SCC-22B) by long-term treatment of HNSCC cell lines with increasing concentrations of the drugs (Figure 5 A and B). Interestingly, we observed that both cell lines with acquired cisplatin resistance (FaDu_{CDP-R} and UD-SCC-

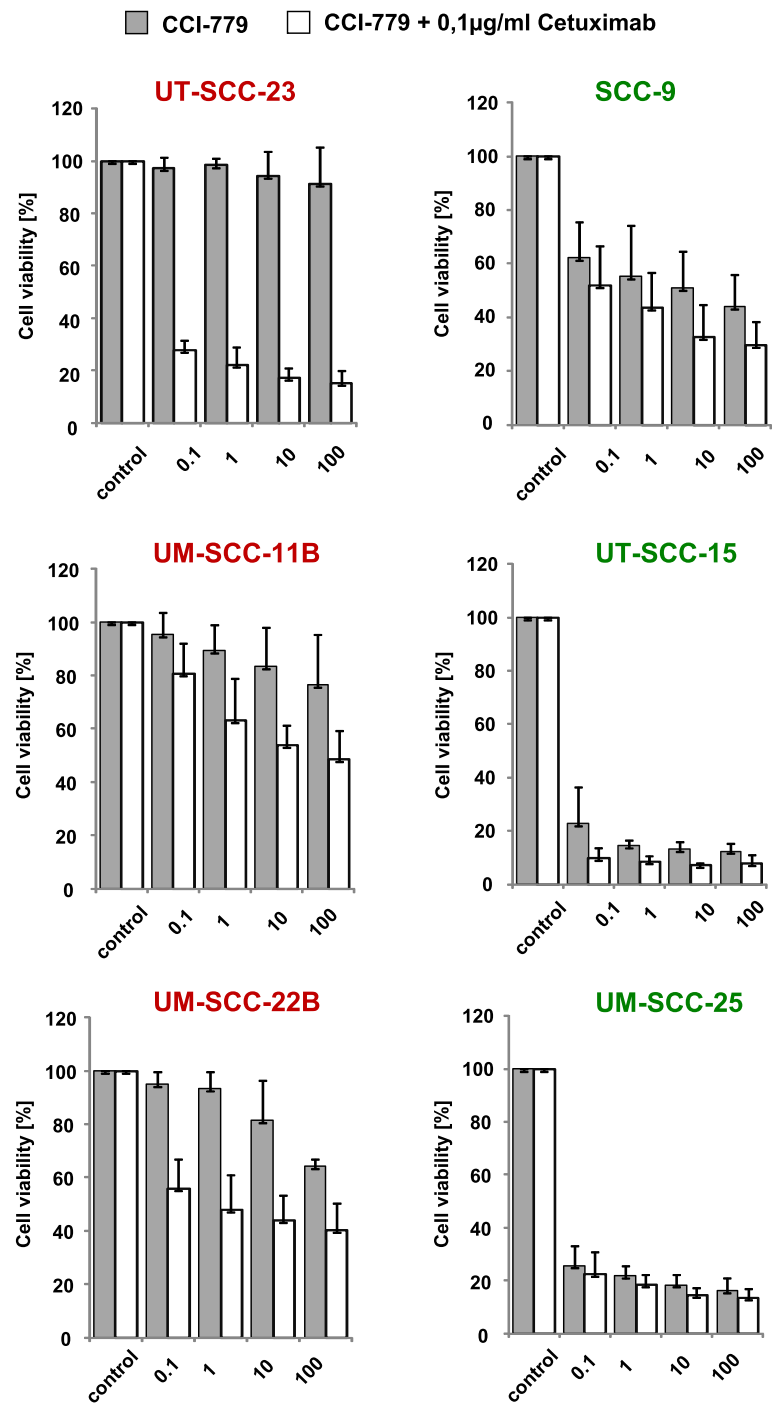


Figure 4 The combination of CCI-779 and cetuximab augments the sensitivity of cells to treatment with the mTOR inhibitor alone. All 10 cell lines were treated with increasing doses of CCI-779 (0.1-100 ng/ml) and long-term cell viability (7 days) was measured using the MTT assay. Additionally, CCI-779 treatment was combined with cetuximab at a low dose (0.1 µg/ml), at which the drug itself showed only little effect. In three EGFR-expressing, CCI-779 resistant cell lines the combination led to decreased cell viability (left graphs). Cell viability of sensitive cells could not further be decreased by the combination (three representative cell lines, right graphs). Survival fractions after drug treatment were calculated on the basis of the survival of non-treated cells.

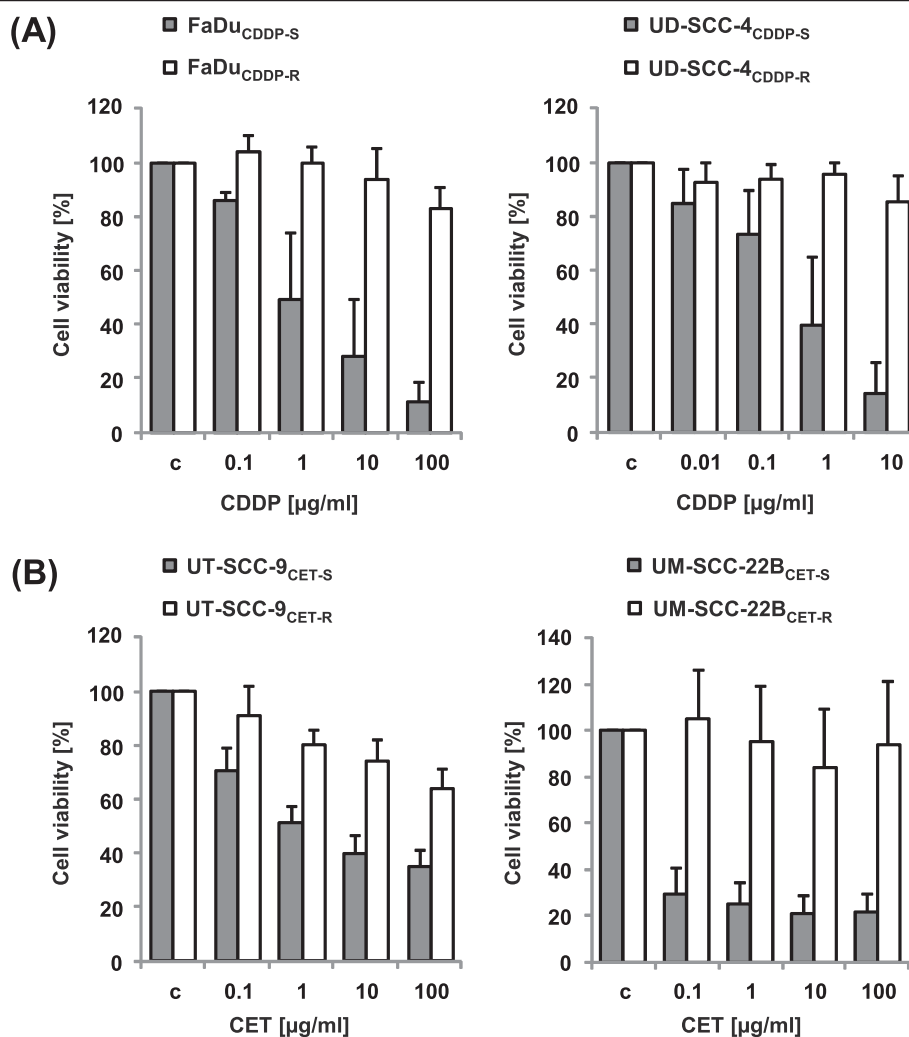


Figure 5 Long-term treatment of HNSCC cells with cisplatin or cetuximab selects resistant subclones. Cell lines were treated for 6-9 months with increasing doses of **(A)** cisplatin (FaDu_{CDDP-S}, UD-SCC-4_{CDDP-S}) or **(B)** cetuximab (UT-SCC-9_{CET-S}, UM-SCC-22B_{CET-S}). Doses were elevated if the cells showed a stable growth. The resulting resistant cells (CDDP-/CET-R) as well as their sensitive parental counterparts (CDDP-/CET-S) were used for further experiments.

4_{CDDP-R}) showed a better response to CCI-779 compared to their parental counterparts (FaDu_{CDDP-S} and UD-SCC-4_{CDDP-S}). In contrast, cetuximab-resistant cell lines either did not change their sensitivity (UM-SCC-22B_{CET-R}) or became more resistant to CCI-779 (UT-SCC-9_{CET-R}) compared to the parental cetuximab-sensitive cells (Figure 6).

By panel NGS analysis we detected an increased allele frequency of a specific *TP53* exon mutation (Arg248Leu) in FaDu_{CDDP-R} that was already present in the parental cell line FaDu_{CDDP-S}, indicating the selection of a pre-existing subclone (Table 2). In the UD-SCC-4_{CDDP-R} cell line, the selection of subclones harboring *KDR* (*VEGFR2*) and *NSD1* mutations was observed. *TP53* and *KDR* mutations have been associated with cisplatin resistance [28,29] and *NSD1* is known to regulate NF-κB [30] which has also been involved in resistance to

cisplatin [31]. In one of the two cetuximab-resistant cell lines (UT-SCC-9_{CET-R}), we observed the accumulation of a subclone carrying a *MET* mutation which has been shown to be involved in cetuximab resistance [32]. The exact mechanisms of how these genetic alterations are involved in CCI-779 sensitivity have to be elucidated in future studies.

We next assessed if combinatorial treatment with CCI-779 and cisplatin or cetuximab increased growth inhibition in these cell line models of acquired drug resistance. Cisplatin-resistant cells with increased sensitivity to CCI-779 could be only slightly sensitized further by addition of cisplatin to CCI-779 only in the FaDu but not in the UD-SCC-4 model (Figure 7). Cetuximab-resistant cells with reduced sensitivity to CCI-779 could be sensitized to CCI-779 by its combination with

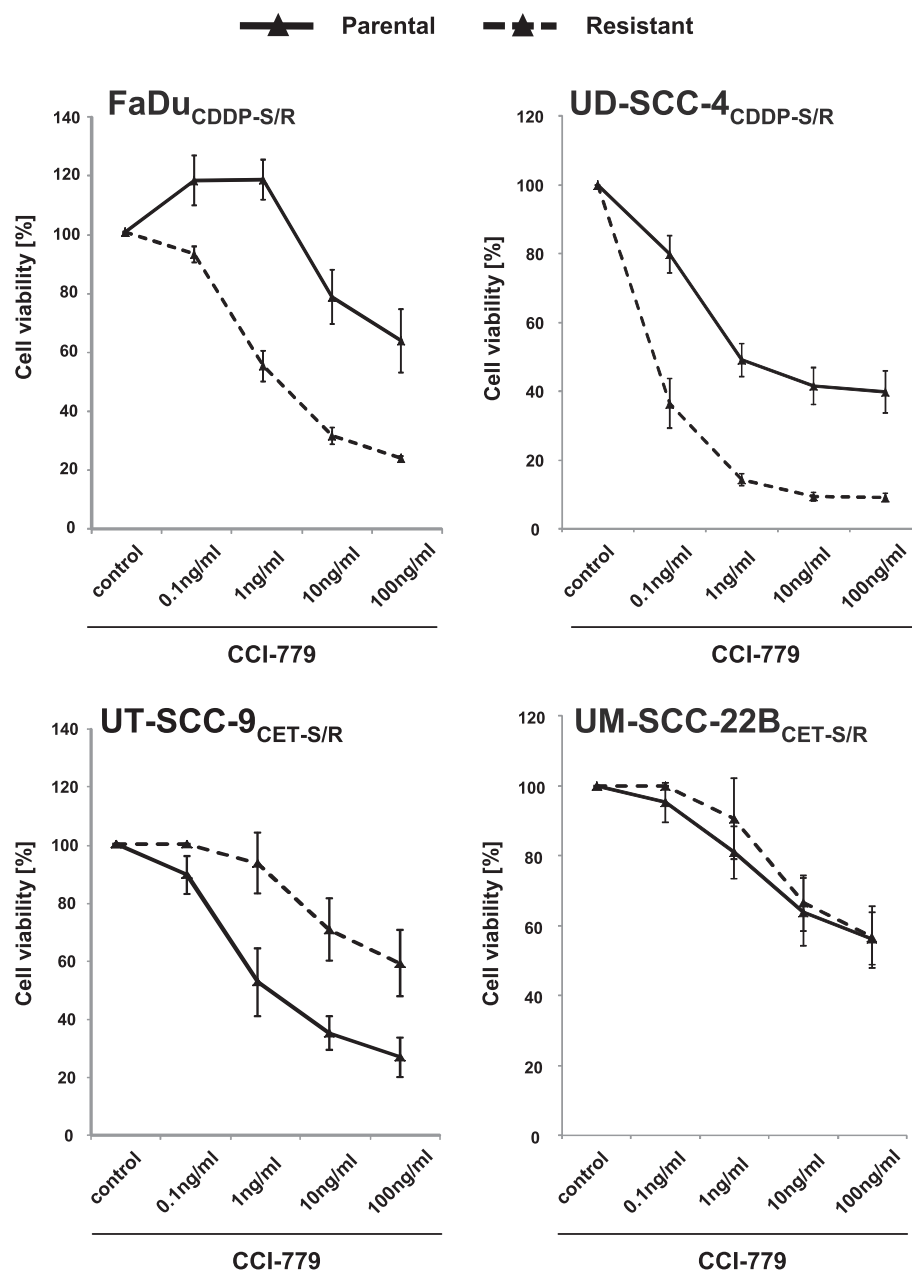


Figure 6 Cell lines with acquired cisplatin resistance become more sensitive to CCI-779 whereas acquired cetuximab resistance leads to decreased sensitivity to CCI-779. The sensitivity of cisplatin-resistant cells (upper panels, dashed lines) is increased when compared to their parental counterparts (upper panels, solid lines). In contrast, cetuximab-resistant cells (lower panels, dashed lines) when compared to the parental cells (lower panels, solid lines) remain resistant to CCI-779 (UM-SCC-22B_{CET-R}) or become more resistant to the drug (UT-SCC-9_{CET-R}). The survival fractions shown were evaluated with long-term MTT assays and calculated on the basis of the survival of non-treated cells.

cetuximab in both cell line models of acquired cetuximab resistance (Figure 7).

These data suggest that patients with recurrent cisplatin-resistant tumors might benefit from mTORi treatment. In line with our results, a clinical trial in recurrent/metastatic HNSCC addressing the efficacy of temsirolimus after cisplatin treatment failure has shown

promising results [33]. Further drug partners in this setting will have to be identified given the absence of any potentiating effects of the CCI-779/cisplatin combination. Treatment with the combination of cetuximab and mTORi, but not mTORi alone could be beneficial for patients with tumors with acquired cetuximab-resistance. Such dual inhibition of EGFR and the

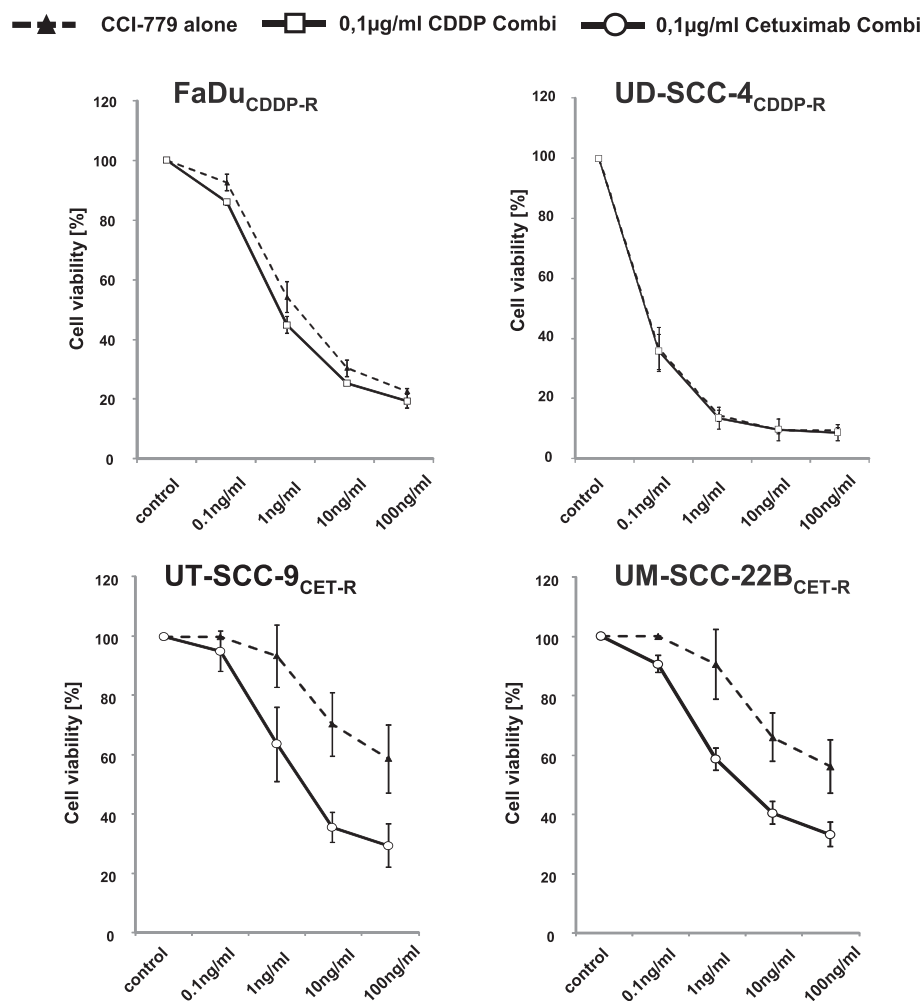


Figure 7 Addition of CCI-779 to cetuximab potentiates the inhibitory effect on cell survival in HNSCC cells with acquired resistance to cetuximab. Cell viability of cisplatin-resistant cells (upper panels, dashed lines) can only be slightly increased in the FaDu_{CDDP-R} model when combining CCI-779 with cisplatin (solid lines) compared to CCI-779 single treatment. In cetuximab-resistant cells (lower panels, dashed lines), the addition of cetuximab to CCI-779 further decreases cell viability in both models (solid lines).

mTOR pathway is currently being tested and our study supports the need for further prospective trials in HNSCC.

Conclusions

The results from our study demonstrating no cross-resistance of HNSCC cells to CCI-779 and cisplatin suggests that mTORi could serve as a potential treatment option in tumors with primary resistance to cisplatin. We identified EGFR/p-EGFR expression as potential negative predictive biomarker for mTORi efficacy and the combination of CCI-779 and cetuximab as a therapeutic regimen with increased growth-inhibitory potential. Our results from the preclinical models of acquired resistance also suggest clinical potential of mTORi in the recurrent, cisplatin-refractory setting and its combination with cetuximab in tumors with acquired resistance to EGFR blockade.

Methods

Cell lines and reagents

The HNSCC cell lines UD (University of Düsseldorf)-SCC-4, -5, UT (University of Turku)-9, -15, -23, UM (University of Michigan)-SCC-11B, -17B, -22B, -25, -74B, and SCC-9 were a gift from T.K. Hoffmann (University of Essen) and T.E. Carey (University of Michigan) [34]. FaDu was purchased from ATCC. The identity of the cell lines was confirmed by high-throughput SNP-based authentication (Multiplexion, Heidelberg, Germany). All cell lines were tested for mycoplasma at monthly intervals by RT-PCR [35]. Contaminated cultures were treated with Mycoplasma Removal Agent (MP Biomedicals, Santa Ana, USA) according to the manufacturer's protocol. Cells were cultured in Minimal Essential Medium (MEM) supplemented with 10% heat-inactivated fetal bovine serum and 1× non-essential amino acids. Acquired

resistance to cisplatin or cetuximab was attained by culturing the cells for a period of 4-9 months in increasing concentrations of the respective drug. All cell culture reagents were from GIBCO (Life Technologies, Carlsbad, CA, US). Cell cultures were incubated at 37°C and 5% CO₂ in a humidified atmosphere. CCI-779 was provided by Pfizer (Berlin, Germany) and diluted in dimethylsulfoxide (DMSO) to a stock of 1 mg/ml. Cisplatin was purchased from Sigma-Aldrich (Munich, Germany) and cetuximab was provided by Merck (Darmstadt, Germany). Working solutions were freshly prepared from the stock solution by dilution in cell culture medium on the day of the experiment.

MTT viability assays

Cells were seeded into 96-well plates at a density of 250-300 cells/well. Twenty-four hours after seeding, cells were treated with CCI-779, cisplatin, cetuximab, or the combination. Cells were then incubated for 72 h (short-term) or 7 days (long-term). At the end of the experiments 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide reagent (MTT) was added to the cells and after one hour incubation formazan complexes were dissolved in DMSO and absorbance measured with a spectrophotometer. Survival fractions for given treatments were calculated on the basis of survival of untreated cells. Each sample was done in sextuplets and at least three independent experiments were carried out. From the dose-effect curves the IC₅₀ values for cisplatin were calculated.

Immunoblotting analysis

Expression levels of phosphorylated and total proteins in cell lysates of HNSCC cell lines, either untreated or treated with 100 ng/ml CCI-779 were assessed by standard Western blot analysis. Briefly, cells were harvested by scraping in RIPA buffer. Standard SDS-polyacrylamide gel electrophoresis was performed using 40 µg of total protein per sample, followed by transfer to PVDF membranes (Millipore, Billerica, MA, US). For detection, the following antibodies were used: p-EGFR Tyr1068 (#2234), p-Erk Thr202/Tyr204 (clone D13.14.4E), Erk (clone 137 F5), p-S6 Ser235/236 (clone D57.2.2E), S6 (clone 5G10), p-S6K Thr389 (clone 108D2), S6K (clone 49D7) and GAPDH (clone 14C10), all from Cell Signaling Technology (Danvers, MA, US). The antibody against p53 (clone DO-1) was purchased from Santa Cruz (Santa Cruz, CA, US) and anti-EGFR (clone 13) from BD Biosciences (Franklin Lakes, NJ, US). Secondary antibodies included peroxidase-conjugated goat anti-mouse and goat anti-rabbit both from Jackson ImmunoResearch Laboratories (West Grove, PA, US). The immunoreactivity was detected using the Pierce ECL Plus Western Blotting Substrate (Thermo Scientific, Waltham, MA, US).

Flow cytometry

Cells were harvested by trypsinization and fixed in fixation buffer (Nordic MUBio, Susteren, Netherlands). Cells were incubated with primary and secondary antibodies in permeabilization buffer (Nordic MUBio) for 20 min each and were subsequently analyzed using the FACSCanto II cytometer and the FACSDiva Software v6 (BD Biosciences). The following antibodies were used for staining: rabbit anti-p-EGFR Tyr1068 (cell signaling), rabbit IgG isotype control and goat anti-rabbit FITC (Life Technologies, Darmstadt, Germany). Median specific staining intensity (MFI) was calculated as ratio of the fluorescence intensity of cells stained with the specific antibody and the isotype control.

Sequencing analysis

RNA was isolated and TP53 transcript expression analysis as well as Sanger sequencing was performed (Source BioScience, Berlin, Germany). For the panel-based next generation sequencing experiments, library preparation and semiconductor sequencing was performed as follows. Using the multiplex PCR based Ion Torrent approach as described previously [36,37], for this study an in-house gene panel for 45 HNSCC-related cancer genes was designed (see Additional file 1) using the COSMIC database [38] as well as two publications on exome sequencing data of HNSCC tumor material [39,40]. DNA was extracted from all of the cell lines with the Invisorb Spin Tissue Mini Kit (Strattec, Birkenfeld, Germany). Amplicon library preparation was performed using approximately 10 ng of DNA as advised by the manufacturer. Briefly, the DNA was mixed with the primer pool, containing all primers for generating the 224 amplicons and the AmpliSeq HiFi Master Mix, and transferred to a PCR cycler (BioRad, Munich, Germany). PCR cycling conditions were as follows: Initial denaturation: 99°C for 2 min, cycling: 21 cycles at 99°C for 15 sec and 60°C for 4 min. Subsequent to the PCR reaction, primer end sequences were partially digested using the FuPa reagent as instructed, followed by the ligation of barcoded sequencing adapters (Ion Xpress Barcode Adapters, Life Technologies). The final library was purified using AMPure XP magnetic beads (Beckman Coulter, Krefeld, Germany) and quantified using qPCR (Ion Library Quantitation Kit, Life Technologies) on a StepOnePlus Instrument (Life Technologies). The individual libraries were diluted to a final concentration of 100pM and eight to ten libraries were pooled and processed to library amplification on Ion Spheres using the Ion OneTouch 2 instrumentation with the 200 bp chemistry. Un-enriched libraries were quality-controlled using Ion Sphere quality control measurement on a QuBit instrument. After library enrichment (Ion OneTouch ES), the library was processed for sequencing using the Ion Torrent 200 bp

sequencing chemistry and the barcoded eight to ten libraries were loaded onto a single 318 chip.

Raw data analysis was performed using Ion Torrent Software Suite (Version 3.6 and 4.0). The reads were aligned to the human reference sequence build 38 (hg19) using the TMAP aligner implemented in the Torrent Suite software. Detection of single base pair variants and insertion-deletion polymorphisms (InDels) compared to the human reference sequence was performed using either Ion Torrent Variant Caller (3.6 and 4.0). Detection thresholds for SNPs and InDels were set at an allele frequency of 5%. Variants were annotated and filtered against the dbSNP and COSMIC databases and screened for possible splice site effects using the CLC genomics Suite 6 (CLCbio, Aarhus, Denmark). Copy number variations were determined using the coverage analysis plug-in of the Torrent Suite software.

Transcript expression analysis

Basal and irradiation-induced p21 expression levels, as read-out for p53 transcriptional activity in HNSCC cell lines, as well as basal EGFR levels were determined by quantitative reverse-transcriptase polymerase chain reaction (qRT-PCR). Total cellular RNA extraction was performed using the High Pure RNA Isolation Kit (Roche, Basel, Switzerland). Synthesis of cDNA was done with the Omniscript Reverse Transcription kit (QIAGEN, Hamburg, Germany), according to the supplied protocol, using random hexamers and oligo dT15 primers (Roche) and 2 µg of total RNA. The quality of RNA was checked by GAPDH PCR and only samples positive for GAPDH transcripts were used for analysis. Real-time-PCR was performed in a reaction volume of 20 µl containing 2 µl cDNA, Light Cycler TaqMan Master (Roche), primers and probes for p21, EGFR and the housekeeping gene porphobilinogen deaminase (*PBGD*) in concentrations recommended by the manufacturer (Real Time Ready Assays, Roche). PCR cycling was performed using a Light Cycler (Roche). Relative quantification of p21 and EGFR expression was done by normalization to the expression levels of *PBGD*.

Statistical analysis

All statistical analyses were performed using SPSS v.20.0 (IBM Corp., Armonk, NY, USA) software. The significance of differences in the cell survival fraction after treatment with 100 ng/ml temsirolimus of cell lines exhibiting diverse characteristics regarding *TP53* was determined using the independent-samples *t*-test. The level of significance was set at $p < 0.05$.

Additional file

Additional file 1: HNSCC-Panel.

Competing interests

This project has been supported by PFIZER Pharma GmbH, by the German Cancer Consortium (DKTK) and a grant from the German Cancer Aid (#108791, to I.T.).

Authors' contributions

IT supervised the project, helped designing the experiments and analysing the data. FN designed and performed experiments, analysed data and wrote the manuscript. WW and AS performed and analysed the DNA-sequencing experiments. VB contributed to the analysis of the data. All authors discussed the results and implications, commented on the manuscript at all stages and agreed on the content of the manuscript.

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AKT1 (3, 6)	ERBB4 (3,4,6,7,8,9,15,23)	KRAS (2,3)	PTEN (1,3,5,6,7,8)
ALK (23, 25)	FAT1 (2,6,10,19,25)	LRP1B (11,42,81)	PTPN11 (3,13)
BRAF (11,15)	FBXW7 (5,8,9,10,11)	MET (2,11,14,16,19)	RB1 (2,3,6,11,13,16,17,18,20,21,22,23)
CASP8 (3,9,10)	FGFR1 (5,7,10,14)	MLL2 (11,48)	RET (10,11,13,15,16)
CCND1 (1,3)	FGFR2 (7,9,12)	MYC (2,3)	SMAD4 (3,4,5,6,8,9,10,11,12)
CCNE1 (5,10)	FGFR3 (7,9,14,16,18)	NFE2L2 (2)	SMO (3,5,6,9,11)
CDH1 (2,3,4,5,6,7,9,10,11,12,13,14,16)	FLT3 (11,14,16,20)	NOTCH1 (3,6,7,8,12,15,18,20,22,23,25,26,27,32,34)	SRC (14)
CDKNA2 (1,2)	HRAS (2,3)	NRAS (2,3,4)	STK11 (1,2,4,5,6,8)
CSMD3 (5,14,63,65)	JAK2 (12,14,25)	NSD1 (5,14,19)	TP53 (4,5,6,7,8,9,10)
CTNNB1 (3,5,9)	JAK3 (4,13,16)	PCDH15 (11,14,19,27)	
EGFR (3,7,15,18,19,20,21)	KDR (6,7,11,19,21,26,27,30)	PDGFRA (5,10,11,12,14,15,18)	
ERBB2 (19,20,21)	KIT (2,9,10,11,13,14,15,17,18)	PIK3CA (2,5,8,10,14,21)	

HNSCC-Panel

- 45 Gene (exons covered), 224 Amplicons

Lebenslauf

M.Sc. Franziska Niehr

Mein Lebenslauf wird aus datenschutzrechtlichen Gründen in der elektronischen Version meiner Arbeit nicht veröffentlicht.

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Publikationen

1. Next-generation sequencing: hype and hope for development of personalized radiation therapy?

Tinhofer I, **Niehr F**; Konschak R; Liebs S; Munz M; Stenzinger A; Weichert W; Keilholz U; Budach V.

Radiation Oncology. In review.

2. CCI-779 (Temsirolimus) exhibits increased anti-tumor activity in low EGFR expressing HNSCC cell lines and is effective in cells with acquired resistance to cisplatin or cetuximab.

Niehr F, Weichert W, Stenzinger A, Budach V, Tinhofer I.

J Transl Med. 2015 April 1;13:106. **Impact Factor: 3,99**

3. Increased growth-inhibitory and cytotoxic activity of arsenic trioxide in head and neck carcinoma cells with functional p53 deficiency and resistance to EGFR blockade.

Boyko-Fabian* M, **Niehr F***, Distel L, Budach V, Tinhofer I.

PLoS One. 2014 Jun. **Impact factor: 3,53**

***authors contributed equally**

4. Cancer stem cell characteristics of circulating tumor cells.

Tinhofer I, Saki M, **Niehr F**, Keilholz U, Budach V.

Int J Radiat Biol. 2014 Jan. **Impact factor: 1,83**

5. Epithelial-mesenchymal-transition induced by EGFR activation interferes with cell migration and response to irradiation and cetuximab in head and neck cancer cells.

Holz C, **Niehr F**, Boyko M, Hristozova T, Distel L, Budach V, Tinhofer I.

Radiother Oncol. 2011 Oct;101(1):158-64. **Impact factor: 4,85**

6. Combination therapy with vemurafenib (PLX4032/RG7204) and metformin in melanoma cell lines with distinct driver mutations.

Niehr F, von Euw E, Attar N, Guo D, Matsunaga D, Sazegar H, Ng C, Glaspy JA, Recio JA, Lo RS, Mischel PS, Comin-Anduix B, Ribas A.

J Transl Med. 2011 May 24;9:76. **Impact Factor: 3,99**

Vorträge

7. Primary and secondary resistance in head and neck cancer.

Niehr F, Saki M, Weichert W, Stenzinger A, Budach V, Tinhofer I.

Charité Comprehensive Cancer Center Workshop; Next Generation Drug Development: Integrating functional genomics, animal models and systems biology. Berlin, Deutschland

2015.

8. Next Generation Sequencing zur Untersuchung von genetischen Veränderungen in Modellen der Cisplatin- und Cetuximabresistenz des Kopf-Hals-Karzinoms.

Niehr F, Saki M, Weichert W, Stenzinger A, Budach V, Tinhofer I.

Jahrestagung der Deutschen, Österreichischen und Schweizerischen Gesellschaften für Hämatologie und Onkologie. Hamburg, Deutschland

2014.

9. Differentielle Kreuzresistenzprofile von Kopf-Hals-Karzinom Zelllinien mit erworbener Cisplatin- und Cetuximab-Resistenz.

Niehr F, Boyko-Fabian M, Budach V, Tinhofer I.

Jahrestagung der Deutschen Gesellschaft für Radioonkologie DEGRO. Berlin, Deutschland

2013.

10. Wachstumshemmende Wirkung von Temsirolimus (CCI-779) allein und in Kombination mit Bestrahlung auf HNSCC Zelllinien.

Niehr F, Boyko-Fabian M, Budach V, Tinhofer I.

Jahrestagung der Deutschen, Österreichischen und Schweizerischen Gesellschaften für Hämatologie und Onkologie. Stuttgart, Deutschland

2012.

Poster

11. Next generation panel sequencing to study resistance mechanisms in HNSCC.

Niehr F, Konschak R, Saki M, Weichert W, Stenzinger A, Budach V, Tinhofer I.

3. *Retreat des Deutschen Konsortiums für Translationale Krebsforschung (DKTK).*

Heidelberg, Deutschland

2015.

12. The PARP inhibitor olaparib (AZD2281) enhances the effect of irradiation in head and neck cancer cells with resistance to cisplatin and cetuximab.

Niehr F, Boyko-Fabian M, Keilholz U, Budach V, Tinhofer I.

Wolfsberg meeting on molecular radiation biology/oncology. Ermatingen, Schweiz

2013.

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