

Algorithms for Ham-Sandwich Cuts<sup>◇</sup>

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**Abstract**

Given disjoint sets  $P_1, P_2, \dots, P_d$  in  $R^d$  with  $n$  points in total, a *ham-sandwich cut* is a hyperplane that simultaneously bisects the  $P_i$ . We present algorithms for finding ham-sandwich cuts in every dimension  $d > 1$ . When  $d = 2$ , the algorithm is optimal, having complexity  $O(n)$ . For dimension  $d > 2$ , the bound on the running time is proportional to the worst-case time needed for constructing a level in an arrangement of  $n$  hyperplanes in dimension  $d - 1$ . This, in turn, is related to the number of  $k$ -sets in  $R^{d-1}$ . With the current estimates, we get complexity close to  $O(n^{3/2})$  for  $d = 3$ , roughly  $O(n^{8/3})$  for  $d = 4$  and  $O(n^{d-1-a(d)})$  for some  $a(d) > 0$  (going to zero as  $d$  increases) for larger  $d$ . We also give a linear time algorithm for ham-sandwich cuts in  $R^3$  when the three sets are suitably separated.

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# 1 Introduction and Summary

A hyperplane  $h$  is said to *bisect* a set  $P$  of  $n$  points in  $R^d$  if no more than  $n/2$  points of  $P$  lie in either of the open halfspaces defined by  $h$ . It is no loss of generality to assume  $n$  odd since otherwise we may delete any point,  $x$ , and observe that any hyperplane that bisects  $P \setminus \{x\}$  also bisects  $P$ .

If  $P$  is a disjoint union of  $d$  sets  $P_1, \dots, P_d$ , a ham-sandwich cut is a hyperplane that simultaneously bisects all the  $P_i$ . The ham-sandwich theorem (see for example [12]) guarantees the existence of such a cut. Here we focus on the algorithmic question, which asks for efficient procedures for computing a cut, and for bounds on the complexity of this task. Throughout, we use a model of computation where any arithmetic operation or comparison is charged unit cost (the *real RAM* model).

In two dimensions, a ham-sandwich cut is a line  $h$  that bisects  $P_1$  and  $P_2$ . For the *linearly separated* case, where the convex hulls of  $P_1$  and  $P_2$  do not intersect, Megiddo [21] gave an algorithm to compute  $h$  that runs in  $O(n)$  steps. Megiddo's algorithm gives an optimal solution to a partitioning problem posed by Willard [23], namely to find lines  $\ell_1$  and  $\ell_2$  that separate  $n$  given points into "quadrants" containing at most  $n/4$  points each. The first line may be any (say horizontal) line  $\ell_1$  partitioning the points evenly, easily obtained in  $O(n)$  steps. The second line is a ham-sandwich cut for the points  $P_1$  (above  $\ell_1$ ) and  $P_2$  (below  $\ell_1$ ), obtained in linear time by Megiddo's algorithm.

Edelsbrunner and Waupotitsch [13] modified Megiddo's method for the general planar case. Their algorithm can compute  $h$  in time  $O(n \log n)$ . Earlier, Cole, Sharir and Yap [9] had described a procedure that may now be seen to have the same complexity, in view of the existence of a logarithmic depth sorting network [2].

In this paper we prove the following result (see also [16]).

**Proposition 1** *Given two sets of points  $P_1$  and  $P_2$  in  $R^2$ ,  $|P_1| + |P_2| = n$ , a ham-sandwich cut can be computed in  $O(n)$  time.*

The proof consists of an optimal linear time algorithm which thus settles the complexity question for two dimensional ham sandwich cuts.

In three and higher dimensions much less was known. The brute-force approach has complexity  $O(n^{d+1})$ ; the odd cardinality assumption forces a cut to contain a point from each  $P_i$ , and we can check the hyperplane corresponding to each possible  $d$ -tuple in linear time. It is also not too difficult to give an  $O(n^d)$  algorithm, by constructing the arrangements of hyperplanes dual to the points of  $P$  (see Section 2 for the dual formulation of the problem).

Edelsbrunner [14] described a related problem of finding two planes that simultaneously divide each of two given sets of points in  $R^3$  into four equal sized subsets; the points were required to satisfy a special separation condition. He gives an algorithm with running time  $O(t(n)(\log n)^2)$ , where  $t(n)$  denotes the maximal number of  $(n/2)$ -sets possessed by any set of  $n$  points in  $R^3$  (see also section 2).

In Section 4 we show how to generalize the ideas used in Proposition 1 to dimension  $d > 2$  and describe an algorithm with complexity  $O(n^{d-1})$ . The running

time can be further decreased using (relatively complicated) ray shooting methods for construction of levels in hyperplane arrangements. We prove the following.

**Proposition 2** *Given  $n$  points in  $R^d$  which are partitioned into  $d$  sets  $P_1, \dots, P_d$  in  $R^d$ , a ham-sandwich cut can be computed in time proportional to the (worst-case) time needed to construct a given level in the arrangement of  $n$  given hyperplanes in  $R^{d-1}$ . The latter problem (i) requires at least  $\Omega(n^{d-2})$  time; (ii) is easy to solve in  $O(n^{d-1})$  time; (iii) can be solved within the following bounds:*

$$\begin{array}{ll} O(n^{3/2} \log^2 n / \log^* n) & \text{for } d = 3, \\ O(n^{8/3+\epsilon}) & \text{for } d = 4, \\ O(n^{d-1-a(d)}) & \text{with certain (small) constant } a(d) > 0 \text{ for } d \geq 5. \end{array}$$

Finally for the case  $d = 3$ , if the sets are suitably separated, the general algorithm can be modified so that it finds a ham-sandwich cut in linear time. This extends Megiddo's result to  $R^3$ .

## 2 Preliminaries and Notation

We denote by  $S$  the coordinate hyperplane  $x_d = 0$  (i.e. the  $x$ -axis for  $d = 2$ ). For a subset  $X \subseteq S$  we denote by  $V(X)$  the vertical ‘‘cylinder’’ erected through  $X$ , i.e.

$$V(X) = \{(x_1, x_2, \dots, x_d); x_d \in R, (x_1, \dots, x_{d-1}, 0) \in X\}.$$

It is easier to look at a dual version of the ham-sandwich problem. We use the *duality transform* which maps the point  $p = (x_1, \dots, x_d)$  to the (nonvertical) hyperplane  $\Pi = \{(w_1, \dots, w_d) : w_d = 2x_1w_1 + \dots + 2x_{d-1}w_{d-1} - x_d\}$  (see [12] for properties). The ham-sandwich cut problem then becomes the following:

Given a set  $H$  of hyperplanes in  $R^d$ , partitioned into  $d$  classes  $H_1, \dots, H_d$ ,  $|H_i|$  odd, find a point  $x$  which, for each  $i = 1, \dots, d$ , has no more than  $|H_i|/2$  of the hyperplanes of  $H_i$  below it, and no more than  $|H_i|/2$  hyperplanes above.

To simplify our considerations, we make some general position assumptions. We suppose that every  $d$ -tuple of hyperplanes of  $H$  meets in a unique point (vertex) and that no point in  $R^d$  is incident with more than  $d$  of the hyperplanes. Also we assume that the vertical direction (the direction of the  $x_d$ -axis) is a ‘‘generic’’ one, i.e. that the vertical projections of all vertices on the coordinate hyperplane  $x_d = 0$  are all distinct. This is no loss of generality, as one may use some variant of *simulation of simplicity* (see [12]) to handle the general case.

Given a set  $H$  of hyperplanes in  $R^d$ , they partition the space into a complex of convex cells, called the *arrangement* of  $H$ . An important concept for us will be the *p-level* in the arrangement of  $H$ , denoted by  $L_p(H)$ . This is defined as the closure of the set of all points which lie on a unique hyperplane of the arrangement and

have exactly  $p - 1$  hyperplanes below it. In dimension 2, the  $p$ -level is a continuous, piecewise linear function whose segments always coincide with one of the lines in the arrangement. In higher dimensions, the  $p$ -level also consists of certain cells of the arrangement of  $H$ , and thus it is a piecewise linear hypersurface in  $R^d$ .

When  $p = \lfloor (|H| + 1)/2 \rfloor$ , the  $L_p(H)$  is called the *median-level* of the arrangement. The dual version of the ham-sandwich cut problem may be restated as follows:

Given a set  $H = \{\pi_1, \dots, \pi_n\}$  of hyperplanes in  $R^d$ , partitioned into  $d$  classes  $H_1, \dots, H_d$ ,  $|H_i|$  odd, find an intersection point of the median levels of the arrangements of  $H_1, \dots, H_d$ .

Such an intersection point will be a vertex in the arrangement of  $H$ , whose  $d$  defining hyperplanes contain precisely one hyperplane of each  $H_i$ .

A key feature used by our algorithms is the *odd intersection property*. A set  $X \subset S = \{(x_1, \dots, x_{d-1}, 0)\}$  has the odd intersection property with respect to levels  $\lambda_i \equiv L_{p_i}(H_i)$  if

$$|(\lambda_1 \cap \dots \cap \lambda_d) \cap V(X)| \text{ is odd}; \quad (2.1)$$

i.e., the levels intersect an odd number of times in the cylinder erected through  $X$  (note that the set  $\lambda_1 \cap \dots \cap \lambda_d$  is finite by our general position assumption).

The running time of our algorithm will depend on the time needed for construction of levels in arrangements of hyperplanes; this time in turn depends on the combinatorial complexity of these levels. We review the known results:

Let  $e_d(n, k)$  denote the maximum possible number of vertices of the  $k$ -level in an arrangement of  $n$  hyperplanes in  $R^d$ , and let  $e_d(n) = \max\{e_d(n, k); k = 1, \dots, n\}$ . It is well-known that  $e_d(n, k)$  is proportional to the maximum number of  $k$ -sets of an  $n$  point set in  $R^d$ . The  $k$ -set problem has been extensively studied (see [7], [10], and [12]).

It is known that  $e_d(n) = \Omega(n^{d-1} \log n)$  and it is conjectured that this bound is close to the truth. The known upper bounds seem much weaker, however. It was shown that  $e_2(n) = O(n^{3/2} / \log^* n)$  [22], that  $e_3(n) = O(n^{8/3})$  [5], [10], and in general  $e_d(n) = O(n^{d-\delta(d)})$  for some (small) positive constant  $\delta(d)$  [4], [24].

Efficient output-sensitive algorithms for level construction are known in dimensions 2 and 3: a level of complexity  $b$  can be constructed in time  $O(n \log n + b \log^2 n)$  for  $d = 2$  [15] and in time  $O(n^{1+\varepsilon} + bn^\varepsilon)$  for  $d = 3$ ,  $\varepsilon$  an arbitrarily small positive constant [1]. For  $d > 3$  the efficiency of the algorithm of [1] gets worse; it guarantees that if the complexity of the level is  $O(n^{d-\delta(d)})$  for some  $\delta(d) > 0$ , then the level can be constructed in  $O(n^{d-\delta(d)\frac{d}{d+1}+\varepsilon})$  time.

### 3 The Planar Case

To elucidate the ideas used in our algorithms, we begin by explaining the planar case, and then show how these ideas may be extended to higher dimensions. To prove Proposition 1, namely that the planar ham-sandwich problem has linear complexity,

we will present an algorithm for the task using the dual setting introduced in the previous section. Therefore we have two sets  $H_1$  and  $H_2$  of lines, and we want to find an intersection of the median levels  $\mu_1$  (of the lines in  $H_1$ ) and  $\mu_2$ . We suppose that both  $n_1 = |H_1|$  and  $n_2 = |H_2|$  are odd, and  $n = n_1 + n_2$ .

In this situation and with our general position assumptions we have

**Lemma 3.1** *The median level of  $H_1$  and the median level of  $H_2$  intersect in an odd number of points.*

**Proof:** This conclusion may be deduced from a well-known elementary proof of the existence of the ham-sandwich cut in the plane. Here we give an elementary geometric proof. First we observe that the left unbounded ray and the right unbounded ray of the median level of  $H_1$  lies on the same line  $h_1 \in H_1$  (the one with the median slope). Similarly the unbounded rays of the median level of  $H_2$  are parts of some line  $h_2 \in H_2$ . One of these lines, say  $h_1$ , has smaller slope. This means that far enough left, the median level of  $H_1$  is below the median level of  $H_2$ , while far to the right, it is above the median level of  $H_2$ . By continuity, the median levels intersect an odd number of times.  $\square$

**Remark.** The lemma says that the whole  $x$ -axis has the odd intersection property with respect to the median levels of  $H_1, \dots, H_d$ . In general, let  $\lambda_i = L_{p_i}(H_i)$  denote the  $p_i$  level in the arrangement of the lines in  $H_i$ . Then an interval  $T = (\ell, r)$  has the odd intersection property with respect to  $\lambda_1, \lambda_2$  if and only if

$$(\lambda_1(\ell) - \lambda_2(\ell))(\lambda_1(r) - \lambda_2(r)) < 0, \quad (3.1)$$

where  $(t, \lambda(t))$  denotes the point on the level  $\lambda$  at  $x = t$ .

Our algorithm will work in phases, and it will discard a constant fraction of the lines in each phase, until it reaches a situation with a small (constant) number of lines, where the ham-sandwich cut vertex can be found directly. At the beginning of each phase, the algorithm has the following data:

- an open interval  $T$  on the  $x$ -axis,
- current sets  $G_1, G_2$  of lines,  $G_i \subseteq H_i$ ,  $|G_i| = m_i$ ,
- integers  $p_1, p_2$ ,  $1 \leq p_i \leq m_i$ ,

and the following invariant holds:

The levels  $\lambda_1 = L_{p_1}(G_1)$  and  $\lambda_2 = L_{p_2}(G_2)$  have an odd number of intersections within  $V(T)$ , and each such intersection is an intersection of the median levels of the original sets  $H_1, H_2$  of lines<sup>1</sup>.

At the end of the phase, lines have been discarded so we now have new sets  $G'_i \subseteq G_i$ ,  $|G'_i| = m'_i$ , integers  $p'_i \leq m'_i$ , and a new interval  $T' \subset T$  on which the invariant holds

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<sup>1</sup>In fact these intersections are *the only* ham-sandwich vertices in  $V(T)$ .

for the new data. To start the algorithm,  $T$  is the whole  $x$ -axis,  $G_1 = H_1$ ,  $G_2 = H_2$ ,  $p_1 = \lfloor (n_1 + 1)/2 \rfloor$  and  $p_2 = \lfloor (n_2 + 1)/2 \rfloor$ . The invariant will be satisfied in view of Lemma 3.1.

Clearly the assertion of Proposition 1 holds if we can prove

**Lemma 3.2** *Let  $T, G_1, G_2, p_1, p_2$  be as above and satisfy the conditions of the invariant. Then in time  $O(m_1 + m_2)$ , one can compute new  $T' \subset T$ ,  $G'_1 \subseteq G_1$ ,  $G'_2 \subseteq G_2$ ,  $p'_1 \leq p_1$ ,  $p'_2 \leq p_2$ , again satisfying the conditions of the invariant, and with the new value of  $m' = |G'_1| + |G'_2| \leq 3m/4$ ; i.e., in linear time at least a quarter of the lines  $G_1 \cup G_2$  that begin a phase may be discarded as candidates for ham-sandwich vertices.*

**Proof:** We first give an outline of the algorithm, and then we fill in the details. We suppose that  $m_1 \geq m_2$  (renumbering the sets if necessary). The algorithm performs the following steps [the time for each step is indicated in square brackets]:

1. Divide the interval  $T$  into a constant number of subintervals  $T_1, \dots, T_C$ , such that no  $V(T_i)$  contains more than a prescribed (constant) fraction of the vertices of the arrangement of  $G_1$  [ $O(m_1)$ ].
2. Find one subinterval  $T_i$  with the odd intersection property [ $O(m_1 + m_2)$ ].
3. Construct a trapezoid  $\tau_i \subset V(T_i)$ , such that

$$\lambda_1 \cap V(T_i) \subset \tau_i \tag{3.2}$$

$$\text{At most half of the lines of } G_1 \text{ intersect } \tau_i \tag{3.3}$$

[ $O(m_1)$ ].

4. Discard all the lines of  $G_1$  which do not intersect  $\tau_i$  (at least  $m_1/2 \geq (m_1 + m_2)/4$  lines), and update  $p_1$  accordingly ( $p'_1 \leftarrow p_1 - b$ ,  $b$  denoting the number of discarded lines of  $G_1$  lying completely below  $\tau_i$ ). Then  $T_i$  becomes the new  $T$ , and we are ready for the next phase of the algorithm [ $O(m_1 + m_2)$ ].

Now we discuss the steps in greater details. The first result pertains to Step 1.

**Lemma 3.3** *Let  $H$  be a set of  $n$  lines in the plane in general position,  $\alpha < 1$  a prescribed positive constant, and  $T$  an interval on the  $x$ -axis. In  $O(n)$  time, one can subdivide  $T$  into subintervals  $T_1, T_2, \dots, T_C$  ( $C = C(\alpha)$  a constant), such that each  $V(T_i)$  contains the at most  $\alpha N$  of the  $N = \binom{n}{2}$  vertices of the arrangement of  $H$ .*

**Proof:** We apply a theorem of [18] on approximate selection of the  $k$ -th leftmost intersection (which in turn uses a technique developed in [8]). Let  $t_1 < \dots < t_N$  denote the  $x$ -coordinates of the vertices of  $H$ , in order. It is proved in [18] that given a positive constant  $\nu < 1$  and a number  $k, 1 \leq k \leq N$ , then in linear time one can find two lines of  $H$  whose intersection lies between  $t_{k-\nu N}$  and  $t_{k+\nu N}$ . Using this selection procedure, we divide the  $x$ -axis into intervals guaranteed to contain no

more than  $\alpha N$  intersections each, as follows. Taking  $\nu = \frac{\alpha}{5}$  and  $k = \lceil i \frac{\alpha}{2} N \rceil$  we get, in linear time, an intersection with  $x$ -coordinate  $u_i$  that lies between  $t_{\lceil (i-\frac{1}{2}) \frac{\alpha}{2} N \rceil}$  and  $t_{\lceil (i+\frac{1}{2}) \frac{\alpha}{2} N \rceil}$ . Carrying out such approximations for  $i = 1, \dots, \lfloor \frac{2}{\alpha} \rfloor$  we obtain intervals  $T'_i = (u_{i-1}, u_i)$  and the non-empty intervals  $T_i = T \cap T'_i$  have the asserted properties (note that  $C(\alpha) \leq 2/\alpha$ ).  $\square$

At the end of this section we will discuss more practical aspects of the algorithm and there we suggest another approach for constructing the subdivision in Step 1. A third possibility is to specialize the construction we use when subdividing in higher dimensions.

Lemma 3.3 shows how to do Step 1 in linear time. We will apply it to the  $m_1$  lines in  $G_1$ . The value of  $\alpha$  will be fixed later. For Step 2 (subinterval selection), we need the following lemma:

**Lemma 3.4** *Given an interval  $T = (\ell, r)$ , the odd-intersection property for levels  $\lambda_1 = L_{p_1}(G_1)$  and  $\lambda_2 = L_{p_2}(G_2)$  may be tested in linear time; i.e., in time  $O(|G_1| + |G_2|)$  we can find the parity of  $|\lambda_1 \cap \lambda_2 \cap V(T)|$ .*

**Proof:** The parity is odd iff the vertical order of the intersections of  $\lambda_1$  and  $\lambda_2$  with the line  $x = \ell$  is opposite to the order of the intersections with  $x = r$ ; i.e., (3.1) must hold on  $T = (\ell, r)$ . The intersection of the  $p_1$ -level with a vertical line  $x = v$  can be found in  $O(m_1)$  time, by computing the  $y$ -coordinates of the intersections of all lines of  $G_1$  with  $x = v$  and selecting the  $p_1$ -th smallest of these numbers, using a linear-time selection algorithm.  $\square$

Since  $T$  has the odd intersection property, so will at least one of the subintervals  $T_j$  from the subdivision. Testing them sequentially, we are guaranteed by Lemma 3.4 that in linear time we will discover a suitable subinterval  $T_i = (\ell, r)$  with the odd intersection property. We now describe the construction of the trapezoid  $\tau_i$  mentioned in Step 3 and verify its properties. Let  $D_l^-$  and  $D_l^+$  be the intersections of the vertical line  $x = \ell$  with the levels  $L_{p_1 - \varepsilon m_1}(G_1)$  and  $L_{p_1 + \varepsilon m_1}(G_1)$ , respectively; similarly we define  $D_r^-, D_r^+$ . These four points define the trapezoid  $\tau_i = D_l^- D_l^+ D_r^+ D_r^-$ . With appropriate choice of  $\varepsilon$  it has the desired properties in view of

**Lemma 3.5** *Let  $\varepsilon = \frac{1}{8}$  and  $\alpha = \frac{1}{32}$ . Then (3.2) and (3.3) hold for any  $\tau_i$ ; i.e., at most half of the lines in  $G_1$  meet  $\tau_i$ , and within the strip  $V(T_i)$ , the level  $L_{p_1}(G_1)$  remains within  $\tau_i$ .*

**Proof:** The proof very much resembles the proof of a similar lemma in [18]. Consider the top of  $\tau_i$ , the segment  $\sigma = D_l^+ D_r^+$ . The lines of  $G_1$  that meet  $\sigma$  are partitioned into two classes,  $\mathcal{S}$ , the lines with slope smaller than that of  $\sigma$ , and  $\mathcal{L}$ , those with larger slope. Traversing  $\sigma$  from left to right, we keep count of the number of  $G_1$  lines below. At the start, there are  $p_1 + \varepsilon m_1$  lines below. When we meet a line in  $\mathcal{S}$ , the count increases by one, and when we meet an  $\mathcal{L}$ -line, it decreases by one. At the end there are again  $p_1 + \varepsilon m_1$  lines below. Hence  $|\mathcal{S}| = |\mathcal{L}|$ .

Each  $\mathcal{S}$ -line intersects each  $\mathcal{L}$ -line within the vertical strip  $V(T_i)$ . Since this strip contains at most  $\alpha \binom{m_1}{2} < \alpha m_1^2 / 2$  intersections, by the construction in Lemma 3.3,

we have  $|\mathcal{S}|^2 = |\mathcal{S}||\mathcal{L}| < \alpha m_1^2/2$ , so  $|\mathcal{S}| = |\mathcal{L}| < (\sqrt{\alpha/2})m_1$ . Since  $\sigma$  is  $\varepsilon m_1$  lines above the  $p_1$ -level at both endpoints of the interval  $T_i$ , the  $p_1$ -level remains below  $\sigma$  as long as

$$\sqrt{\frac{\alpha}{2}} \leq \varepsilon.$$

The same argument will show that the  $p_1$ -level never breaks below the bottom of  $\tau_i$ .

Now we count intersections of  $G_1$  lines with the boundary of  $\tau_i$ . There are exactly  $2\varepsilon m_1$  such intersections on each of the vertical sides  $D_\ell^- D_\ell^+$  and  $D_r^- D_r^+$ , by definition. Also, we have shown that at most  $2(\sqrt{\alpha/2})m_1$  lines of  $G_1$  meet the top side of  $\tau_i$ ; similarly the bottom side contributes the at most  $2(\sqrt{\alpha/2})m_1$  intersections. The total is at most  $4\varepsilon m_1 + 4(\sqrt{\alpha/2})m_1$  intersections which, using the above inequality, is less than  $8\varepsilon m_1$ . Since each  $G_1$  line that meets  $\tau_i$  intersects two sides, at most  $4\varepsilon m_1$  lines can meet any trapezoid. So if  $\varepsilon = \frac{1}{8}$  at least half the lines in  $G_1$  miss  $\tau_i$  as required by (3.3). If we now take  $\alpha = \frac{1}{32}$ , (3.2) is satisfied because the inequality, above, is. This finishes the proof of Lemma 3.5 and thus of Proposition 1 as well.  $\square$

We conclude this section by a remark concerning a practical implementation of the planar ham-sandwich cut algorithm. There are  $\frac{2}{\alpha} = 64$  subintervals in the subdivision. In practice, it is wasteful to construct all of them and test them for the odd intersection property sequentially (although the asymptotic complexity is not affected). Instead, one may perform a binary search: start with  $T$  as the current interval, and select an intersection approximately in the middle among the intersections of the  $G_1$ -lines in the current interval. Subdivide the current interval into two subintervals by the selected intersection. At least one of them has the odd intersection property (one application of Lemma 3.4 suffices to determine which one) and it can be used as the current interval in the next step. This ‘halving’ is repeated until the number of intersections within the current interval becomes small enough, then one constructs  $\tau_i$  and discards the  $G_1$ -lines, as described above. A relatively easy way to select an intersection approximately in the middle of the current interval is to choose a random intersection within that interval. For this purpose, one can use a modification of an algorithm for counting inversions of a permutation (or its approximate version, if one wants to stay within the asserted asymptotically linear time), see [8], [20] or [11]. With these modifications, the algorithm becomes relatively simple and (hopefully) practical.

## 4 The General Case

In this section we describe a generalization of the algorithm for an arbitrary fixed dimension, and prove the complexity assertions made in Proposition 2.

**Proof of Proposition 2:** The presentation is quite analogous to the one for the planar case. Let  $\mu_i$  denote the median level of  $H_i$ . Let us call every point of  $\mu_1 \cap \dots \cap \mu_d$  a *ham-sandwich vertex* (with our general position assumptions, there are finitely many points in the intersection, each being a vertex of the arrangement of  $H = H_1 \cup \dots \cup H_d$ ).



We begin with an analog of Lemma 3.1 which shows that the odd intersection property (2.1) holds for the whole coordinate hyperplane  $S$  with respect to median levels.

**Lemma 4.1** *The total number of ham-sandwich vertices is odd.*

**Proof:** This is, essentially, what one proves when establishing the existence of a ham-sandwich cut by topological arguments (from the Borsuk-Ulam theorem). A direct proof of showing the existence of odd number of ham-sandwich cuts along these lines was shown to us by I. Bárány. Here we give a somewhat different geometric proof, whose parts will also be useful later.

Using Lemma 3.1 as the base case in an induction we suppose the statement of Lemma 4.1 is true for dimensions smaller than  $d$ . Let  $\mathcal{H}$  denote the arrangement of the  $n$  given hyperplanes. Consider the  $N = \binom{n}{d-1}$  distinct 1-flats (lines) determined by  $(d-1)$ -tuples of hyperplanes of  $H$  and project each of these 1-flats vertically onto the coordinate hyperplane  $S$  (the general position assumption guarantees that no intersection projects to a point). Choose a unit vector  $\delta \in S$ , not orthogonal to any of the  $N$  projections. We can find two vertical hyperplanes  $\pi_{left}$  and  $\pi_{right}$ , both with normal  $\delta$  such that *all* the vertices of  $\mathcal{H}$  lie between them. By the choice of  $\delta$  each of the given hyperplanes of  $H$  meets  $\pi_{left}$  and  $\pi_{right}$ . The intersections of the hyperplanes in  $H_2, \dots, H_d$  with  $\pi_{left}$  satisfy the induction hypothesis with  $d-1$  and therefore  $\mu_2 \cap \dots \cap \mu_d$  meets  $\pi_{left}$  in an odd number of vertices (ham-sandwich vertices in  $\pi_{left}$ ). Call them  $\ell_1, \dots, \ell_{2m+1}$ . Similarly there are an odd number of  $\mu_2 \cap \dots \cap \mu_d$  vertices in  $\pi_{right}$ ; call them  $r_1, \dots, r_{2k+1}$ .

To complete the proof we describe the *skeleton*

$$\sigma = \mu_2 \cap \dots \cap \mu_d,$$

the intersection of the median levels of the  $H_2, \dots, H_d$  hyperplanes. It consists of vertices connected by edges. A vertex is a point of the form

$$v = h_2 \cap \dots \cap h_d \cap a' = (x_1, \dots, x_d),$$

where  $h_i \in H_i$  is in  $\mu_i$  at  $(x_1, \dots, x_{d-1})$  and for some  $q \in \{2, \dots, d\}$ ,  $a' \neq h_q$  is also in  $\mu_q$ . The intersections

$$e = h_2 \cap \dots \cap h_{q-1} \cap h_q \cap h_{q+1} \cap \dots \cap h_d$$

and

$$e' = h_2 \cap \dots \cap h_{q-1} \cap a' \cap h_{q+1} \cap \dots \cap h_d$$

are both *edges* (1-flats) incident with  $v$ . The general position assumption guarantees that vertices have degree exactly two. Each vertex is in a connected component of  $\sigma$  which is either a chain  $v_0, \dots, v, \dots, v_t$  of distinct vertices or a cycle  $v_0, \dots, v, \dots, v_t$  of distinct vertices, except that  $v_0 = v_t$ . The terminal vertices  $v_0$  and  $v_t$  in a chain are each incident with one edge which is an infinite halfline. If  $u$  and  $v$  are vertices on a

chain and both above (w.r.t.  $x_d$  coordinate) or both below  $\mu_1$ , the chain determines an *even* number of ham-sandwich cuts between  $u$  and  $v$ ; otherwise it determines an odd number. Clearly cycles determine an even number of cuts.

Since all vertices of  $\mathcal{H}$  are between  $\pi_{left}$  and  $\pi_{right}$ , no cycle of  $\sigma$  can meet either of these hyperplanes. On the other hand *both* terminal halflines of *each* chain must meet one of these hyperplanes, by the choice of  $\delta$ . Thus each  $\ell_i \in \pi_{left}$  and  $r_j \in \pi_{right}$  is the intersection with a terminal halfline of some chain of  $\sigma$ .

In fact each  $\ell_i$  is naturally matched with a unique  $r_j$ . Consider the line  $p$  containing the halfline meeting  $\pi_{left}$  at  $\ell_i$ . The part of  $p$  to the left of  $\pi_{left}$  is in  $\sigma$ . Between  $\pi_{left}$  and  $\pi_{right}$ ,  $p$  meets each of the  $n - d + 1$  hyperplanes in which it is not contained, and to the right of  $\pi_{right}$ ,  $p$  has no vertices. Therefore the  $n - d + 1$  hyperplanes each reverse their “above/below” relation with  $p$  between  $\pi_{left}$  and  $\pi_{right}$ . This means that the part of  $p$  to the right of  $\pi_{right}$  is also in  $\mu_2 \cap \dots \cap \mu_d$ , so it intersects  $\pi_{right}$  at some  $r_j$ . This establishes two facts: First,  $2m + 1$ , the number of  $\ell_j$ 's, also equals the number of  $r_i$ 's; second, amongst the  $\ell_i$ 's and  $r_j$ 's, exactly half (or  $2m + 1$ ) are below  $\mu_1$ . Now we are finished, because each chain has two terminal halflines that are either both above  $\mu_1$ , both below it, or one of each. But since an odd number of the  $\ell_i$  and  $r_j$  are below  $\mu_1$ , an odd number of chains can have one terminal halfline above  $\mu_1$  and the other, below it, and this proves the lemma.  $\square$

Our algorithm uses simplices in the coordinate hyperplane  $S$  analogous to the interval  $T$  in the planar algorithm. It again works in phases, discarding a constant fraction of the hyperplanes in each phase.

At the beginning of each phase, the algorithm has the following data:

- an open simplex  $T$  in the coordinate hyperplane  $S$ ,
- current sets  $G_1, G_2, \dots, G_d$  of hyperplanes,  $G_i \subseteq H_i$ ,  $|G_i| = m_i$ ,  $m = m_1 + \dots + m_d$ ,
- integers  $p_1, p_2, \dots, p_d$ ,  $1 \leq p_i \leq m_i$ .

The invariant is as follows:

There are an odd number of intersections of  $\lambda_1 \cap \dots \cap \lambda_d$  ( $\lambda_i = L_{p_i}(G_i)$ ) in  $V(T)$ . These intersections are the ham-sandwich vertices in  $V(T)$  for the original sets  $H_1, \dots, H_d$  of hyperplanes.

In the beginning, we let  $T$  be the whole coordinate hyperplane  $S = \{x_d = 0\}$  (the word “simplex” is to be interpreted as an intersection of at most  $d + 1$  halfspaces),  $G_i = H_i$  and  $p_i = \lfloor (n_i + 1)/2 \rfloor$ . Then the invariant is then satisfied because of Lemma 4.1.

To establish Proposition 2, we prove an analog of Lemma 3.2:

**Lemma 4.2** *Let  $T, G_i, p_i$  be as above and satisfy the conditions of the invariant. One can compute new  $T' \subset T$ ,  $G'_i \subset G_i$ ,  $p'_i \leq p_i$ , ( $i = 1, \dots, d$ ), again satisfying the conditions of the invariant, and with the new size  $m' = |G'_1| + \dots + |G'_d| \leq (1 - 1/2d)m$ . The running time is at most proportional to the worst-case running time needed to construct one level in a given arrangement of  $m$  hyperplanes in  $R^{d-1}$ .*

We again suppose that  $m_1 \geq m_2, \dots, m_d$ . The outline of the algorithm is almost identical to the planar case:

1. Partition the simplex  $T$  into simplices  $T_1, \dots, T_C$  ( $C = C(d)$  a constant) with suitable properties (to be described later).
2. Find one simplex  $T_i$  with the odd-intersection property; i.e.,  $|\lambda_1 \cap \dots \cap \lambda_d \cap V(T_i)|$  is odd.
3. Construct a region  $\tau_i \subset V(T_i)$ , such that

$$\lambda_1 \cap V(T_i) \subset \tau_i \tag{4.1}$$

$$\text{At most half of the hyperplanes of } G_1 \text{ intersect } \tau_i. \tag{4.2}$$

4. Discard all the hyperplanes of  $G_1$  which do not intersect  $\tau_i$  (at least  $m_1/2 \geq m/(2d)$ ) and update  $p_1$  accordingly ( $p'_1 \leftarrow p_1 - b$ ,  $b$  the number of  $G_1$  planes lying below  $\tau_i$ ). Then  $T_i$  becomes the new  $T$ , and we are ready for the next phase of the algorithm.

To define the subdivision of  $T$  in Step 1 we need the notion of  $\varepsilon$ -approximation. Let  $H$  be a collection of hyperplanes in  $R^d$ , and consider the set system  $(H, \mathcal{R})$ , where  $\mathcal{R}$  consists of all subsets of  $H$  definable by segments, i.e. of the form  $\{h \in H; h \cap s \neq \emptyset\}$ , where  $s$  is a segment in  $R^d$ . Given a parameter  $\varepsilon > 0$ , an  $\varepsilon$ -approximation for  $(H, \mathcal{R})$  is a subset  $A \subseteq H$  of hyperplanes with the property that

$$\left| \frac{|A \cap R|}{|A|} - \frac{|R|}{|H|} \right| < \varepsilon, \tag{4.3}$$

for every  $R \in \mathcal{R}$ . The following lemma is a particular case of a result of [19]:

**Lemma 4.3** [18] *Given a set  $H$  of  $n$  hyperplanes in  $R^d$  and  $\varepsilon > 0$ , one can compute an  $\varepsilon$ -approximation for  $(H, \mathcal{R})$  of size  $O(\varepsilon^{-2} \log \frac{1}{\varepsilon})$  in time  $O(f(\varepsilon)n)$ , where  $f(\varepsilon)$  is a factor depending on  $\varepsilon$  (and  $d$ ) only; in particular, the running time is  $O(n)$  for a fixed  $\varepsilon$ .  $\square$*

Let us remark that a random sample  $A$  of size  $C\varepsilon^{-2} \log \frac{1}{\varepsilon}$  (for a suitable constant  $C$ ) will, with high probability, be an  $\varepsilon$ -approximation for  $(H, \mathcal{R})$ . This again suggests a possible simplification for an implementation of the algorithm.

The partition in Step 1 of the algorithm is performed as follows: We let  $\varepsilon > 0$  be a small enough constant (to be fixed later), and let  $A$  be an  $\varepsilon$ -approximation for the hyperplanes in  $G_1$ . We project all pairwise intersections of the hyperplanes of  $A$  into the coordinate hyperplane  $S$ , which gives a set  $\Pi$ , of  $K = \binom{|A|}{2} d - 2$  dimensional projections (hyperplanes) in  $S$ . Note that the size of  $A$  and thus also  $K$  are bounded by a constant, as  $\varepsilon$  is a constant. We form the arrangement of  $\Pi$  (within  $S$ ) and triangulate the part of it within  $T$ , obtaining the simplices  $T_1, \dots, T_C$  (this

partitioning procedure, which may look rather mysterious, will be substantiated when discussing Step 3 of the algorithm).

The following lemma deals with Step 2 (selecting the appropriate simplex). This step will dominate the running time, as all other steps can be performed in linear time.

**Lemma 4.4** *Given a simplex  $T \subset S$ , the parity of  $|\lambda_1 \cap \dots \cap \lambda_d \cap V(T)|$  can be determined in time proportional to the (worst-case) time needed to construct one given level for a collection of at most  $m$  hyperplanes in  $R^{d-1}$ .*

**Proof:** In each vertical face  $F$  of the infinite prism  $V(T)$  consider the  $d - 1$  dimensional arrangement  $\mathcal{A}_F$  of the hyperplanes of  $G = G_1 \cup \dots \cup G_d$  intersected with  $F$ . We call a vertex  $v \in \mathcal{A}_F$  *good* if it is in  $\sigma = \lambda_2 \cap \dots \cap \lambda_d$  and below  $\lambda_1$ .

First, we claim that the parity of  $|\lambda_1 \cap \dots \cap \lambda_d \cap V(T)|$  is the same as the parity of the total number of good vertices within all faces  $F$  of  $V(T)$ . The argument is similar to the one used in Lemma 4.1: Consider a chain  $v_0, \dots, v_t$  in  $\sigma$  and traverse it continuously from the infinite halfline leading to  $v_0$ , along edges  $v_i v_{i+1}$ , and then through the infinite halfline leading from  $v_t$ . It meets faces of  $V(T)$  an even number of times, say at points  $u_1, u_2, \dots, u_{2k}$ , each point alternately an entrance and an exit of  $V(T)$  (i.e.,  $u_{2j-1}, u_{2j}$  denotes a part of the chain *in*  $V(T)$  and  $u_{2k}, u_{2k+1}$  a part *not in*). Each  $u_i$  is a vertex in  $\mathcal{A}_F$  for a face of  $V(T)$ . If  $u_{2j-1}$  and  $u_{2j}$  are both good or both bad, then the chain has an even number of ham-sandwich cuts in  $V$  between these points, and if one of them is good and the other bad, then there are an odd number of cuts. This proves that for each chain in  $\sigma$  the parity of its intersections with faces of  $V(T)$  which are good vertices, is the same as the parity of its intersections in  $V(T)$  with  $\lambda_1$ . Obviously the same argument can be made for any cycle  $v_0, \dots, v_t, v_0 = v_t$  in  $\sigma$ . This establishes our claim and it suffices to describe how the parity of the number of good vertices is found.

An easy way of counting the good vertices is to construct the arrangement  $\mathcal{A}_F$ , traverse its vertices and count the good ones. This requires  $O(m^{d-1})$  time for each face  $F$ . But we can do better using level construction algorithms. Let  $\pi_F$  be the vertical hyperplane containing  $F$ , and let us put  $\tilde{G}_i = \{g \cap \pi_F; g \in G_i\}$ ,  $\bar{\lambda}_i = L_{p_i}(G_i) = \lambda_i \cap \pi_F$ . The problem is now to count the points of  $F \cap (\bar{\lambda}_2 \cap \dots \cap \bar{\lambda}_d)$  lying below  $\bar{\lambda}_1$ .

For each point of  $\bar{\lambda}_i$ , we know that the number of hyperplanes of  $\tilde{G}_i$  below it is  $p_i$ . Hence each point (vertex) of  $\sigma = \bar{\lambda}_2 \cap \dots \cap \bar{\lambda}_d$  is a vertex of the level  $L_2 = L_{p_2 + \dots + p_d}(\tilde{G}_2 \cup \dots \cup \tilde{G}_d)$ . If we have a suitable combinatorial representation of  $L_2$ , we can thus traverse it in time proportional to its complexity and find all the vertices of  $\sigma$ .

It remains to decide which vertices of  $\sigma$  are below  $\bar{\lambda}_1$ . An obvious method is to locate each vertex  $v$  of  $\sigma$  in a projection of  $\bar{\lambda}_1$  onto a horizontal  $(d - 2)$ -dimensional hyperplane. However, reasonably efficient point location structures in convex subdivisions are only known for dimensions at most 3 (which means  $d \leq 5$ ). We outline an alternative method that works for any  $d$ .

We will determine the position of all vertices of the above defined level  $L_2$  with respect to  $\bar{\lambda}_1$ , by traversing the 1-skeleton of  $L_2$  (by a depth-first graph traversal, say). During this traversal, we remember whether we are below or above  $\bar{\lambda}_1$ , and we will update this information as we traverse an edge crossing  $\bar{\lambda}_1$ . To this end, we need to detect all intersections of the edges of  $\sigma$  with  $\bar{\lambda}_1$ . We observe that each such intersection is a vertex of the level  $L_1 = L_{p_1+\dots+p_d}(\bar{G}_1 \cup \dots \cup \bar{G}_d)$ . Hence all such intersections can be constructed in advance by constructing and traversing  $L_1$ . Knowing these intersection, we associate and store them along with the edges of  $L_2$ . With a suitable implementation of the traversal of the levels, the running time is dominated by the time needed to construct the levels  $L_1$  and  $L_2$ .  $\square$

In Step 3, we define the polyhedron  $\tau_i$  and establish its properties. Let  $c > 0$  be a constant to be specified later. For each vertex  $v_j$  of the simplex  $T_i \subset S$ , we define the points  $D_j^-, D_j^+$  as follows:

$$D_j^- = L_{p_1 - c\epsilon m_1}(G_1) \cap V(v_j) \text{ and}$$

$$D_j^+ = L_{p_1 + c\epsilon m_1}(G_1) \cap V(v_j);$$

i.e.,  $D_j^-$  (resp.  $D_j^+$ ) is the intersection of the  $p_1 - c\epsilon m_1$  (resp.  $p_1 + c\epsilon m_1$ ) level of the  $G_1$  hyperplanes with the vertical line through  $v_j$  (these points can be found in  $O(m_1)$  time by linear-time selection). Then we define  $\tau_i$  as the convex hull of  $\{D_1^-, D_1^+, \dots, D_d^-, D_d^+\}$ . It remains to prove that the constants  $c, \epsilon$  can be chosen in such a way that  $\tau_i$  has the required properties (4.1) and (4.2).

**Lemma 4.5** *Choose  $c \leq 3(d-1)/2$  and  $\epsilon = 1/(6(d-1) + 4c)$ . Then (4.1) and (4.2) hold for any  $\tau_i$ ; i.e., at most half of the lines in  $G_1$  meet  $\tau_i$ , and within the prism  $V(T_i)$ , the level  $L_{p_1}(G_1)$  remains within  $\tau_i$ .*

**Proof:** Consider a pair  $D_j^+, D_k^+$  of vertices of  $\tau_i$ . We will estimate the number of hyperplanes of  $G_1$  intersecting the segment  $D_j^+ D_k^+$ . The levels of  $D_j^+$  and  $D_k^+$  in the arrangement of  $G_1$  are equal, and the definition of  $\epsilon$ -approximation implies that their levels in the arrangement of  $A$  differ by at most  $2\epsilon|A|$ .

Suppose that there are more than  $2\epsilon|A|$  of the  $A$  hyperplanes intersecting the segment  $D_j^+ D_k^+$ . It is easy to argue that there must be two hyperplanes of  $A$  intersecting inside the two-dimensional vertical strip erected through the segment  $D_j^+ D_k^+$  (the argument is similar as in the planar case). If we project the intersection of such two hyperplanes into  $S$ , we get a hyperplane (within  $S$ ) belonging to the set  $\Pi$ . But  $T_i$  was a simplex from a triangulation of the arrangement of  $\Pi$ , so its edge cannot be intersected by a hyperplane of  $\Pi$ . This contradiction shows that the segment  $D_j^+ D_k^+$  is intersected by no more than  $2\epsilon|A|$  of the  $A$ -hyperplanes, and thus by at most  $3\epsilon m_1$  of the  $G_1$  hyperplanes, by the  $\epsilon$ -approximation property.

Since the top and bottom faces of  $\tau_i$  have a total of  $d(d-1)$  edges, there are at most  $3d(d-1)\epsilon m_1$  intersections of hyperplanes in  $G_1$  with edges in the top or bottom of  $\tau_i$ . By the definition of  $D_j^-, D_j^+$ , each of the  $d$  vertical edges of  $\tau_i$  accounts for  $2c\epsilon m_1$  intersections with hyperplanes in  $G_1$ , giving a total of at most  $(3d(d-1) + 2cd)\epsilon m_1$  intersections. Because each hyperplane meeting  $\tau_i$  intersects

at least  $d$  edges, at most  $(3(d-1) + 2c)\varepsilon m_1$  of the hyperplanes in  $G_1$  can meet  $\tau_i$ . Whatever  $c$  is, we will take  $\varepsilon \leq 1/(6(d-1) + 4c)$  and satisfy (4.2). To fix  $c$ , we already showed that there are at most  $3d(d-1)\varepsilon m_1/2$  intersections of hyperplanes in  $G_1$  with edges in the top face of  $\tau_i$ . The choice of  $c \geq 3(d-1)/2$  guarantees that the top face of  $\tau_i$  meets at most  $c\varepsilon m_1$  hyperplanes in  $G_1$ . This means that the level of each point in the top face differs from the (common) level of the vertices  $D_j^+$  by at most  $c\varepsilon m_1$ , and, in particular, it is not smaller than  $p_1$ . This implies that  $\lambda_1$ , the  $p_1$ -level of  $G_1$ , can never get above the top of  $\tau_i$ . The argument for the bottom is the same. This finishes the proof of Lemma 4.5 and therefore of Proposition 2 as well.  $\square$

## 5 A Separated Case in $R^3$

Suppose we have three disjoint sets  $P_1, P_2, P_3$  in  $R^3$ . A line  $\ell$  is a *transversal* if it meets all three convex hulls  $\text{conv}(P_1), \text{conv}(P_2), \text{conv}(P_3)$ . Our separation condition is that the sets have no transversal. For this case we generalize Megiddo's result [21] and prove that the complexity of the separated ham-sandwich problem in  $R^3$  is  $O(n)$ . Specifically we will show that the separation condition allows a modification of the general algorithm so it runs in linear time. In Step 2 of the algorithm — the only one requiring more than linear time — we will be able to replace level construction in a two dimensional vertical face by planar ham-sandwich computations and a few other linear time operations.

Let us begin with two equivalent formulations of the transversal condition.

**Lemma 5.1** *The following statements about three convex sets  $A_1, A_2, A_3 \subset R^3$  are equivalent:*

- (i)  $A_1, A_2, A_3$  have no line transversal.
- (ii) For every permutation  $(i, j, k)$  of  $(1, 2, 3)$ ,  $A_i$  can be separated from  $A_j \cup A_k$  by a plane.
- (iii) For any plane  $\rho$ , at least one pair of sets among the orthogonal projections of  $A_1, A_2, A_3$  on  $\rho$  has an empty intersection.

**Proof:** (i) $\Rightarrow$ (ii): It suffices to show that  $\text{conv}(A_1 \cup A_2) \cap A_3 = \emptyset$ . Any point  $x \in \text{conv}(A_1 \cup A_2)$  lies on a segment  $a_1 a_2$  with  $a_1 \in A_1$  and  $a_2 \in A_2$ , so if also  $x \in A_3$  then the line  $\ell$  through  $a_1, x$  and  $a_2$  is a transversal.

(ii) $\Rightarrow$ (iii): Let  $\sigma_i$  denote a plane separating  $A_i$  from the union of the other two sets ( $i = 1, 2, 3$ ). For simplicity assume that  $\sigma_1, \sigma_2, \sigma_3$  are in general position; place the origin of coordinates to the point  $\sigma_1 \cap \sigma_2 \cap \sigma_3$  and let  $\sigma_i^+$  denote the halfspace bounded by  $\sigma_i$  and containing  $A_i$ , and  $\sigma_i^-$  the opposite halfspace. We have  $A_1 \subset \sigma_1^+ \cap \sigma_2^- \cap \sigma_3^-$ , and similarly for  $A_2, A_3$ . Let  $\rho$  be a projection plane and let  $r$  be its normal. Let us place the vector  $r$  into the origin and discuss the position of its endpoint  $R$  with respect to the  $\sigma_i$ 's. If  $R$  belongs, for instance, to  $\sigma_1^- \cap \sigma_2^-$ ,

then the plane passing through  $R$  and through the line  $\sigma_1 \cap \sigma_2$  separates  $A_1$  from  $A_2$ , and it projects to a line in  $\rho$  separating the projection of  $A_1$  from the projection of  $A_2$ . Similarly for  $R \in \sigma_1^+ \cap \sigma_2^+$ , and generally we get a separating line for some pair of projections whenever the signs of the halfspaces containing  $R$  for some two indices coincide. But this is the case for any  $R$ .

(iii) $\Rightarrow$ (i): The projection to a plane orthogonal to a line transversal  $\ell$  violates the condition (iii).  $\square$

Note that the condition (ii) can be tested in  $O(n)$  time (using a linear-time linear programming algorithm in dimension 3).

A dual formulation of (iii) yields the condition we will need in the algorithm.

**Lemma 5.2** *Let  $P_1, P_2, P_3$  be point sets satisfying the separation condition, and let  $H_1, H_2, H_3$  be the dual sets of planes. Let  $\pi$  be a vertical plane. There exists a pair of indices  $(i, j) \in \{(1, 2), (2, 3), (3, 1)\}$  such that if  $\lambda_i$  is some level of  $H_i$  and  $\lambda_j$  some level of  $H_j$ , then  $\lambda_i$  and  $\lambda_j$  have a unique intersection within  $\pi$ . Given  $\pi$ , such a pair of indices can be determined in  $O(n)$  time.*

**Proof:** Let  $\pi$  be described by the equation  $cx + dy + e = 0$ . The duality transform maps a point  $x \in \pi$  to a plane  $\mathcal{D}(x)$  parallel to the direction  $r = (2c, 2d, -e)$ , so the points in  $\pi$  correspond to lines in a plane  $\rho$  orthogonal to  $r$ . If another plane  $h$  intersects  $\pi$  in a line  $\ell$ , then the points of  $\ell$  dualize to planes parallel to  $r$  and passing through the point  $\mathcal{D}(h)$  dual to  $h$ . Hence the corresponding lines in  $\rho$  all pass through the projection of  $\mathcal{D}(h)$  on  $\rho$ , so a line in  $\pi$  corresponds to a point in  $\rho$ . It is not difficult to verify that the point in  $\rho$  does not depend on the choice of  $h$ , and that this correspondence between points and lines in  $\pi$  and lines and points in  $\rho$  has the properties of a duality transform.

Returning to our situation, we find (according to Lemma 5.1(iii)) a pair  $(i, j)$  of indices such that the projections of the (primal) sets  $P_i$  and  $P_j$  into the above defined plane  $\rho$  are linearly separated (this can be done in linear time by linear programming). The proof is concluded by showing that when  $\bar{P}_i, \bar{P}_j$  are the linearly separated projections in the plane  $\rho$ , then any level of the arrangement of lines dual to  $\bar{P}_i$  (in the plane  $\pi$ , under the above discussed dual correspondence between  $\rho$  and  $\pi$ ) intersects any level of the arrangement of lines dual to  $\bar{P}_j$  in a unique point. This is essentially a result of Megiddo. He proved the uniqueness of the ham-sandwich cut for linearly separated sets, but the idea applies to any pair of levels. Choose the system of coordinates in the primal plane so that the separating line is the  $y$ -axis, and the coordinates in the dual plane so that the duality is the “usual” one (introduced in Sec. 2). Then all the lines dual to  $\bar{P}_i$  have (say) positive slopes while the ones dual to  $\bar{P}_j$  have negative slopes, and the claim follows.  $\square$

Step 2 of the algorithm tests a triangle  $T_i$  for the odd-intersection property by computing the parity of good vertices in the vertical faces of  $V(T_i)$ . In the general case we constructed the relevant levels in a face and *counted* the good vertices. Using the separation condition, we may deduce the parity without constructing the levels.

**Lemma 5.3** *Let  $n$  lines in general position in  $R^2$  partitioned into sets  $H_1, H_2, H_3$  be given, and let  $\lambda_i$  denote a level in the arrangement of  $H_i$  lines. Suppose that  $(i, j)$  is a given pair of indices such that  $|\lambda_i \cap \lambda_j| = 1$ . Then in time  $O(n)$  we can compute the parity of good vertices in the strip  $V = V((\ell, r)) = \{(x, y) : x \in (\ell, r)\}$  (a vertex  $v = (x, y) \in \lambda_2 \cap \lambda_3$  is good if  $\lambda_1(x) > y$ ).*

**Proof:** First suppose that the order of intersections of  $\lambda_i$  and  $\lambda_j$  with the vertical line  $x = \ell$  is the same as the one for the vertical line  $x = r$ , that is,

$$(\lambda_i(\ell) - \lambda_j(\ell))(\lambda_i(r) - \lambda_j(r)) \geq 0. \quad (5.1)$$

Then  $\lambda_i$  and  $\lambda_j$  have an even number of intersections within  $V$ , and since this number is at most 1 at the same time, they are disjoint within  $V$ . So if  $\{i, j\} = \{2, 3\}$  there are no good vertices and we are done. Otherwise, by symmetry, we may suppose  $i = 1, j = 2$ . In such case, either all vertices of  $\lambda_2 \cap \lambda_3$  in  $V$  are good (if  $\lambda_2$  is below  $\lambda_1$  within  $V$ ) or none is (if  $\lambda_2$  is above  $\lambda_1$ ). In the former case, the parity of  $|\lambda_2 \cap \lambda_3 \cap V|$  can be deduced from the ordering of the intersections of  $\lambda_2$  and  $\lambda_3$  with the verticals bounding  $V$ .

It remains to deal with the case when (5.1) does not hold. In such case, we know that the (unique) intersection of  $\lambda_i$  and  $\lambda_j$  is contained in  $V$ , and we can find it in  $O(n)$  time by the algorithm of Section 3; let  $c$  be its  $x$ -coordinate. We then replace the interval  $T = (\ell, r)$  by two intervals  $T' = (\ell, c)$  and  $T'' = (c, r)$  and observe that (5.1) already holds for both of them. Thus we can determine the parity of good vertices within  $V(T')$ , within  $V(T'')$  and account appropriately for the potential good vertices lying on the vertical line  $x = c$  (for  $(i, j) = (2, 3)$ ).  $\square$

We can return to the algorithm from the previous section. We have a triangle  $T = PQR$  in  $x_3 = 0$ . Lemma 5.2 shows that in the vertical plane containing its side (say  $PQ$ ) at least one pair of the considered levels has a unique intersection, and that we can find such a pair in linear time. Lemma 5.3 then shows that the parity of good vertices in the vertical strip  $V(PQ)$  may be found in linear time. This shows that Step 2 of the algorithm has linear complexity and proves

**Proposition 3** *Given  $n$  points in  $R^3$  partitioned into sets  $P_1, P_2, P_3$ , each with an odd number of points, and having no transversal, the complexity of the ham-sandwich problem is  $O(n)$ .*

## 6 Final Remarks

• **Approximation.** In various applications of the ham-sandwich cut construction, one sometimes does not really need an exact bisection of every set, but an approximate bisection suffices instead. Let us say that a hyperplane  $h$  is an  $\varepsilon$ -approximate ham-sandwich cut for sets  $P_1, \dots, P_d$  if there are no more than  $(\varepsilon + 1/2)|P_i|$  points of  $P_i$  in either of the open halfspaces defined by  $h$ ,  $i = 1, \dots, d$ . For a fixed  $\varepsilon > 0$ , one



can obtain such an approximate ham-sandwich cut in linear time, in any fixed dimension. First we compute  $A_i$ , an  $\varepsilon$ -approximation for  $P_i$  with respect to halfspaces, this means that for every halfspace  $\gamma$ ,

$$\left| \frac{|P_i \cap \gamma|}{|P_i|} - \frac{|A_i \cap \gamma|}{|A_i|} \right| < \varepsilon.$$

Such an  $A_i$  of size depending on  $d$  and  $\varepsilon$  only can be computed deterministically and in  $O(|P_i|)$  time, see [19]. Then we compute a ham-sandwich cut for  $A_1, \dots, A_d$  by some algorithm; since the size of  $A_i$  is bounded by a constant, this only takes a constant time. It is easy to see that a ham-sandwich cut for  $A_1, \dots, A_d$  is also an  $\varepsilon$ -approximate ham-sandwich cut for  $P_1, \dots, P_d$ . In practice, one may take random samples of suitable size for the  $A_i$ 's, and is guaranteed to find an  $\varepsilon$ -approximate ham-sandwich cut with probability close to 1.

• **Applications.** Willard's partitioning problem, initially solved by Cole, Sharir, and Yap, admitted an optimal solution when Megiddo's ham-sandwich algorithm for the separated case was applied. There are some other problems to which the algorithms of the present paper may be applied so the current solutions can be improved. For example Atallah [6] considered the problem of matching  $n$  given red points  $r_1, \dots, r_n$  in the plane with  $n$  given blue points,  $b_1, \dots, b_n$  in such a way that the segments joining matched pairs do not intersect. He gave an  $O(n(\log n)^2)$  algorithm for this task. If we used the ham-sandwich algorithm of Section 2 for the *divide* step of a recursive algorithm, after  $O(\log n)$  levels we would have  $n$  trivial matching problems, each with one red and one blue point, and the segments will not intersect. This gives an extremely simple,  $O(n \log n)$  solution to the matching problem which, by reduction to sorting, is easily seen to be optimal [the red points are  $(1, 1), \dots, (1, n)$ ; the blue points are  $(0, a_1), \dots, (0, a_n)$ , the  $a_i$  the inputs to the sorting problem; the matching gives the ranks of the  $a_i$ 's]. The approach easily extends to a higher dimensional version where, with  $d$  sets of  $n$  points each (each set of a certain color), the matching is an assignment of each point to a distinct, multicolored  $d$ -simplex; the geometric requirement is that the  $n$  simplices are pairwise disjoint. The algorithm we described here can be used to find such a matching in  $O(n^{d-1-\gamma})$  time. This matching problem was discussed by Akiyama and Alon [3] but no algorithm was mentioned.

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